

U.S. Department of Energy
National Nuclear Security Administration



Fiscal Year 2019

**Equal Employment
Opportunity Commission**

Form 462

Annual Report

FY 2019 REPORTING REQUIREMENT

The U.S. Equal Employment Opportunity Commission (EEOC) Regulation 29 C.F.R. §1614.602(a) requires Federal agencies to report to the EEOC information concerning pre-complaint counseling and the status, processing, and disposition of formal complaints of discrimination under this part at such times, and in such manner as the Commission prescribes.

The requirement for federal agencies to file the EEOC Form 462 Report applies to all federal agencies and Departments covered by 29 C.F.R. Part 1614, as defined in 29 C.F.R. § 1614.103(b).

Federal agencies' FY 2019 EEOC Form 462 Annual Reports are required to be completed (beginning on October 1, 2019), certified, and accepted by the EEOC no later than October 31, 2019.

FY 2019 REPORTING-COMPLIANCE

Date of NNSA's FY 2019 EEOC 462 Report electronic certification and filing with the EEOC by the NNSA EEO Manager:

October 8, 2019

Date of EEOC's Acceptance of the NNSA's FY 2019 EEOC 462 Report:

October 9, 2019

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART I - PRE-COMPLAINT ACTIVITIES

| EEO COUNSELOR | | COUNSELINGS | INDIVIDUALS |
|---|--|-------------|-------------|
| A. INTENTIONALLY LEFT BLANK | | | |
| ADR INTAKE OFFICER | | COUNSELINGS | INDIVIDUALS |
| B. INTENTIONALLY LEFT BLANK | | | |
| TOTAL COMPLETED/ENDED COUNSELINGS | | COUNSELINGS | INDIVIDUALS |
| C. TOTAL COMPLETED/ENDED COUNSELINGS | | 14 | 14 |
| 1. COUNSELED WITHIN 30 DAYS | | 2 | 2 |
| 2. COUNSELED WITHIN 31 TO 90 DAYS | | 12 | 12 |
| a. COUNSELED WITHIN WRITTEN EXTENSION PERIOD NO LONGER THAN 60 DAYS | | 10 | 10 |
| b. COUNSELED WITHIN 90 DAYS WHERE INDIVIDUAL PARTICIPATED IN ADR | | 2 | 2 |
| c. COUNSELED WITHIN 31-90 DAYS THAT WERE UNTIMELY | | 0 | 0 |
| 3. COUNSELED BEYOND 90 DAYS | | 0 | 0 |
| 4. COUNSELED DUE TO REMANDS | | 0 | 0 |
| D. PRE-COMPLAINT ACTIVITIES | | COUNSELINGS | INDIVIDUALS |
| 1. ON HAND AT THE BEGINNING OF THE REPORTING PERIOD | | 2 | 2 |
| 2. INITIATED DURING THE REPORTING PERIOD | | 12 | 12 |
| 3. COMPLETED/ENDED COUNSELINGS | | 14 | 14 |
| a. SETTLEMENTS (MONETARY AND NON-MONETARY) | | 2 | 2 |
| b. WITHDRAWALS/NO COMPLAINT FILED | | 5 | 5 |
| c. COUNSELINGS COMPLETED/ENDED IN REPORTING PERIOD THAT RESULTED IN COMPLAINT FILINGS IN REPORTING PERIOD | | 7 | 7 |
| d. DECISION TO FILE COMPLAINT PENDING AT THE END OF THE REPORTING PERIOD | | 0 | 0 |
| 4. COUNSELINGS PENDING AT THE END OF THE REPORTING PERIOD | | 0 | 0 |

| E. NON-ADR SETTLEMENTS WITH MONETARY BENEFITS | | | |
|---|-------------|-------------|----------|
| | COUNSELINGS | INDIVIDUALS | AMOUNT |
| TOTAL | 1 | 1 | 2,279.00 |
| 1. COMPENSATORY DAMAGES | 0 | 0 | 0 |
| 2. BACKPAY/FRONTPAY | 0 | 0 | 0 |
| 3. LUMP SUM PAYMENT | 1 | 1 | 2,279.00 |
| 4. ATTORNEY FEES AND COSTS | 0 | 0 | 0 |
| 5. | 0 | 0 | 0 |
| 6. | 0 | 0 | 0 |

| F. NON-ADR SETTLEMENTS WITH NON-MONETARY BENEFITS | | | |
|---|-------------|-------------|--|
| | COUNSELINGS | INDIVIDUALS | |
| TOTAL | 1 | 1 | |
| 1. HIRES | 0 | 0 | |
| a. RETROACTIVE | 0 | 0 | |
| b. NON-RETROACTIVE | 0 | 0 | |
| 2. PROMOTIONS | 1 | 1 | |
| a. RETROACTIVE | 0 | 0 | |
| b. NON-RETROACTIVE | 1 | 1 | |
| 3. EXPUNGEMENTS | 0 | 0 | |
| 4. REASSIGNMENTS | 0 | 0 | |
| 5. REMOVALS RESCINDED | 0 | 0 | |
| a. REINSTATEMENT | 0 | 0 | |
| b. VOLUNTARY RESIGNATION | 0 | 0 | |
| 6. ACCOMMODATIONS | 0 | 0 | |
| 7. TRAINING | 0 | 0 | |
| 8. APOLOGY | 0 | 0 | |
| 9. DISCIPLINARY ACTIONS | 0 | 0 | |
| a. RESCINDED | 0 | 0 | |
| b. MODIFIED | 0 | 0 | |
| 10. PERFORMANCE EVALUATION MODIFIED | 0 | 0 | |
| 11. LEAVE RESTORED | 0 | 0 | |
| 12. NEUTRAL REFERENCE | 0 | 0 | |
| 13. | 0 | 0 | |
| 14. | 0 | 0 | |

| G. ADR SETTLEMENTS WITH MONETARY BENEFITS | | | |
|---|-------------|-------------|--------|
| | COUNSELINGS | INDIVIDUALS | AMOUNT |
| TOTAL | 0 | 0 | 0.00 |
| 1. COMPENSATORY DAMAGES | 0 | 0 | 0 |
| 2. BACKPAY/FRONTPAY | 0 | 0 | 0 |
| 3. LUMP SUM PAYMENT | 0 | 0 | 0 |
| 4. ATTORNEY FEES AND COSTS | 0 | 0 | 0 |
| 5. | 0 | 0 | 0 |
| 6. | 0 | 0 | 0 |

| H. ADR SETTLEMENTS WITH NON-MONETARY BENEFITS | | | |
|---|-------------|-------------|--|
| | COUNSELINGS | INDIVIDUALS | |
| TOTAL | 1 | 1 | |
| 1. HIRES | 0 | 0 | |
| a. RETROACTIVE | 0 | 0 | |
| b. NON-RETROACTIVE | 0 | 0 | |
| 2. PROMOTIONS | 0 | 0 | |
| a. RETROACTIVE | 0 | 0 | |
| b. NON-RETROACTIVE | 0 | 0 | |
| 3. EXPUNGEMENTS | 0 | 0 | |
| 4. REASSIGNMENTS | 1 | 1 | |
| 5. REMOVALS RESCINDED | 0 | 0 | |
| a. REINSTATEMENT | 0 | 0 | |
| b. VOLUNTARY RESIGNATION | 0 | 0 | |
| 6. ACCOMMODATIONS | 0 | 0 | |
| 7. TRAINING | 0 | 0 | |
| 8. APOLOGY | 0 | 0 | |
| 9. DISCIPLINARY ACTIONS | 0 | 0 | |
| a. RESCINDED | 0 | 0 | |
| b. MODIFIED | 0 | 0 | |
| 10. PERFORMANCE EVALUATION MODIFIED | 0 | 0 | |
| 11. LEAVE RESTORED | 0 | 0 | |
| 12. NEUTRAL REFERENCE | 0 | 0 | |
| 13. | 0 | 0 | |
| 14. | 0 | 0 | |

| I. NON-ADR SETTLEMENTS | | | |
|------------------------|-------------|-------------|--|
| | COUNSELINGS | INDIVIDUALS | |
| TOTAL | 1 | 1 | |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

| PART II - FORMAL COMPLAINT ACTIVITIES | |
|---------------------------------------|--|
| 25 | A. COMPLAINTS ON HAND AT THE BEGINNING OF THE REPORTING PERIOD |
| 7 | B. COMPLAINTS FILED |
| 0 | C. REMANDS (sum of lines C1+C2+C3) |
| 0 | C.1. REMANDS (NOT INCLUDED IN A OR B) |
| 0 | C.2. REMANDS (INCLUDED IN A OR B) |
| 0 | C.3. NUMBER OF ADDITIONAL REMANDS IN THIS REPORTING PERIOD THAT ARE NOT CAPTURED IN C.1 OR C.2 ABOVE |
| 0 | C.4. ADDITIONAL CLOSURES IN THIS REPORTING PERIOD NOT REFLECTED IN F. OR H. THAT RESULTED FROM REMANDS |
| 32 | D. TOTAL COMPLAINTS (sum of lines A+B+C1) |
| 32 | E. COMPLAINTS IN LINE D THAT WERE NOT CONSOLIDATED |
| 12 | F. COMPLAINTS IN LINE E CLOSED DURING REPORT PERIOD |
| 0 | G. COMPLAINTS IN LINE D THAT WERE CONSOLIDATED |
| 0 | H. COMPLAINTS IN LINE G CLOSED DURING REPORT PERIOD |
| 20 | I. COMPLAINTS ON HAND AT THE END OF THE REPORTING PERIOD (Line D - (F+H)) + [(C2 + C3) - C4] |
| 7 | J. INDIVIDUALS FILING COMPLAINTS (Complainants) |
| 0 | K. NUMBER OF JOINT PROCESSING UNITS FROM CONSOLIDATION OF COMPLAINTS |

| PART III - AGENCY RESOURCES, TRAINING, REPORTING LINE | | | | | | |
|---|------------|----------|---------------|----------|----------------|----------|
| A. AGENCY & CONTRACT RESOURCES | | | | | | |
| | AGENCY | | CONTRACT | | | |
| | NUMBER | PERCENT | NUMBER | PERCENT | | |
| 1. WORK FORCE | | | | | | |
| a. TOTAL WORK FORCE | 2398 | | | | | |
| b. PERMANENT EMPLOYEES | 2398 | | | | | |
| 2. COUNSELOR | 16 | | 0 | | | |
| a. FULL-TIME | 0 | 0.00 | 0 | 0.00 | | |
| b. PART-TIME | 0 | 0.00 | 0 | 0.00 | | |
| c. COLLATERAL DUTY | 16 | 100.00 | 0 | 0.00 | | |
| 3. INVESTIGATOR | 0 | | 3 | | | |
| a. FULL-TIME | 0 | 0.00 | 0 | 0.00 | | |
| b. PART-TIME | 0 | 0.00 | 3 | 100.00 | | |
| c. COLLATERAL DUTY | 0 | 0.00 | 0 | 0.00 | | |
| 4. COUNSELOR/INVESTIGATOR | 0 | | 0 | | | |
| a. FULL-TIME | 0 | 0.00 | 0 | 0.00 | | |
| b. PART-TIME | 0 | 0.00 | 0 | 0.00 | | |
| c. COLLATERAL DUTY | 0 | 0.00 | 0 | 0.00 | | |
| B. AGENCY & CONTRACT STAFF TRAINING | | | | | | |
| | COUNSELORS | | INVESTIGATORS | | COUNS/INVESTIG | |
| | AGENCY | CONTRACT | AGENCY | CONTRACT | AGENCY | CONTRACT |
| 1. NEW STAFF (NS) - TOTAL | 2 | 0 | 0 | 0 | 0 | 0 |
| a. NS RECEIVING REQUIRED 32 OR MORE HOURS | 2 | 0 | 0 | 0 | 0 | 0 |
| b. NS RECEIVING 8 OR MORE HOURS, USUALLY GIVEN TO EXPERIENCED STAFF | 0 | 0 | 0 | 0 | 0 | 0 |
| c. NS RECEIVING NO TRAINING AT ALL | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. EXPERIENCED STAFF (ES) - TOTAL | 14 | 0 | 0 | 3 | 0 | 0 |
| a. ES RECEIVING REQUIRED 8 OR MORE HOURS | 14 | 0 | 0 | 3 | 0 | 0 |
| b. ES RECEIVING 32 OR MORE HOURS, GENERALLY GIVEN TO NEW STAFF | 0 | 0 | 0 | 0 | 0 | 0 |
| c. ES RECEIVING NO TRAINING AT ALL | 0 | 0 | 0 | 0 | 0 | 0 |

| C. REPORTING LINE | | | |
|-------------------|---|----------------|----|
| 1 | EEO DIRECTOR'S NAME: | Bonnie Baisden | |
| 1a. | DOES THE EEO DIRECTOR REPORT TO THE AGENCY HEAD? MUST use 'X' to designate response to question | YES | NO |
| | | X | |

2. IF NO, WHO DOES THE EEO DIRECTOR REPORT TO?
 PERSON: _____
 TITLE: _____

3. WHO IS RESPONSIBLE FOR THE DAY-TO-DAY OPERATION OF THE EEO PROGRAM IN YOUR DEPARTMENT/AGENCY/ORGANIZATION?
 PERSON: Bonnie Baisden
 TITLE: EEO Manager

4. WHO DOES THAT PERSON REPORT TO?
 PERSON: Dr. William Bookless
 TITLE: PRINCIPAL DEPUTY ADMINISTRATOR

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART IV - BASES AND ISSUES ALLEGED IN COMPLAINTS FILED (Part 1)

| ISSUES OF ALLEGED DISCRIMINATION | BASES OF ALLEGED DISCRIMINATION | | | | | | | | | | | TOTAL ALL BASES BY ISSUE | TOTAL ALL COMPLAINTS BY ISSUE | TOTAL ALL COMPLAINANTS BY ISSUE | |
|--------------------------------------|-----------------------------------|-------|---|-------------------------------|-------|----------------------|-------|----------|----------|--------------------------|-------------------------------|--------------------------|-------------------------------|---------------------------------|---------------------------------|
| | RACE | | | | | | COLOR | RELIGION | REPRISAL | TOTAL ALL BASES BY ISSUE | TOTAL ALL COMPLAINTS BY ISSUE | | | | TOTAL ALL COMPLAINANTS BY ISSUE |
| | AMER. INDIAN/ ALASKA NATIVE | ASIAN | NATIVE HAWAIIAN /OTHER PACIFIC ISLANDER | BLACK/ AFRICAN AMERICAN | WHITE | TWO OR MORE RACES | | | | | | | | | |
| V. TIME AND ATTENDANCE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| W. TRAINING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| X. OTHER (Please specify below) | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 3 | 9 | 3 | 3 | | |
| 1. Placement on Admin Leave | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 1 | 1 | | |
| 2. Security Clearance Revocation | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 1 | 1 | | |
| 3. Indefinite Suspension without Pay | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 1 | 1 | | |
| 4. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| TOTAL ALL ISSUES BY BASES | 0 | 0 | 0 | 6 | 0 | 0 | 3 | 0 | 7 | | | | | | |
| TOTAL ALL COMPLAINTS FILED BY BASES | 0 | 0 | 0 | 4 | 0 | 0 | 3 | 0 | 4 | | | | | | |
| TOTAL ALL COMPLAINANTS BY BASES | 0 | 0 | 0 | 4 | 0 | 0 | 3 | 0 | 4 | | | | | | |

**ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
(REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)**

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART IV - BASES AND ISSUES ALLEGED IN COMPLAINTS FILED (Part 2)

| ISSUES OF ALLEGED DISCRIMINATION | BASES OF ALLEGED DISCRIMINATION | | | | | | | | | | | | TOTAL ALL BASES BY ISSUE | TOTAL ALL COMPLAINTS BY ISSUE | TOTAL ALL COMPLAINANTS BY ISSUE |
|--------------------------------------|---------------------------------|--------|------|------------------------------|-----------------|-------|---------------|--------|-----|------------|----------|------|--------------------------|-------------------------------|---------------------------------|
| | SEX | | | PREGNANCY DISCRIMINATION ACT | NATIONAL ORIGIN | | EQUAL PAY ACT | | AGE | DISABILITY | | GINA | | | |
| | MALE | FEMALE | LGBT | | HISPANIC/LATINO | OTHER | MALE | FEMALE | | MENTAL | PHYSICAL | | | | |
| X. OTHER (Please specify below) | 1 | 2 | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 9 | 3 | 3 |
| 1. Placement on Admin Leave | 1 | 0 | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 3 | 1 | 1 |
| 2. Security Clearance Revocation | 0 | 1 | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 3 | 1 | 1 |
| 3. Indefinite Suspension without Pay | 0 | 1 | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 3 | 1 | 1 |
| 4. | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL ALL ISSUES BY BASES | 4 | 3 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | | | |
| TOTAL ALL COMPLAINTS FILED BY BASES | 2 | 3 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | | | |
| TOTAL ALL COMPLAINANTS BY BASES | 2 | 3 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | | | |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART IV C - BASES AND ISSUES ALLEGED IN SETTLEMENTS (Part 1)

| ISSUES OF ALLEGED DISCRIMINATION IN SETTLEMENTS | BASES OF ALLEGED DISCRIMINATION IN SETTLEMENTS | | | | | | | | | | NUMBER COUNSELING SETTLEMENT ALLEGATIONS | NUMBER COUNSELINGS SETTLED BY ISSUE | NUMBER INDIVIDUALS SETTLED WITH BY ISSUE | NUMBER COMPLAINT SETTLEMENT ALLEGATIONS | NUMBER COMPLAINTS SETTLED BY ISSUE | NUMBER COMPLAINANTS SETTLED WITH BY ISSUE |
|---|--|-------|---|-------------------------------|-------|----------------------|-------|----------|----------|---|--|-------------------------------------|--|---|------------------------------------|---|
| | RACE | | | | | | COLOR | RELIGION | REPRISAL | | | | | | | |
| | AMER. INDIAN/ ALASKA NATIVE | ASIAN | NATIVE HAWAIIAN /OTHER PACIFIC ISLANDER | BLACK/ AFRICAN AMERICAN | WHITE | TWO OR MORE RACES | | | | | | | | | | |
| A. APPOINTMENT/HIRE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| B. ASSIGNMENT OF DUTIES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 4 | 1 | 1 |
| C. AWARDS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D. CONVERSION TO FULL TIME/PERM STATUS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| E. DISCIPLINARY ACTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 1 |
| 1. DEMOTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. REPRIMAND | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. SUSPENSION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. REMOVAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. DISCIPLINARY WARNING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 1 |
| 6. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F. DUTY HOURS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G. PERF. EVAL./APPRAISAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 2 | 2 | 2 |
| H. EXAMINATION/TEST | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I. HARASSMENT | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 5 | 1 | 1 |
| 1. NON-SEXUAL | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 5 | 1 | 1 |
| 2. SEXUAL | | | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| J. MEDICAL EXAMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| K. PAY INCLUDING OVERTIME | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| L. PROMOTION/NON-SELECTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| M. REASSIGNMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1. DENIED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. DIRECTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| N. REASONABLE ACCOMMODATION DISABILITY | | | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| O. REINSTATEMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| P. RELIGIOUS ACCOMMODATION | | | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Q. RETIREMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R. SEX-STEROTYPING | | | | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 |
| S. TELEWORK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T. TERMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| U. TERMS/CONDITIONS OF EMPLOYMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| V. TIME AND ATTENDANCE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| W. TRAINING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| X. OTHER (Please specify below) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 4 | 2 | 2 |
| 1. LEAVE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 1 |
| 2. Disclosure of Medical Info | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 |
| 3. Placement on Perf. Improvement Plan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 |
| 4. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I. COUNSELING SETTLEMENT ALLEGATIONS | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 1A. NUMBER OF COUNSELINGS SETTLED | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 1B. NUMBER OF COUNSELEES SETTLED WITH | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| 2. COMPLAINT SETTLEMENT ALLEGATIONS | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 8 |
| 2A. NUMBER OF COMPLAINTS SETTLED | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| 2B. NUMBER OF COMPLAINANTS SETTLED WITH | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART IV C - BASES AND ISSUES ALLEGED IN SETTLEMENTS (Part 2)

| ISSUES OF ALLEGED DISCRIMINATION IN SETTLEMENTS | BASES OF ALLEGED DISCRIMINATION IN SETTLEMENTS | | | | | | | | | | | | | | | | | |
|---|--|--------|------|------------------------------|-----------------|-------|---------------|--------|-----|------------|----------|------|--|-------------------------------------|--|---|------------------------------------|---|
| | SEX | | | PREGNANCY DISCRIMINATION ACT | NATIONAL ORIGIN | | EQUAL PAY ACT | | AGE | DISABILITY | | GINA | NUMBER COUNSELING SETTLEMENT ALLEGATIONS | NUMBER COUNSELINGS SETTLED BY ISSUE | NUMBER INDIVIDUALS SETTLED WITH BY ISSUE | NUMBER COMPLAINT SETTLEMENT ALLEGATIONS | NUMBER COMPLAINTS SETTLED BY ISSUE | NUMBER COMPLAINANTS SETTLED WITH BY ISSUE |
| | MALE | FEMALE | LGBT | | HISPANIC/LATINO | OTHER | MALE | FEMALE | | MENTAL | PHYSICAL | | | | | | | |
| A. APPOINTMENT/HIRE | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| B. ASSIGNMENT OF DUTIES | 0 | 1 | 0 | 0 | 0 | 1 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 1 | 1 |
| C. AWARDS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D. CONVERSION TO FULL TIME/PERM STATUS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| E. DISCIPLINARY ACTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 1 |
| 1. DEMOTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. REPRIMAND | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. SUSPENSION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. REMOVAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. DISCIPLINARY WARNING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 1 |
| 6. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F. DUTY HOURS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G. PERF EVAL/APPRaisal | 0 | 1 | 0 | 0 | 0 | 1 | 0 | | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 2 | 2 |
| H. EXAMINATION/TEST | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| I. HARASSMENT | 0 | 1 | 0 | 0 | 0 | 1 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 1 | 1 |
| 1. NON-SEXUAL | 0 | 1 | 0 | 0 | 0 | 1 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 1 | 1 |
| 2. SEXUAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| J. MEDICAL EXAMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| K. PAY INCLUDING OVERTIME | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| L. PROMOTION/NON-SELECTION | 0 | 1 | 0 | 0 | 0 | 0 | 0 | | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| M. REASSIGNMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1. DENIED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. DIRECTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| N. REASONABLE ACCOMMODATION DISABILITY | | | | | 0 | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| O. REINSTATEMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| P. RELIGIOUS ACCOMMODATION | | | | | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Q. RETIREMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R. SEX-STEROTYPING | 0 | 0 | 0 | | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| S. TELEWORK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T. TERMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| U. TERMS/CONDITIONS OF EMPLOYMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| V. TIME AND ATTENDANCE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| W. TRAINING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| X. OTHER (Please specify below) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 4 | 2 | 2 |
| 1. LEAVE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 1 |
| 2. Disclosure of Medical Info | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| 3. Placement on Perf. Improvement Plan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| 4. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Y. COUNSELING SETTLEMENT ALLEGATIONS | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 1A. NUMBER OF COUNSELINGS SETTLED | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 1B. NUMBER OF COUNSELEES SETTLED WITH | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| Z. COMPLAINT SETTLEMENT ALLEGATIONS | 0 | 3 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 0 | 5 | | | | | | |
| 2A. NUMBER OF COMPLAINTS SETTLED | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | | | | | | |
| 2B. NUMBER OF COMPLAINANTS SETTLED WITH | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | | | | | | |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART IV D - BASES AND ISSUES FOUND IN FADs AND FINAL ORDERS (Part 1)

| ISSUES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS | BASES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS | | | | | | | | | | NUMBER FAD FINDINGS BY ISSUE | NUMBER OF FADs WITH FINDINGS ISSUED | NUMBER COMPLAINANTS ISSUED FAD FINDINGS BY ISSUE | NUMBER AJ DECISION FINDINGS BY ISSUE | NUMBER AJ DECISIONS WITH FINDINGS BY ISSUE | NUMBER FINAL ORDER FINDINGS FULLY IMPLEMENTED BY ISSUE | # FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE | # COMPLAINANTS ISSUED FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE |
|---|--|-------|---|----------------------------|-------|----------------------|-------|----------|----------|---|---------------------------------------|--|---|---|---|---|--|--|
| | RACE | | | | | | COLOR | RELIGION | REPRISAL | | | | | | | | | |
| | AMER. INDIAN/ ALASKA NATIVE | ASIAN | NATIVE HAWAIIAN/ OTHER PACIFIC ISLANDER | BLACK/ AFRICAN AMERICAN | WHITE | TWO OR MORE RACES | | | | | | | | | | | | |
| A. APPOINTMENT/HIRE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| B. ASSIGNMENT OF DUTIES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| C. AWARDS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| D. CONVERSION TO FULL-TIME/PERM STATUS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| E. DISCIPLINARY ACTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. DEMOTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. REPRIMAND | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3. SUSPENSION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 4. REMOVAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 5. DISCIPLINARY WARNING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 6. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 7. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| F. DUTY HOURS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| G. PERF. EVAL./APPRAISAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| H. EXAMINATION/TEST | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| I. HARASSMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. NON-SEXUAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. SEXUAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| J. MEDICAL EXAMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| K. PAY INCLUDING OVERTIME | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| L. PROMOTION/NON-SELECTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| M. REASSIGNMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. DENIED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. DIRECTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| N. REASONABLE ACCOMMODATION DISABILITY | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| O. REINSTATEMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| P. RELIGIOUS ACCOMMODATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Q. RETIREMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| R. SEX-STEREOTYPING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| S. TELEWORK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| T. TERMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| U. TERMS/CONDITIONS OF EMPLOYMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| V. TIME AND ATTENDANCE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| W. TRAINING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| X. OTHER (Please specify below) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 4. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. FINAL AGENCY DECISION FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1A. NUMBER OF FADs WITH FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1B. NUMBER OF COMPLAINANTS ISSUED FAD FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. AJ DECISION FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2A. NUMBER AJ DECISIONS WITH FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3. FINAL AGENCY ORDER FINDINGS FULLY IMPLEMENTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3A. # OF FINAL ORDERS (FOs) WITH FINDINGS IMPLEMENTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3B. # COMPLAINANTS ISSUED FOs WITH FINDINGS IMPLEMENTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

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 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART IV D - BASES AND ISSUES FOUND IN FADs AND FINAL ORDERS (Part 2)

| ISSUES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS | BASES OF ALLEGED DISCRIMINATION FOUND IN FADs AND FINAL ORDERS | | | | | | | | | | | | | | | | | # FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE | # COMPLAINTANTS ISSUED FINAL ORDERS W/ FINDINGS FULLY IMPLEMENTED BY ISSUE | |
|---|--|--------|------|---------------------------------|---------------------|-------|------------------|--------|-----|------------|----------|-----|---------------------------------------|--|---|---|---|--|---|---|
| | SEX | | | PREGNANCY DISCRIMINATION ACT | NATIONAL ORIGIN | | EQUAL PAY ACT | | AGE | DISABILITY | | GNA | NUMBER FAD FINDINGS BY ISSUE | NUMBER OF FADs WITH FINDINGS ISSUED | NUMBER COMPLAINANTS ISSUED FAD FINDINGS BY ISSUE | NUMBER AJ DECISION FINDINGS BY ISSUE | NUMBER AJ DECISIONS WITH FINDINGS BY ISSUE | | | NUMBER FINAL ORDER FINDINGS FULLY IMPLEMENTED BY ISSUE |
| | MALE | FEMALE | LGBT | | HISPANIC/ LATINO | OTHER | MALE | FEMALE | | MENTAL | PHYSICAL | | | | | | | | | |
| A. APPOINTMENT/HIRE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| B. ASSIGNMENT OF DUTIES | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| C. AWARDS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| D. CONVERSION TO FULL TIME/PERM STATUS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| E. DISCIPLINARY ACTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. DEMOTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. REPRIMAND | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3. SUSPENSION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 4. REMOVAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 5. DISCIPLINARY WARNING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 6. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 7. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| F. DUTY HOURS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| G. PERF. EVAL/APPRaisal | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| H. EXAMINATION/TEST | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| I. HARASSMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. NON-SEXUAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. SEXUAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| J. MEDICAL EXAMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| K. PAY INCLUDING OVERTIME | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| L. PROMOTION/NON-SELECTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| M. REASSIGNMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. DENIED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. DIRECTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| N. REASONABLE ACCOMMODATION DISABILITY | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| O. REINSTATEMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| P. RELIGIOUS ACCOMMODATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Q. RETIREMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| R. SEX-STEREOTYPING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| S. TELEWORK | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| T. TERMINATION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| U. TERMS/CONDITIONS OF EMPLOYMENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| V. TIME AND ATTENDANCE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| W. TRAINING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| X. OTHER (Please specify below) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 4. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Y. FINAL AGENCY DECISION FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1A. NUMBER OF FADs WITH FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1B. NUMBER OF COMPLAINTANTS ISSUED FAD FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Z. AJ DECISION FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 2A. NUMBER AJ DECISIONS WITH FINDINGS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3. FINAL AGENCY ORDER FINDINGS FULLY IMPLEMENTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3A. # OF FINAL ORDERS (FOs) WITH FINDINGS IMPLEMENTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 3B. # COMPLAINTANTS ISSUED FOs WITH FINDINGS IMPLEMENTED | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

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 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART V - SUMMARY OF CLOSURES BY STATUTE

A. STATUTE (IF A SINGLE COMPLAINT HAS MULTIPLE STATUTES RECORD EACH ON THE APPROPRIATE LINE.)

- 9 1. TITLE VII
- 0 1a. PREGNANCY DISCRIMINATION ACT (PDA)
- 3 2. AGE DISRIMINATION IN EMPLOYMENT ACT (ADEA)
- 4 3. REHABILITATION ACT
- 0 4. EQUAL PAY ACT (EPA)
- 0 5. GENETIC INFORMATION NONDISCRIMINATION ACT (GINA)

B. TOTAL BY STATUTES

16 THIS NUMBER MAY BE LARGER THAN THE TOTAL NUMBER OF COMPLAINTS CLOSED.
 (A1+A1a+A2+A3+A4+A5)

PART VI - SUMMARY OF CLOSURES BY CATEGORY

| | TOTAL NUMBER | TOTAL DAYS | AVERAGE DAYS |
|--|-----------------|---------------|-----------------|
| A. TOTAL NUMBER OF CLOSURES (1+2+3) | 12 | 13457 | 1121.42 |
| 1. WITHDRAWALS | 0 | 0 | 0.00 |
| a. NON-ADR WITHDRAWALS | 0 | 0 | 0.00 |
| b. ADR WITHDRAWALS | 0 | 0 | 0.00 |
| 2. SETTLEMENTS | 4 | 4630 | 1157.50 |
| a. NON-ADR SETTLEMENTS | 4 | 4630 | 1157.50 |
| b. ADR SETTLEMENTS | 0 | 0 | 0.00 |
| 3. FINAL AGENCY ACTIONS (B+C) | 8 | 8827 | 1103.38 |
| B. FINAL AGENCY DECISIONS WITHOUT AN ADMINISTRATIVE JUDGE DECISION (1+2+3) | 1 | 374 | 374.00 |
| 1. FINDING DISCRIMINATION | 0 | 0 | 0.00 |
| 2. FINDING NO DISCRIMINATION | 1 | 374 | 374.00 |
| 3. DISMISSAL OF COMPLAINTS | 0 | 0 | 0.00 |
| C. FINAL AGENCY ORDERS WITH AN ADMINISTRATIVE JUDGE (AJ) DECISION (1+2) | 7 | 8453 | 1207.57 |
| 1. AJ DECISION FULLY IMPLEMENTED (a+b+c) | 7 | 8453 | 1207.57 |
| (a) FINDING DISCRIMINATION | 0 | 0 | 0.00 |
| (b) FINDING NO DISCRIMINATION | 7 | 8453 | 1207.57 |
| (c) DISMISSAL OF COMPLAINTS | 0 | 0 | 0.00 |
| 2. AJ DECISION NOT FULLY IMPLEMENTED (a+b+c) | 0 | 0 | 0.00 |
| (a) FINDING DISCRIMINATION (i+ii+iii) | 0 | 0 | 0.00 |
| i. AGENCY APPEALED FINDING BUT NOT REMEDY | 0 | 0 | 0.00 |
| ii. AGENCY APPEALED REMEDY BUT NOT FINDING | 0 | 0 | 0.00 |
| iii. AGENCY APPEALED BOTH FINDING AND REMEDY | 0 | 0 | 0.00 |
| (b) FINDING NO DISCRIMINATION | 0 | 0 | 0.00 |
| (c) DISMISSAL OF COMPLAINTS | 0 | 0 | 0.00 |

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AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART VI - SUMMARY OF CLOSURES BY CATEGORY (Continued)

| | TOTAL NUMBER | TOTAL DAYS | AVERAGE DAYS |
|---|-----------------|---------------|-----------------|
| D. FINAL AGENCY MERIT DECISIONS (FAD) ISSUED (1+2+3+4) | 1 | 213 | 213.00 |
| 1. COMPLAINANT REQUESTED IMMEDIATE FAD (1a+1b) | 1 | 213 | 213.00 |
| a. AGENCY ISSUED FAD WITHIN 60 DAYS OF RECEIPT OF FAD REQUEST | 0 | 0 | 0.00 |
| b. AGENCY ISSUED FAD MORE THAN 60 DAYS BEYOND RECEIPT OF FAD REQUEST | 1 | 213 | 213.00 |
| 2. COMPLAINANT DID NOT ELECT HEARING OR FAD (2a+2b) | 0 | 0 | 0.00 |
| a. AGENCY ISSUED FAD WITHIN 60 DAYS OF END OF 30-DAY ELECTION PERIOD | 0 | 0 | 0.00 |
| b. AGENCY ISSUED FAD MORE THAN 60 DAYS BEYOND END OF 30-DAY ELECTION PERIOD | 0 | 0 | 0.00 |
| 3. HEARING REQUESTED; AJ RETURNED CASE TO AGENCY FOR FAD WITHOUT AJ DECISION (3a+3b) | 0 | 0 | 0.00 |
| a. AGENCY ISSUED FAD WITHIN 60 DAYS OF RECEIPT OF AJ RETURNED CASE FOR FAD ISSUANCE | 0 | 0 | 0.00 |
| b. AGENCY ISSUED FAD MORE THAN 60 DAYS AFTER RECEIPT OF AJ RETURNED CASE FOR FAD ISSUANCE | 0 | 0 | 0.00 |
| 4. FINAL AGENCY DECISION ISSUED ON A MIXED CASE (4a+4b) | 0 | 0 | 0.00 |
| a. AGENCY ISSUED FAD WITHIN 45 DAYS AFTER INVESTIGATION | 0 | 0 | 0.00 |
| b. AGENCY ISSUED FAD MORE THAN 45 DAYS AFTER INVESTIGATION | 0 | 0 | 0.00 |

PART VII - SUMMARY OF FORMAL COMPLAINTS CLOSED BY TYPES OF BENEFITS

| | NUMBER | AMOUNT |
|---|---|--|
| A. TOTAL COMPLAINTS CLOSED WITH BENEFITS | 4 | |
| B. TOTAL CLOSURES WITH MONETARY BENEFITS TO COMPLAINANT | 4 | 160,300.00 |
| 1. BACK PAY/FRONT PAY | 0 | 0.00 |
| 2. LUMP SUM PAYMENT | 4 | 160,300.00 |
| 3. COMPENSATORY DAMAGES | 0 | 0.00 |
| 4. ATTORNEY FEES AND COSTS | 0 | 0.00 |
| 5. | 0 | 0.00 |
| 6. | 0 | 0.00 |
| D. INTENTIONALLY LEFT BLANK | | |
| E. TOTAL CLOSURES WITH NON-MONETARY BENEFITS TO COMPLAINANT | 1 | |
| F. TYPES OF BENEFITS IN NON-MONETARY CLOSURES | NUMBER OF CLOSURES THAT RECEIVED MONETARY BENEFITS AS WELL | NUMBER OF CLOSURES THAT RECEIVED ONLY NON-MONETARY BENEFITS |
| 1. HIRES | 0 | 0 |
| a. RETROACTIVE | 0 | 0 |
| b. NON-RETROACTIVE | 0 | 0 |
| 2. PROMOTIONS | 0 | 0 |
| a. RETROACTIVE | 0 | 0 |
| b. NON-RETROACTIVE | 0 | 0 |
| 3. EXPUNGEMENTS | 1 | 0 |
| 4. REASSIGNMENTS | 0 | 0 |
| 5. REMOVALS RESCINDED | 0 | 0 |
| a. REINSTATEMENT | 0 | 0 |
| b. VOLUNTARY RESIGNATION | 0 | 0 |
| 6. ACCOMMODATIONS | 0 | 0 |
| 7. TRAINING | 0 | 0 |
| 8. APOLOGY | 0 | 0 |
| 9. DISCIPLINARY ACTIONS | 0 | 0 |
| a. RESCINDED | 0 | 0 |
| b. MODIFIED | 0 | 0 |
| 10. PERFORMANCE EVALUATION MODIFIED | 0 | 0 |
| 11. LEAVE RESTORED | 0 | 0 |
| 12. NEUTRAL REFERENCE | 0 | 0 |
| 13. | 0 | 0 |
| 14. | 0 | 0 |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART VIII - SUMMARY OF PENDING COMPLAINTS BY CATEGORY

| A. TOTAL COMPLAINTS PENDING (SAME AS PART II Line I) (1+1a+2+3+4) | NUMBER PENDING | NUMBER OF DAYS | AVERAGE DAYS | DAYS PENDING OLDEST CASE | OLDEST CASE EEOC HEARING # |
|--|----------------|----------------|--------------|--------------------------|----------------------------|
| | 20 | 11744 | | | |
| 1. COMPLAINTS PENDING WRITTEN NOTIFICATION (Acknowledgement Letter) | 0 | 0 | 0.00 | 0 | |
| 1a. COMPLAINTS PENDING DECISION TO ACCEPT/DISMISS | 1 | 41 | 41.00 | 41 | |
| 2. COMPLAINTS PENDING IN INVESTIGATION | 5 | 258 | 51.60 | 129 | |
| 2a. COMPLAINTS PENDING 180 DAY INVESTIGATION NOTICE | 0 | 0 | 0.00 | 0 | |
| 3. COMPLAINTS PENDING IN HEARINGS | 5 | 2685 | 537.00 | 901 | 540-2018-00082X |
| 4. COMPLAINTS PENDING A FINAL AGENCY ACTION | 9 | 8760 | 973.33 | 1168 | |

PART IX - SUMMARY OF INVESTIGATIONS COMPLETED

| | TOTAL | TOTAL DAYS | AVERAGE |
|---|-----------|------------|---------|
| A. INVESTIGATIONS COMPLETED DURING REPORTING PERIOD (1+3) | 4 | 843 | 210.75 |
| AGENCY INVESTIGATIONS | | | |
| 1. INVESTIGATIONS COMPLETED BY AGENCY PERSONNEL (a+b+c) | 0 | 0 | 0.00 |
| a. INVESTIGATIONS COMPLETED IN 180 DAYS OR LESS | 0 | 0 | 0.00 |
| b. INVESTIGATIONS COMPLETED IN 181 - 360 DAYS | 0 | 0 | 0.00 |
| 1. TIMELY COMPLETED INVESTIGATIONS | 0 | 0 | 0.00 |
| 2. UNTIMELY COMPLETED INVESTIGATIONS | 0 | 0 | 0.00 |
| c. INVESTIGATIONS COMPLETED IN 361 OR MORE DAYS | 0 | 0 | 0.00 |
| 2. AGENCY INVESTIGATION COSTS | 0.00 | | 0.00 |
| CONTRACT INVESTIGATIONS | | | |
| 3. INVESTIGATIONS COMPLETED BY CONTRACTORS (a+b+c) | 4 | 843 | 210.75 |
| a. INVESTIGATIONS COMPLETED IN 180 DAYS OR LESS | 1 | 128 | 128.00 |
| b. INVESTIGATIONS COMPLETED IN 181 - 360 DAYS | 3 | 715 | 238.33 |
| 1. TIMELY COMPLETED INVESTIGATIONS | 3 | 715 | 238.33 |
| 2. UNTIMELY COMPLETED INVESTIGATIONS | 0 | 0 | 0.00 |
| c. INVESTIGATIONS COMPLETED IN 361 OR MORE DAYS | 0 | 0 | 0.00 |
| 4. CONTRACTOR INVESTIGATION COSTS | 23,351.00 | | 5837.75 |

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PART X - SUMMARY OF ADR PROGRAM ACTIVITIES

INFORMAL PHASE (PRE-COMPLAINT)

| A. INTENTIONALLY LEFT BLANK | | | | |
|--|-------------|-------------|------|--------------|
| B. ADR ACTIONS IN COMPLETED/ENDED COUNSELINGS | | | | |
| | COUNSELINGS | INDIVIDUALS | | |
| 1. ADR OFFERED BY AGENCY | 3 | 3 | | |
| 2. REJECTED BY INDIVIDUAL (COUNSELEE) | 1 | 1 | | |
| 3. INTENTIONALLY LEFT BLANK | | | | |
| 4. TOTAL ACCEPTED INTO ADR PROGRAM | 2 | 2 | | |
| C. ADR RESOURCES USED IN COMPLETED/ENDED COUNSELINGS (TOTALS) | | | | |
| 1. INHOUSE | 2 | 2 | | |
| 2. ANOTHER FEDERAL AGENCY | 0 | 0 | | |
| 3. PRIVATE ORGANIZATIONS, (e.g., CONTRACTORS, BAR ASSOCIATIONS, INDIVIDUAL VOLUNTEERS OR COLLEGE/UNIVERSITY PERSONNEL) | 0 | 0 | | |
| 4. MULTIPLE RESOURCES USED (Please specify in a comment box) | 0 | 0 | | |
| 5. FEDERAL EXECUTIVE BOARD | 0 | 0 | | |
| 6. | 0 | 0 | | |
| 7. | 0 | 0 | | |
| | COUNSELINGS | INDIVIDUALS | DAYS | AVERAGE DAYS |
| D. ADR TECHNIQUES USED IN COMPLETED/ENDED COUNSELINGS (TOTALS) | | | | |
| | 2 | 2 | 123 | 61.50 |
| 1. MEDIATION | 2 | 2 | 123 | 61.50 |
| 2. SETTLEMENT CONFERENCES | 0 | 0 | 0 | 0.00 |
| 3. EARLY NEUTRAL EVALUATIONS | 0 | 0 | 0 | 0.00 |
| 4. FACTFINDING | 0 | 0 | 0 | 0.00 |
| 5. FACILITATION | 0 | 0 | 0 | 0.00 |
| 6. OMBUDSMAN | 0 | 0 | 0 | 0.00 |
| 7. PEER REVIEW | 0 | 0 | 0 | 0.00 |
| 8. MULTIPLE TECHNIQUES USED (Please specify in a comment box) | 0 | 0 | 0 | 0.00 |
| 9. | 0 | 0 | 0 | 0.00 |
| 10. | 0 | 0 | 0 | 0.00 |
| E. STATUS OF ADR CASES IN COMPLETED/ENDED COUNSELINGS | | | | |
| | COUNSELINGS | INDIVIDUALS | DAYS | AVERAGE DAYS |
| 1. TOTAL CLOSED | 2 | 2 | 123 | 61.50 |
| a. SETTLEMENTS WITH BENEFITS (Monetary and Non-monetary) | 1 | 1 | 93 | 93.00 |
| b. NO FORMAL COMPLAINT FILED | 1 | 1 | 30 | 30.00 |
| c. COMPLAINT FILED | | | | |
| i. NO RESOLUTION | 0 | 0 | 0 | 0.00 |
| ii. NO ADR ATTEMPT (aka Part X.E.1.d) | 0 | 0 | 0 | 0.00 |
| e. DECISION TO FILE COMPLAINT PENDING AT THE END OF THE REPORTING PERIOD | 0 | 0 | 0 | 0.00 |
| 2. INTENTIONALLY LEFT BLANK | | | | |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

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REPORTING PERIOD: FY2019

PART XI - SUMMARY OF ADR PROGRAM ACTIVITIES

FORMAL PHASE (COMPLAINT FILED)

| B. ADR ACTIONS IN COMPLAINT CLOSURES | | COMPLAINTS | COMPLAINANTS | | |
|--|---|------------|--------------|-----------|--------------|
| 1. | ADR OFFERED BY AGENCY | 1 | 1 | | |
| 2. | REJECTED BY COMPLAINANT | 0 | 0 | | |
| 3. | INTENTIONALLY LEFT BLANK | | | | |
| 4. | TOTAL ACCEPTED INTO ADR PROGRAM | 1 | 1 | | |
| C. ADR RESOURCES USED IN COMPLAINT CLOSURES (TOTALS) | | 1 | 1 | | |
| 1. | INHOUSE | 1 | 1 | | |
| 2. | ANOTHER FEDERAL AGENCY | 0 | 0 | | |
| 3. | PRIVATE ORGANIZATIONS, (e.g., CONTRACTORS, BAR ASSOCIATIONS, INDIVIDUAL VOLUNTEERS OR COLLEGE/UNIVERSITY PERSONNEL) | 0 | 0 | | |
| 4. | MULTIPLE RESOURCES USED (Please specify in a comment box) | 0 | 0 | | |
| 5. | FEDERAL EXECUTIVE BOARD | 0 | 0 | | |
| 6. | | 0 | 0 | | |
| 7. | | 0 | 0 | | |
| | | COMPLAINTS | COMPLAINANTS | DAYS | AVERAGE DAYS |
| D. ADR TECHNIQUES USED IN COMPLAINT CLOSURES (TOTALS) | | 1 | 1 | 30 | 30.00 |
| 1. | MEDIATION | 1 | 1 | 30 | 30.00 |
| 2. | SETTLEMENT CONFERENCES | 0 | 0 | 0 | 0.00 |
| 3. | EARLY NEUTRAL EVALUATIONS | 0 | 0 | 0 | 0.00 |
| 4. | FACTFINDING | 0 | 0 | 0 | 0.00 |
| 5. | FACILITATION | 0 | 0 | 0 | 0.00 |
| 6. | OMBUDSMAN | 0 | 0 | 0 | 0.00 |
| 7. | MINI-TRIALS | 0 | 0 | 0 | 0.00 |
| 8. | PEER REVIEW | 0 | 0 | 0 | 0.00 |
| 9. | MULTIPLE TECHNIQUES USED (Please specify in a comment box) | 0 | 0 | 0 | 0.00 |
| 10. | | 0 | 0 | 0 | 0.00 |
| 11. | | 0 | 0 | 0 | 0.00 |
| E. STATUS OF CASES IN COMPLAINT CLOSURES | | COMPLAINTS | COMPLAINANTS | DAYS | AVERAGE DAYS |
| 1. | TOTAL CLOSED | 1 | 1 | 30 | 30.00 |
| a. | SETTLEMENTS WITH BENEFITS (Monetary and Non-monetary) | 0 | 0 | 0 | 0.00 |
| b. | WITHDRAWAL FROM EEO PROCESS | 0 | 0 | 0 | 0.00 |
| c. | NO RESOLUTION | 1 | 1 | 30 | 30.00 |
| d. | NO ADR ATTEMPT | 0 | 0 | 0 | 0.00 |
| 2. | INTENTIONALLY LEFT BLANK | | | | |
| F. BENEFITS RECEIVED | | COMPLAINTS | COMPLAINANTS | AMOUNT | |
| 1. | MONETARY (INSERT TOTALS) | 0 | 0 | 0.00 | |
| a. | COMPENSATORY DAMAGES | 0 | 0 | 0.00 | |
| b. | BACKPAY/FRONTPAY | 0 | 0 | 0.00 | |
| c. | LUMP SUM | 0 | 0 | 0.00 | |
| d. | ATTORNEY FEES AND COSTS | 0 | 0 | 0.00 | |
| e. | | 0 | 0 | 0.00 | |
| 2. | NON-MONETARY (INSERT TOTALS) | 0 | 0 | | |
| a. | HIRES | 0 | 0 | | |
| i. | RETROACTIVE | 0 | 0 | | |
| ii. | NON-RETROACTIVE | 0 | 0 | | |
| b. | PROMOTIONS | 0 | 0 | | |
| i. | RETROACTIVE | 0 | 0 | | |
| ii. | NON-RETROACTIVE | 0 | 0 | | |
| c. | EXPUNGEMENTS | 0 | 0 | | |
| d. | REASSIGNMENTS | 0 | 0 | | |
| e. | REMOVALS RESCINDED | 0 | 0 | | |
| i. | REINSTATEMENT | 0 | 0 | | |
| ii. | VOLUNTARY RESIGNATION | 0 | 0 | | |
| f. | ACCOMMODATIONS | 0 | 0 | | |
| g. | TRAINING | 0 | 0 | | |
| h. | APOLOGY | 0 | 0 | | |
| i. | DISCIPLINARY ACTIONS | 0 | 0 | | |
| i. | RESCINDED | 0 | 0 | | |
| ii. | MODIFIED | 0 | 0 | | |
| j. | PERFORMANCE EVALUATION MODIFIED | 0 | 0 | | |
| k. | LEAVE RESTORED | 0 | 0 | | |
| l. | NEUTRAL REFERENCE | 0 | 0 | | |
| m. | | 0 | 0 | | |
| n. | | 0 | 0 | | |

ANNUAL FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
 STATISTICAL REPORT OF DISCRIMINATION COMPLAINTS
 (REPORTING PERIOD BEGINS OCTOBER 1ST AND ENDS SEPTEMBER 30TH)

AGENCY OR DEPARTMENT: DN01

REPORTING PERIOD: FY2019

PART XII - SUMMARY OF EEO ADR PROGRAM ACTIVITIES

EEO ADR RESOURCES

A. NO LONGER COLLECTED

| | |
|--|------|
| B. EMPLOYEES THAT CAN PARTICIPATE IN EEO ADR | 2398 |
|--|------|

| | |
|--|---|
| C. RESOURCES THAT MANAGE EEO ADR PROGRAM (DOES NOT INCLUDE NEUTRALS AS REPORTED IN PARTS X. & XI.) | 1 |
| 1. IN-HOUSE FULL TIME (40 HOURS EEO ADR ONLY) | 0 |
| 2. IN-HOUSE PART TIME (32 HOURS EEO ADR ONLY) | 0 |
| 3. IN-HOUSE COLLATERAL DUTY (OTHERS/NON-CONTRACT) | 1 |
| 4. CONTRACT (ANOTHER FEDERAL AGENCY/PRIVATE ORGANIZATIONS) | 0 |

| | |
|--------------------------|--------|
| D. EEO ADR FUNDING SPENT | AMOUNT |
| | 932.00 |

E. EEO ADR CONTACT INFORMATION

| | |
|---|-----------------------------|
| 1. NAME OF EEO ADR PROGRAM DIRECTOR / MANAGER | Bonnie Baisden |
| 2. TITLE | EEO Manager |
| 3. TELEPHONE NUMBER | 505-845-6668 |
| 4. EMAIL | bonnie.baisden@nnsa.doe.gov |

F. EEO ADR PROGRAM INFORMATION

MUST use 'X' to designate response to questions

| | YES | NO |
|---|-----|----|
| 1. Does the agency require the alleged responsible management official to participate in EEO ADR? | X | |
| 1a. If yes, is there a written policy requiring the participation? | X | |
| 2. Does the alleged responsible management official have a role in deciding if the case is appropriate for EEO ADR? | | X |

The FY 2019 Form 462 report must be "Accepted/Finalized" by EEOC by October 31, 2019 to be considered timely.