

FY 2014 Fourth Quarter

Overall Root Cause Analysis (RCA)/Corrective Action Plan (CAP) Performance Metrics

| No. | Contract/Project Management Performance Metrics | FY 2014 Target | FY 2014 Actual | FY 2014 Pre- & Post-CAP* Actual | Comment |
|-----|---|----------------|--|---------------------------------------|--|
| 1 | Capital Asset Project Success: Complete 90% of capital asset projects at original scope and within 110% of CD-2 TPC. | 90% | 76% Construction 82% Cleanup 67% | 67% Pre-CAP 76% Post-CAP | Based on 3-year rolling period (FY12 to FY14). TPC is Total Project Cost. |

| No. | Contract/Project Management Performance Metrics | FY 2014 Target | FY 2014 4th Qtr Actual | Comment |
|-----|---|----------------|------------------------|--|
| 2 | Certified EVM Systems: Post CD-3, greater than \$20 million. | 95% | 89% | EVM: Earned Value Management. CD-3: Critical Decision-3, Approve Start of Construction/Execution. |
| 3 | Certified FPD's at CD-1: Projects have certified FPDs no later than CD-1. | 95% | 94% | FPD: Federal Project Director CD-1: Critical Decision-1, Approve Alternate Selection and Cost Range. |
| 4 | Certified FPD's at CD-3: Projects have FPDs certified <u>at the appropriate level</u> assigned to projects no later than CD-3. | 90% | 84% | |
| 5 | Certified Contracting Staff: By the end of FY 2011, 85% of the 1102 contracting series will be certified. | 85% | 93% | Includes those with waivers |
| 6 | Schedule Compliance, Projects Less Than 5 Years Duration: Projects will meet the project schedule metric that follows: from CD-3 to CD-4, projects less than five years duration will be completed within 12 months of the original CD-3/4 duration. | 90% | 89% | FY12-FY14: 57 completions through 4th Qtr. CD-4: Critical Decision-4, Approve Start of Operations/Project Completion. |
| 7 | Schedule Compliance, Projects Greater Than 5 Years Duration: Projects will meet the project schedule metric that follows: from CD-3 to CD-4, projects greater than five years duration will be completed within 20% of the original CD-3/4 duration. | 90% | 100% | FY12-FY14: 3 completions through 4th Qtr. |

* The date October 2007 has been noted as the demarcation date between pre and post CAP projects since that is the date DOE initiated the RCA/CAP effort to improve contract and project management. Projects for which the original Critical Decision-2 Performance Baseline was approved subsequent to October 1, 2007 are designated as post CAP projects.