



CREE ™

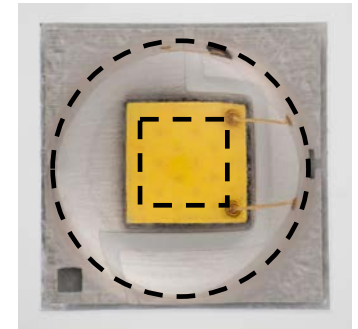
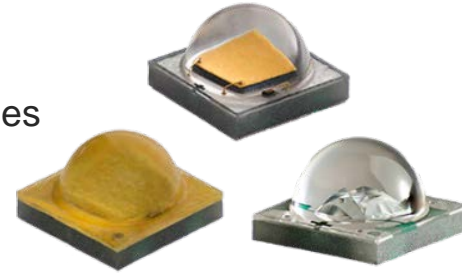
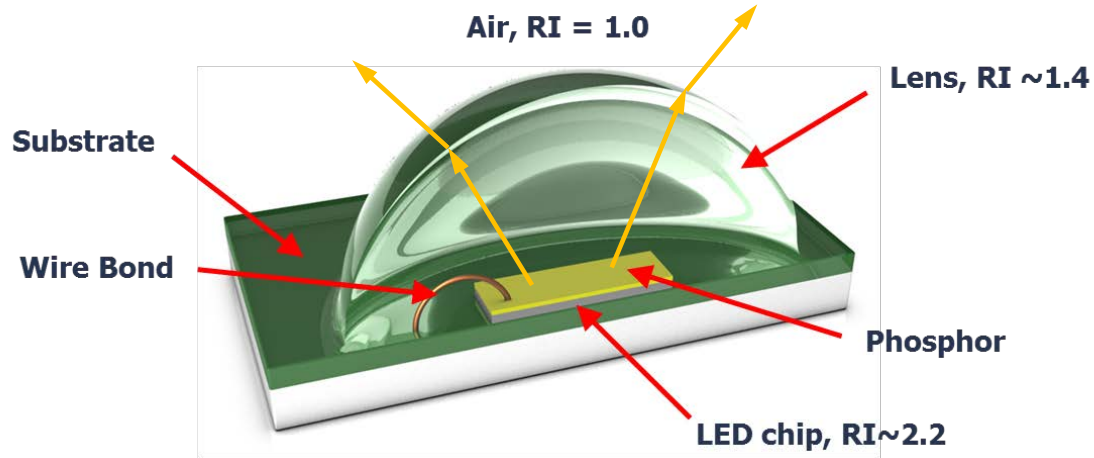
LED Component and System Optics

Paul Fini 1/30/18



LED Package Optical Design

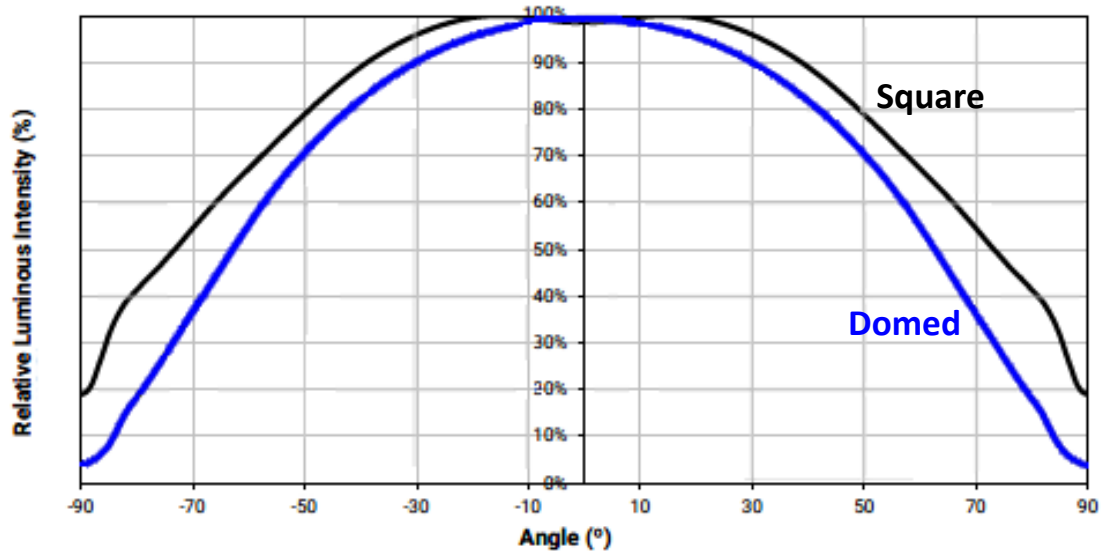
- **Hemispherical lenses:** maximize first-pass extraction to minimize recycling
 - Recycling (multiple bounces) may lead to significant optical losses
- **Larger source (chip) size** due to magnification may introduce étendue challenges



- *Domed packages are optimized for first-pass light extraction... but are they the best choice for all applications?*

Package Optics for Diffuse Emission

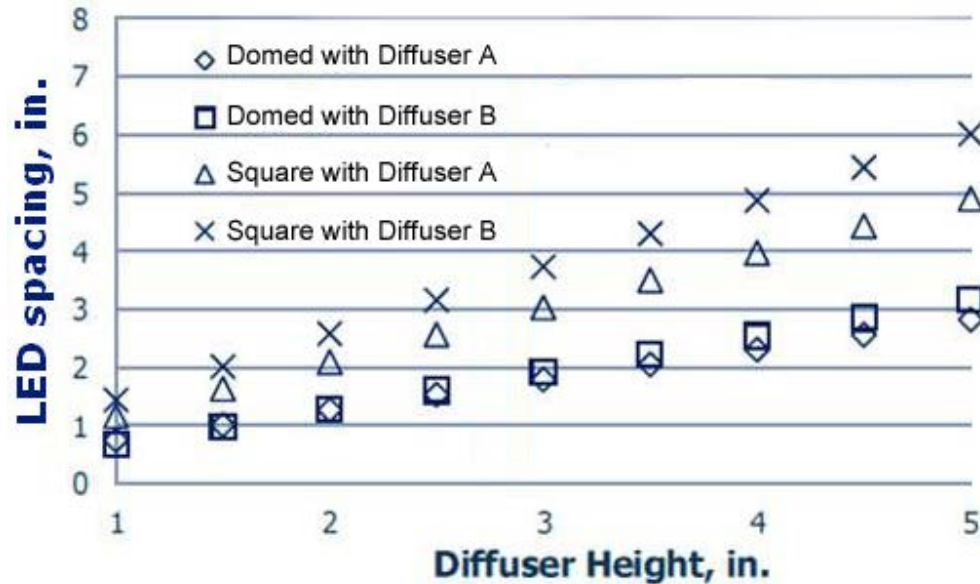
- Cubic or otherwise rectangular lenses **broaden far-field emission over angle**
- **Increased recycling** but little to no optical loss due to high-Q chip, phosphors, and package surfaces



➤ *Contrary to earlier package designs, it is possible to harness recycling for broader intensity over angle without optical loss.*

Benefits of Broad Emission to System Design

- Well suited to omni-directional and quasi-Lambertian lamps & luminaires
- Broader emission over angle avoids perceptible “pixelation” through diffuser



Wider spacing enabled

Maximum LED spacing at which pixelation is not perceptible for square vs. domed packages in a direct-view troffer



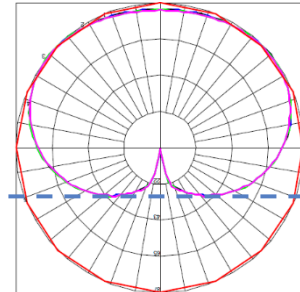
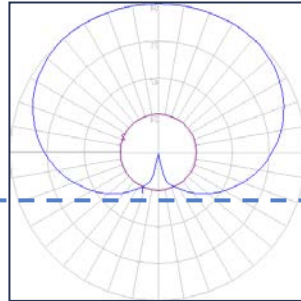
- **Wider package spacing enables cost reduction and/or lower system optical loss via lighter diffusion.**

Bulb Retrofit Example: 810 lm, 2700K E26 Lamp w/ XQ-D



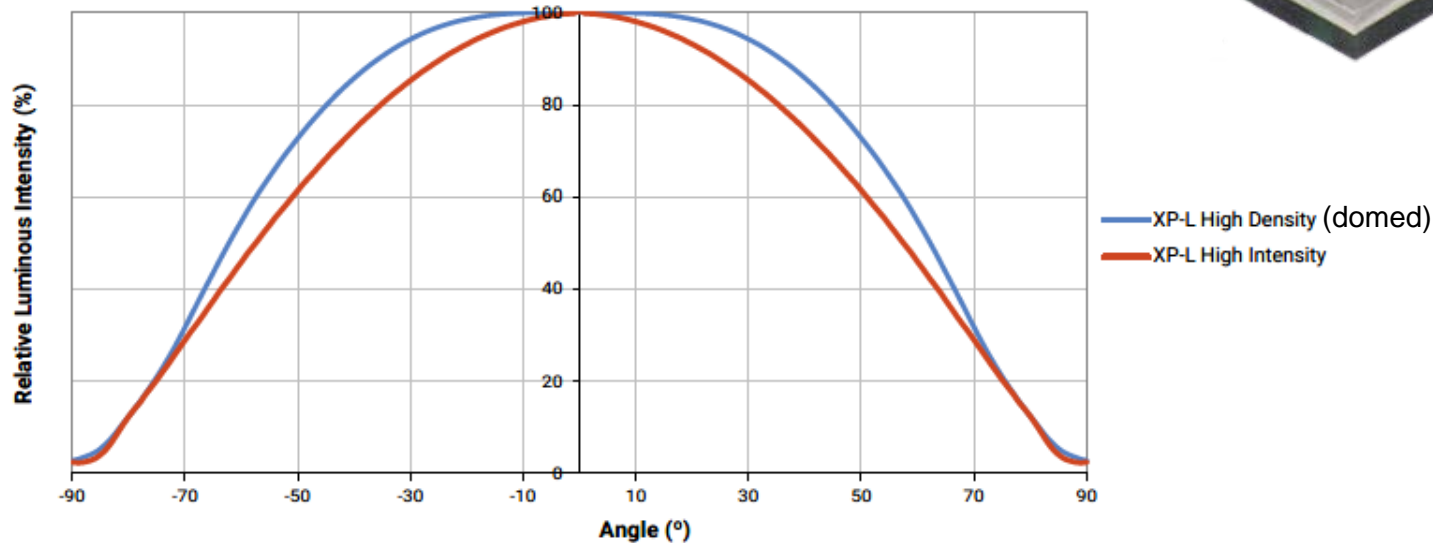
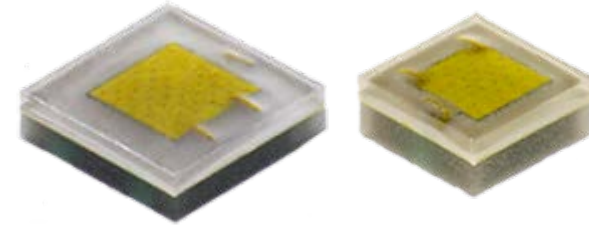
	Original	XQ-D Retrofit
LED Type	5630 Plastic	HP Ceramic
LED Count	27	16
Lumens	808 lm	819 lm
Power	10.5 W	9.9 W
Efficacy	78 LPW	83 LPW
Emission FWHM	244°	262°

Improved efficacy and emission omnidirectionality with no change to the optical system.



Components for Directional Lamps/Luminaires

- Maximize luminance at a manageable package optical efficiency hit
- Nominal efficacy (lm/W) is lower, but directionality (cd/lm) is higher



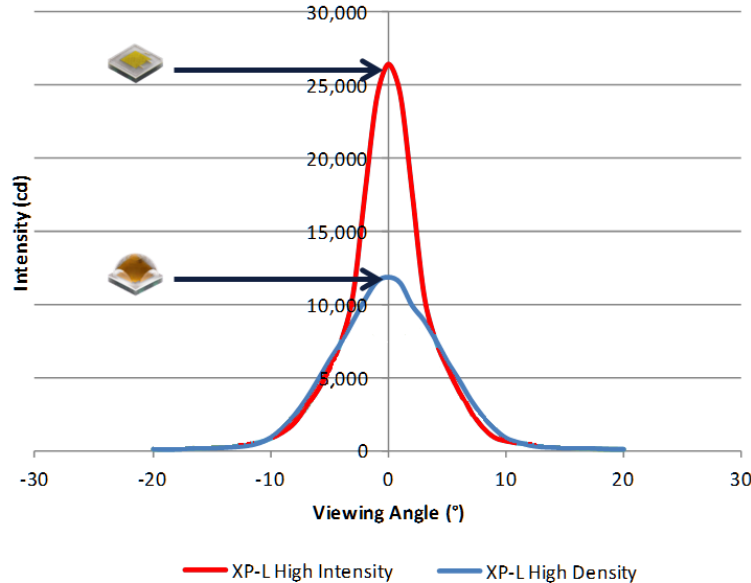
➤ **Thermal management: high power for high luminance @ high reliability**



Benefits of Flat-Top Components to System Design

- Directional lamps and luminaires require a high-luminance / “high-punch” source, particularly when size is restricted
- Optics size and cost can often be reduced as source luminance increases



Measured using Carclo 10755R1 TIR optic



	XP-L High Density	XP-L High Intensity
Avg Luminance per Lumen (cd/cm ² /lm)	3.2 	7.8 
Optical Source size	1 x	~0.66 x
Package Cost	\$	\$
Maximum Lumens	1226 lm	1095 lm

➤ **High luminance has a direct impact on directional lamp size and cost.**

“Nano-Punch” Track Light Concept w/ XP-L High Intensity

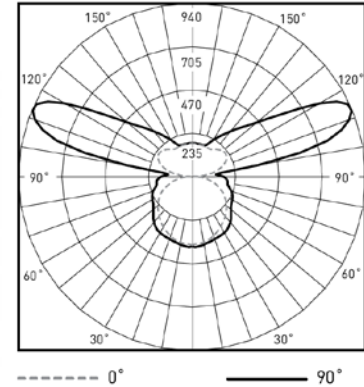
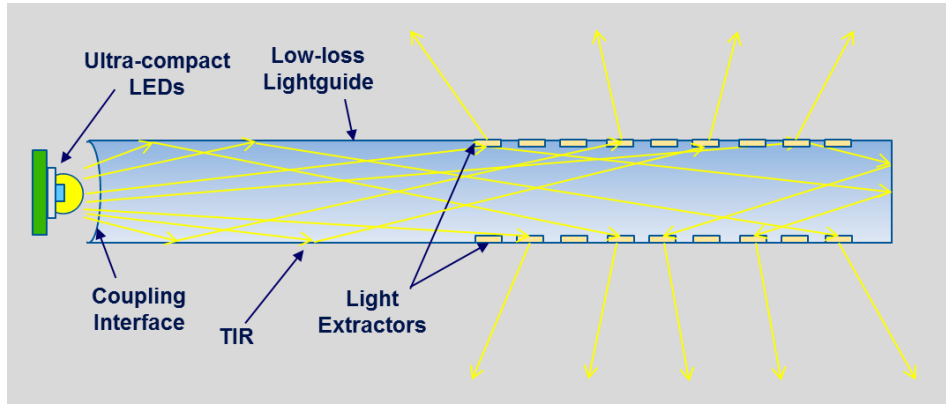
- 83% smaller and lighter than a PAR38
- 9000 cd @ 11° beam FWHM



	<i>Nano-Punch Prototype</i>	<i>Halogen PAR38 Flood</i>
LED	XP-L High Intensity	N/A
CCT	3000K	3000K
CBCP	9,000 cd @ 11° beam	2,379 cd @ 12° beam
Initial Lumens	520 lm	1,325 lm
System Power	8 W	71W
Size	79mm length 32mm head Ø	143mm length 120mm head Ø
Weight	0.10 lbs	0.70 lbs

New Optics Designs – Lightguides

- Lightguides require compact LEDs to minimize thickness/volume
 - LED-LG light coupling is a critical factor in optical efficiency



LN4: “asymmetrical to diffuse”
(using ceiling as optical element)

- **Lightguide:** thin for weight, cost reduction, and optical efficiency
- **LEDs:** compact size, high **directionality** (cd/lm) & **luminance** (lm/mm²) benefit coupling efficiency

Challenges & Opportunities

- Application-specific performance will continue to guide package optics designs & choices:

	Diffuse	Directional	Lightguides
Key Package Characteristics	<ul style="list-style-type: none"> • Broad emission • Low optical loss due to recycling 	<ul style="list-style-type: none"> • Small source size • High luminance • High power 	<ul style="list-style-type: none"> • Small source size • High luminance
Package Challenges (present and future)	<ul style="list-style-type: none"> • Low phosphor crosstalk & self absorption • Low chip & package surface absorption 	<ul style="list-style-type: none"> • Thermal management • Phosphor and package reliability (esp. for laser-pumped) 	<ul style="list-style-type: none"> • High package cd/lm at low optical loss • High luminance across all colors
Application Opportunities	<ul style="list-style-type: none"> • Consistent color over angle among mixed sources (<i>e.g.</i> RGBW) 	<ul style="list-style-type: none"> • Increased luminance via efficacy gains • New ultra-compact directional lamp FFs 	<ul style="list-style-type: none"> • Novel, cost effective, & efficient luminaires • Increased application efficiency

Summary

- LED package optical designs directly benefit luminaire performance and cost.
- Future applications will benefit from package-luminaire optics synergy.
- **Broad/Diffuse**
 - *Challenge*: minimize intra-package optical losses (phosphors, chip/surface absorption)
 - *Opportunity*: optimize luminaire cost and performance by “reducing the burden” on luminaire optics
- **Directional**
 - *Challenge*: ultra-high luminance with high reliability and high color quality
 - *Opportunity*: increased emission directionality and reduced optical source size
- **Lightguide-optimized**
 - *Challenge*: high luminance in ultra-compact packages, across color range
 - *Opportunity*: increased application efficiency in novel luminaire form factors



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