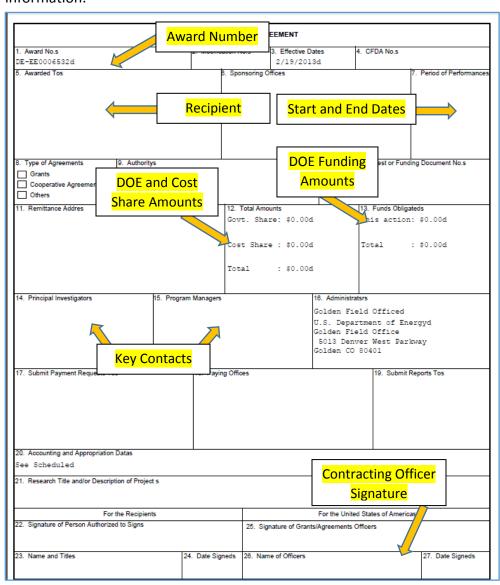
Appendix 10 summarizes the standard award agreement documents, including: (1) Assistant Agreement; (2) Special Terms and Conditions; (3) Attachment 1 Statement of Project Objectives (SOPO); (4) Attachment 2 Federal Assistance Reporting Checklist; (5) Attachment 3 Budget Information; and (5) Attachment 4 Intellectual Property Provision. These six documents comprise the standard award package. These documents, once executed by the DOE Contracting Officer, will be provided electronically to the Recipient through FedConnect

A. Assistant Agreement

The images below reflect the Assistant Agreement and the Continuation Sheet. The DOE Contracting Officer's signature on the Assistance Agreement obligates DOE funds and executes the agreement. A few of the fields highlighted below identify key award information.





Page 2 of the Assistance Agreement is a Continuation Sheet that will provide additional information on the award including the Recipients DUNS number and both the Recipient and DOE contacts for the award.

ONTINI	JATION SHEET	REFERENCE NO. OF DOCUMENT BEIN	IG CONTINUEDS			PAGES OFS			
		DE-EE0006532d				2 d 2			
AME OF OFFEROR OR CONTRACTORS									
TEM NO.s (A)s		SUPPLIES/SERVICESs (B)s	QUANTITYS (C)s	UNITS (D)	UNIT PRICES (E)s	AMOUNTS (F)			
. ,	DUNS Number:				(=/-				
	ASAP: YESd								



B. Special Terms and Conditions

The Special Terms and Conditions specify the requirements specific to a particular award. The images on the next few pages are the cover page and Table of Contents from a sample Special Terms and Conditions. The Table of Contents indicates the types of requirements for a financial assistance award. In addition to the requirements included in the award documents and Special Terms and Conditions, the following are incorporated by reference:

- a) DOE Assistance Regulations, 2 CFR part 200 as amended by 2 CFR part 910 at http://www.eCFR.gov.
- b) National Policy Assurances to be Incorporated as Award Terms in effect on date of award at http://www.nsf.gov/awards/managing/rtc.jsp.
- c) The Recipient's application/proposal as approved by the Office of Indian Energy.



(07/16)

IE Award No. DE-IE000 with [Insert Recipient Name] (Special Terms and Conditions)

SPECIAL TERMS AND CONDITIONS

("Recipient"), which is identified in Block 5 of the Assistance Agreement, and the Office of Indian Energy Policy and Programs ("Office of Indian Energy"), an office within the United States Department of Energy ("DOE"), enter into this Award, referenced above, to achieve the project objectives and the technical milestones and deliverables stated in the Statement of Project Objectives (Attachment 1

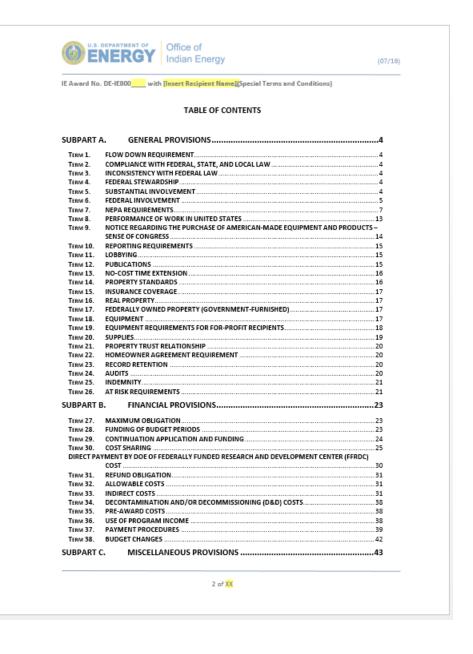
This Award consists of the following documents, including all terms and conditions therein:

	Assistance Agreement
	Special Terms and Conditions
Attachment 1	Statement of Project Objectives
Attachment 2	Federal Assistance Reporting Checklist and
	Instructions
Attachment 3	Budget Information SF-424A
Attachment 4	Intellectual Property Provisions
Attachment 5	[Complete Text for additional attachments]

The following are incorporated into this Award by reference:

- a) DOE Assistance Regulations, 2 CFR part 200 as amended by 2 CFR part 910 at http://www.eCFR.gov.
- b) [Only include if the subject project is R&D. Otherwise, delete.] If the Award is for research and the Award is to a university or non-profit, the Research Terms & Conditions and the DOE Agency Specific Requirements at http://www.nsf.gov/bfa/dias/policy/rtc/index.jsp apply.
- c) National Policy Assurances to be Incorporated as Award Terms in effect on date of Award at http://www.nsf.gov/awards/managing/rtc.jsp
- d) The Recipient's application/proposal as approved by Office of Indian Energy.

1 of XX







C. Attachment 1 Statement of Project Objectives (SOPO)

The Statement of Project Objectives (SOPO) documents the project objectives, tasks and associated milestones. The SOPO is written in general terms to allow project management flexibility. Specifically, it describes allowable activities under the award. Substantive changes to the SOPO requires an award modification.

> DE-IE000XXXX.0000 Attachment 1

STATEMENT OF PROJECT OBJECTIVES

Solar Electric Deployment Project on Tribal Facilities

Project Objectives

The project objective is to install up to approximately 695 kilowatts of solar electric modules on the roofs of up to nine Tribal facilities with trust or fee status and located on the Reservation. The maximum system size is approximately 695 kilowatts that will generate an estimated 817,000 kilowatt-hours of energy per year with an estimated \$80,000 in projected revenue per year at net-meter rates.

- The three primary benefits to the Tribe from this project are:

 1) Construction of approximately 695 kilowatts of photovoltaics to supply more than 10% of energy consumption,
 - 2) The funding strategy will introduce the Tribe to new avenues to pursue different energy technologies
 - The project will be a significant contribution to the Tribe's renewable portfolio

Project Summary

Solar arrays are planned to be installed on the roof of each of the nine building. Each building Solar arrays are planned to be installed on the root of each of the finite building. Later building will undergo the necessary engineering and design to meet system and code requirements. T maximum system size is approximately 695 kilowatts that will generate an estimated 817,000 kilowatt-hours of energy per year with an estimated \$80,000 in projected revenue per year at net-meter rates. System size for each individual project was based on solar resource, energy consumption data, roof top dimensions and condition, interconnection capabilities, and utility net-meter criteria.

Tasks To Be Performed

Task 1 - Financial Strategy

Task 1.1 Initiate Capital Improvement Project (CIP), M1 to M3

The CIP process is a standard exercise for all Tribal capital projects that receive Tribal contribution, grants, and funding from other sources to complete capital projects. The CIP process is divided into four tasks:

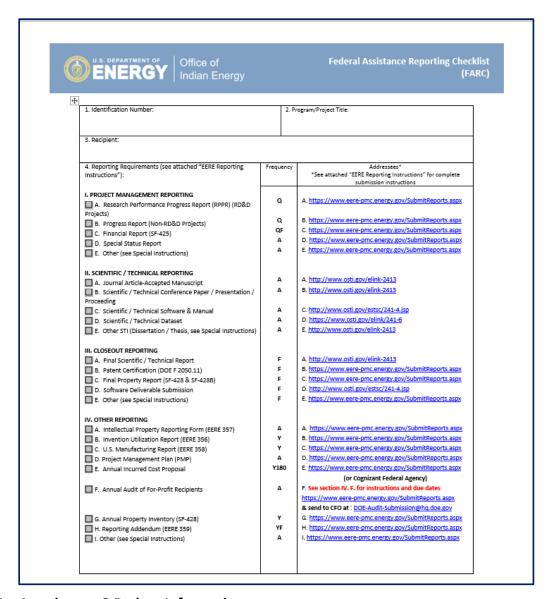
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i. Ploject Reguest & CIP Package, Milestone Summary Table												
Rec	ipient Name:											
	Project Title:											
Task Number	Type		Milestone Number*	Milestone Description	Milestone Verification Process (What, How, Who, Where)	Anticipated Date (Months from Start of the Project)	Anticipated Quarter (Quarters from Start of the Project)					
1.0	Material synt	thesis										
1.1	Batch Quality	Milestone	1.1.1	Demonstrate a 1L batch size with a >1.5% absolute performance improvement over a non-coated device	Send 5 slides to NREL for transmission test, 3 must meet pass over 350~1000nm ASTM173 spectrum	1	1					
1.1	Batch Quality	Milestone	1.1.2	Demonstrate a 1L batch size with a >2.0% absolute performance improvement over a non-coated device	Send 5 slides to NREL for transmission test, 3 must meet pass over 350~1000nm ASTM173 spectrum	6	2					
1.1	Batch Quality	Milestone	1.1.3	Demonstrate a 1L batch size with a >2.5% absolute performance improvement over a non-coated device	Send 5 slides to NREL for transmission test, 3 must meet pass over 350~1000nm ASTM173 spectrum	14	5					
1.2	Batch Volume	Milestone	1.2.1	Demonstrate a 100L batch size with a >2.0% absolute performance improvement over a non-coated device	Send 5 slides to NREL for transmission test, 3 must meet pass over 350~1000nm ASTM173 spectrum	10	4					
1.2	Batch Volume	Milestone & Uniformity	1.2.2	Demonstrate a 1000L batch size with a >2.5% absolute performance improvement over a non-coated device	Send 5 slides to NREL for transmission test, 3 must meet pass over 350~1000nm ASTM173 spectrum	16	6					



D. Attachment 2 Federal Assistance Reporting Checklist

The Federal Assistance Reporting Checklist as shown below will specify the reports that the Recipient is required to submit under the terms of the agreement. Typically, under Project Management Reporting (Section I of the form), Recipients are required to submit a quarterly Progress Report and both a quarterly and a final Financial Report (SF-425). Quarterly reports are due 30 days after the end of each quarter. Closeout Reporting (Section II) typically includes a Final Scientific/Technical Report and Final Property Report (SF-428 and SF-428B). Final reports are due 90 days after the end date of the award.



E. Attachment 3 Budget Information

The Budget Information - Non Construction Programs (SF-424A) form summarizes the total approved project costs, both federal and non-federal cost share, and itemizes those total



project costs by Budget Categories. The Recipient has the right, without prior DOE approval, to move funds (regardless of whether those are federal or cost share) between Budget Object Class Categories up to a cumulative of 10% of the total project costs. Budget adjustments of 10% or more of the total projects costs requires a grant modification.

Applicant Nam	e: Tribal Organizati	on)	Attachment 3			
		Budget Information	on - Non Const	ruction Programs			
				-	(OMB Approval No. 0348-004	
ection A - Budget Summa							
Grant Program Function of	r Domestic Assistance	Estimated Unobli	-				
Activity	Number	Federal	Non-Federal	Federal	Non-Federal	Total	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	
Project Period				\$127,500	\$127,500	\$255,000	
2.							
3.							
4.							
5. Totals				\$127,500	\$127,500	\$255,000	
ection B - Budget Categori	es						
6. Object Class Categories			Grant Program	Function or Activity	Total (5)		
. Object Class Categories		Project Period				Total (3)	
a. Personnel		\$100,000				\$100,000	
b. Fringe Benefits		\$30,000				\$30,000	
c. Travel		\$40,000				\$40,000	
d. Equipment		\$0				\$0	
e. Supplies		\$5,000				\$5,000	
f. Contractual		\$20,000				\$20,000	
g. Construction		\$0				\$0	
h. Other		\$20,000				\$20,000	
i. Total Direct Charges (su	ım of 6a-6h)	\$215,000				\$215,000	
j. Indirect Charges		\$40,000				\$40,000	
k. Totals (sum of 6i-6j)		\$255,000				\$255,000	
		\$200,000				Ψ200,000	
. Program Income						\$0	
						SF-424A (Rev. 4 -92	
					Prescr	ibed by OMB Circular A-102	
		Aut	thorized for Local Reprodu	ction	Prescr	ibed by OMB Circular A-10	



F. Attachment 4 Intellectual Property Provision

Intellectual Property Provisions (NRD-115) apply to non-research and development projects, and intellectual property rights are subject to 2 CFR 200.315 or 910.362.

These provisions address the use, disposition, copyright of property acquired and work performed under a Federal award. The Federal government has the right to obtain, reproduce, publish, or otherwise use the data produced under a Federal award.

Intellectual Property Provisions (NRD-115) Nonresearch and Development	DE-IE0000050.0000 Attachment 4
Intellectual property rights are subject to 2 CFR 200.315 or 910.362.	