

U.S. Department of Energy
National Nuclear Security Administration



Fiscal Year 2020

**Equal Employment
Opportunity Commission**

Form 462

Annual Report

FY 2020 REPORTING REQUIREMENT

The U.S. Equal Employment Opportunity Commission (EEOC) Regulation 29 C.F.R. §1614.602(a) requires Federal agencies to report to the EEOC information concerning pre-complaint counseling and the status, processing, and disposition of formal complaints of discrimination under this part at such times, and in such manner as the Commission prescribes.

The requirement for federal agencies to file the EEOC Form 462 Report applies to all federal agencies and Departments covered by 29 C.F.R. Part 1614, as defined in 29 C.F.R. § 1614.103(b).

Federal agencies' FY 2020 EEOC Form 462 Annual Reports are required to be completed (beginning on October 1, 2020), certified, and accepted by the EEOC no later than November 5, 2020.

FY 2020 REPORTING-COMPLIANCE

Date of NNSA's FY 2020 EEOC 462 Report electronic certification and filing with the EEOC by the NNSA EEO Manager:

October 7, 2020

Date of EEOC's Acceptance of the NNSA's FY 2020 EEOC 462 Report:

October 7, 2020

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART I - PRE-COMPLAINT ACTIVITIES

EEO COUNSELOR	COUNSELINGS		INDIVIDUALS	
A. INTENTIONALLY LEFT BLANK				
ADR INTAKE OFFICER	COUNSELINGS		INDIVIDUALS	
B. INTENTIONALLY LEFT BLANK				
TOTAL COMPLETED/ENDED COUNSELINGS	COUNSELINGS	INDIVIDUALS		
C. TOTAL COMPLETED/ENDED COUNSELINGS	12	12		
1. COUNSELED WITHIN 30 DAYS	2	2		
2. COUNSELED WITHIN 31 TO 90 DAYS	10	10		
a. COUNSELED WITHIN WRITTEN EXTENSION PERIOD NO LONGER THAN 60 DAYS	8	7		
b. COUNSELED WITHIN 90 DAYS WHERE INDIVIDUAL PARTICIPATED IN ADR	2	2		
c. COUNSELED WITHIN 31-90 DAYS THAT WERE UNTIMELY	0	0		
3. COUNSELED BEYOND 90 DAYS	0	0		
4. COUNSELED DUE TO REMANDS	0	0		
D. PRE-COMPLAINT ACTIVITIES	COUNSELINGS		INDIVIDUALS	
1. ON HAND AT THE BEGINNING OF THE REPORTING PERIOD	0	0		
2. INITIATED DURING THE REPORTING PERIOD	12	12		
3. COMPLETED/ENDED COUNSELINGS	12	12		
a. SETTLEMENTS (MONETARY AND NON-MONETARY)	2	2		
b. WITHDRAWALS/NO COMPLAINT FILED	1	1		
c. COUNSELINGS COMPLETED/ENDED IN REPORTING PERIOD THAT RESULTED IN COMPLAINT FILINGS IN REPORTING PERIOD	9	9		
d. DECISION TO FILE COMPLAINT PENDING AT THE END OF THE REPORTING PERIOD	0	0		
4. COUNSELINGS PENDING AT THE END OF THE REPORTING PERIOD	0	0		

E. NON-ADR SETTLEMENTS WITH MONETARY BENEFITS			
	COUNSELINGS	INDIVIDUALS	AMOUNT
TOTAL	0	0	0.00
1. COMPENSATORY DAMAGES	0	0	0.00
2. BACKPAY/FRONTPAY	0	0	0.00
3. LUMP SUM PAYMENT	0	0	0.00
4. ATTORNEY FEES AND COSTS	0	0	0.00
5.	0	0	0.00
6.	0	0	0.00

F. NON-ADR SETTLEMENTS WITH NON-MONETARY BENEFITS			
	COUNSELINGS	INDIVIDUALS	
TOTAL	1	1	
1. HIRES	0	0	
a. RETROACTIVE	0	0	
b. NON-RETROACTIVE	0	0	
2. PROMOTIONS	0	0	
a. RETROACTIVE	0	0	
b. NON-RETROACTIVE	0	0	
3. EXPUNGEMENTS	0	0	
4. REASSIGNMENTS	0	0	
5. REMOVALS RESCINDED	0	0	
a. REINSTATEMENT	0	0	
b. VOLUNTARY RESIGNATION	0	0	
6. ACCOMMODATIONS	0	0	
7. TRAINING	0	0	
8. APOLOGY	0	0	
9. DISCIPLINARY ACTIONS	0	0	
a. RESCINDED	0	0	
b. MODIFIED	0	0	
10. PERFORMANCE EVALUATION MODIFIED	0	0	
11. LEAVE RESTORED	0	0	
12. NEUTRAL REFERENCE	0	0	
13. EXTENSION OF DETAIL ASSIGNMENT	1	1	
14.	0	0	

G. ADR SETTLEMENTS WITH MONETARY BENEFITS			
	COUNSELINGS	INDIVIDUALS	AMOUNT
TOTAL	0	0	0.00
1. COMPENSATORY DAMAGES	0	0	0.00
2. BACKPAY/FRONTPAY	0	0	0.00
3. LUMP SUM PAYMENT	0	0	0.00
4. ATTORNEY FEES AND COSTS	0	0	0.00
5.	0	0	0.00
6.	0	0	0.00

H. ADR SETTLEMENTS WITH NON-MONETARY BENEFITS			
	COUNSELINGS	INDIVIDUALS	
TOTAL	1	1	
1. HIRES	0	0	
a. RETROACTIVE	0	0	
b. NON-RETROACTIVE	0	0	
2. PROMOTIONS	0	0	
a. RETROACTIVE	0	0	
b. NON-RETROACTIVE	0	0	
3. EXPUNGEMENTS	0	0	
4. REASSIGNMENTS	0	0	
5. REMOVALS RESCINDED	0	0	
a. REINSTATEMENT	0	0	
b. VOLUNTARY RESIGNATION	0	0	
6. ACCOMMODATIONS	0	0	
7. TRAINING	0	0	
8. APOLOGY	0	0	
9. DISCIPLINARY ACTIONS	0	0	
a. RESCINDED	0	0	
b. MODIFIED	0	0	
10. PERFORMANCE EVALUATION MODIFIED	0	0	
11. LEAVE RESTORED	0	0	
12. NEUTRAL REFERENCE	0	0	
13. MENTORSHIP AGREEMENT	1	1	
14.	0	0	

I. NON-ADR SETTLEMENTS			
	COUNSELINGS	INDIVIDUALS	
TOTAL	1	1	

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART II - FORMAL COMPLAINT ACTIVITIES

20 A. COMPLAINTS ON HAND AT THE BEGINNING OF THE REPORTING PERIOD

9 B. COMPLAINTS FILED

0 C. REMANDS (sum of lines C1+C2+C3)

0 C.1. REMANDS (NOT INCLUDED IN A OR B)

0 C.2. REMANDS (INCLUDED IN A OR B)

0 C.3. NUMBER OF ADDITIONAL REMANDS IN THIS REPORTING PERIOD THAT ARE NOT CAPTURED IN C.1 OR C.2 ABOVE

0 C.4. ADDITIONAL CLOSURES IN THIS REPORTING PERIOD NOT REFLECTED IN F. OR H. THAT RESULTED FROM REMANDS

29 D. TOTAL COMPLAINTS (sum of lines A+B+C1)

29 E. COMPLAINTS IN LINE D THAT WERE NOT CONSOLIDATED

12 F. COMPLAINTS IN LINE E CLOSED DURING REPORT PERIOD

0 G. COMPLAINTS IN LINE D THAT WERE CONSOLIDATED

0 H. COMPLAINTS IN LINE G CLOSED DURING REPORT PERIOD

17 I. COMPLAINTS ON HAND AT THE END OF THE REPORTING PERIOD (Line D - (F+H)) + [(C2 + C3) - C4]

9 J. INDIVIDUALS FILING COMPLAINTS (Complainants)

0 K. NUMBER OF JOINT PROCESSING UNITS FROM CONSOLIDATION OF COMPLAINTS

PART III - AGENCY RESOURCES, TRAINING, REPORTING LINE

A. AGENCY & CONTRACT RESOURCES

	AGENCY		CONTRACT	
	NUMBER	PERCENT	NUMBER	PERCENT
1. WORK FORCE				
a. TOTAL WORK FORCE	2487			
b. PERMANENT EMPLOYEES	2487			
2. COUNSELOR	12		0	
a. FULL-TIME	0	0.00	0	0.00
b. PART-TIME	0	0.00	0	0.00
c. COLLATERAL DUTY	12	100.00	0	0.00
3. INVESTIGATOR	0		4	
a. FULL-TIME	0	0.00	0	0.00
b. PART-TIME	0	0.00	4	100.00
c. COLLATERAL DUTY	0	0.00	0	0.00
4. COUNSELOR/INVESTIGATOR	0		0	
a. FULL-TIME	0	0.00	0	0.00
b. PART-TIME	0	0.00	0	0.00
c. COLLATERAL DUTY	0	0.00	0	0.00

B. AGENCY & CONTRACT STAFF TRAINING

	COUNSELORS		INVESTIGATORS		COUNS/INVESTIG	
	AGENCY	CONTRACT	AGENCY	CONTRACT	AGENCY	CONTRACT
1. NEW STAFF (NS) - TOTAL	0	0	0	0	0	0
a. NS RECEIVING REQUIRED 32 OR MORE HOURS	0	0	0	0	0	0
b. NS RECEIVING 8 OR MORE HOURS, USUALLY GIVEN TO EXPERIENCED STAFF	0	0	0	0	0	0
c. NS RECEIVING NO TRAINING AT ALL	0	0	0	0	0	0
2. EXPERIENCED STAFF (ES) - TOTAL	12	0	0	4	0	0
a. ES RECEIVING REQUIRED 8 OR MORE HOURS	12	0	0	4	0	0
b. ES RECEIVING 32 OR MORE HOURS, GENERALLY GIVEN TO NEW STAFF	0	0	0	0	0	0
c. ES RECEIVING NO TRAINING AT ALL	0	0	0	0	0	0

C. REPORTING LINE

1 EEO DIRECTOR'S NAME: Bonnie Baisden

1a. DOES THE EEO DIRECTOR REPORT TO THE AGENCY HEAD? YES NO
 MUST use 'X' to designate response to question X

2. IF NO, WHO DOES THE EEO DIRECTOR REPORT TO?
 PERSON: _____
 TITLE: _____

3. WHO IS RESPONSIBLE FOR THE DAY-TO-DAY OPERATION OF THE EEO PROGRAM IN YOUR DEPARTMENT/AGENCY/ORGANIZATION?
 PERSON: Bonnie Baisden
 TITLE: EEO Manager

4. WHO DOES THAT PERSON REPORT TO?
 PERSON: Dr. William Bookless
 TITLE: PRINCIPAL DEPUTY ADMINISTRATOR

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART IV - BASES AND ISSUES ALLEGED IN COMPLAINTS FILED (Part 1)

ISSUES OF ALLEGED DISCRIMINATION	BASES OF ALLEGED DISCRIMINATION										TOTAL ALL BASES BY ISSUE	TOTAL ALL COMPLAINTS BY ISSUE	TOTAL ALL COMPLAINANTS BY ISSUE
	RACE						COLOR	RELIGION	REPRISAL				
	AMER INDIAN/ ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN /OTHER PACIFIC ISLANDER	BLACK/ AFRICAN AMERICAN	WHITE	TWO OR MORE RACES							
A. APPOINTMENT/HIRE	0	0	0	0	0	0	0	0	1	2	1	1	
B. ASSIGNMENT OF DUTIES	0	0	0	1	0	0	1	0	2	9	2	2	
C. AWARDS	0	0	0	0	0	0	0	0	0	0	0	0	
D. CONVERSION TO FULL TIME/PERM STATUS	0	0	0	0	0	0	0	0	0	0	0	0	
E. DISCIPLINARY ACTION	0	0	0	0	0	0	0	0	1	1	1	1	
1. DEMOTION	0	0	0	0	0	0	0	0	0	0	0	0	
2. REPRIMAND	0	0	0	0	0	0	0	0	1	1	1	1	
3. SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	
4. REMOVAL	0	0	0	0	0	0	0	0	0	0	0	0	
5. DISCIPLINARY WARNING	0	0	0	0	0	0	0	0	0	0	0	0	
6.	0	0	0	0	0	0	0	0	0	0	0	0	
7.	0	0	0	0	0	0	0	0	0	0	0	0	
F. DUTY HOURS	0	0	0	0	0	0	0	0	0	0	0	0	
G. PERF. EVAL./APPRAISAL	0	0	0	0	0	0	0	0	3	6	3	3	
H. EXAMINATION/TEST	0	0	0	0	0	0	0	0	0	0	0	0	
I. HARASSMENT	0	0	0	2	0	0	1	0	5	17	6	6	
1. NON-SEXUAL	0	0	0	2	0	0	1	0	5	17	6	6	
2. SEXUAL									0	0	0	0	
J. MEDICAL EXAMINATION	0	0	0	0	0	0	0	0	0	0	0	0	
K. PAY INCLUDING OVERTIME	0	0	0	0	0	0	0	0	0	0	0	0	
L. PROMOTION/NON-SELECTION	0	0	0	0	0	0	0	0	0	0	0	0	
M. REASSIGNMENT	0	0	0	0	0	0	0	0	0	0	0	0	
1. DENIED	0	0	0	0	0	0	0	0	0	0	0	0	
2. DIRECTED	0	0	0	0	0	0	0	0	0	0	0	0	
N. REASONABLE ACCOMMODATION DISABILITY									2	4	3	3	
O. REINSTATEMENT	0	0	0	0	0	0	0	0	0	0	0	0	
P. RELIGIOUS ACCOMMODATION								0	0	0	0	0	
Q. RETIREMENT	0	0	0	0	0	0	0	0	0	1	1	1	
R. SEX-STEROTYPING										0	0	0	
S. TELEWORK	0	0	0	0	0	0	0	0	0	0	0	0	
T. TERMINATION	0	0	0	0	0	0	0	0	1	3	1	1	
U. TERMS/CONDITIONS OF EMPLOYMENT	0	0	0	1	0	0	0	0	1	3	1	1	
V. TIME AND ATTENDANCE	0	0	0	1	0	0	1	0	3	11	2	2	
W. TRAINING	0	0	0	0	0	0	0	0	1	3	1	1	
X. OTHER (Please specify below)	0	0	0	1	0	0	0	0	2	4	2	2	
1. DENIAL OF TELEWORK	0	0	0	1	0	0	0	0	2	4	2	2	
2.	0	0	0	0	0	0	0	0	0	0	0	0	
3.	0	0	0	0	0	0	0	0	0	0	0	0	
4.	0	0	0	0	0	0	0	0	0	0	0	0	
TOTAL ALL ISSUES BY BASES	0	0	0	6	0	0	3	0	22				
TOTAL ALL COMPLAINTS FILED BY BASES	0	0	0	2	0	0	2	0	7				
TOTAL ALL COMPLAINANTS BY BASES	0	0	0	2	0	0	2	0	7				

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART IV - BASES AND ISSUES ALLEGED IN COMPLAINTS FILED (Part 2)

ISSUES OF ALLEGED DISCRIMINATION	BASES OF ALLEGED DISCRIMINATION												TOTAL ALL BASES BY ISSUE	TOTAL ALL COMPLAINTS BY ISSUE	TOTAL ALL COMPLAINANTS BY ISSUE
	SEX			PREGNANCY DISCRIMINATION ACT	NATIONAL ORIGIN		EQUAL PAY ACT		AGE	DISABILITY		GINA			
	MALE	FEMALE	LGBT		HISPANIC/LATINO	OTHER	MALE	FEMALE		MENTAL	PHYSICAL				
A. APPOINTMENT/HIRE	0	0	0	0	0	0	0	0	0	0	1	0	2	1	1
B. ASSIGNMENT OF DUTIES	1	1	0	0	1	0	0	0	1	0	1	0	9	2	2
C. AWARDS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D. CONVERSION TO FULL TIME/PERM STATUS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
E. DISCIPLINARY ACTION	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
1. DEMOTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. REPRIMAND	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1
3. SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4. REMOVAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5. DISCIPLINARY WARNING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
F. DUTY HOURS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
G. PERF. EVAL./APPRAISAL	0	0	0	0	0	0	0	0	3	0	0	0	6	3	3
H. EXAMINATION/TEST	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I. HARASSMENT	0	2	0	0	0	0	0	0	3	3	1	0	17	6	6
1. NON-SEXUAL	0	2	0	0	0	0	0	0	3	3	1	0	17	6	6
2. SEXUAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
J. MEDICAL EXAMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
K. PAY INCLUDING OVERTIME	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
L. PROMOTION/NON-SELECTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
M. REASSIGNMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. DENIED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. DIRECTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
N. REASONABLE ACCOMMODATION DISABILITY	0	0	0	0	0	0	0	0	0	0	2	0	4	3	3
O. REINSTATEMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
P. RELIGIOUS ACCOMMODATION	0	0	0	0	0	0	0	0	0	0	1	0	1	1	1
Q. RETIREMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R. SEX-STEROTYPING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S. TELEWORK	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
T. TERMINATION	0	0	0	0	0	0	0	0	1	1	0	0	3	1	1
U. TERMS/CONDITIONS OF EMPLOYMENT	0	1	0	0	0	0	0	0	0	0	0	0	3	1	1
V. TIME AND ATTENDANCE	1	1	0	0	1	0	0	0	2	0	1	0	11	2	2
W. TRAINING	0	0	0	0	0	0	0	0	2	0	0	0	3	1	1
X. OTHER (Please specify below)	0	1	0	0	0	0	0	0	0	0	0	0	4	2	2
1. DENIAL OF TELEWORK	0	1	0	0	0	0	0	0	0	0	0	0	4	2	2
2.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL ALL ISSUES BY BASES	2	6	0	0	2	0	0	0	12	4	7	0			
TOTAL ALL COMPLAINTS FILED BY BASES	1	2	0	0	1	0	0	0	2	3	3	0			
TOTAL ALL COMPLAINANTS BY BASES	1	2	0	0	1	0	0	0	2	3	3	0			

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART IV C - BASES AND ISSUES ALLEGED IN SETTLEMENTS (Part 1)

ISSUES OF ALLEGED DISCRIMINATION IN SETTLEMENTS	BASES OF ALLEGED DISCRIMINATION IN SETTLEMENTS											NUMBER COUNSELING SETTLEMENT ALLEGATIONS	NUMBER COUNSELINGS SETTLED BY ISSUE	NUMBER INDIVIDUALS SETTLED WITH BY ISSUE	NUMBER COMPLAINT SETTLEMENT ALLEGATIONS	NUMBER COMPLAINTS SETTLED BY ISSUE	NUMBER COMPLAINANTS SETTLED WITH BY ISSUE
	RACE						COLOR	RELIGION	REPRISAL								
	AMER. INDIAN/ ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN/ OTHER PACIFIC ISLANDER	BLACK/ AFRICAN AMERICAN	WHITE	TWO OR MORE RACES											
A. APPOINTMENT/HIRE	0	0	0	0	0	0	0	0	0	1	0	0	0	3	1	1	
B. ASSIGNMENT OF DUTIES	0	0	0	2	0	0	2	0	2	0	0	0	0	8	2	2	
C. AWARDS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
D. CONVERSION TO FULL TIME/PERM STATUS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
E. DISCIPLINARY ACTION	0	0	0	1	0	0	0	0	0	0	4	2	2	0	0	0	
1. DEMOTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2. REPRIMAND	0	0	0	1	0	0	0	0	0	0	4	2	2	0	0	0	
3. SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
4. REMOVAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
5. DISCIPLINARY WARNING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
6.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
7.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
F. DUTY HOURS	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	2	
G. PERF. EVAL./APPRAISAL	0	0	0	2	0	0	2	0	2	0	0	0	0	2	2	2	
H. EXAMINATION/TEST	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
I. HARASSMENT	0	0	0	3	0	0	2	0	3	0	2	2	2	3	3	3	
1. NON-SEXUAL	0	0	0	3	0	0	2	0	3	0	2	2	2	3	3	3	
2. SEXUAL									0	0	0	0	0	0	0	0	
J. MEDICAL EXAMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
K. PAY INCLUDING OVERTIME	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
L. PROMOTION/NON-SELECTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
M. REASSIGNMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
1. DENIED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2. DIRECTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
N. REASONABLE ACCOMMODATION DISABILITY									0	0	0	0	0	1	1	1	
O. REINSTATEMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
P. RELIGIOUS ACCOMMODATION									0	0	0	0	0	0	0	0	
Q. RETIREMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
R. SEX-STEROTYPING											0	0	0	0	0	0	
S. TELEWORK	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
T. TERMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
U. TERMS/CONDITIONS OF EMPLOYMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
V. TIME AND ATTENDANCE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
W. TRAINING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
X. OTHER (Please specify below)	0	0	0	1	0	0	0	0	0	0	2	0	0	0	0	0	
1. PROPOSED SUSPENSION	0	0	0	1	0	0	0	0	0	0	2	2	2	0	0	0	
2.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
4.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
1. COUNSELING SETTLEMENT ALLEGATIONS	0	0	0	0	0	0	0	0	0	0							
1A. NUMBER OF COUNSELINGS SETTLED	0	0	0	0	0	0	0	0	0	0							
1B. NUMBER OF COUNSELEES SETTLED WITH	0	0	0	0	0	0	0	0	0	0							
2. COMPLAINT SETTLEMENT ALLEGATIONS	0	0	0	9	0	0	6	0	8	0							
2A. NUMBER OF COMPLAINTS SETTLED	0	0	0	3	0	0	2	0	3	0							
2B. NUMBER OF COMPLAINANTS SETTLED WITH	0	0	0	3	0	0	2	0	3	0							

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(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART IV C - BASES AND ISSUES ALLEGED IN SETTLEMENTS (Part 2)

ISSUES OF ALLEGED DISCRIMINATION IN SETTLEMENTS	BASES OF ALLEGED DISCRIMINATION IN SETTLEMENTS																	
	SEX			PREGNANCY DISCRIMINATION ACT	NATIONAL ORIGIN		EQUAL PAY ACT		AGE	DISABILITY		GINA	NUMBER COUNSELING SETTLEMENT ALLEGATIONS	NUMBER COUNSELINGS SETTLED BY ISSUE	NUMBER INDIVIDUALS SETTLED WITH BY ISSUE	NUMBER COMPLAINT SETTLEMENT ALLEGATIONS	NUMBER COMPLAINTS SETTLED BY ISSUE	NUMBER COMPLAINANTS SETTLED WITH BY ISSUE
	MALE	FEMALE	LGBT		HISPANIC/LATINO	OTHER	MALE	FEMALE		MENTAL	PHYSICAL							
A. APPOINTMENT/HIRE	0	0	0	0	1	0			0	0	1	0	0	0	0	3	1	1
B. ASSIGNMENT OF DUTIES	0	0	0	0	0	0			1	1	0	0	0	0	8	2	2	
C. AWARDS	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
D. CONVERSION TO FULL TIME/PERM STATUS	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
E. DISCIPLINARY ACTION	0	1	0	0	0	0			1	0	1	0	4	2	2	0	0	
1. DEMOTION	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
2. REPRIMAND	0	1	0	0	0	0			1	0	1	0	4	2	2	0	0	
3. SUSPENSION	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
4. REMOVAL	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
5. DISCIPLINARY WARNING	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
6.	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
7.	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
F. DUTY HOURS	0	0	0	0	0	0			0	1	0	0	0	0	2	2	2	
G. PERF. EVAL/JAPPRAISAL	0	0	0	0	0	0			1	0	0	0	0	0	2	2	2	
H. EXAMINATION/TEST	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
I. HARASSMENT	1	1	0	0	2	0			2	2	2	0	2	2	3	3	3	
1. NON-SEXUAL	1	1	0	0	2	0			2	2	2	0	2	2	3	3	3	
2. SEXUAL	0	0	0	0	0	0							0	0	0	0	0	
J. MEDICAL EXAMINATION	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
K. PAY INCLUDING OVERTIME	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
L. PROMOTION/NON-SELECTION	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
M. REASSIGNMENT	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
1. DENIED	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
2. DIRECTED	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
N. REASONABLE ACCOMMODATION DISABILITY				0						0	1	0	0	0	1	1	1	
O. REINSTATEMENT	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
P. RELIGIOUS ACCOMMODATION													0	0	0	0	0	
Q. RETIREMENT	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
R. SEX-STEROTYPING	0	0	0										0	0	0	0	0	
S. TELEWORK	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
T. TERMINATION	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
U. TERMS/CONDITIONS OF EMPLOYMENT	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
V. TIME AND ATTENDANCE	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
W. TRAINING	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
X. OTHER (Please specify below)	1	1	0	0	1	0			1	0	2	0	2	0	0	0	0	
1. PROPOSED SUSPENSION	1	1	0	0	1	0			1	0	2	0	2	2	2	0	0	
2.	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
3.	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
4.	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
1. COUNSELING SETTLEMENT ALLEGATIONS	2	0	0	0	4	0	0	0	0	0	0	0						
1A. NUMBER OF COUNSELINGS SETTLED	1	0	0	0	1	0	0	0	0	0	0	0						
1B. NUMBER OF COUNSELEES SETTLED WITH	1	0	0	0	1	0	0	0	0	0	0	0						
2. COMPLAINT SETTLEMENT ALLEGATIONS	0	3	0	0	4	0	0	0	6	4	7	0						
2A. NUMBER OF COMPLAINTS SETTLED	0	1	0	0	1	0	0	0	2	1	3	0						
2B. NUMBER OF COMPLAINANTS SETTLED WITH	0	1	0	0	1	0	0	0	2	1	3	0						

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AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2020

PART IV D - BASES AND ISSUES FOUND IN FADs AND FINAL ORDERS (Part 1)

ISSUES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS	BASES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS									NUMBER FAD FINDINGS BY ISSUE	NUMBER OF FADs WITH FINDINGS ISSUED	NUMBER COMPLAINANTS ISSUED FAD FINDINGS BY ISSUE	NUMBER AJ DECISION FINDINGS BY ISSUE	NUMBER AJ DECISIONS WITH FINDINGS BY ISSUE	NUMBER FINAL ORDER FINDINGS FULLY IMPLEMENTED BY ISSUE	# FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE	# COMPLAINANTS ISSUED FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE
	RACE						COLOR	RELIGION	REPRISAL								
	AMER. INDIAN/ ALASKA NATIVE	ASIAN	NATIVE HAWAIIAN /OTHER PACIFIC ISLANDER	BLACK/AFRICAN AMERICAN	WHITE	TWO OR MORE RACES											
A. APPOINTMENT/HIRE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
B. ASSIGNMENT OF DUTIES	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C. AWARDS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D. CONVERSION TO FULL TIME/PERM STATUS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
E. DISCIPLINARY ACTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. DEMOTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. REPRIMAND	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4. REMOVAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5. DISCIPLINARY WARNING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
F. DUTY HOURS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
G. PERF. EVAL/APPRaisal	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
H. EXAMINATION/TEST	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I. HARASSMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. NON-SEXUAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. SEXUAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
J. MEDICAL EXAMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
K. PAY INCLUDING OVERTIME	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
L. PROMOTION/NON-SELECTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
M. REASSIGNMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. DENIED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. DIRECTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
N. REASONABLE ACCOMMODATION DISABILITY	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
O. REINSTATEMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
P. RELIGIOUS ACCOMMODATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Q. RETIREMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R. SEX-STEROTYPING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S. TELEWORK	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
T. TERMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
U. TERMS/CONDITIONS OF EMPLOYMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
V. TIME AND ATTENDANCE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
W. TRAINING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
X. OTHER (Please specify below)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. FINAL AGENCY DECISION FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1A. NUMBER OF FADs WITH FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1B. NUMBER OF COMPLAINANTS ISSUED FAD FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. AJ DECISION FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2A. NUMBER AJ DECISIONS WITH FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. FINAL AGENCY ORDER FINDINGS FULLY IMPLEMENTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3A. # OF FINAL ORDERS (FOs) WITH FINDINGS IMPLEMENTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3B. # COMPLAINANTS ISSUED FOs WITH FINDINGS IMPLEMENTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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REPORTING PERIOD: FY2020

PART IV D - BASES AND ISSUES FOUND IN FADs AND FINAL ORDERS (Part 2)

BASES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS

ISSUES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS	SEX			PREGNANCY DISCRIMINATION ACT	NATIONAL ORIGIN		EQUAL PAY ACT		AGE	DISABILITY		GINA	NUMBER FAD FINDINGS BY ISSUE	NUMBER OF FADs WITH FINDINGS ISSUED	NUMBER COMPLAINANTS ISSUED FAD FINDINGS BY ISSUE	NUMBER AJ DECISION FINDINGS BY ISSUE	NUMBER AJ DECISIONS WITH FINDINGS BY ISSUE	NUMBER FINAL ORDER FINDINGS FULLY IMPLEMENTED BY ISSUE	# FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE	# COMPLAINANTS ISSUED FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE
	MALE	FEMALE	LGBT		HISPANIC/ LATINO	OTHER	MALE	FEMALE		MENTAL	PHYSICAL									
	A. APPOINTMENT/HIRE	0	0		0	0	0	0		0	0									
B. ASSIGNMENT OF DUTIES	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C. AWARDS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D. CONVERSION TO FULL TIME/PERM STATUS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
E. DISCIPLINARY ACTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. DEMOTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. REFRIMAND	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. SUSPENSION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4. REMOVAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
5. DISCIPLINARY WARNING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
F. DUTY HOURS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
G. PERF. EVAL./APPRAISAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
H. EXAMINATION/TEST	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
I. HARASSMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. NON-SEXUAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. SEXUAL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
J. MEDICAL EXAMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
K. PAY INCLUDING OVERTIME	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
L. PROMOTION/NON-SELECTION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
M. REASSIGNMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. DENIED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. DIRECTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
N. REASONABLE ACCOMMODATION DISABILITY	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
O. REINSTATEMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
P. RELIGIOUS ACCOMMODATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Q. RETIREMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R. SEX-STEREOTYPING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S. TELEWORK	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
T. TERMINATION	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
U. TERMS/CONDITIONS OF EMPLOYMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
V. TIME AND ATTENDANCE	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
W. TRAINING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
X. OTHER (Please specify below)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1. FINAL AGENCY DECISION FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1A. NUMBER OF FADs WITH FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1B. NUMBER OF COMPLAINANTS ISSUED FAD FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. AJ DECISION FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2A. NUMBER AJ DECISIONS WITH FINDINGS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. FINAL AGENCY ORDER FINDINGS FULLY IMPLEMENTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3A. # OF FINAL ORDERS (FOs) WITH FINDINGS IMPLEMENTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3B. # COMPLAINANTS ISSUED FOs WITH FINDINGS IMPLEMENTED	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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AGENCY OR DEPARTMENT: **DN01**

REPORTING PERIOD: **FY2020**

PART V - SUMMARY OF CLOSURES BY STATUTE

A. STATUTE (IF A SINGLE COMPLAINT HAS MULTIPLE STATUTES RECORD EACH ON THE APPROPRIATE LINE.)

- 11 1. TITLE VII
- 0 1a. PREGNANCY DISCRIMINATION ACT (PDA)
- 4 2. AGE DISRIMINATION IN EMPLOYMENT ACT (ADEA)
- 7 3. REHABILITATION ACT
- 0 4. EQUAL PAY ACT (EPA)
- 1 5. GENETIC INFORMATION NONDISCRIMINATION ACT (GINA)

B. TOTAL BY STATUTES

23 THIS NUMBER MAY BE LARGER THAN THE TOTAL NUMBER OF COMPLAINTS CLOSED.
 (A1+A1a+A2+A3+A4+A5)

PART VI - SUMMARY OF CLOSURES BY CATEGORY

	TOTAL NUMBER	TOTAL DAYS	AVERAGE DAYS
A. TOTAL NUMBER OF CLOSURES (1+2+3)	12	7979	664.92
1. WITHDRAWALS	1	215	215.00
a. NON-ADR WITHDRAWALS	1	215	215.00
b. ADR WITHDRAWALS	0	0	0.00
2. SETTLEMENTS	6	3000	500.00
a. NON-ADR SETTLEMENTS	6	3000	500.00
b. ADR SETTLEMENTS	0	0	0.00
3. FINAL AGENCY ACTIONS (B+C)	5	4764	952.80
B. FINAL AGENCY DECISIONS WITHOUT AN ADMINISTRATIVE JUDGE DECISION (1+2+3)	2	1951	975.50
1. FINDING DISCRIMINATION	0	0	0.00
2. FINDING NO DISCRIMINATION	2	1951	975.50
3. DISMISSAL OF COMPLAINTS	0	0	0.00
C. FINAL AGENCY ORDERS WITH AN ADMINISTRATIVE JUDGE (AJ) DECISION (1+2)	3	2813	937.67
1. AJ DECISION FULLY IMPLEMENTED (a+b+c)	3	2813	937.67
(a) FINDING DISCRIMINATION	0	0	0.00
(b) FINDING NO DISCRIMINATION	3	2813	937.67
(c) DISMISSAL OF COMPLAINTS	0	0	0.00
2. AJ DECISION NOT FULLY IMPLEMENTED (a+b+c)	0	0	0.00
(a) FINDING DISCRIMINATION (i+ii+iii)	0	0	0.00
i. AGENCY APPEALED FINDING BUT NOT REMEDY	0	0	0.00
ii. AGENCY APPEALED REMEDY BUT NOT FINDING	0	0	0.00
iii. AGENCY APPEALED BOTH FINDING AND REMEDY	0	0	0.00
(b) FINDING NO DISCRIMINATION	0	0	0.00
(c) DISMISSAL OF COMPLAINTS	0	0	0.00

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PART VI - SUMMARY OF CLOSURES BY CATEGORY (Continued)

	TOTAL NUMBER	TOTAL DAYS	AVERAGE DAYS
D. FINAL AGENCY MERIT DECISIONS (FAD) ISSUED (1+2+3+4)	2	1055	527.50
1. COMPLAINANT REQUESTED IMMEDIATE FAD (1a+1b)	2	1055	527.50
a. AGENCY ISSUED FAD WITHIN 60 DAYS OF RECEIPT OF FAD REQUEST	0	0	0.00
b. AGENCY ISSUED FAD MORE THAN 60 DAYS BEYOND RECEIPT OF FAD REQUEST	2	1055	527.50
2. COMPLAINANT DID NOT ELECT HEARING OR FAD (2a+2b)	0	0	0.00
a. AGENCY ISSUED FAD WITHIN 60 DAYS OF END OF 30-DAY ELECTION PERIOD	0	0	0.00
b. AGENCY ISSUED FAD MORE THAN 60 DAYS BEYOND END OF 30-DAY ELECTION PERIOD	0	0	0.00
3. HEARING REQUESTED; AJ RETURNED CASE TO AGENCY FOR FAD WITHOUT AJ DECISION (3a+3b)	0	0	0.00
a. AGENCY ISSUED FAD WITHIN 60 DAYS OF RECEIPT OF AJ RETURNED CASE FOR FAD ISSUANCE	0	0	0.00
b. AGENCY ISSUED FAD MORE THAN 60 DAYS AFTER RECEIPT OF AJ RETURNED CASE FOR FAD ISSUANCE	0	0	0.00
4. FINAL AGENCY DECISION ISSUED ON A MIXED CASE (4a+4b)	0	0	0.00
a. AGENCY ISSUED FAD WITHIN 45 DAYS AFTER INVESTIGATION	0	0	0.00
b. AGENCY ISSUED FAD MORE THAN 45 DAYS AFTER INVESTIGATION	0	0	0.00

PART VII - SUMMARY OF FORMAL COMPLAINTS CLOSED BY TYPES OF BENEFITS

	NUMBER	AMOUNT
A. TOTAL COMPLAINTS CLOSED WITH BENEFITS	6	
B. TOTAL CLOSURES WITH MONETARY BENEFITS TO COMPLAINANT	6	327,000.00
1. BACK PAY/FRONT PAY	0	0.00
2. LUMP SUM PAYMENT	6	320,000.00
3. COMPENSATORY DAMAGES	0	0.00
4. ATTORNEY FEES AND COSTS	1	7,000.00
5.	0	0.00
6.	0	0.00
D. INTENTIONALLY LEFT BLANK		
E. TOTAL CLOSURES WITH NON-MONETARY BENEFITS TO COMPLAINANT	1	
F. TYPES OF BENEFITS IN NON-MONETARY CLOSURES	NUMBER OF CLOSURES THAT RECEIVED MONETARY BENEFITS AS WELL	NUMBER OF CLOSURES THAT RECEIVED ONLY NON-MONETARY BENEFITS
1. HIRES	0	0
a. RETROACTIVE	0	0
b. NON-RETROACTIVE	0	0
2. PROMOTIONS	0	0
a. RETROACTIVE	0	0
b. NON-RETROACTIVE	0	0
3. EXPUNGEMENTS	0	0
4. REASSIGNMENTS	0	0
5. REMOVALS RESCINDED	0	0
a. REINSTATEMENT	0	0
b. VOLUNTARY RESIGNATION	0	0
6. ACCOMMODATIONS	0	0
7. TRAINING	0	0
8. APOLOGY	0	0
9. DISCIPLINARY ACTIONS	0	0
a. RESCINDED	0	0
b. MODIFIED	0	0
10. PERFORMANCE EVALUATION MODIFIED	0	0
11. LEAVE RESTORED	1	0
12. NEUTRAL REFERENCE	0	0
13. AGENCY TO ASSIST W/MEDICAL RETIREMENT PROCESS	1	0
14.	0	0

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PART VIII - SUMMARY OF PENDING COMPLAINTS BY CATEGORY

A. TOTAL COMPLAINTS PENDING (SAME AS PART II Line I) (1+1a+2+3+4)	NUMBER PENDING	NUMBER OF DAYS	AVERAGE DAYS	DAYS PENDING OLDEST CASE	OLDEST CASE EEOC HEARING #
	17	11008			
1. COMPLAINTS PENDING WRITTEN NOTIFICATION (Acknowledgement Letter)	0	0	0.00	0	
1a. COMPLAINTS PENDING DECISION TO ACCEPT/DISMISS	0	0	0.00	0	
2. COMPLAINTS PENDING IN INVESTIGATION	4	636	159.00	202	
2a. COMPLAINTS PENDING 180 DAY INVESTIGATION NOTICE	0	0	0.00	0	
3. COMPLAINTS PENDING IN HEARINGS	5	2033	406.60	674	570-2019-01047X
4. COMPLAINTS PENDING A FINAL AGENCY ACTION	8	8339	1042.38	1533	

PART IX - SUMMARY OF INVESTIGATIONS COMPLETED

	TOTAL	TOTAL DAYS	AVERAGE
A. INVESTIGATIONS COMPLETED DURING REPORTING PERIOD (1+3)	11	1752	159.27
AGENCY INVESTIGATIONS			
1. INVESTIGATIONS COMPLETED BY AGENCY PERSONNEL (a+b+c)	0	0	0.00
a. INVESTIGATIONS COMPLETED IN 180 DAYS OR LESS	0	0	0.00
b. INVESTIGATIONS COMPLETED IN 181 - 360 DAYS	0	0	0.00
1. TIMELY COMPLETED INVESTIGATIONS	0	0	0.00
2. UNTIMELY COMPLETED INVESTIGATIONS	0	0	0.00
c. INVESTIGATIONS COMPLETED IN 361 OR MORE DAYS	0	0	0.00
2. AGENCY INVESTIGATION COSTS	0.00		0.00
CONTRACT INVESTIGATIONS			
3. INVESTIGATIONS COMPLETED BY CONTRACTORS (a+b+c)	11	1752	159.27
a. INVESTIGATIONS COMPLETED IN 180 DAYS OR LESS	10	1555	155.50
b. INVESTIGATIONS COMPLETED IN 181 - 360 DAYS	1	197	197.00
1. TIMELY COMPLETED INVESTIGATIONS	1	197	197.00
2. UNTIMELY COMPLETED INVESTIGATIONS	0	0	0.00
c. INVESTIGATIONS COMPLETED IN 361 OR MORE DAYS	0	0	0.00
4. CONTRACTOR INVESTIGATION COSTS	43,514.85		3955.90

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PART X - SUMMARY OF ADR PROGRAM ACTIVITIES

INFORMAL PHASE (PRE-COMPLAINT)

INFORMAL PHASE (PRE-COMPLAINT)					
A. INTENTIONALLY LEFT BLANK					
B. ADR ACTIONS IN COMPLETED/ENDED COUNSELINGS		COUNSELINGS	INDIVIDUALS		
1.	ADR OFFERED BY AGENCY	2	2		
2.	REJECTED BY INDIVIDUAL (COUNSELEE)	0	0		
3.	INTENTIONALLY LEFT BLANK				
4.	TOTAL ACCEPTED INTO ADR PROGRAM	2	2		
C. ADR RESOURCES USED IN COMPLETED/ENDED COUNSELINGS (TOTALS)		2	2		
1.	INHOUSE	2	2		
2.	ANOTHER FEDERAL AGENCY	0	0		
3.	PRIVATE ORGANIZATIONS, (e.g., CONTRACTORS, BAR ASSOCIATIONS, INDIVIDUAL VOLUNTEERS OR COLLEGE/UNIVERSITY PERSONNEL)				
		0	0		
4.	MULTIPLE RESOURCES USED (Please specify in a comment box)	0	0		
5.	FEDERAL EXECUTIVE BOARD	0	0		
6.		0	0		
7.		0	0		
		COUNSELINGS	INDIVIDUALS	DAYS	AVERAGE DAYS
D. ADR TECHNIQUES USED IN COMPLETED/ENDED COUNSELINGS (TOTALS)		2	2	160	80.00
1.	MEDIATION	2	2	160	80.00
2.	SETTLEMENT CONFERENCES	0	0	0	0.00
3.	EARLY NEUTRAL EVALUATIONS	0	0	0	0.00
4.	FACTFINDING	0	0	0	0.00
5.	FACILITATION	0	0	0	0.00
6.	OMBUDSMAN	0	0	0	0.00
7.	PEER REVIEW	0	0	0	0.00
8.	MULTIPLE TECHNIQUES USED (Please specify in a comment box)	0	0	0	0.00
9.		0	0	0	0.00
10.		0	0	0	0.00
E. STATUS OF ADR CASES IN COMPLETED/ENDED COUNSELINGS		COUNSELINGS	INDIVIDUALS	DAYS	AVERAGE DAYS
1.	TOTAL CLOSED	2	2	160	80.00
a.	SETTLEMENTS WITH BENEFITS (Monetary and Non-monetary)	1	1	70	70.00
b.	NO FORMAL COMPLAINT FILED	0	0	0	0.00
c.	COMPLAINT FILED				
i.	NO RESOLUTION	1	1	90	90.00
ii.	NO ADR ATTEMPT (aka Part X.E.1.d)	0	0	0	0.00
e.	DECISION TO FILE COMPLAINT PENDING AT THE END OF THE REPORTING PERIOD	0	0	0	0.00
2.	INTENTIONALLY LEFT BLANK				

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PART XI - SUMMARY OF ADR PROGRAM ACTIVITIES

FORMAL PHASE (COMPLAINT FILED)

B. ADR ACTIONS IN COMPLAINT CLOSURES		COMPLAINTS	COMPLAINANTS		
1.	ADR OFFERED BY AGENCY	0	0		
2.	REJECTED BY COMPLAINANT	0	0		
3.	INTENTIONALLY LEFT BLANK				
4.	TOTAL ACCEPTED INTO ADR PROGRAM	0	0		
C. ADR RESOURCES USED IN COMPLAINT CLOSURES (TOTALS)		COMPLAINTS	COMPLAINANTS		
1.	INHOUSE	0	0		
2.	ANOTHER FEDERAL AGENCY	0	0		
3.	PRIVATE ORGANIZATIONS, (e.g., CONTRACTORS, BAR ASSOCIATIONS, INDIVIDUAL VOLUNTEERS OR COLLEGE/UNIVERSITY PERSONNEL)	0	0		
4.	MULTIPLE RESOURCES USED (Please specify in a comment box)	0	0		
5.	FEDERAL EXECUTIVE BOARD	0	0		
6.		0	0		
7.		0	0		
		COMPLAINTS	COMPLAINANTS	DAYS	AVERAGE DAYS
D. ADR TECHNIQUES USED IN COMPLAINT CLOSURES (TOTALS)		0	0	0	0.00
1.	MEDIATION	0	0	0	0.00
2.	SETTLEMENT CONFERENCES	0	0	0	0.00
3.	EARLY NEUTRAL EVALUATIONS	0	0	0	0.00
4.	FACTFINDING	0	0	0	0.00
5.	FACILITATION	0	0	0	0.00
6.	OMBUDSMAN	0	0	0	0.00
7.	MINI-TRIALS	0	0	0	0.00
8.	PEER REVIEW	0	0	0	0.00
9.	MULTIPLE TECHNIQUES USED (Please specify in a comment box)	0	0	0	0.00
10.		0	0	0	0.00
11.		0	0	0	0.00
E. STATUS OF CASES IN COMPLAINT CLOSURES		COMPLAINTS	COMPLAINANTS	DAYS	AVERAGE DAYS
1.	TOTAL CLOSED	0	0	0	0.00
	a. SETTLEMENTS WITH BENEFITS (Monetary and Non-monetary)	0	0	0	0.00
	b. WITHDRAWAL FROM EEO PROCESS	0	0	0	0.00
	c. NO RESOLUTION	0	0	0	0.00
	d. NO ADR ATTEMPT	0	0	0	0.00
2.	INTENTIONALLY LEFT BLANK				
F. BENEFITS RECEIVED		COMPLAINTS	COMPLAINANTS	AMOUNT	
1.	MONETARY (INSERT TOTALS)	0	0	0.00	
	a. COMPENSATORY DAMAGES	0	0	0	
	b. BACKPAY/FRONTPAY	0	0	0	
	c. LUMP SUM	0	0	0	
	d. ATTORNEY FEES AND COSTS	0	0	0	
	e.	0	0	0	
2.	NON-MONETARY (INSERT TOTALS)	0	0		
	a. HIRES	0	0		
	i. RETROACTIVE	0	0		
	ii. NON-RETROACTIVE	0	0		
	b. PROMOTIONS	0	0		
	i. RETROACTIVE	0	0		
	ii. NON-RETROACTIVE	0	0		
	c. EXPUNGEMENTS	0	0		
	d. REASSIGNMENTS	0	0		
	e. REMOVALS RESCINDED	0	0		
	i. REINSTATEMENT	0	0		
	ii. VOLUNTARY RESIGNATION	0	0		
	f. ACCOMMODATIONS	0	0		
	g. TRAINING	0	0		
	h. APOLOGY	0	0		
	i. DISCIPLINARY ACTIONS	0	0		
	i. RESCINDED	0	0		
	ii. MODIFIED	0	0		
	j. PERFORMANCE EVALUATION MODIFIED	0	0		
	k. LEAVE RESTORED	0	0		
	l. NEUTRAL REFERENCE	0	0		
	m.	0	0		
	n.	0	0		

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PART XII - SUMMARY OF EEO ADR PROGRAM ACTIVITIES

EEO ADR RESOURCES

A. NO LONGER COLLECTED

B. EMPLOYEES THAT CAN PARTICIPATE IN EEO ADR

2487

C. RESOURCES THAT MANAGE EEO ADR PROGRAM (DOES NOT INCLUDE NEUTRALS AS REPORTED IN PARTS X. & XI.)

1

- 1. IN-HOUSE FULL TIME (40 HOURS EEO ADR ONLY)
- 2. IN-HOUSE PART TIME (32 HOURS EEO ADR ONLY)
- 3. IN-HOUSE COLLATERAL DUTY (OTHERS/NON-CONTRACT)
- 4. CONTRACT (ANOTHER FEDERAL AGENCY/PRIVATE ORGANIZATIONS)

0

0

1

0

D. EEO ADR FUNDING SPENT

AMOUNT
3,000.00

E. EEO ADR CONTACT INFORMATION

- 1. NAME OF EEO ADR PROGRAM DIRECTOR / MANAGER Bonnie Baisden
- 2. TITLE EEO Manager
- 3. TELEPHONE NUMBER 505-845-6668
- 4. EMAIL bonnie.baisden@nnsa.doe.gov

F. EEO ADR PROGRAM INFORMATION

MUST use 'X' to designate response to questions

	YES	NO
1. Does the agency require the alleged responsible management official to participate in EEO ADR?	x	
1a. If yes, is there a written policy requiring the participation?	x	
2. Does the alleged responsible management official have a role in deciding if the case is appropriate for EEO ADR?		x

The FY 2020 Form 462 report must be "Accepted/Finalized" by EEOC by October 31, 2020 to be considered timely.