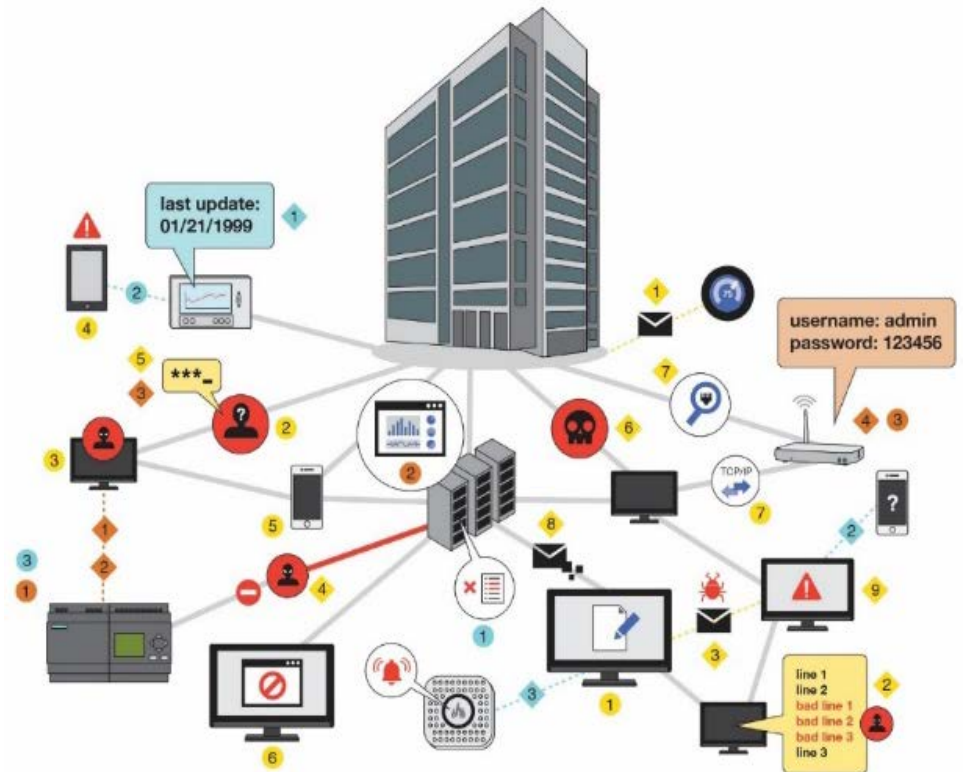


Buildings Cybersecurity Opportunities, Challenges and Solutions

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November 2, 2016



Acknowledgements

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- ▶ The U.S. Department of Energy's Federal Energy Management Program (**Dr. Tim Unruh**); Building Technologies Office (**Joe Hagerman**) and Energy's Office of Electricity and Energy Reliability (**Dr. Carol Hawk**) for supporting PNNL Buildings and Energy Technology Cybersecurity efforts
- ▶ Federal Utility Partnership Working Group (FUPWG) Participants and Sponsors



U.S. DEPARTMENT OF
ENERGY

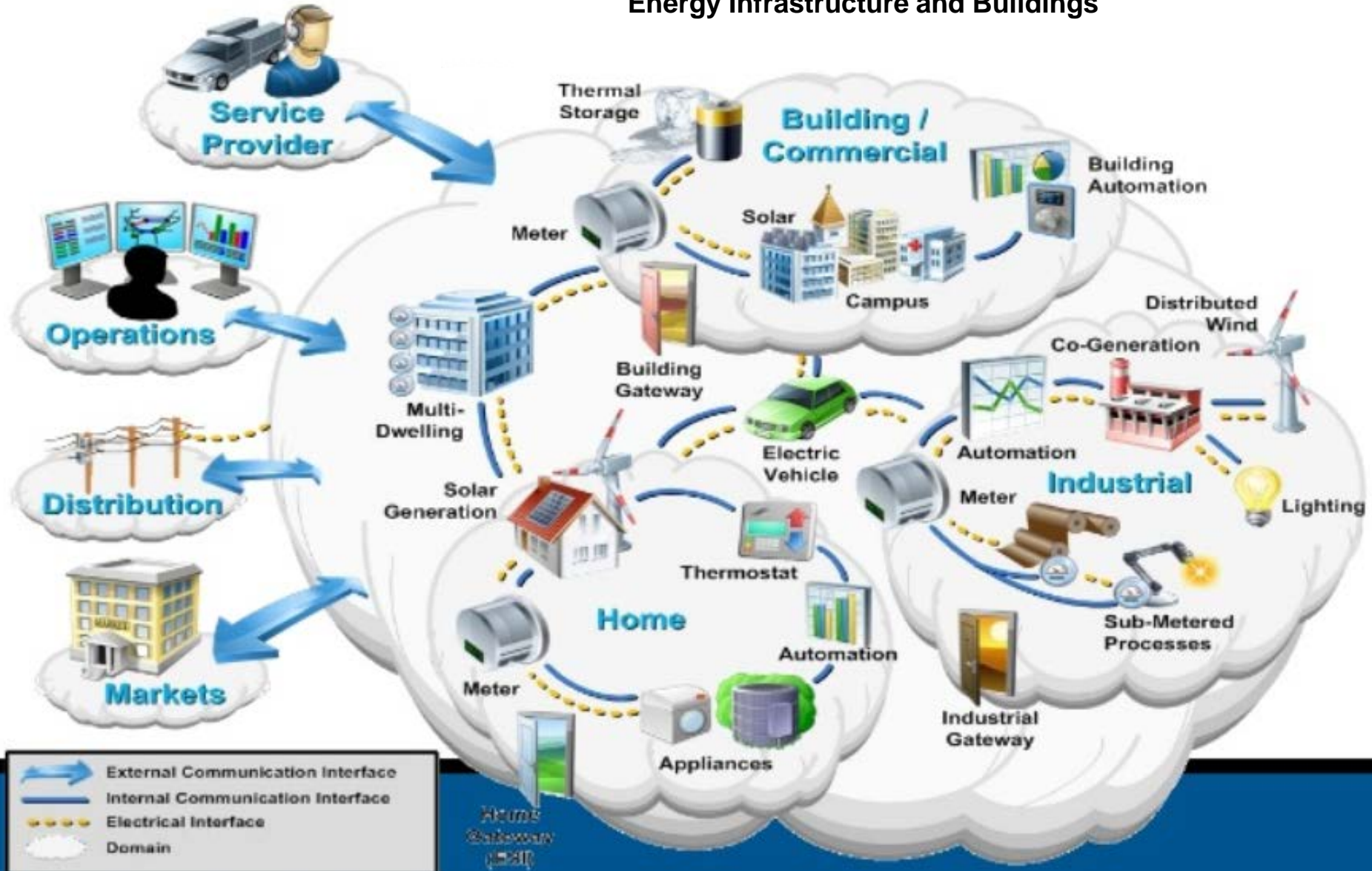
Energy Efficiency &
Renewable Energy

Buildings Cybersecurity Opportunities & Challenges



All Modern Organizations Are Cyber & Energy Organizations

Cyber And Physical Systems, IT and OT, are Converging In Energy Infrastructure and Buildings



National Academies Identifies “Connected Buildings = Vulnerable”

Cybersecuring Building Control Systems

April 24, 2015

The National Academies
Washington, DC

Sponsored by
The Federal Facilities Council

“The nation's buildings are increasingly relying on building control systems with embedded communications technology and many enabled via the Internet. These systems provide critical services that allow a building to meet the functional and operational needs of building occupants, but they can also be easy targets for hackers and people with malicious intent. These facilities contain building and access control systems such as heating, ventilation, and air conditioning; electronic card readers...that are increasingly being automated and connected to other information systems or networks and the Internet. **As these systems are becoming more connected, so is their vulnerability to potential cyber-attacks.**”

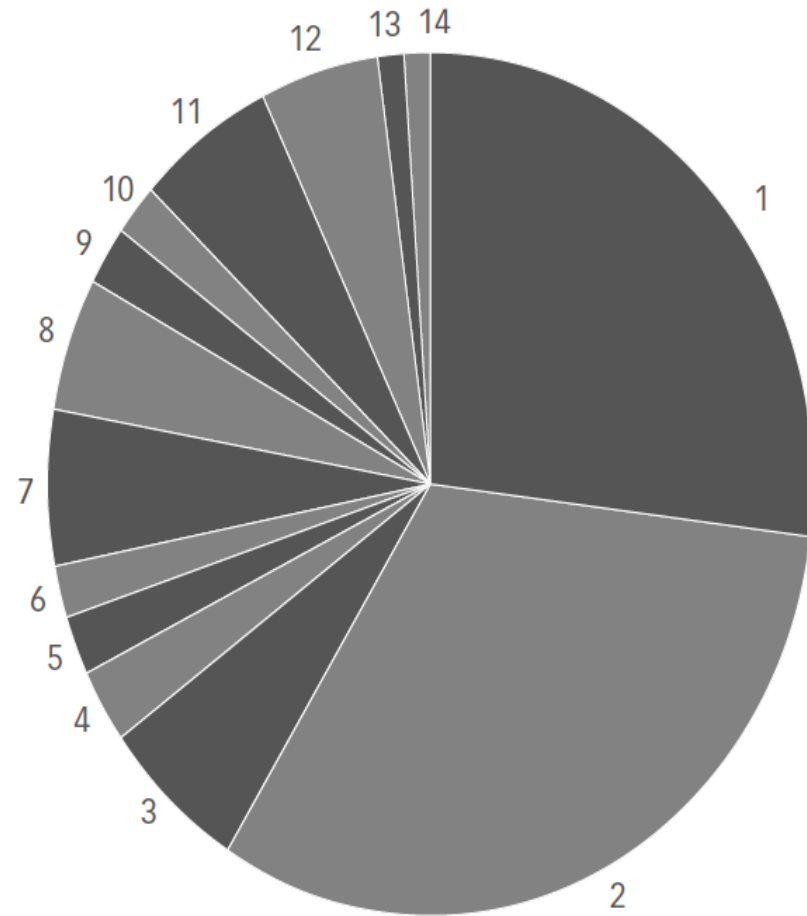
DOE EERE Approach: Secure + Connected = Economic, Energy, Environment Opportunity

- 1. Engage industry and Federal agencies to define the problem and better understand the gaps in a very complex space of connected devices and buildings*
- 2. Develop easy to use framework to help buildings stakeholders establish cybersecurity policies, procedures and cybersecurity controls (Building Cybersecurity Framework)*
- 3. Develop tool to measure and improve existing policies, cybersecurity awareness, readiness and risk management (B-C2M2)*

Buildings Include Several Critical Infrastructures Experiencing Increased Cyber Attacks, according DHS

Figure 8: ICS cyber incidents reported to ICS-CERT, 2014

Source: US Department of Homeland Security, 'ICS-CERT Year in Review'



Buildings include several of the sixteen critical infrastructures designated by EO 13636, including commercial facilities, financial services, government facilities, healthcare and public health and information technology.

Sector	%	Sector	%
1 Critical manufacturing	27	8 Transportation	5
2 Energy	32	9 Nuclear	2
3 Communications	6	10 Information technology	2
4 Commercial facilities	3	11 Healthcare	6
5 Chemical	2	12 Government facilities	5
6 Unknown	2	13 Finance	1
7 Water	6	14 Agriculture	1

Operational Technology Cyber Incidents – What's Next?

WHAT'S NEXT?

.... Your organization failed to consider impact of exploiting control systems....

Target Retail Stores - 2013

BACKDOOR ATTACK



The attackers backed their way into network by compromising a 3rd-party vendor to steal data.

Kemuri Water Company - 2016

PLC ATTACK



Hack accessed hundreds of PLCs used to manipulate control applications altering chemicals.

Saudi Aramco & RasGas

ENTERPRISE ATTACK



Networks infected with the Shamoon virus erased information causing enterprise network outages.

Ukraine Utilities - 2015

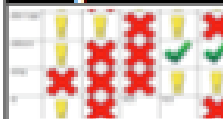
SCADA ATTACK



Left 225,000 customers in the dark. 1st successful cyber attack to knock a power grid offline.

Project Basecamp - 2012

PLC ATTACK



A team used a penetration test on PLCs to realize how badly vulnerable their SCADA/ICS were .

"Unnamed" Steel Mill, Germany - 2014

INSIDER ATTACK



Hackers disrupted networks to access automation equipment resulted in massive damage.

"Unnamed" Steel Mill - 2011

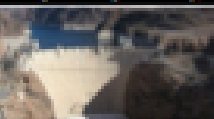
ENTERPRISE INFECTION



The Conficker worm infected the control network causing an instability in the communications.

New York Dam - 2013

BACKDOOR ATTACK



Iranian hackers tried to open flood gates. Was this a dress rehearsal for something bigger?

Natanz Nuclear Facility - 2010

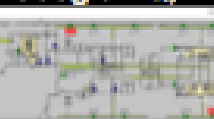
SCADA MALWARE



Stuxnet infected the air-gapped control network bypassing causing damage to centrifuge.

Google HQ, Wharf - 2013

MISS-CONFIGURE



SHODAN discovered over 21,000 miss-configured building automation systems.

Maroochy Water System - 2010

INSIDER ATTACK



Disgruntled ex-employee hacks into the water system and floods the community of sewage.

Source: Jeff Johnson, Navy

Shodan: Search Engine to Hack or Secure Vulnerable Buildings Technology?

The screenshot shows a CNN Money article from April 8, 2013. The article is titled "Shodan: The scariest search engine on the Internet" and is written by David Goldman. It features a video player with a play button and a red banner that reads "Hacking anything connected to the Internet". The article text includes a quote from John Matherly, creator of Shodan, and explains that Shodan is a "dark" search engine that finds vulnerable devices on the Internet. The page also includes social media sharing options, a "Social Surge" section with trending topics like Mercedes-Benz and U.S. economy, and a Capital One advertisement for a 1.00% Annual Percentage Yield.

The navigation bar for "ALL ABOUT CIRCUITS" features a search box and several menu items: ARTICLES, FORUM, EDUCATION, and MORE.

Home / News / See Your IoT Digital Footprint (and Security Vulnerabilities) with Shodan

See Your IoT Digital Footprint (and Security Vulnerabilities) with Shodan

July 16, 2016 by Ebony Calloway

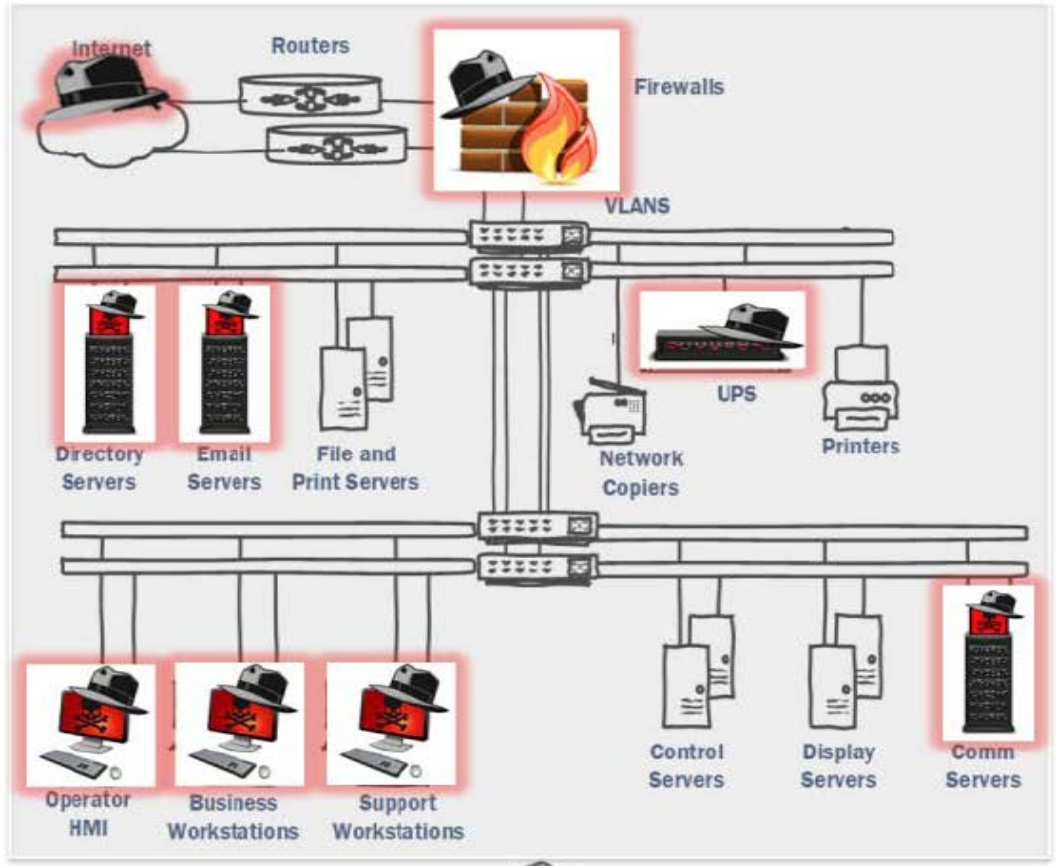
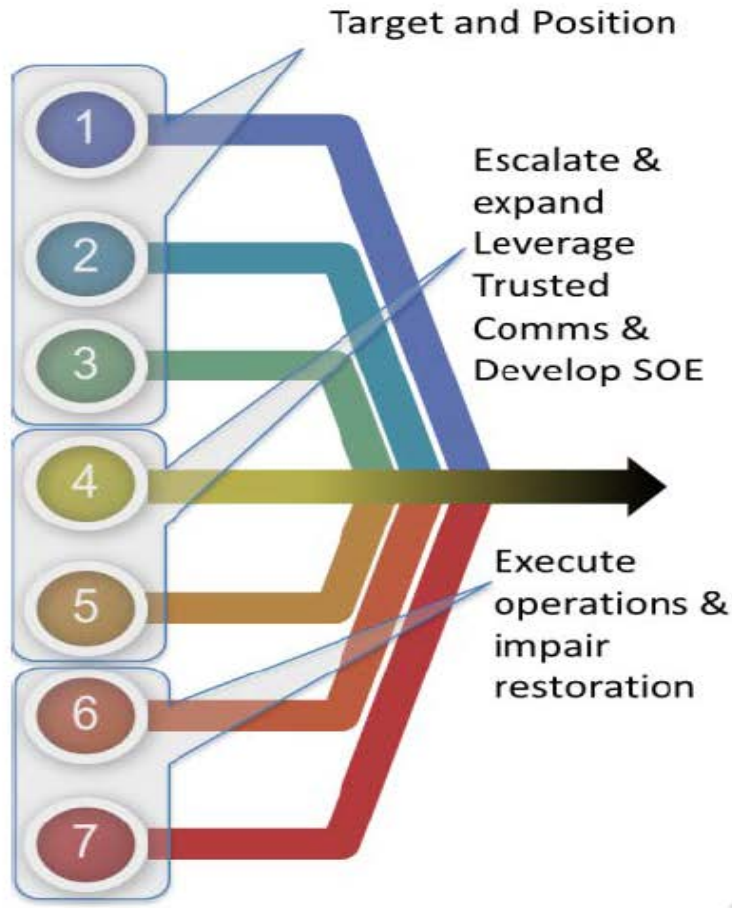
Discover a unique search engine: Shodan provides information about every device connected to the internet.

We're all familiar with search engines. You might even have found this article through one. The most popular search engine in the world, Google, functions by pulling information from their index of the web based on queries. It and other search engines are useful for finding answers to questions and connecting with others.

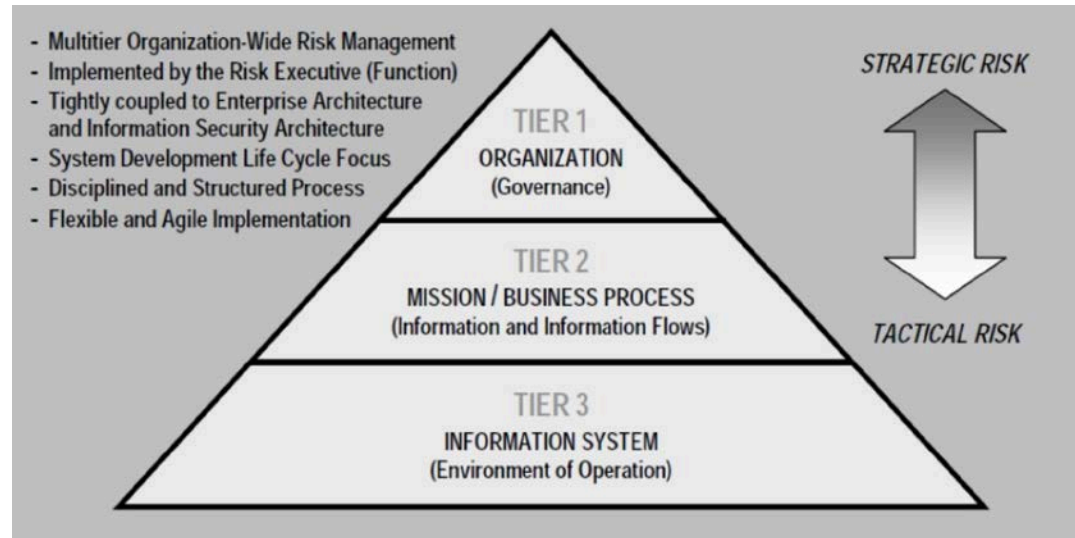
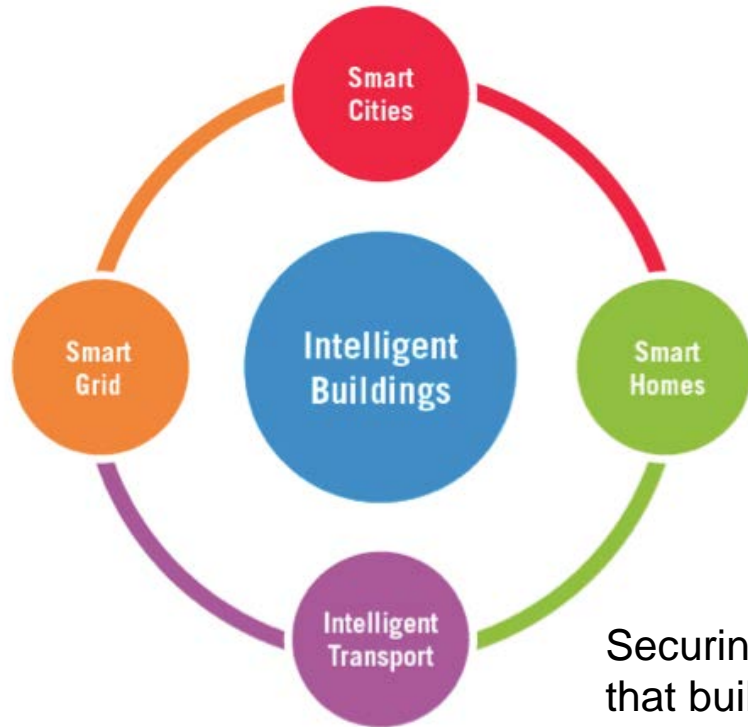
Shodan search engine quickly finds thousands of vulnerable building automation systems

Ukraine Cyber Attack – Included a Building Cyber Events

Attackers discovered a network connected to a UPS and reconfigured it so that when the attacker caused a power outage, it was followed by an event that would also **impact the power in the energy company's building data centers**



Traditional Cyber Security Paradigms Are Not Adequate To Secure Modern Buildings



Securing smart buildings requires new security paradigms that build on and adapt existing solutions, standards and technology to better manage risk of increasingly converged OT and IT systems.

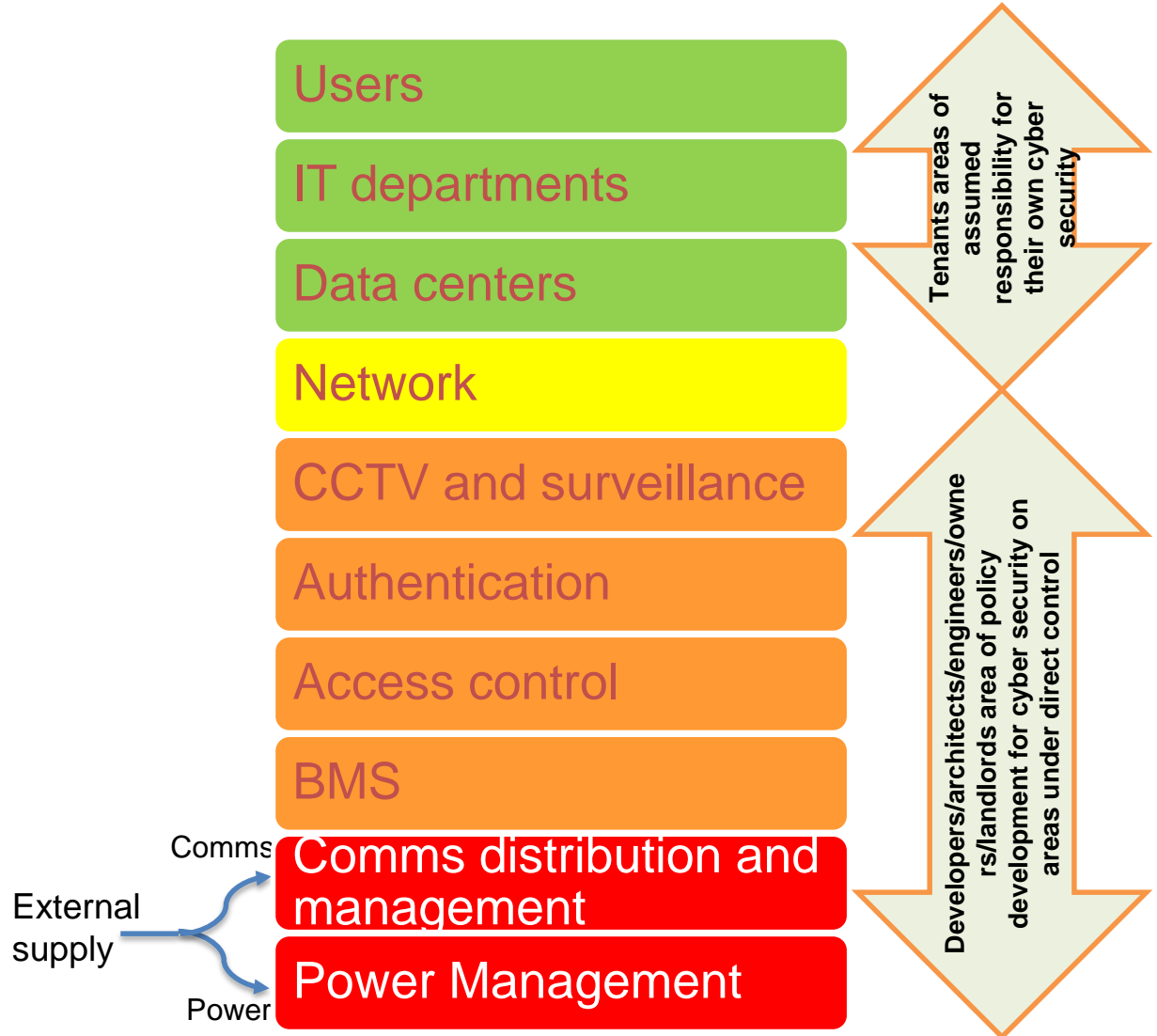
Supply Chain Challenges - Need Cyber Smart Procurement Guidelines for Buildings



- **Buildings technology continues to evolve:**
 - New cybersecurity threats and vulnerabilities
 - Changes in security practices and requirements
 - Advancing technologies
 - Expanding global supply chain
- Vendors, asset owners, operators are experiencing increased pressure for meeting stringent regulatory requirements
- Procurement can help manage risks resulting from extended and geographically dispersed **supply chains**
 - Need to build cybersecurity into solutions from the beginning
- Acquirers, integrators, and suppliers need to communicate expectations and requirements in a clear and repeatable manner

Buildings cybersecurity procurement guidelines to facilitate adoption, secure and sustainable deployment of smart buildings technology

Who Is Responsible For Securing Buildings IT and OT From Cyber Threats?



Challenges in terms of organizational roles and responsibilities, introducing new operational complexity and risk into buildings that owners and operators are often not prepared to deal with.

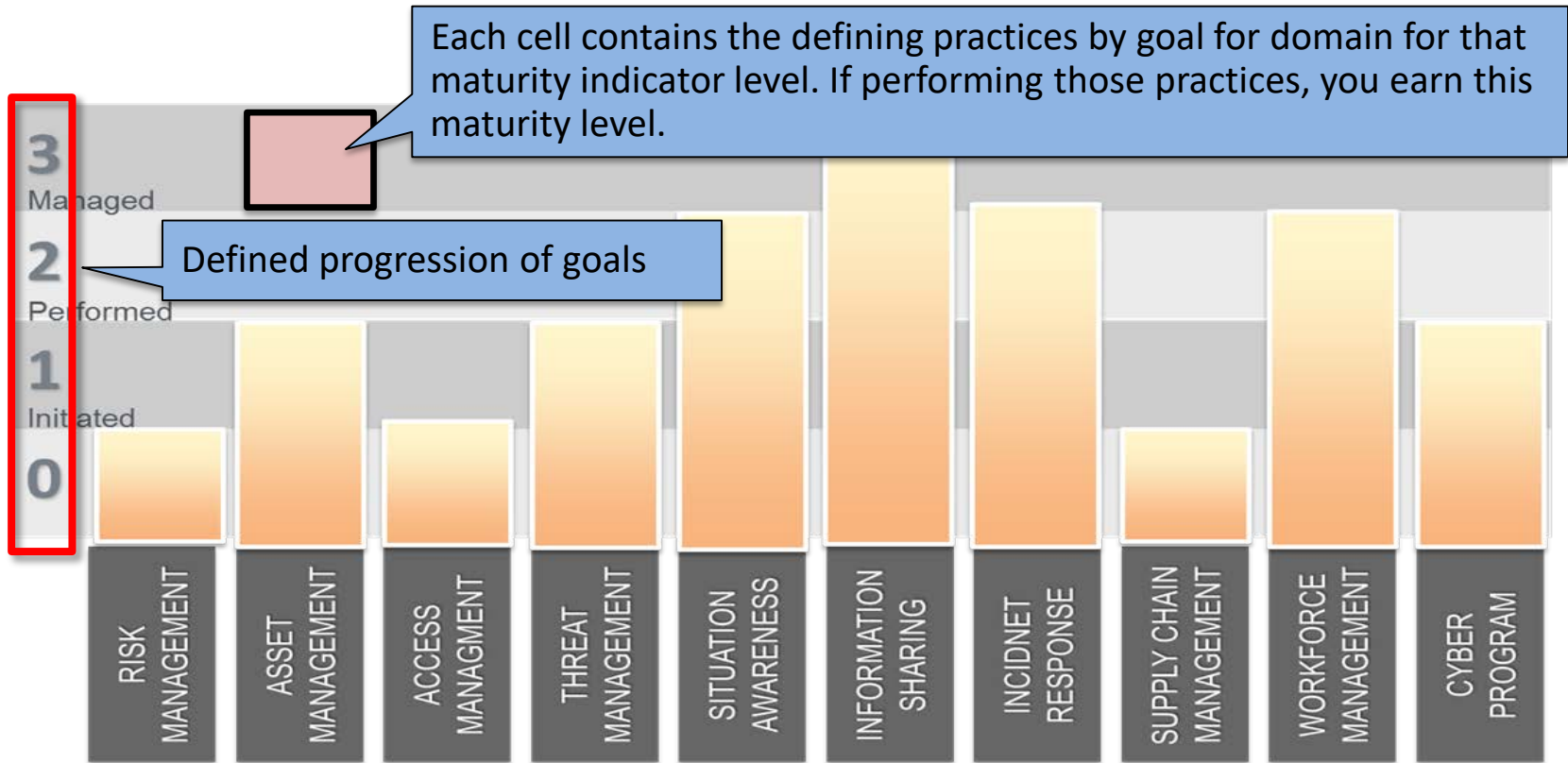
DOE & PNNL Power Grid and Buildings Cybersecurity Efforts



Buildings Cybersecurity Maturity Model (B-C2M2)

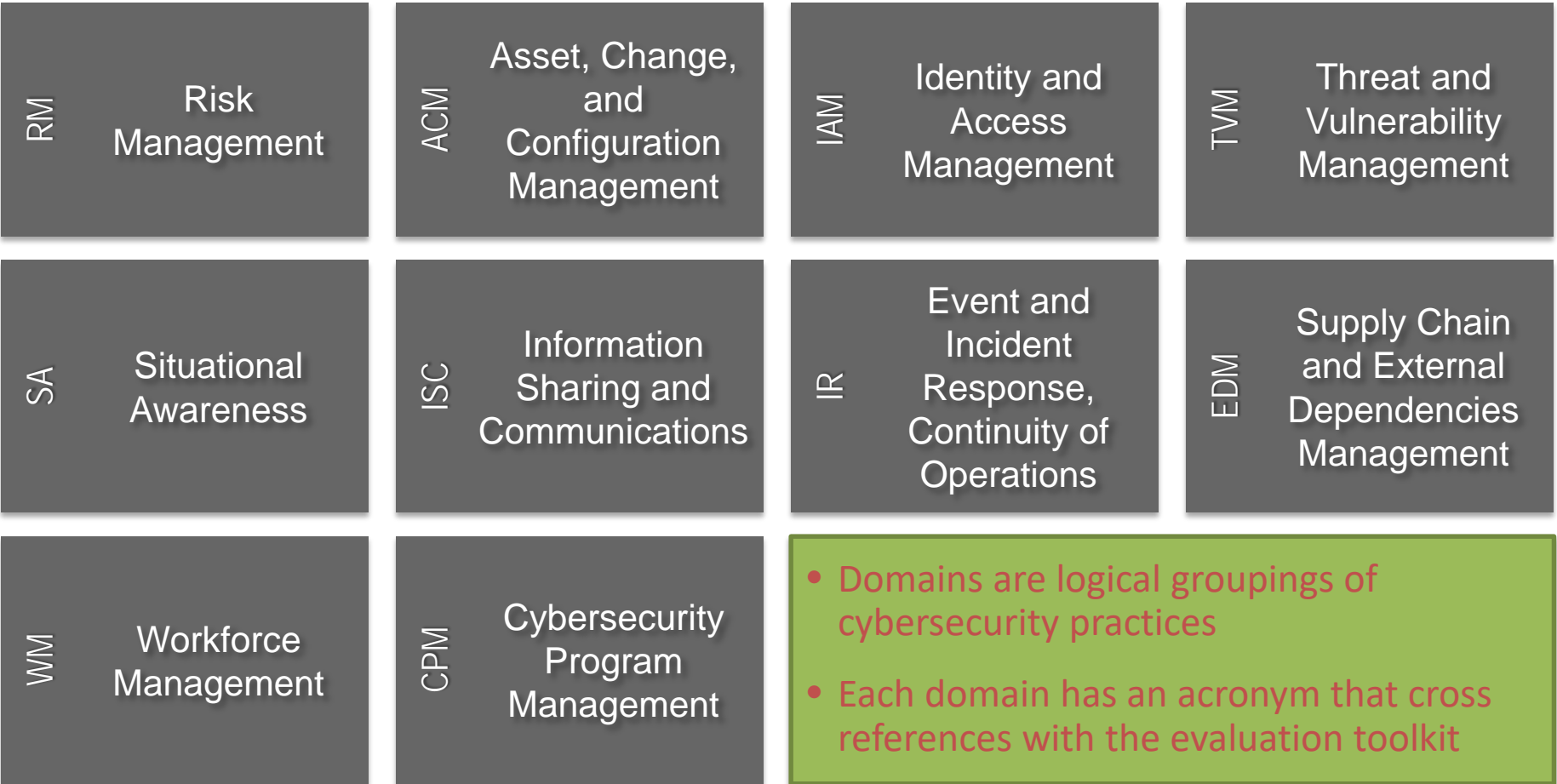
WWW.BC2M2.PNNL.GOV

Maturity Indicator Levels



- DOE and PNNL developed a tool and visualization platform to measure cybersecurity maturity for energy utilities. This tool has been adapted to buildings
- B-C2M2 provides a high level view of cybersecurity situational awareness and risk focusing on ten critical cyber domains
- A tool and data set for measuring and comparing nation's buildings cybersecurity maturity does not exist

Buildings Cybersecurity Maturity Model (B-C2M2) – Includes 10 Critical Cyber Domains



Critical Cyber Domain Example 1- Supply Chain & External Dependencies Management

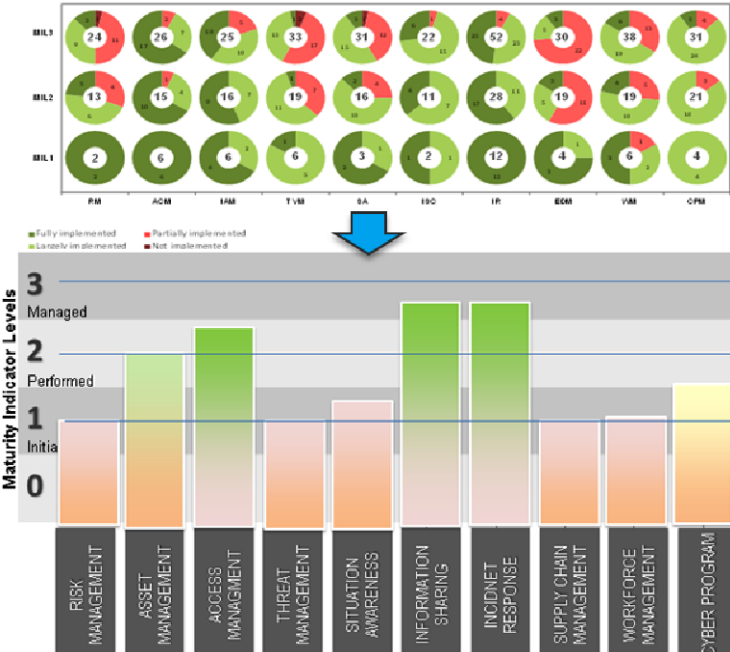
Objectives:

- Identify dependencies
- Manage dependency risk
- Management activities

Related Questions

- Significant cybersecurity risks due to suppliers and other dependencies are identified and addressed?
- Cybersecurity requirements are considered, at when establishing relationships with suppliers and other third parties?

Highest/Lowest Maturity in Pilot Studies



No Facility-level Identifiable Information (FII) is provided or retained by DOE.

Critical Cyber Domain Example #2 - Workforce Management

Objectives:

- Assign cybersecurity responsibilities
- Control the workforce life cycle
- Develop cybersecurity workforce
- Increase cybersecurity awareness
- Management activities

Appropriate training, testing, redundancy and evaluations of performance required

Personnel vetting, assigning risk designations to positions with access to assets and employing measures to prevent wrongdoing

Training & recruiting for overcoming skill gaps

Workforce training & recruiting for overcoming skill gaps; periodic security awareness training

What is the B-C2M2?

B-C2M2...

- ✓ Is completely voluntary
- ✓ Measures the maturity of an organization's cybersecurity capabilities
- ✓ Focuses on the programmatic structure
- ✓ Provides descriptive and flexible guidance
- ✓ Publicly available

B-C2M2 IS NOT...

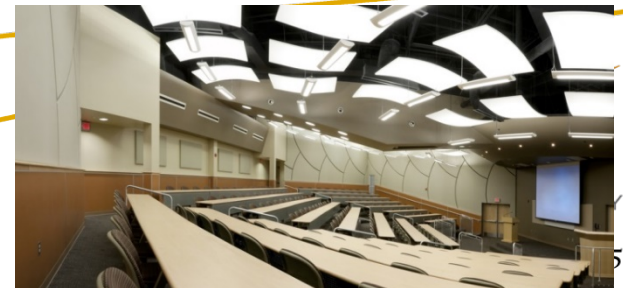
- ✗ Required or mandated
- ✗ Guidance for implementing specific security controls
- ✗ Penetration test.
- ✗ Intended to replace other cybersecurity-related activities, programs, processes, or approaches.

B-C2M2 Piloted in Five buildings

From Oct 2015 – April 2016 PNNL conducted pilot assessments at:

1. A **large laboratory facility** w/ many automated building control systems.
2. A **municipal building**, completed in 2011, with state-of-the-art energy and automated BCS.
3. A **university campus** with a mix of buildings (1970's - 2015) construction, many with automated BCS.
4. A **community college campus** with a mix of buildings from late 1950's – 2010's.
5. A **Federal Agency campus**

Each assessment took about 2 hours and involved interviews with senior building control system engineers, IT person, and sometimes facility manager.



Additional Lessons Learned from B-C2M2 Pilot Assessments

- ▶ **Considerable range in maturity of cybersecurity programs.**
 - **General lack of documented cybersecurity policies and procedures** for building control system security.
 - **Few resources and limited time allocated** to address cybersecurity.
- ▶ **Lack of any formal risk assessment and management program** for building control systems.
 - **Much being done right, though in ad-hoc manner.** Without continuity planning, personnel changes can drop a cybersecurity program back to square one.
- ▶ **Mature IT cyber program helps, but does not address all risks.**
 - **Security monitoring too limited.** Often relies on vendor software triggering of an alarm that shows an out-of-bounds condition.
- ▶ **B-C2M2 questions raised awareness.** Often heard *“I hadn’t thought of that – I think I should start paying more attention to...”*



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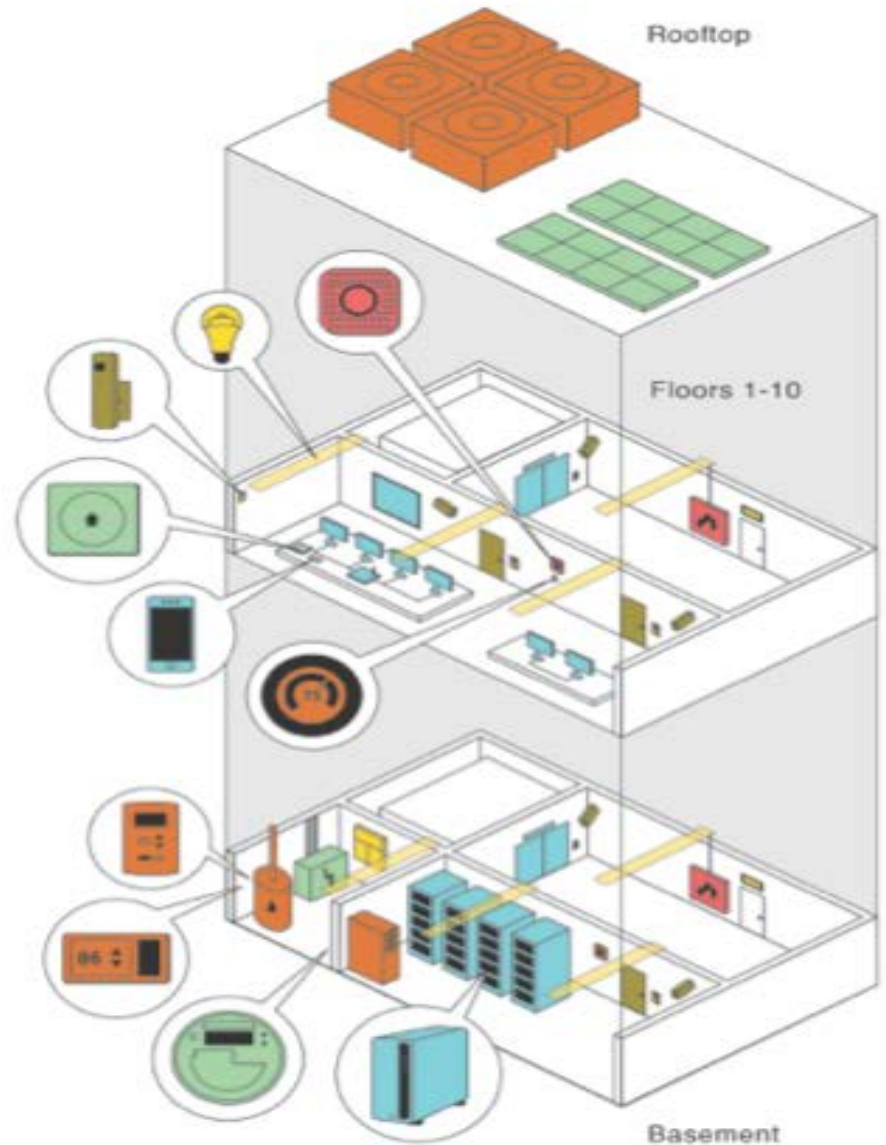
Illustrative B- C2M2 Building Cybersecurity Vulnerability Findings

Critical Asset Clusters

- Smart Security Alarms (fire alarms, etc.)
- Energy Management & BAS
- Security, Monitoring, & Access
- Smart Environment Control (lighting, etc.)
- Mobility & Information Communication
- HVAC

Vulnerabilities

- ● ● ● ●  Lack of inventory and identification of Critical Cyber Assets (CCA)
-  Lack of IT & OT security roles and responsibilities
-  Lack of patch management
- ●  Lack of separation between IT and OT networks
- ● ●  Lack of physical and cyber access control
-  Lack of authentication and encryption of CCA
-  Lack of periodic threat vulnerability assessments, penetration tests & mitigation
- ●  Lack of cybersecurity training and security audits
-  Lack of redundancy
-  Poor password management policies
-  Default software and network configurations
-  Lack of data and configuration backups
- ● ●  Lack of response and recovery plans
- ● ●  Lack of secure communication protocols
- ●  Lack of a risk management strategy



Building Cybersecurity Mitigation Recommendations

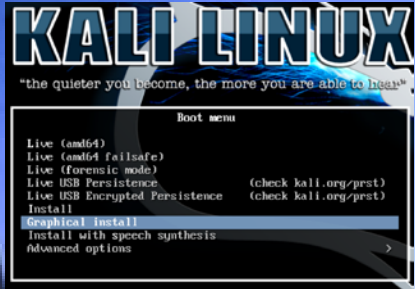


-  Implement network segregation
-  Implement password management policies (strong passwords)
-  Change default SSID. Hide SSID and MAC filtering (especially for residential)
-  Implement firewalls and configuration policies
-  Encrypt all means of data transfer/information communication
-  Determine roles and responsibilities; establish access control
-  Provide cybersecurity awareness education and training to all building personnel
-  Securely store and exchange control systems data to protect against data/privacy breaches
-  Implement plans for asset and network redundancy
-  Implement plans for asset transfers and backups
-  Implement integrity checks for automation software and firmware
-  Implement backup mechanism for sensitive information
-  Run periodic vulnerability, continuity, and penetration tests
-  Implement vulnerability management plan
-  Authenticate, approve, and log remote maintenance of building assets
- Maintain and protect audit logs such as Firewall logs, network audits

DOE - Integrated Joint Cybersecurity Coordination Center (iJC3) Cyber-Physical Assessments



BUILDINGS CYBERSECURITY CAPABILITY MATURITY MODEL



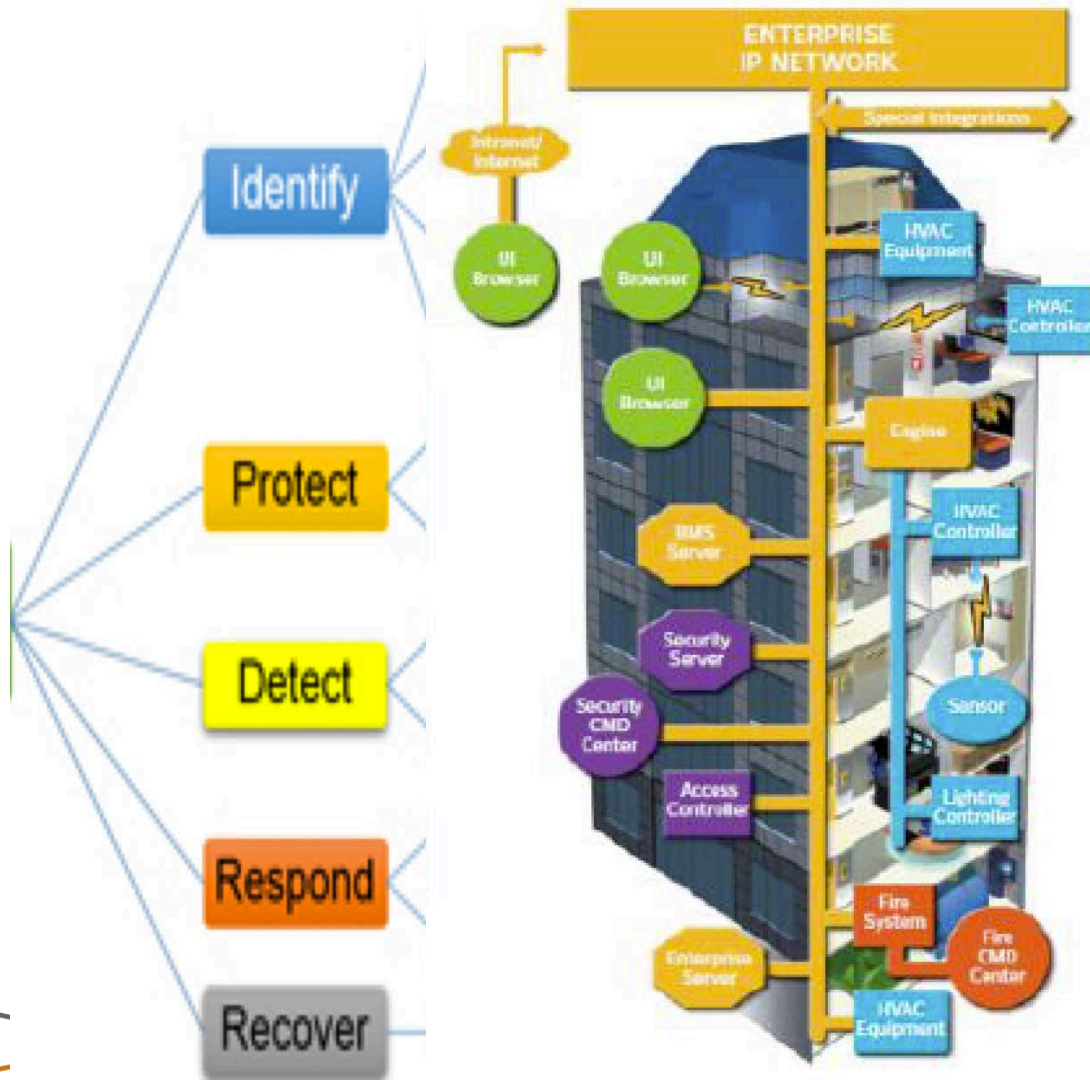
How to Build a Low-Cost, Extended-Range RFID Skimmer



DOE-PNNL Buildings Cybersecurity Framework



DOE
Buildings
Cybersecurity
Framework



The Buildings Cybersecurity Framework will help identify, protect, detect, respond, recover and mitigate cyber-physical security threats to buildings

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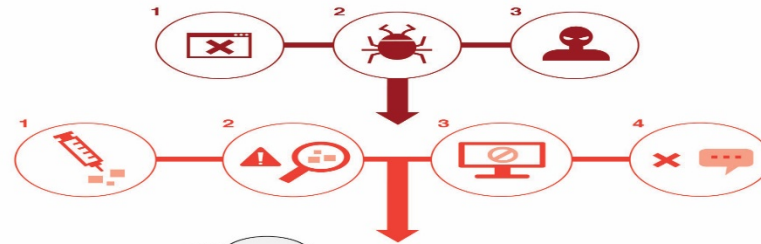
DOE-PNNL Buildings Cybersecurity Framework

- **Threats**

1. Human Error
2. Malware
3. Attackers

- **Attacks**

1. Data injection attacks
2. Data integrity attacks
3. Denial of Service (DoS) attacks
4. Timing attacks

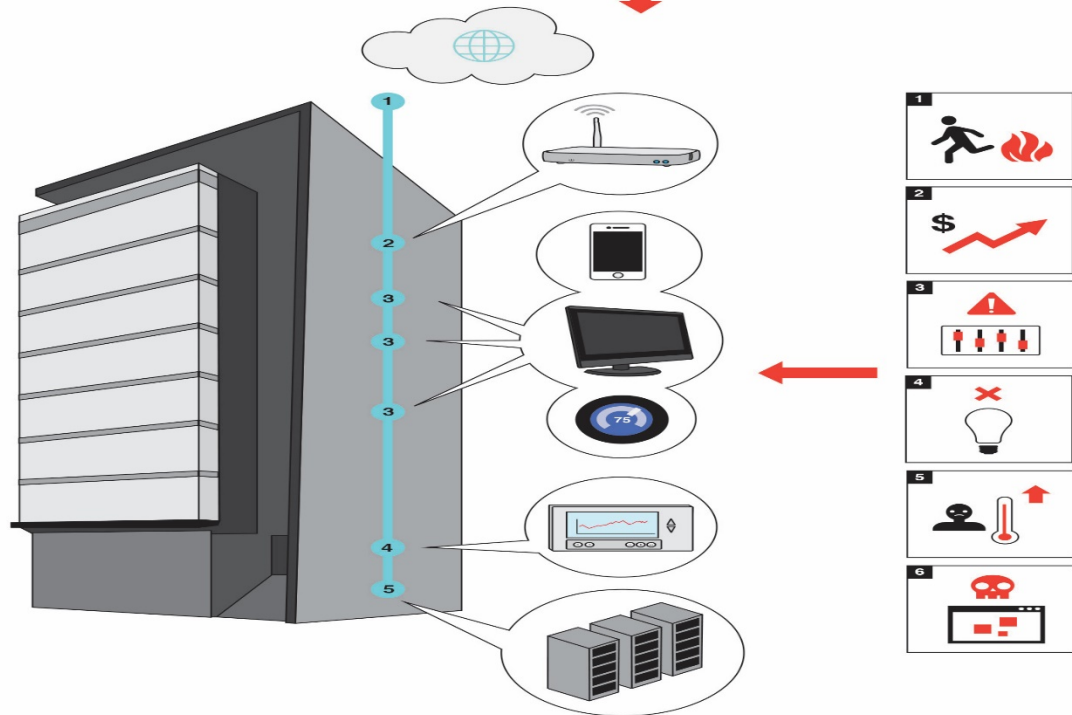


- **Building Connections**

1. Internet
2. Router
3. Networked devices
4. BAS controller
5. Data center

- **Impacts**

1. Impact to life and occupant safety
2. Impact to operational economics
3. Impact to BAS components
4. Impact to BAS services
5. Impact to occupant activity and comfort
6. Impact to data and software

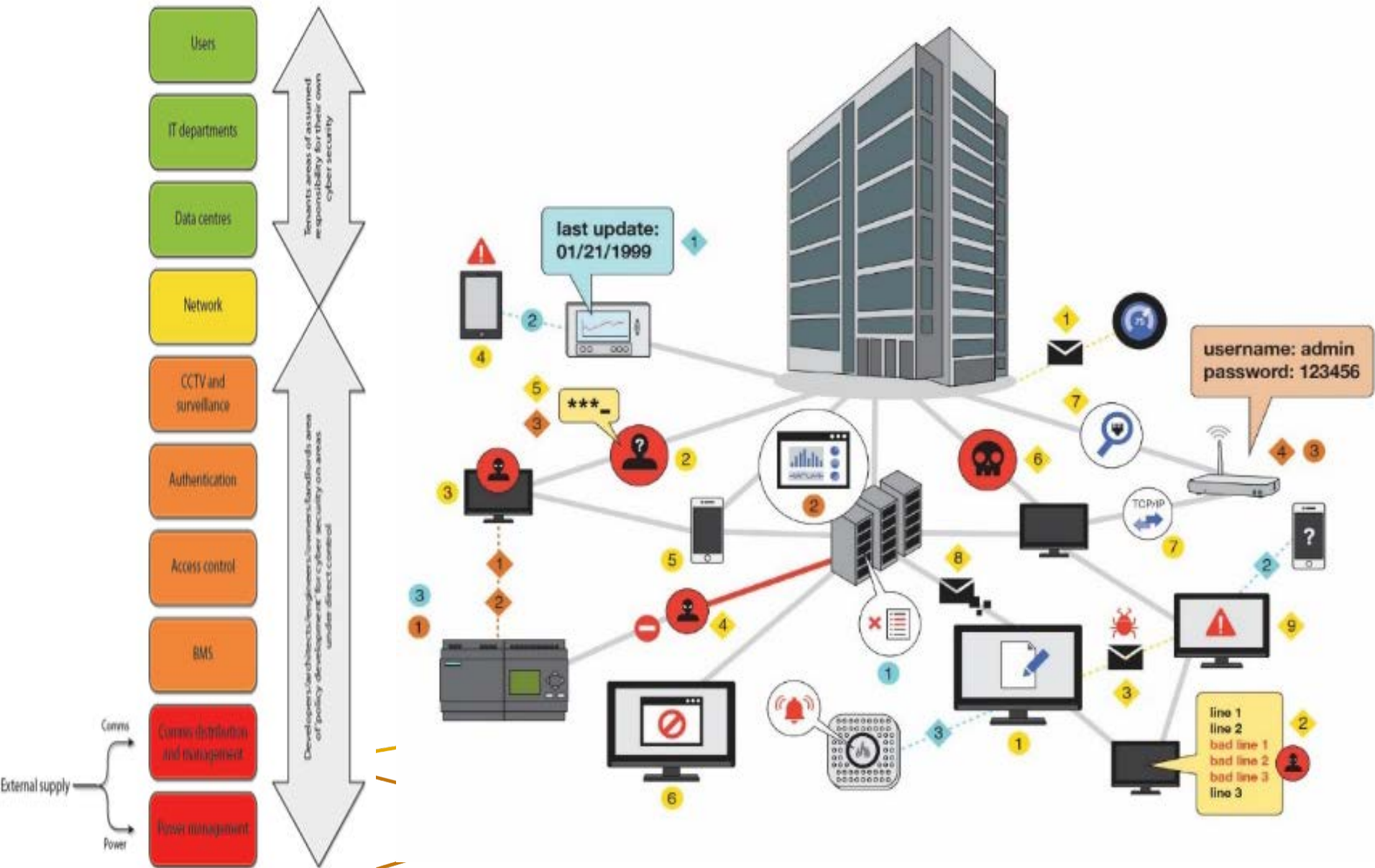


- **Critical Buildings Cybersecurity Control Schemes**

1. Access control
2. Vulnerability testing
3. Secure configuration of assets
4. Defense and protection of assets
5. Cybersecurity training and awareness



Training The Next Generation Buildings, Energy, IT and OT Security Manager



DOE-OE & PNNL Autonomous Tools for Attack Surface

▶ **Purpose:** PNNL is advancing the state-of-the-art in development of “Autonomous Tools for Attack Surface Reduction”.

▶ **Challenge:** Many recipients unfamiliar with cybersecurity.

■ **Roadmap Goals All**

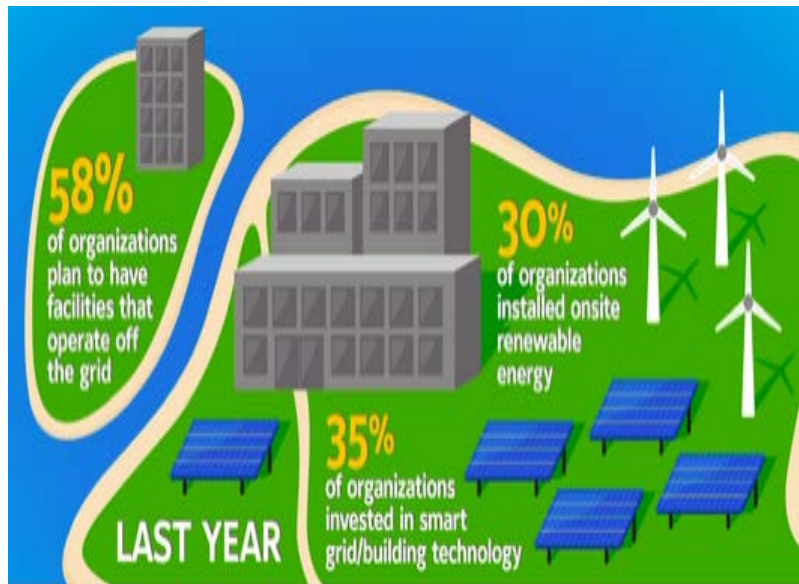
▶ **Technical Approach:** develop attack surface analysis algorithms and tools and conduct field demonstrations to test the attack surface analysis and reduction tools.

▶ **Major Deliverables:**

- Report covering algorithm development;
- Commercialization plan for autonomous attack reduction tools;
- Report covering the field demonstration scenarios and analysis of results;

Performers: PNNL, Snow PUD, WSU, ISU

DOE OE & PNNL Integration of Renewable Energy Sources Securely with the Buildings and Electric Power



- **Performers:** PNNL, UTRC, UICU

- ▶ **Purpose:** This project will deliver advanced attack detection and resiliency-enabling cybersecurity platform called INGRESS for behind-the-meter distributed energy resources that will be deployable within *legacy* and *emerging* energy system environments.
- ▶ **Challenges:** Lack of fidelity and security in buildings to grid deployment of distributed energy resources.
- ▶ **Major Deliverables:** Techniques to sense the health of the grid from the edge and also detect attacks emerging from the grid-edge devices
- ▶ A collection of attack-resilient techniques implemented in VOLTTRON

Cyber Secure - Facility Energy Decision System (CS-FEDS)

Challenges

Challenge 1: Cybersecurity solutions often times increase costs, reduce functionality and lack a clear value proposition.

Challenge 2: Networking and digitizing energy technology and controls can reduce costs, increase functionality and efficiency, but often times increases cyber vulnerabilities.

Challenge 3: A turn key tool to improve energy efficiency and cybersecurity does not exist



Proposed Solution

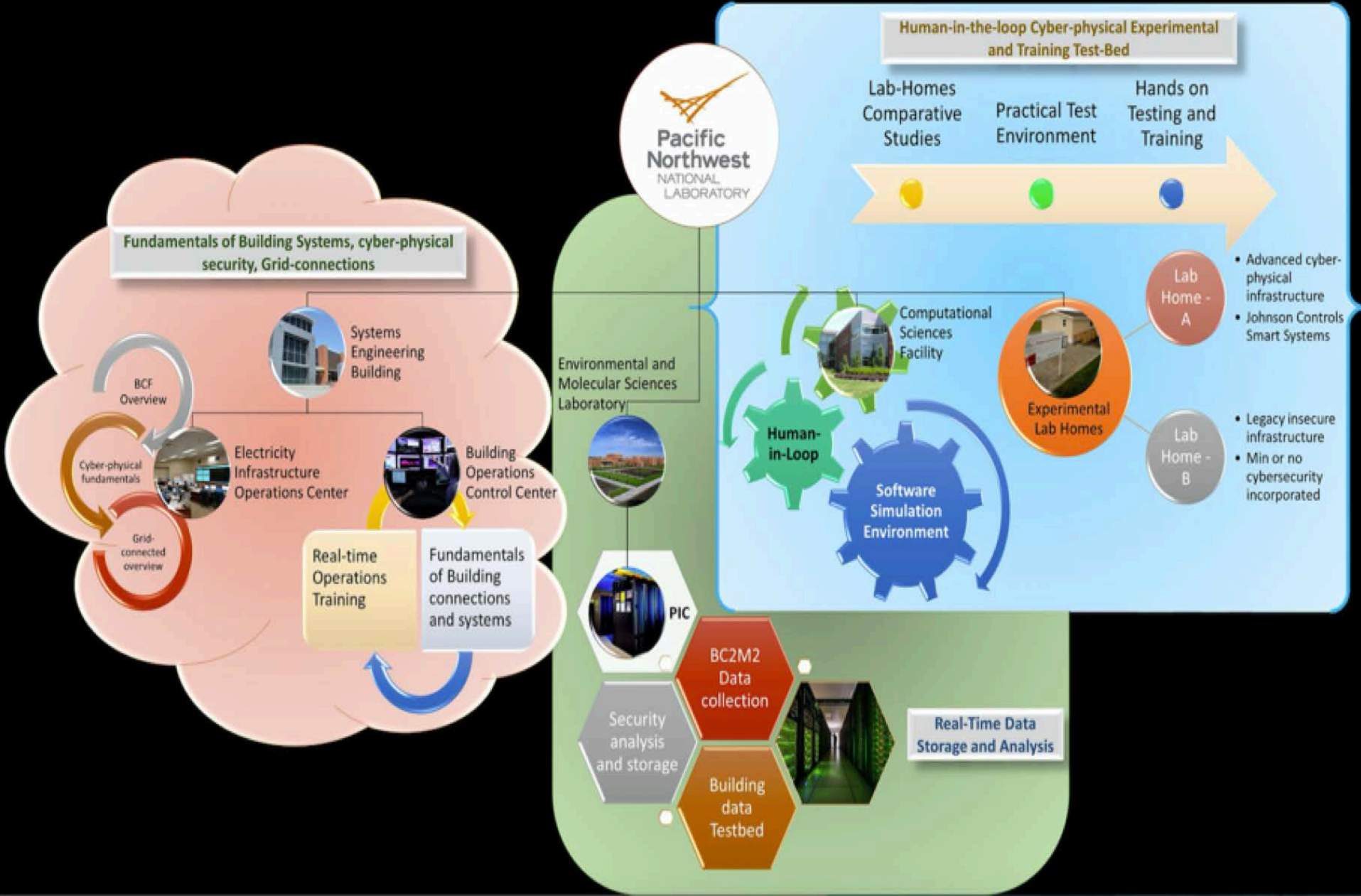
- PNNL have beta tested a tool called the Cyber Secure - Facility Energy Decision System (CS-FEDS) that could potentially help building owners reduce their energy consumption, while increasing their cybersecurity maturity and situational awareness.

Key Features

- Models energy and cost performance of heating, cooling, ventilation, lighting, motors, plug loads, building shell, and hot water systems, plus central plants and thermal loops.
- Models buildings systems interoperability and inventories critical cyber assets
- Identifies cybersecurity vulnerabilities in building automation systems

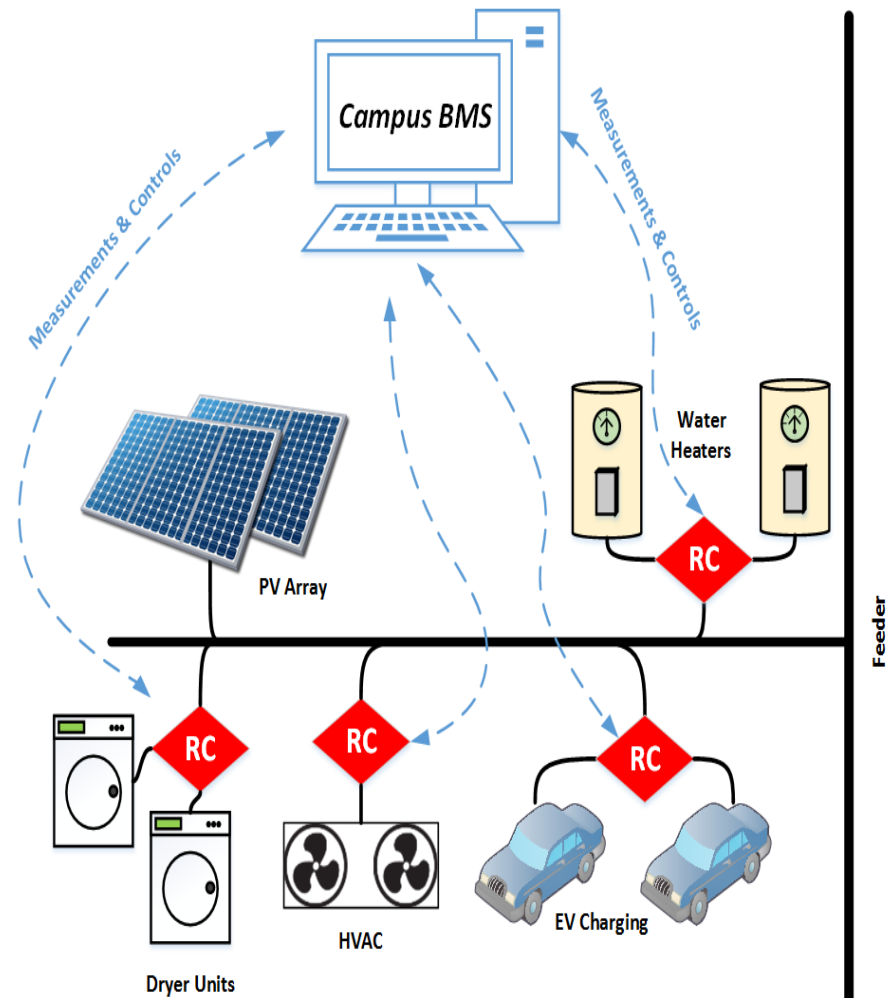
Turn-Key buildings cybersecurity and energy efficiency tool

Buildings-To-Grid Cybersecurity Testbed



Resilient Controllers for Campus BMS

- ▶ Demand Side Management
 - Schedulable/controllable loads
 - Distributed energy resources
 - Transactive energy schemes
 - Utility contracts
- ▶ Increasing cyber threats
 - Vulnerable, insecure controllers
- ▶ Cyber attack impacts
 - Safety (Buildings/Occupants)
 - Property damage (Equipment)
 - Operational costs (Campus)
 - Energy security (Campus/Utility)



Need: Resilient Controllers for schedulable loads in a campus to detect and mitigate cyber attacks.

Buildings Cybersecurity Training – From Procurement to Policies, Systems to Implementation



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