

EM-LA FY17 Assessment Schedule

Self-Assessments

Total: 2

EM-LA Office	Assessment Title	Scope	Assessed Org	Is this Req'd or Risk-Based	Applicable Directive/ Reference	Assess. Type	QTR	Estimated Start Date	Month Expected to be Complete	Last Performed	Fed. Assess Lead	Team Members	Member from other Orgs
Safety	EM-LA Safety Culture	Perform a self-assessment of the EM-LA safety culture using DOE G 450.1-1C Integrated Safety Management Guide and the DOE ISM framework applicable to the organizations involved in environmental and waste operations.	EM-LA	AIB JON 39 EM-LA-39-2	DOE G 450.4-1C Integrated Safety Management Guide and the DOE ISM framework, EM-HQ Safety Culture Sustainment plan Summary Report (April 2015) EM-LA-M-1.02.08 Safety Culture Sustainability Plan	Self.	3rd	2-Apr-17	30-Jun-17	Sep-16	D. Rhodes	Industrial Hygienist, Deputy Manager, G. Rael, A. Barras	N/A
Quality Assurance	EM-LA Issues Management	Evaluate the EM-LA's Issues Management system inclusive of tracking, trending, and corrective action process for effectiveness and identify areas of improvement.	EM-LA	AIB JON 39 EM-LA-39-2 and Req'd by Safety Culture	DOE O 226.1B Implementation of DOE Oversight Policy, DOE P 226.2 Policy for Federal Oversight and Contractor Assurance Systems, EM-LA-M-2.03.04 EM-LA Contractor Oversight Plan, EM-LA-M-2.03.05 Issues Management System	Self.	2nd	2-Jan-17	31-Mar-17	N/A	QA Specialist	L. Salerno-Bush, L. Churchill, K. Gallegos	N/A

Contractor Assessments

Total: 3

EM-LA Office	Assessment Title	Scope	Assessed Org	Is this Req'd or Risk-Based	Applicable Directive/ Reference	Assess. Type	QTR	Estimated Start Date	Month Expected to be Complete	When Last Performed	Fed. Assess Lead	Team Members	Member from other Orgs
Project Completion	DOE AIB CAP Completion	Evaluate LANS remaining corrective actions closure from their approved AIB Corrective Actions Plan.	ADEM	AIB JON 3 EM-LA-3-20	EM-LA-M-2.03.06 Management of Los Alamos National Security LLC Corrective Actions Responsive to Judgements of Need Identified by the Accident Investigation Board, EM-LA-M-2.03.07 Operational Awareness Oversight and Issues Reporting	Cont.	2nd	2-Jan-17	31-Mar-17	N/A	D. Nickless	D. Parsons, G. Rael, J. Ferguson	M. Faubert (NA-LA)
Project Completion	Post Cleanup Requirements	Evaluate legacy cleanup sites with COCs and document Institutional Control requirements and estimated costs (Consent Order and HazWaste Facility Permit).	ADEM	Low	DOE G 454.1-1 Institutional Controls Implementation Guide for Use with DOE P 454.1, Use of Institutional Controls	Cont.	3rd	2-Apr-17	30-Jun-17	N/A	R. Massey	A. Duran, K. Walker, A. Barras	N/A
Quality Assurance	LANS CAS	Complete a follow up assessment of the contractor CAS implementation related to EM-LA environmental and waste operations.	ADEM	AIB JON 27 EM-LA 27-2	DOE O 226.1B Implementation of DOE Oversight Policy, DOE P 226.2 Policy for Federal Oversight and Contractor Assurance Systems, EM-LA-M-2.03.04 EM-LA Contractor Oversight Plan, EM-LA-M-2.03.05 Issues Management System	Cont.	2nd	2-Jan-17	31-Mar-17	Sep-16	G. Falance	S. Holman, L. Churchill, D. Parsons	N/A

Readiness Assessments

Total: 1

Office	Assessment Title	Scope	Assessed AD (if LANL)	Is this Req'd or Risk-Based	Applicable Directive/ Reference	Assess. Type	QTR	Estimated Start Date	Month Expected to be Complete	When Last Performed	Manager/Team Lead	EM-LA Shadow	Shadow from other Orgs
Project Completion	Unremediated Nitrate Salts (UNS)/Remediated Nitrate Salts (RNS) Federal Readiness Assessment	Evaluate requirements and schedule and determine an appropriate approach to field oversight. Witness the process and participate in mockup training exercises from the control room. Cycle through appropriate EM-LA personnel.	ADEM	2016-295	N/A	Federal Readiness Assessment	1st	12-Dec-16	23-Dec-16	N/A	DOE LEAD D. Rack	N/A	N/A

Shadow Assessments												Total: 23	
Office	Assessment Title	Scope	Assessed AD (if LANL)	PFITS#	Applicable Directive/ Reference	Assess. Type	QTR	Estimated Start Date	Month Expected to be Complete	When Last Performed	Manager/Team Lead	EM-LA Shadow	Shadow from other Orgs
ADEM	Safety Culture GAP Survey	Perform SG Analysis to access areas of improvement in DEM against ISSM principals (annual review) (deployed sub-contractor)	ADEM	2016-276	N/A	Management Assessment	1st	16-Dec-16	31-Jan-17	N/A	D. Funk, L. Drake	M. Gardipe	N/A
ADEM	Effectiveness Evaluations	Strategy or a Series	ADEM	2016-277	N/A	Effectiveness Evaluation	TBD	TBD	TBD	N/A	D. Funk, E. Torres	QA Specialist	N/A
ADEM	Data Entry by MDL/PQL	Consistency of Data Entry by MDL/PQL	ADEM	2016-278	N/A	Review	2nd	16-Jan-17	15-Feb-17	N/A	C. Douglas, G. Fordham	J. von Rohr	N/A
ADEM	Learning Team Reviews	Learning Team Effectiveness (HPI)	ADEM	2016-279	N/A	Effectiveness Evaluation	2nd	1-Feb-17	1-Mar-17	N/A	C. Douglas, TBD	K. Gallegos	N/A
ADEM	CR & RDX Fieldwork	Coordination of actives and resources for CR & RDX fieldwork	ADEM	2016-280	N/A	Review	1st	1-Dec-16	1-Feb-16	N/A	M. Alexander, G. Garcia, S. Maze	C. Rodriguez	N/A
ADEM	Monitoring Well Preventative Maintenance Program	N/A	ADEM	2016-281	N/A	Management Assessment	3rd	1-Apr-17	1-Jun-17	N/A	G. Fordham, M. Everett	H. Shen	N/A
ADEM	BCP Process Improvement PIP	N/A	ADEM	2016-282	N/A	Process Improvement Project	1st	30-Jun-16	31-Oct-16	N/A	M. Salerno	B. Pfaff	N/A
ADEM	LTR (Learning Team Review with EM-LA) Change Control	N/A	ADEM	2016-283	N/A	Learning Team Review	1st	1-Aug-16	31-Oct-16	N/A	M. Solano	A. Barras	N/A
ADEM	CAS Self-Assessment	Look at all CAS Components	ADEM	2016-284	N/A	Management Assessment	3rd	1-Jun-17	1-Aug-17	N/A	R. Hinds, M.J. Henry	G. Falance	N/A
ADEM	EDMS	Quality Control for EDMS	ADEM	2016-285	N/A	Management Assessment	1st	1-Oct-16	15-Dec-16	N/A	D. Guffee	D. Wolf	N/A
ADEM	Radioactive/Hazardous Material Release Response Assessment	N/A	ADEM	2016-286	N/A	Management Assessment	1st	1-Oct-16	1-Dec-16	N/A	W. Hohs, D. Solms	G. Rael	N/A
ADEM	Waste Operating Record (WCATS) compliance with RCRA Permit and RCRA, NMED, and DOE waste storage requirements	N/A	ADEM	2016-287	N/A	Management Assessment	1st	1-Nov-16	15-Jan-17	N/A	P. Newberry, J. Stanton	L. Bishop	N/A
ADEM	Area G Waste Storage Utilization Assessment	N/A	ADEM	2016-288	N/A	Management Assessment	2nd	1-Jan-17	1-Mar-17	N/A	W. Hohs, R. Stokes	R. Massey	N/A
ADEM	TRU and M/LLW Waste Characterization/Certification Program Assessment	N/A	ADEM	2016-289	N/A	Management Assessment	3rd	1-Apr-17	1-Jun-17	N/A	D. Frederici, C. Simmons	A. Duran	N/A
ADEM	Waste and Commodities Inventory Assessment	Prior to DOE EM LA Bridge Contract completion in support of new DOE EM LA Contractor transition.	ADEM	2016-290	N/A	Management Assessment	3rd	1-Jun-17	1-Aug-17	N/A	D. Chritensen, F. Ortiz	D. Nickless	N/A
ADEM	WCRRF	RNS/UNS Treatment pre-Readiness Declaration	ADEM	2016-291	N/A	Targeted MOVs	1st	15-Jul-16	30-Sep-16	N/A	W. Hohos, D. Solms	L. Salerno-Bush, D. Parsons	N/A
ADEM	Readiness Preparatory Assessments required for ERNS/UNS Treatment/Remediation - "Red Team"	Utilizing RA CRADs	ADEM	2016-292	N/A	Management Assessment	1st	3-Oct-16	15-Oct-16	N/A	D. Funk, L. Sonnenberg	L. Salerno-Bush, D. Parsons	N/A

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ADEM	Unremediated Nitrate Salts (UNS)/Remediated Nitrate Salts (RNS) Management Self-Assessment	N/A	ADEM	2016-293	N/A	Management Self-Assessment	1st	31-Oct-16	11-Nov-16	N/A	D. Funk, L. Sonnenberg	L. Salerno-Bush, D. Parsons	N/A
ADEM	Unremediated Nitrate Salts (UNS)/Remediated Nitrate Salts (RNS) Contractor Readiness Assessment		ADEM	2016-294	N/A	Contractor Readiness Assessment	1st	28-Nov-16	9-Dec-16	N/A	D. Funk, L. Sonnenberg	L. Salerno-Bush, D. Parsons	N/A
ADEM	POST RNS/UNS Treatment/Remediation	Characterization & Certification of resulting TRU & M/LLW Waste.	ADEM	2016-296	N/A	Management Assessment	3rd	1-Apr-16	1-Jun-16	N/A	W. Hohs, D. Solms	L. Salerno-Bush, D. Parsons	N/A
OIO-DO	10 CFR 835 Triennial Assessment of the Radiation Protection Program, Part 2 of 3	10 CFR 835 "Occupational Radiation Protection", requires that internal audits of all functional elements of this Part be performed every three years. This is accomplished with an annual Management Assessment that evaluates one-third of the LANL Radiation Protection Program each year. The FY17 Assessment (part 2 of 3) includes: management and Administration, Standards for internal and External Radiation Exposure, Records, Reports to Individuals, and Radiation Safety Training.	ADESH	2016-112	10 CFR 835	Management Assessment	1st	1-Nov-16	31-Jan-17	N/A	P. Hoover	L. Bishop	R. Murphy (NA-LA)
WM-DO	P409 Certification Assessment - Science and Technology Operations	Effectiveness Evaluation of P409 LANS Waste Management Section 3.7.1 and LANL Waste Acceptance Criteria	ADESH	2016-127	N/A	Independent Assessment	1st	6-Oct-16	25-Nov-16	N/A	R. Geisinger	D. Parsons	N/A
EWMO-DO	WCRRF and Area G - Conduct of Operations as applied to the Operations Center	N/A	ADNHHO	2016-297	N/A	Targeted MOVs	1st	3-Oct-16	30-Nov-16	N/A	D. Solms	R. Massey	N/A
1st QTR				2nd QTR				3rd QTR				4th QTR	
15				6				7				0	

Note: Katie Gallegos will provide assessment process/facilitation support for each assessment.

Submitted By:

[Redacted Signature]

Gaeton Falance, EM-LA Assessment Program Manager

10/5/16

Date

Approved By:

[Redacted Signature]

Douglas E. Hintze, EM-LA Manager

05 OCT 2016

Date

