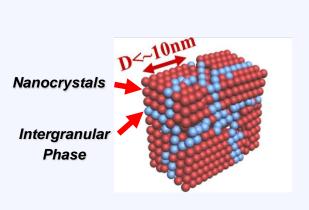
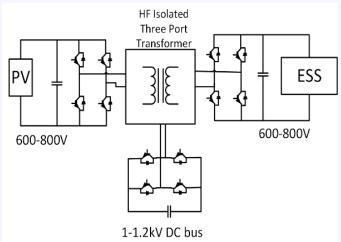
Combined PV / Battery Grid Integration with High Frequency Magnetics Enabled Power Electronics

National Energy Technology Laboratory SuNLaMP Award # DE-EE00031004 Phase 1 – Quarter 3







NETL Principal Investigator: Paul R. Ohodnicki, Jr.

Contact Information: paul.ohodnicki@netl.doe.gov, 412-386-7389

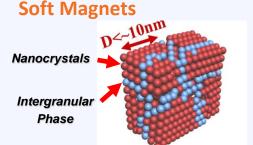
Co-Principle Investigators: Mark Juds (Eaton), Subhashish Bhattacharya (NCSU), Michael McHenry (CMU), and Randy Bowman (NASA)



Project Overview: Teaming Structure







3-Winding Nanocomposite

Core Transformer



Carnegie Mellon University

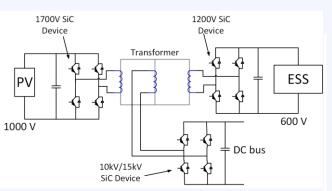






Three Port Modular

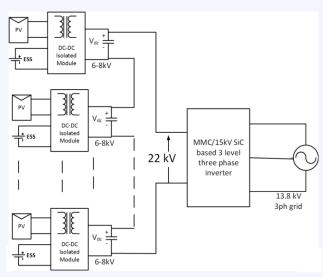
DC-DC Converter



High Frequency Magnetics

+ Wide Bandgap Semiconductors

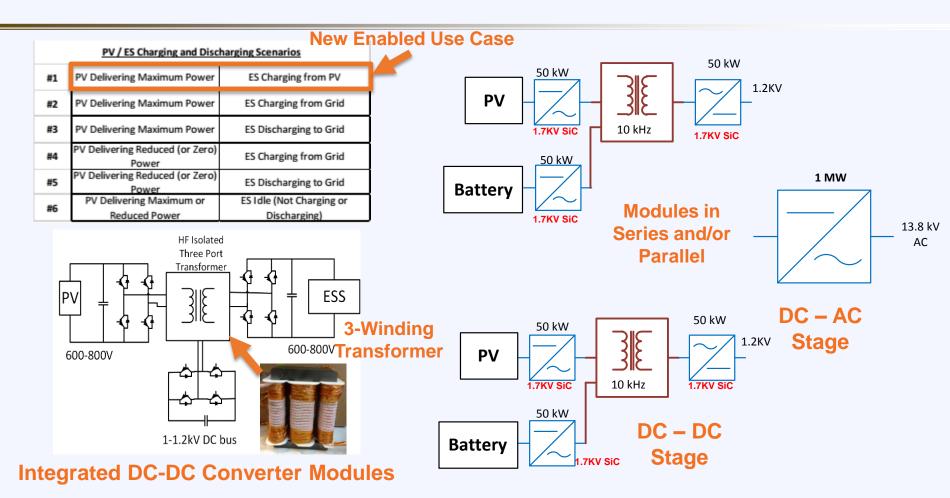
Overall PV / ES Inverter System



- Team Structure Spans National Labs, Industry, and Universities
- Modular MW-Scale Inverter for Combined Photovoltaic and Energy Storage



Project Overview: Proposed Converter Topology



- Combined Solar PV and Energy Storage Integration with DC-DC Converters
- Coupling Through a Three Winding High Frequency Transformer Core
- Grid Interconnection Through a DC / AC Inverter Stage



Project Overview: Task Structure

- Task 1: Detailed Converter Component / Architecture Definition
- Task 2 : DC-DC Integrated Converter Modules
- Task 3: System Level Integration Simulation and Experimental Demonstration
- Task 4: Advanced Magnetic Core and High Frequency Transformer Fabrication, Design, and Testing

A Particular Emphasis is Placed on Enabling Magnetics Technology: Alloy Development and High Frequency Core and Transformer Design



Primary Project Milestones and Deliverables

- Commercialization Plans for the Power Electronics and HF Transformer Technologies and Enabling Magnetics
- Design and Prototype of a 50kW DC-DC Converter Module for Combined Photovoltaic and Energy Storage Integration
- Successful Demonstration of 2 x 50kW Converter Modules to 60Hz AC Grid Interconnection
- Successful Demonstration of Advanced Enabling Magnetics
 - Engineered Permeability Cores Using Advanced Processing
 - Advanced Nanocomposite Based High Frequency Transformers



Quantitative System Metrics for Converter Topology

- Capital Cost < \$0.1 / W (Proposed Modification to \$0.15/W)
- Overall Efficiency > 98%
- Power Density > 8 W / in³ (~2x current Eaton solar inverters)
- Pathway to Reliability > 25 Year Lifetime (Estimated MTBF)
- Satisfying all Necessary Interconnection Standards Including IEEE 1547 and UL 1741

Metrics for the Proposed Converter Topology are Aligned with SunShot Initiative Metrics Modified Slightly for Combined PV / ES

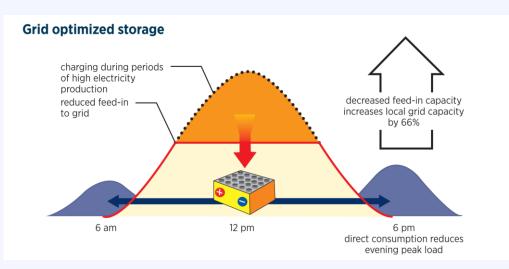


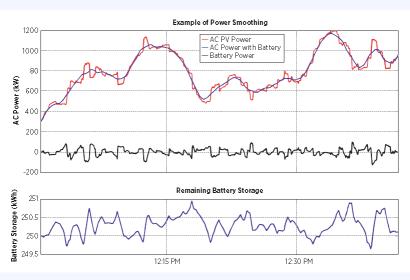
- Energy Time Shift → Approximate by Constant Average Power Delivery
- Quantified Here

Not

- Renewable Energy Smoothing → Not Quantified (System Level Benefit)
- Increased Energy Output at Fixed DC / AC Inverter Stage Rating Secondary
- Higher Efficiency PV Energy Local Consumption (Reduced Conversion Steps)



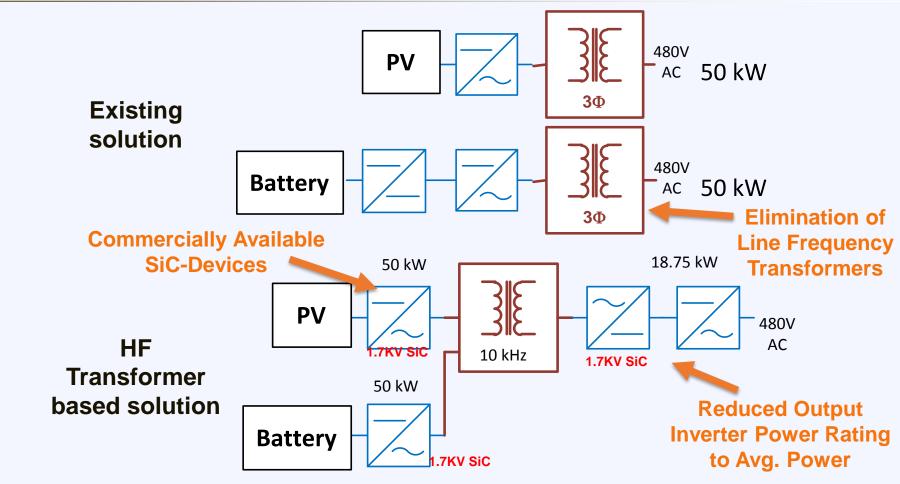




Key Value Propositions Have Been Identified for Combined Solar PV and Energy Storage on the DC Side for Benchmarking

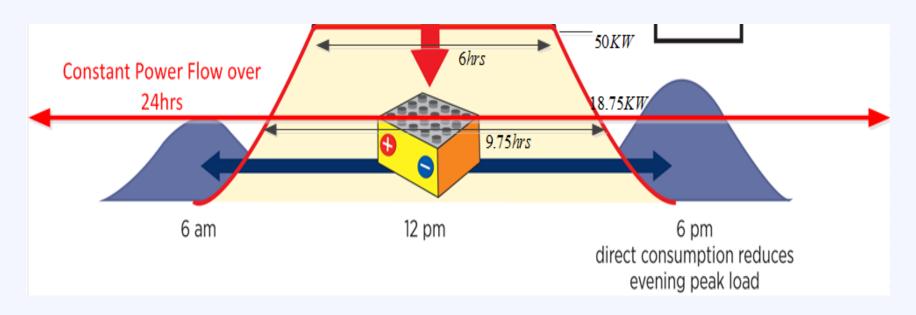


* See Assumptions in Appendix Slides for Architecture Studies



Commercial Scale: Established Base Case and Proposed HF Transformer Solution (50kW, 480Vac)





- Assumptions:
 - Constant power over 24 hours
 - Battery capacity is high enough to maintain
 - Day-time PV array output power is close to trapezoid with 50kW peak power
- New solution has 99% battery charging efficiency, versus traditional solution is only 94%.

- Energy saving per day: 12.3KWh
- Dollar saving per year: \$225 (\$0.05/W electric price)
- Return on initial investment: 2.23 years
- Lifetime earning of 25 years: \$5.1K



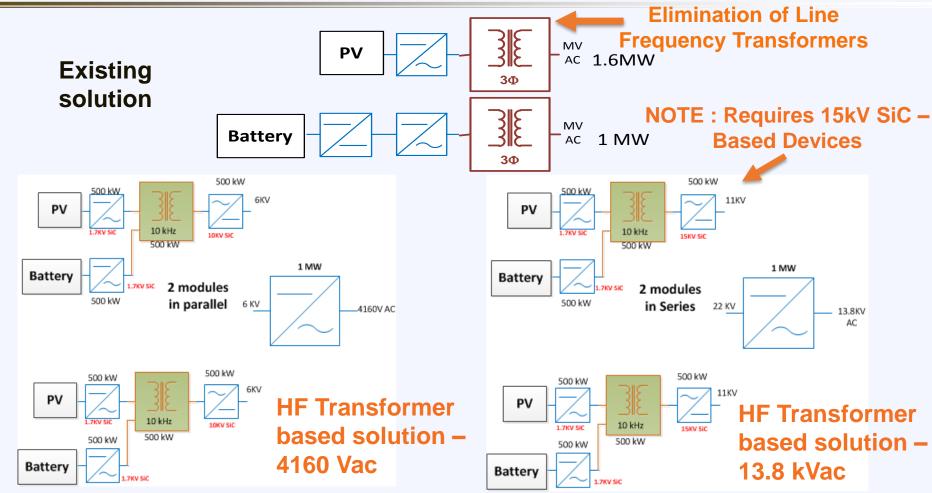
Architectures	Efficiency (PV to 480Vac)	Efficiency (PV to Battery)	Converter + Transformer Material Cost/W**	Power Density	Lifetime Saving	Reliability (MTBF)
Existing Solution (AC Coupled)	97-98%	93-95%	\$0.30/W	8.4 KW/m^3	-	40.3 years
HF Transformer Solution (DC Coupled)	98%	<u>99%</u>	\$0.295/W	114.1 KW/m^3	\$5.6K	83.8 years

- Higher efficiency from PV to battery/load (local energy consumption)
 - \$224/W saving per year
 - 2.2 years cover the initial cost difference
 - Total lifetime earning \$5K
- 8+ times higher power density
- Twice MTBF of the conventional solution

Proposed Topology is Attractive at Commercial Scale.

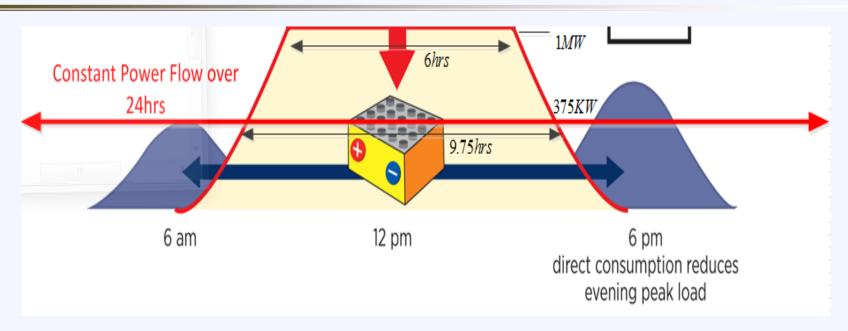
Commercially Available SiC-Devices and HF Magnetics.





Utility Scale: Base Case and HF Transformer Solution (1MW, 4160Vac or 13.8kVac, 500kW Modules)





- Assumptions:
 - Constant power over 24 hours
 - Battery capacity is high enough to maintain
 - Day-time PV array output power is close to trapezoid with 1MW peak power
- New solution has 99% battery charging efficiency, versus traditional solution is only 94%.

- Energy saving per day: 246KWh
- Dollar saving per year: \$4.5k (\$0.05/W electric price)
- Return on initial investment: 6.7 years
- Lifetime earning of 25 years: \$82k



Architectures	Efficiency (PV to 480Vac)	Efficiency (PV to Battery)	Converter + Transformer Material Cost/W**	Power Density	Lifetime Saving	Reliability (MTBF)
Existing solution (AC Coupled)	97-98%	93-95%	\$0.10/W	38.9 KW/m^3	-	20.1 years
New solution	98%	99%	\$0.13/W	74.7 KW/M^3	82K	26 years

- Cost is slightly higher and competitive, <u>but REQUIRES 15kV SiC devices</u>
- ~2x times higher power density
- More reliable than the conventional solution with MBTF > 25 years
- <u>Proposed Topology Becomes More Attractive as the Reliance on Energy</u>
 <u>Storage Component Increases</u>



Architectures	Efficiency (PV to 480Vac)	Efficiency (PV to Battery)	Converter + Transformer Material Cost/W**	Power Density	Lifetime Saving	Reliability (MTBF)
Existing solution (AC Coupled)	97-98%	93-95%	\$0.10/W	38.9 KW/m^3	-	20.1 years
New solution	98%	99%	\$0.13/W	74.7 KW/M^3	82K	26 years

Potential Pathways Towards the SunShot Initiative of \$0.1 / W at Utility Scale

#1: Reduce the Inverter Output Rating to Minimum Required (~ 375kW)

#2: Reduce (or Clarify?) Costs Associated with High Frequency Transformers

Suggestion: Consider Increasing Cost Metric to \$0.15 / W Due to Higher Value of Combined Solar and Energy Storage Inverter Technology



Comparison of 3-Phase DC / AC Inverters for 13.8kV AC Grid Integration at 1MW

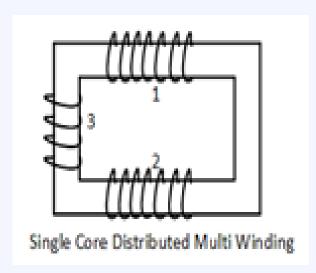
* 3 no. 15kV, 20A MOSFETs or 6 no. 10kV, 10A MOSFETs added in parallel for each switch to meet current rating requirements.

Parameters	3 Level Voltage	Source Converter	Cascade	d H-bridge
	10 kV, 10 A MOSFET based Design	15 kV, 20 A MOSFET based Design	10 kV, 10 A MOSFET based Design	15 kV, 20 A MOSFE based Design
Device Count	144	36	144	72
Current THD	4%	4%	4%	4%
Passive Components	Smaller dc cap, Larger filter L	Smaller dc cap, Larger filter L	Larger dc cap, Smaller filter L	Larger dc cap Smaller filter L
Voltage Stress on each Device	11 kV	11 kV	6 kV	6 kV
Current Stress in each Device	10A	10A	10A	10A
Efficiency (at 4 kHz)	99.54%	99.57%	99.43%	99.17%

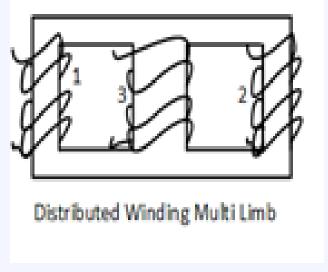
Higher Efficiency

A Number of Potential Inverter Topologies Have Been Explored for AC Grid Tie





Single Core, 3-Winding
Distributed >30kW Prototype

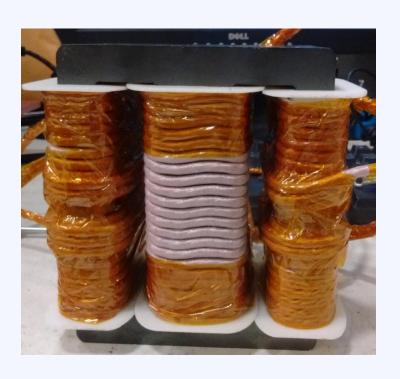


Three-Limb Core, 3-Winding <30kW Prototypes

Transformer Prototypes Being Explored:

- Prototypes < 30kW : Emphasize 3-Limb, 3-Winding
 - Reduced inter-winding capacitive coupling, additional design flexibility
- Prototypes > 30kW : Explore Single Core, 3-Winding
 - Begin simple for manufacturability, increase complexity as needed

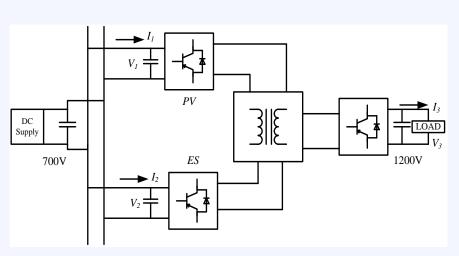


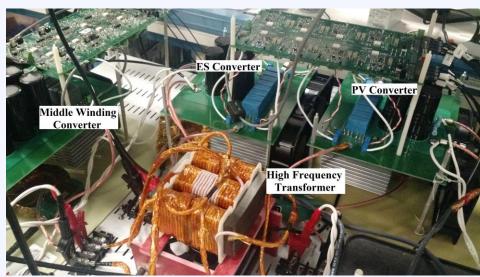


Transformer Parameters						
Parameters	Measured Values	FEA Analysis				
L_{L3}	89μΗ	82μΗ				
L_{L1}	33µH	36µН				
L_{L2}	38µH	36µН				
C _{1a1b}	12pF	6pF				
C_{1a2a}	5pF	6pF				
C_{1a2b}	1pF	4pF				
C_{1a3}	18pF	14pF				
C_{2a1b}	7pF	4pF				
C_{2a2b}	3pF	6pF				
C_{2a3}	17pF	14pF				
C_{1b2b}	12pF	6pF				
C_{1b3}	14pF	14pF				
C_{2b3}	16pF	14pF				

FEA Compared with Experimentally Determined Results Using Equivalent Circuits Including Leakage Inductance and Parasitics to Confirm Effective Transformer Parameters







$$P_{PV} = V_1 I_1$$

$$P_{ES} = V_2 I_2$$

$$P_3 = V_3 I_3$$

when
$$P_{ES} > 0$$
, $P_{in} = P_{PV} + P_{ES}$, $P_{out} = P_3$

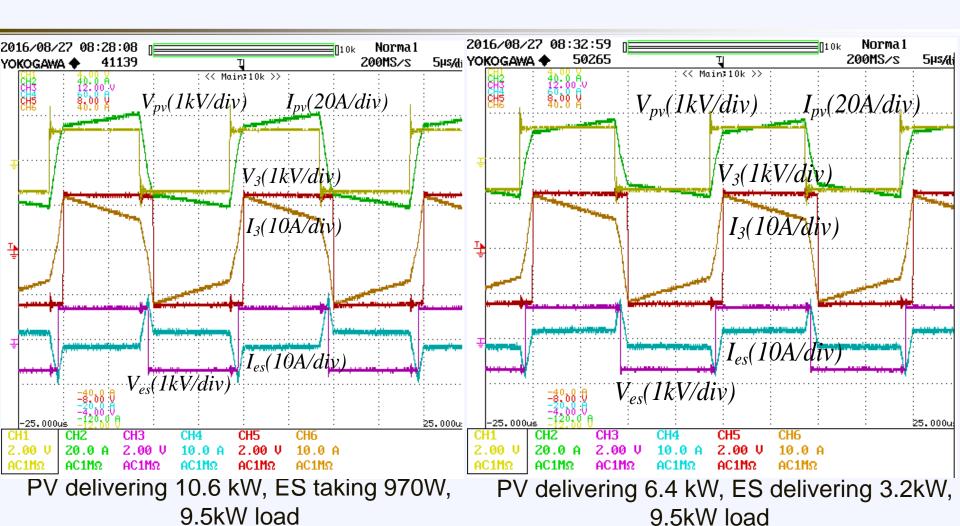
when
$$P_{ES} < 0$$
, $P_{in} = P_{PV}$, $P_{out} = P_3 + |P_2|$

$$P_{losses} = P_{in} - P_{out}$$

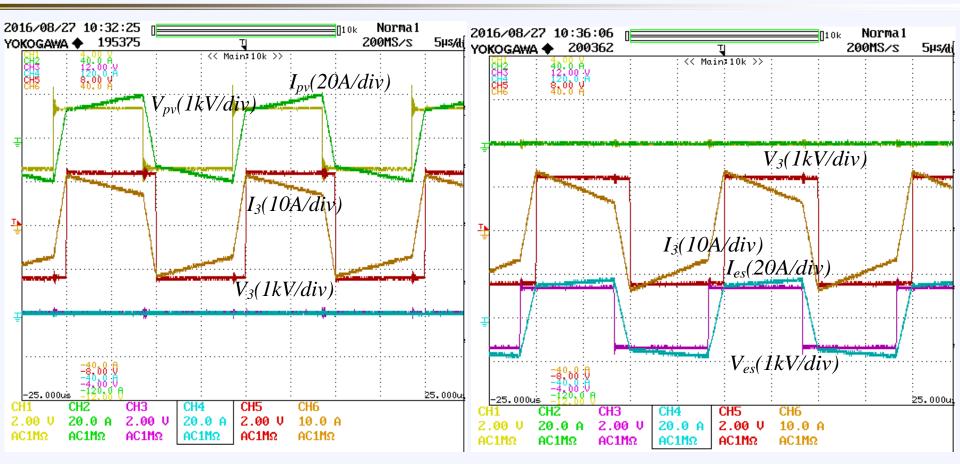
The First Full ~10kW Converter Prototypes Have Been Established for Commercially Available Ferrites and Nanocomposite Based Cores:

- 1) PV and ES Modeled as a DC Supply or Sink
- 2) A Single DC Bus 700V Input and 1200V DC Output Bus
- 3) Currently Open Loop Control, Control Issues To Be Explored





Successful DC-DC Converter Operation is Demonstrated for a Range of Various Use Case Scenarios

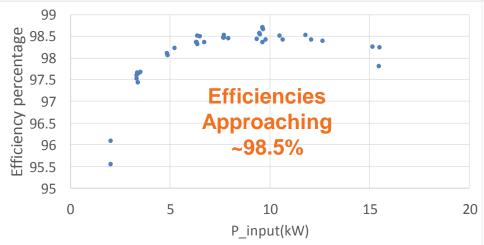


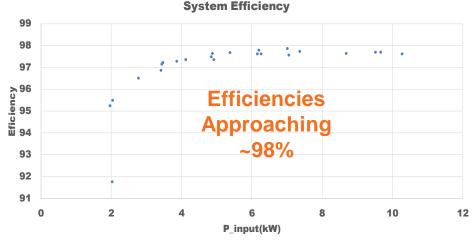
PV delivering 9.5kW, ES is idle

PV is idle, ES delivering 9.5kW

Successful DC-DC Converter Operation is Demonstrated for a Range of Various Use Case Scenarios

Early Stage ~10kW DC-DC Converter Prototype Results



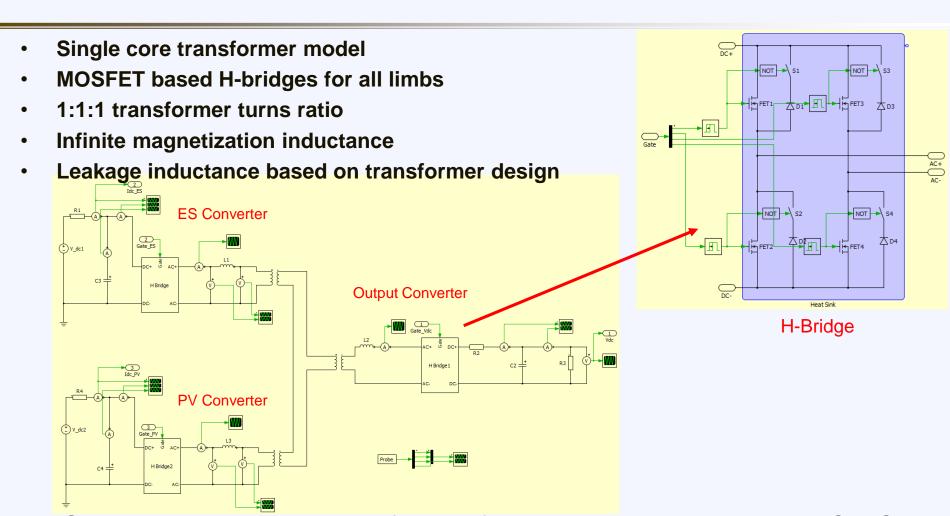


MnZn-Ferrite Core Based Transformer at 0.2T, 50kHz Vitroperm 8000
Nanocomposite Core Based
Transformer
at 0.5T, 20kHz

Higher Efficiencies are Achieved at Higher Inputs Powers.

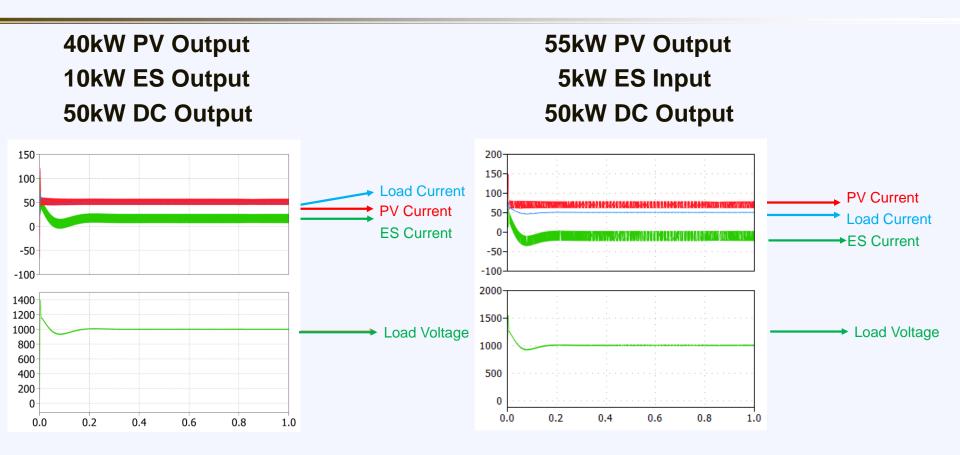
Efficiencies Approaching 98-98.5% for Early Prototypes that Have Not Been Loss Optimized.





Simulations Have Been Performed for the Full 50kW Prototype DC-DC Converter Module Using Idealized Transformer Models and Commercially Available SiC-Based Device Characteristics Taken from Data Sheets



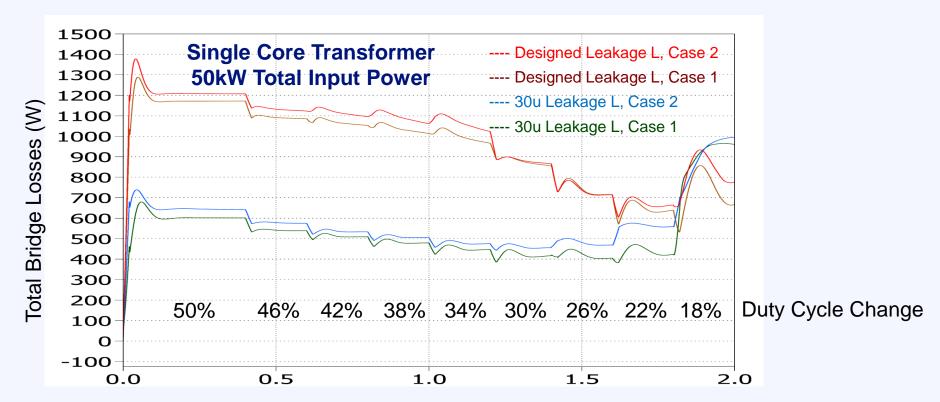


Example Simulation Results for Two Different Use Cases:

Use Case #1: PV and ES Distributing Power to Load

Use Case #2: PV Distributing Power to Both ES and Load

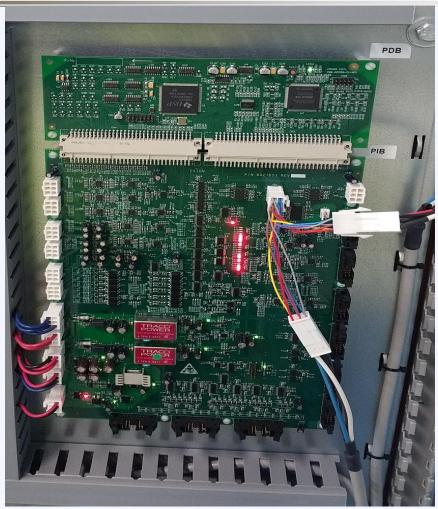




Duty Cycle Control and Leakage Inductance Modifications Enables Semiconductor Losses <1% Required to Achieve Converter Metrics.

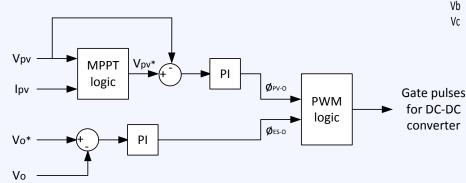
Further Optimization with Controls and Semiconductor Design Will Be Pursued in Q3, Including More Realistic Transformer Models.



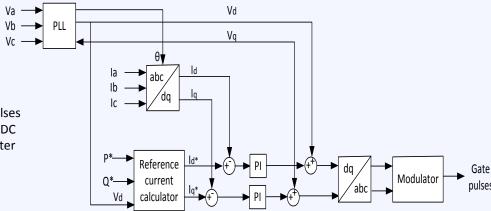


Suitable Controller Hardware Compatible with Existing Eaton Commercial Inverters Has Been Identified

 Three-port DC-DC converter control



Inverter Control

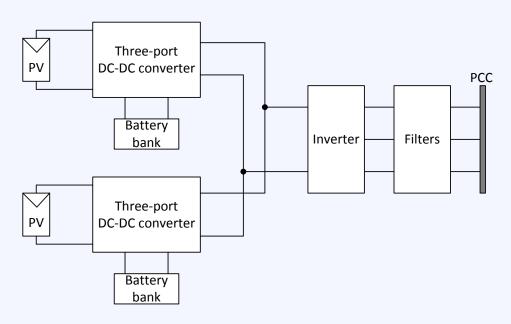


- Perturb & observe MPPT algorithm
- Regulated output voltage
- Phase-shift control for three-port DAB

- Active and reactive power control using inverter
- Control in synchronously rotating frame
- Space vector modulation of inverter

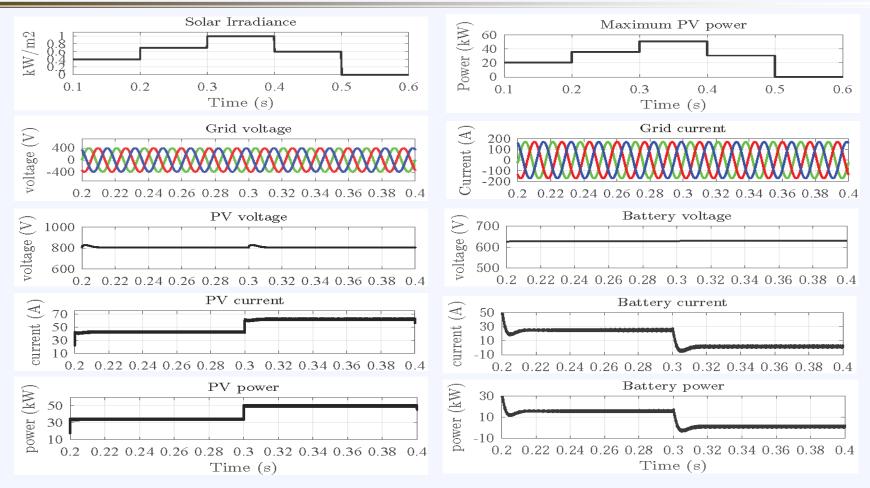
Control Structures Were Developed and Implemented Including MPPT w/ Voltage Control of 3-Port Converter.

- Rated power: 100 kW
- AC voltage: 480 V
- Rated frequency: 60 Hz
- 50 kW, DC-DC module
- 2 Parallel DC-DC modules
- PV voltage: 600-1000 V
- ES voltage: 480-672 V
- DC-DC o/p voltage: 800 V



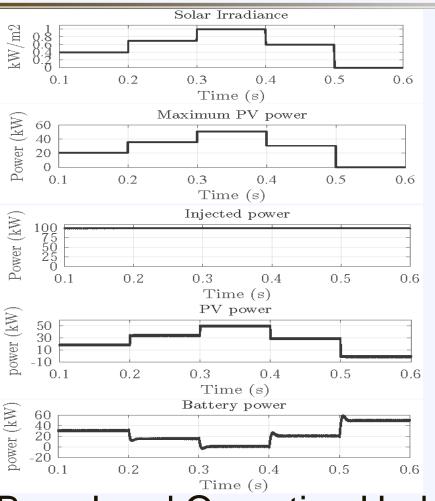
Integration of 2 – 50kW DC-DC Converter Modules Consistent with Planned Experimental Demonstration.





Use Case Showing Converter Response to Increased Solar Irradiance with Fixed Inverter Output Power.





Base Load Operation

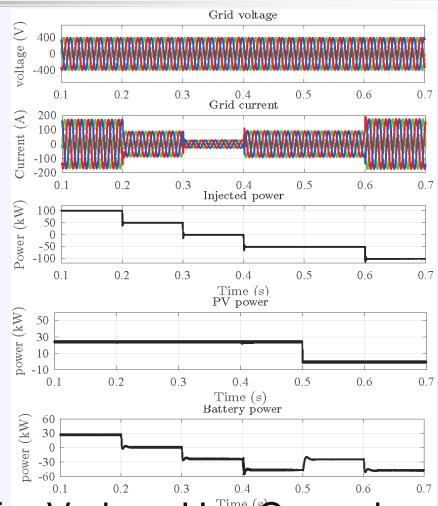
- System behaves as a base load plant
- MPPT algorithm efficiently tracks maximum power point
- Help mitigating issues associated with the increased PV penetration
- Battery absorbs PV fluctuations

Base Load Operation Under Varying Solar Irradiance Conditions is Demonstrated with Fixed Output Power.



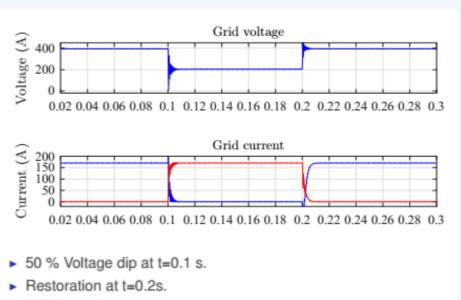
Dispatchable Operation

- System behaves as a dispatchable PV plant
- 0.3 s-0.4 s: Battery is charged from PV
- 0.4 s-0.5 s: Battery is charged in using both PV and grid
- 0.5 s–0.7 s: Battery is charged from the grid

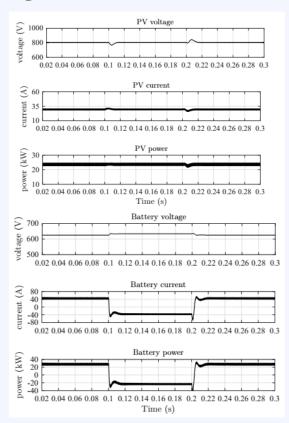


Dispatchable Operation for Various Use Cases is Demonstrated Including ES Charging / Discharging.

Low Voltage Ride Through (LVRT)



- LVRT requirements:
 - Active current injection should be reduced to zero on voltage dip.
 - Full reactive current injection during voltage dip.
 - Restore active current injection on fault clearance.
- Solar irradiance: 0.5 kW/m²



LVRT Can Be Accomplished in the Current Topology Through ES Charging Rather than Shifting From MPPT.



Intra-Coil

Turn to Turn

Capacitance

Ltt1

Permeance Based Leakage Inductance

Coils 1, 2, 3 Leakage Flux Paths

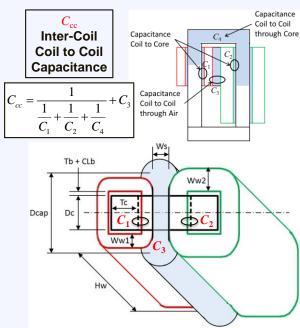
Coils 1 and 2 Leakage Flux Paths

Coils 1 and 2 Leakage Flux Paths

Coil 3 Leakage Flux Paths

Core Window Leakage Flux Paths

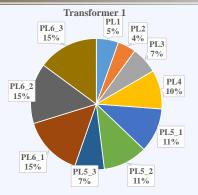
Analogous Interwinding Capacitance Models



Existing Analytical Models for Leakage Inductance and Parasitic Capacitances Have Been Developed and Applied for Integration with Transformer Designs.



~70% of Permeance Dictated By Winding Geometry



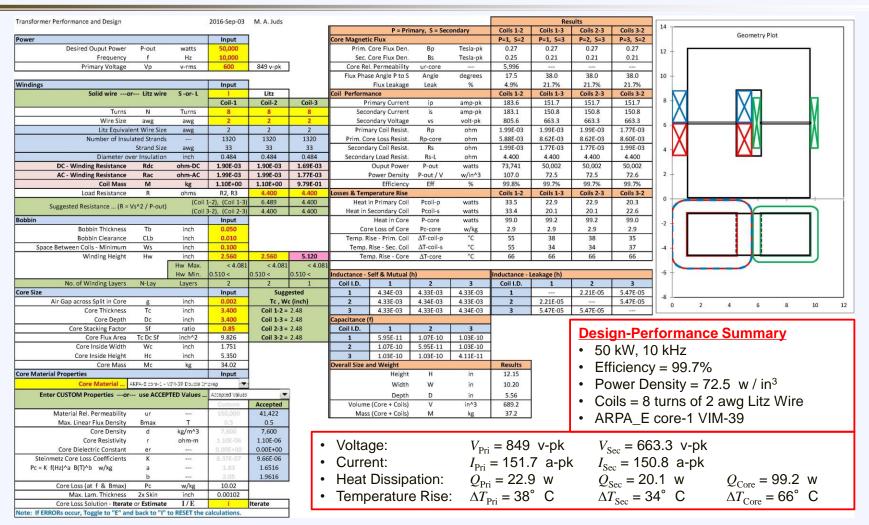
Relative Permeances for Transformer 1

TABLE 1: Transformer Physical Data						
	Design 1	Design 2				
	V/N= unequal	V/N = equal				
PV voltage rating	700V	700V				
ES voltage rating	700V	700V				
Middle Limb voltage rating	1200V	1200V				
Cores used	E-100/60/28	I-93/27/16				
Side Limb Area	13.5mmx54mm	16mmx54mm				
Middle Limb Area	27mmx54mm	16mmx54mm				
Side limb no of turns	24	20				
Middle limb no of turns	20	34				
Clearance+Bobbin thickness CL_s and CL_m	2.5 mm each	2.5 mm each				

TABLE 2: Transformer Parameter Comparison							
		Analytical	FEA	Measured			
Leakage from	TR1	131μΗ	129μΗ	134μΗ			
Middle Winding	TR2	403μΗ	402μΗ	386μΗ			
Leakage from	TR1	189μΗ	188μΗ	174μΗ			
PV Winding	TR2	132μΗ	131μΗ	143μΗ			
Leakage from ES Winding	TR1	189μΗ	188μΗ	176μΗ			
	TR2	132μΗ	131μΗ	135μΗ			
Capacitance	TR1	29pF	32pF	32pF			
between PV- HV	TR2	27pF	26pF	24pF			
Capacitance	TR1	29pF	32pF	31pF			
between ES- HV	TR2	27pF	26pF	23pF			
Capacitance between ES-	TR1	22pF	25pF	22pF			
PV ES-	TR2	23pF	22pF	20pF			

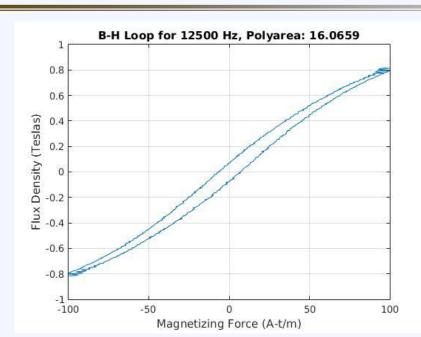
Consistency Has Been Achieved Between Analytical Calculations, Finite Element Analysis, and Measured Values of Prototypes.

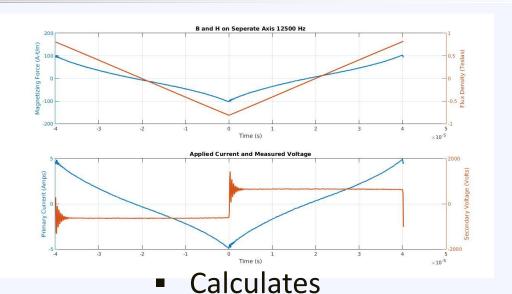




Full-Scale Transformer Design Models are Under Development and Early Results Show Losses and Temperature Rises within Requirements for Transformer Performance.







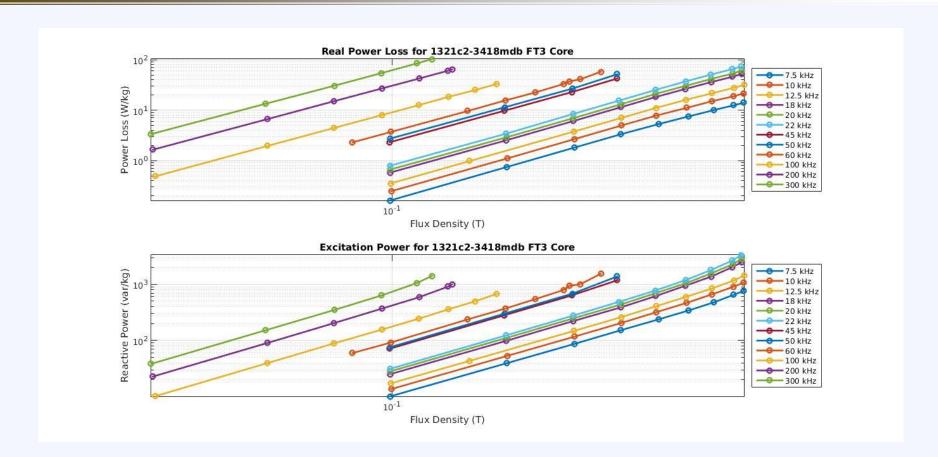
- Reads CSV
 - Primary Current
 - Secondary Voltage

$$H(t) = \frac{N_{\mathfrak{p}}\,i(t)}{l_{\mathfrak{s}}}$$

$$B(t) = \frac{1}{N_s A_s} \int_0^T v(t) dt$$

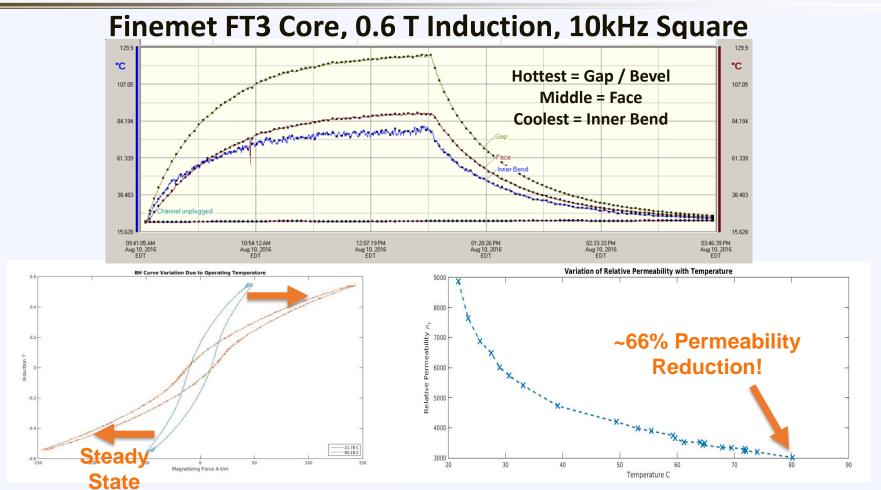
- Zero Crossing
- Induction
- Magnetizing Force
- Power
- Reactive Power
- A Custom Core Testing System was Established
- Square Wave, Varying Duty Cycle Excitation (1.5kV, 30A, 15kW)





Measured Losses and Reactive Power are Accessible Under a Broad Range of Frequencies and Induction Levels (Commercial Fe-Based Nanocomposite Core).





Moderately High External Core Temperatures Result in Large Reductions in Effective Permeability (~66% Decrease!).

High Temperature Core Property Stability is Critical Even for Ambient Power Conversion.



Four Primary Base Alloys Serve as the Project Start

Scale-Up Efforts Initiated in Q2, Several Pilot Casts

Base Alloy Classes	Alloy Archetype	C re Losses at 10kHz	Permeability Range	Temperature Stability	Mechanical Properties	TRL	Primary Alloy Development Objectives	Relevance to SunShot Initiative Targets
FeNbSiBCu	Fe-Based	Excellent	10 ⁵ to 10 ³	Up to ~150C	Needs Improvement	3-5	Magnetostriction Reduction, Mechanical Properties	Improved Core Level Manufacturability and Loss Performance
FeCoNbSiBCu	FeCo-Based	Good	10 ⁴ to 10 ²	Up to ~350C	Needs Improvement	3-5	Magnetostriction and Loss Reduction, Mechanical Properties	Improved Core Level Manufacturability and Loss Performance
CoNbSiBCu	Co-Based	Very Good	10 ⁵ to 10 ¹	Up to ~500C	Satisfactory	2-4	Cost Reduction and Permeability Tuning	Reduced Costs, Parasitics, and Losses
NiFeNbSiBCu	NiFe-Based	TBD	TBD	TBD	TBD	2-3	Loss Reduction, Mechanical Properties, and Permeability Tuning	Reduced Costs, Parasitics, and Losses

Modify Composition, Treatment for Optimized Losses

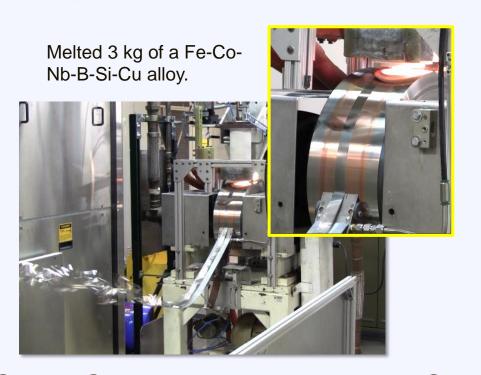


Laboratory Scale Alloy
Development in Q2, Scale-Up to
Be Pursued in Q3

New Alloy Development at Laboratory Scale and Full Prototype Scale is also Underway to Improve Performance for Solar Inverter and Other Power Electronics Applications.



Images from Run #03.







- Pilot-Scale Caster Returned to Full Operational Status
- Several Successful Casts Were Performed
- Additional System Upgrades Implemented



Patents and Publications

Presentations

- Presentations at ECCE 2016, IECON 2016, and IAS Conference 2016
- Three Abstracts Submitted to Magnetism and Magnetic Materials 2016
- TMS Annual 2017 Conference Symposium Being Organized
- 3 Invited Presentations at MRS Spring 2017

Publications

- Several Under Development or In-Press
- A. Leary, V. Keylin, P. Ohodnicki, A. Devaraj, M. E. McHenry, "Stress Induced Anisotropy in Co-Rich Magnetic Nanocomposites for Inductive Applications", Journal of Materials Research, Online In Press, 2016.
- P. R. Ohodnicki et al, "State-of-the-art of HF Soft Magnetics and HV / UHV Silicon Carbide Semiconductors", PCIM Conference Proceedings 2016, (https://www.vde-verlag.de/proceedings-en/564186197.html)

Patents

Several NETL / CMU Non-Provisional and Provisional Patents Filed



Summary and Conclusions

- Development of 3-Port Converter Based Modular Inverter Topology for Combined Solar PV and ES
- Key Enabling Technologies Include:
 - Wide Bandgap Based Semiconductor Devices
 - High Frequency Nanocomposite Alloy Based Magnetics
- Key Advantages of the Proposed Topology Include:
 - Higher Efficiency Charging of ES Directly from PV Array Through HF Transformer Link
 - Reduced Output Inverter Rating Requirement on DC / AC Stage Relative to PV Array Peak Power
 - Higher Power Densities (2x to 10x) Relative to Separate PV and ES Inverter Topology
 - Equivalent or Improved Reliability Relative to Current Commercial State of the Art
- Preliminary Architecture Studies Demonstrate:
 - Commercial Scale Implementation is Attractive with Commercially Available State of the Art Technology
 - 1.7kV SiC Devices
 - Fe-Based Nanocomposite Cores
 - Utility Scale Implementation Shows Promise for Emerging SiC-Based Devices with 10kV or 15kV SiC Devices
 - Cost of High Frequency Transformer Technology Needs to Be Clarified
- Simulations and Experimental Prototypes to Date Suggest Feasibility of Achieving Technical Targets
- New Capabilities Have Been Established for Developing the Enabling HF Magnetics Technology with Advanced Alloys and Core-Level Engineering Through <u>Advanced Processing at Pilot Scale</u>



Appendix Slides: Assumptions for Architecture Studies of Task 1



Cost Assumptions

- Current 3-phase power electronic converter price is estimated to be
 \$0.03/W for both low voltage and medium voltage systems.
- The cost of full bridge is assumed to be 2/3 of a three-phase system, so
 \$0.02/W.
- SiC devices are assumed to have comparable price with Si in long term
- Line frequency transformer price is \$0.11/W for 50KVA, and significantly drops to \$0.01/W for >1MVA
- HF transformer cost is assumed to be twice of the line frequency transformer for the same KVA (250KW HF transformer cost is assumed to be \$0.08/W, 500KW HF transformer cost is assumed to be \$0.04/W)



Power Density Assumptions

- State of the art 3-phase solar inverter power density is 150kW/m³
- The power density of a full bridge is assumed to be 1.5 time of 3-phase solar inverter, so 225kW/m³
- SiC power converters will have higher power density than Si converters due to reduced cooling requirements and magnetic components. Here assume **1.5 times**.
- Power converter at lower KW is assumed to have similar power density as MV power converter
- Line frequency transformer power density is 19.6kW/m³ for 50KVA, and significantly increases to 253.1kW/m³ for >1MVA
- HF transformer power density is 17535.6 kW/m³ based on calculation
- In power density calculation it is assumed the total power density is the sum of parts



Reliability Assumptions

- Only reliability of power electronic components and transformer are compared
- The MTBF of a Silicon IGBT module (half bridge) is 1,411,654 hours.
- The MTBF of SiC module is assumed to be 10,000,000 hours (FIT rate 100 @ 63% rated voltage)
- It is assumed that Silicon IGBT module and SiC modules has similar MTBF for different power ratings.
- The total MTBF is mainly impacted by the individual component MTBF and component numbers
- Redundancy metrics associated with modularity are not included here.

