

**DEFINITIZED SUBCONTRACT NO. ZDO-3-30628-09  
UNDER  
PRIME CONTRACT NO. DE-AC36-99-GO10337**

**CONTRACTING PARTY:** MIDWEST RESEARCH INSTITUTE  
NATIONAL RENEWABLE ENERGY LABORATORY  
DIVISION

**SUBCONTRACTOR:** EVERGREEN SOLAR, INC.

**ADDRESS:** 259 CEDAR HILL STREET  
MARLBORO, MA 01752

**SUBCONTRACT TITLE:** "INNOVATIVE APPROACHES TO LOW COST MODULE  
MANUFACTURING OF STRING RIBBON Si PV MODULES"

**TYPE OF SUBCONTRACT:** COST SHARING - PHASED

**PERIOD OF PERFORMANCE:** PHASE I: 09/27/02 THROUGH 03/31/03  
PHASE II: 04/01/03 THROUGH 03/31/04  
PHASE III: 04/01/04 THROUGH 05/31/05

<b>SUBCONTRACT AMOUNT:</b>	<b>NREL'S COST SHARE</b>	<b>SUBCONTRACTOR'S COST SHARE</b>	<b>TOTAL</b>
PHASE I:	\$ 999,833.00 - 50%	\$ 999,834.00 - 50%	\$1,999,667.00 - 100%
PHASE II:	\$ 998,805.00 - 50%	\$ 998,804.00 - 50%	\$1,997,609.00 - 100%
PHASE III:	\$ 999,565.00 - 50%	\$ 999,565.00 - 50%	\$1,999,130.00 - 100%
TOTAL:	\$2,998,203.00 - 50%	\$2,998,203.00 - 50%	\$5,996,406.00 - 100%

**PAYMENT TERMS:** NET 30

**SUBCONTRACTOR'S  
REMITTANCE NAME  
AND ADDRESS:** EVERGREEN SOLAR, INC.  
259 CEDAR HILL STREET  
MARLBORO, MA 01752

<b>FUNDED AMOUNT AND TASK CHARGE NUMBER:</b>	LETTER SUBCONTRACT:	\$ 750,000.00 - PVP26282
	DEFINITIZED SUBCONTRACT:	\$ 250,000.00 - PVP26282
	TOTAL:	\$1,000,000.00

**INDEX TO  
DEFINITIZED SUBCONTRACT SCHEDULE**

<b><u>ARTICLE - TITLE</u></b>	<b><u>PAGE</u></b>
INTRODUCTION .....	1
AGREEMENT .....	1
ARTICLE 1 - THE WORK TO BE PERFORMED .....	1
ARTICLE 2 - THE PERIOD OF PERFORMANCE .....	1
ARTICLE 3 - ESTIMATED COST, COST SHARING, OBLIGATION OF FUNDS AND FINANCIAL LIMITATIONS .....	2
ARTICLE 4 - LIMITATION OF FUNDS AND LIMITATION OF COST .....	3
ARTICLE 5 - WAIVER OF FACILITIES CAPITAL COST OF MONEY .....	3
ARTICLE 6 - DATE OF INCURRENCE OF COST .....	3
ARTICLE 7 - SUBCONTRACTOR ACQUIRED PROPERTY AND TITLE .....	3
ARTICLE 8 - APPLICABLE DOCUMENTATION .....	4
ARTICLE 9 - ORDER OF PRECEDENCE .....	4
ARTICLE 10 - RIGHTS TO PROPOSAL DATA .....	4
ARTICLE 11 - SUBCONTRACT ADMINISTRATION RESPONSIBILITIES .....	5
ARTICLE 12 - KEY PERSONNEL .....	5
ARTICLE 13 - INVOICES .....	5
ARTICLE 14 - NEGOTIATED AND CEILING INDIRECT RATES .....	6
ARTICLE 15 - PUBLIC DISCLOSURE .....	7
ARTICLE 16 - ALTERATIONS TO TERMS AND CONDITIONS .....	7
ARTICLE 17 - INTEGRATION .....	9
<b>APPENDICES:</b>	
APPENDIX A - STATEMENT OF WORK	
APPENDIX B-1 - STANDARD TERMS AND CONDITIONS	
APPENDIX C-2 - INTELLECTUAL PROPERTY PROVISIONS	
APPENDIX D-1 - CLAUSES FOR SUBCONTRACTS IN EXCESS OF \$500,000	

**DEFINITIZED SUBCONTRACT NO. ZDO-3-30628-09**

**BETWEEN**

**MIDWEST RESEARCH INSTITUTE**

**NATIONAL RENEWABLE ENERGY LABORATORY DIVISION**

**AND**

**EVERGREEN SOLAR, INC.**

**SCHEDULE**

**INTRODUCTION**

THIS DEFINITIZED SUBCONTRACT, effective 09/27/02, supersedes Letter Subcontract No. ZDO-2-30628-09 in its entirety. This subcontract is effective between the Midwest Research Institute acting through its National Renewable Energy Laboratory Division (hereinafter called NREL), and Evergreen Solar, Inc. (hereinafter called "Subcontractor") whose principal offices are located in Marlboro, Massachusetts.

Midwest Research Institute has entered into Contract No. DE-AC36-99-GO10337 (hereinafter called "Prime Contract") with the Department of Energy (hereinafter called "DOE"), an agency of the U.S. Government (hereinafter called "Government"), for the operation and management of the National Renewable Energy Laboratory.

This subcontract is entered into in furtherance of the performance of the work provided for in the Prime Contract.

**AGREEMENT**

NOW, THEREFORE, the parties hereto agree to the following terms and conditions:

**ARTICLE 1 - THE WORK TO BE PERFORMED**

- A. The Subcontractor shall perform the work generally described as "Innovative Approaches To Low Cost Module Manufacturing Of String Ribbon Si PV Modules," and specifically provided for in Appendix A, Statement of Work, attached hereto and made a part hereof, pursuant to the provisions of this subcontract.
- B. Specific deliverables, quantities, due dates, reporting requirements, and addresses are set forth in Appendix A hereto.

**ARTICLE 2 - THE PERIOD OF PERFORMANCE**

- A. The period of performance under this subcontract shall be as follows:

Phase I:	09/27/02 through 03/31/03
Phase II:	04/01/03 through 03/31/04
Phase III:	04/01/04 through 05/31/05



- B. Each of these periods may be extended by mutual written agreement of the parties. NREL will make a decision, based on its sole judgement, whether or not to continue and incrementally fund each Phase prior to the completion date of each Phase. If all Phases are authorized by NREL, the total period of performance for the subcontract would be thirty-two (32) months. If NREL should decide not to authorize a Phase, the subcontract shall be considered complete upon submittal of the final version of the Annual Technical Report, with corrections as specified by NREL, if any.

### ARTICLE 3 - ESTIMATED COST, COST SHARING, OBLIGATION OF FUNDS AND FINANCIAL LIMITATIONS

- A. Estimated Cost -- The estimated cost for the performance of the work conducted under this subcontract is \$5,996,406.00. It is agreed by the parties hereto that said total cost shall be shared as follows:

Estimated NREL Share:	\$2,998,203.00	- 50%
Estimated Subcontractor Share:	<u>\$2,998,203.00</u>	- 50%
Total:	\$5,996,406.00	- 100%

This cost sharing formula shall also apply (on the percentage basis shown above) to any increase or decrease in the estimated total cost of subcontract performance, changes under the "Changes" clause and/or terminations under the "Terminations" clause contained in Appendix B.

- B. The estimated cost specified in A above is broken down as follows for this subcontract:

	Estimated <u>NREL Share</u>	Estimated Subcontractor <u>Share</u>	<u>Total Cost</u>
Phase I:	\$ 999,833.00 -- 50%	\$ 999,834.00 -- 50%	\$1,999,667.00
Phase II:	\$ 998,805.00 -- 50%	\$ 999,804.00 -- 50%	\$1,997,609.00
Phase III:	<u>\$ 999,565.00</u> -- 50%	<u>\$ 999,565.00</u> -- 50%	<u>\$1,999,130.00</u>
Total:	\$2,998,203.00 -- 50%	\$2,998,203.00 -- 50%	\$5,996,406.00

This cost sharing formula for each phase shall also apply (on the percentage basis shown above) to any increase or decrease in the estimated total cost of each phase of subcontract performance, changes under the "Changes" clause and/or terminations under the "Termination" clause contained in Appendix B.

- C. The Subcontractor shall be paid for the work conducted under this subcontract in accordance with the clauses entitled "Allowable Cost and Payment" and "Cost Sharing Subcontract - No Fee" in Appendix B, and the article entitled "Invoices" in this schedule.
- D. Pursuant to the "Limitation of Funds" clause in Appendix B, the amount of \$1,000,000.00 has been allotted and is available for payment of NREL's estimated share of allowable costs for a portion of the work under this subcontract. It is estimated that the allotted amount will cover work under this subcontract through 03/31/03. This allotted amount presently obligated by NREL with respect to this subcontract, may be unilaterally increased by NREL by written notice to the Subcontractor, and may be increased or decreased by written agreement of the parties by formal modification of this subcontract.



- E. The Subcontractor is authorized by NREL's execution of this subcontract to perform that portion of Phase I work for which funds have been allotted in Paragraph D above.
- F. The Subcontractor is cautioned that, subject to the provisions of the clauses entitled "Limitation of Funds" and "Limitation of Cost" contained in Appendix B, NREL is not presently obligated to reimburse the Subcontractor for costs incurred in excess of NREL's share of funds allotted in Paragraph D above for portions of authorized work under the subcontract, and (ii) shall not be obligated to reimburse the Subcontractor for costs incurred in excess of NREL's share of the total estimated costs in paragraph B above for full performance under the subcontract.
- G. The giving of any notice by either party under this article, the article entitled "The Period of Performance" in this Schedule or the clauses entitled "Limitation of Funds" and "Limitation of Cost" in Appendix B, as applicable, shall not be construed to waive or impair any rights of NREL to terminate this subcontract under the provisions of the termination clause(s) contained in Appendix B.

#### **ARTICLE 4 - LIMITATION OF FUNDS AND LIMITATION OF COST -- SPECIAL (JULY 1999)**

The "Limitations of Funds" clause contained in Appendix B shall be operable during such time that NREL incrementally allots additional funds to this subcontract as set forth in Article 3 - Estimated Cost, Cost Sharing, Obligations of Funds, and Financial Limitations. (The Limitations of Cost clause shall be inoperable during such time.)

The "Limitations of Cost" clause contained in Appendix B shall become operable at such time that NREL allots to this subcontract an amount equal to the total estimated NREL cost share set forth in Article 3 - Estimated Cost, Cost Sharing, Obligations of Funds, and Financial Limitations. (The Limitations of Funds clause shall be inoperable at such time.)

#### **ARTICLE 5 - WAIVER OF FACILITIES CAPITAL COST OF MONEY**

The Subcontractor did not include facilities capital cost of money as a proposed cost of this subcontract. Therefore, it is an unallowable cost under this subcontract.

#### **ARTICLE 6 - DATE OF INCURRENCE OF COST**

The Subcontractor shall be entitled to reimbursement for costs incurred in an amount not to exceed \$970,236.00 (\$481,977.00 NREL share, and \$488,260.00 Subcontractor share) on or after October 1, 2001 which, if incurred after this subcontract has been entered into, would have been reimbursable under the provisions of this subcontract.

#### **ARTICLE 7 - SUBCONTRACTOR ACQUIRED PROPERTY AND TITLE**

Unless otherwise specified herein, all materials, supplies, and equipment shall be procured with funds allocated as the Subcontractor's Cost Share participation. Therefore, title to such materials, supplies, and equipment shall remain with the Subcontractor. The retention of title to such materials, supplies, and equipment with the Subcontractor shall be subject to the conditions below.

1. The Subcontractor shall not charge depreciation, amortization, or use charges for the materials, supplies, or equipment under any other Federal Government contract, subcontract, cooperative agreement, or grant either currently or in the future.
2. Said materials, supplies, or equipment shall be used for the benefit of research and development under this subcontract and any extension hereto.

#### **ARTICLE 8 - APPLICABLE DOCUMENTATION**

In addition to the terms and conditions contained in this Schedule, the following documents are attached hereto and made a part of this subcontract:

- A. Appendix A, entitled "Statement of Work" dated 10/03/02.
- B. Appendix B-1, entitled "Standard Terms and Conditions" dated 07/24/00.
- C. Appendix C-2, entitled "Intellectual Property Provisions" dated 10/22/98.
- D. Appendix D-1, entitled "Clauses for Subcontracts in Excess of \$500,000" dated 07/28/00.
- E. Subcontractor's technical proposal dated October 6, 2000, together with any revisions, is hereby incorporated by reference. In the event there is a conflict between the Subcontractor's technical proposal and any other provisions of this subcontract, the latter shall prevail.

#### **ARTICLE 9 - ORDER OF PRECEDENCE**

Any inconsistency in this subcontract, shall be resolved by giving precedence in the following order:

- A. This Schedule;
- B. Statement of Work (Appendix A);
- C. Standard Terms and Conditions (Appendix B-1);
- D. Intellectual Property Provisions (Appendix C-2);
- E. Clauses for Subcontracts in Excess of \$500,000 (Appendix D-1);
- F. The Subcontractor's technical proposal, if incorporated in this subcontract by reference or otherwise.

#### **ARTICLE 10 - RIGHTS TO PROPOSAL DATA**

Except for technical data contained on pages (None) of the Subcontractor's proposal dated October 6, 2000 which are asserted by the Subcontractor as being proprietary data, it is agreed that, as a condition of the award of this subcontract, and notwithstanding the provisions of any notice appearing on the proposal, the Government and NREL shall have the right to use, duplicate, disclose and have others do so for any purpose whatsoever, the technical data contained in the proposal upon which this subcontract is based.



**ARTICLE 11 - SUBCONTRACT ADMINISTRATION RESPONSIBILITIES**

- A. Signature Authority: This subcontract may only be modified by a formal modification signed by an authorized official of NREL.
- B. Subcontract Administration Responsibilities: The authorized official of NREL has designated Christie Johnson, as the Subcontract Administrator for this subcontract with the responsibilities for subcontract administration and negotiation of any modifications to this subcontract. The Subcontract Administrator's telephone number is (303) 384-7394.
- C. Technical Monitoring Responsibilities: The authorized official of NREL has designated Dave Mooney, as the Technical Monitor for this subcontract with the responsibilities of monitoring the technical work or services to be performed under this subcontract. The Technical Monitor does not have the authority to make any commitments or authorize any changes which may affect the subcontract's cost, scope of work, terms, or conditions. Any such changes shall be referred to the Subcontract Administrator designated in Paragraph B above. The Technical Monitor's telephone number is (303) 384-6782.

**ARTICLE 12 - KEY PERSONNEL**

- A. It having been determined that the individuals, whose names appear below, are necessary for the successful performance of this subcontract, the Subcontractor agrees to assign or have assigned such individuals to the performance of the work under this subcontract and shall not reassign or remove any of them without the consent of the Subcontract Administrator by modification to this subcontract:

<u>Name</u>	<u>Project Title</u>	<u>Telephone No.</u>
Dr. Jack Hanoka	Principal Investigator	(508) 357-2221 x719
Rich Chleboski	Vice President	(508) 357-2221 x718
Mark Fidler	Controller	(508) 357-2221

- B. Whenever, for any reason, one or more of the designated key personnel designated above, is unavailable for assignment for work under this subcontract, the Subcontractor shall, with the approval of the Subcontract Administrator, replace such individual with an individual of substantially equal abilities and qualifications.

**ARTICLE 13 - INVOICES**

Invoices for work accomplished under this subcontract shall be submitted in an original and one copy to:

National Renewable Energy Laboratory  
Attn: Carolyn Lopez, Mailstop 2713  
1617 Cole Boulevard  
Golden, CO 80401-3393

To facilitate processing and payment each invoice must reference the subcontract number which appears on the cover sheet of this subcontract. Payments under this subcontract shall be made in accordance with the payment terms and to the Subcontractor's remittance name and address shown on the cover sheet of



this subcontract. Final payment under this subcontract shall be made upon execution of the closeout modification by both parties hereto (including receipt of an appropriately signed Release of Claims, appropriately signed Assignment of Refunds, Rebates, Credits and Other Amounts, final property disposition, and patent clearances, if required).

The payment terms of this subcontract shall mean net days from the date of receipt of an acceptable invoice or the date of receipt and acceptance of all deliverables or reporting requirements for the period covered by the invoice, whichever is later.

The Subcontractor shall submit its invoices in reasonable detail, broken down by category, showing the total cost incurred both currently and cumulatively less the Subcontractor's cost share and the resultant NREL cost share. An authorized official of the Subcontractor shall sign the following certification on each invoice submitted for payment:

"I certify that this invoice is correct and proper for payment, and reimbursement for these costs has not and will not be received under any other Government contract or subcontract or other source of Government funds.

\_\_\_\_\_  
Authorized Official

\_\_\_\_\_  
Date"

Subcontractor acquired equipment, as authorized hereunder, must be separately identified on invoices submitted and include backup documentation in the form of an equipment listing or a copy of the receiving report, which shall include as a minimum: the item nomenclature, purchase price, applicable shipping and installation charges, and the acquisition date. Cost Share recognition for subcontractor acquired equipment can not be made without this information.

The Subcontractor is hereby notified that NREL may withhold payment on invoices submitted, if the Subcontractor has failed to comply with or is delinquent in the submission of the reporting or deliverable requirements under this subcontract, until such time as the Subcontractor has complied or submitted such reporting or deliverable requirements.

#### ARTICLE 14 - NEGOTIATED AND CEILING INDIRECT RATES

A. The following rates were utilized in the negotiation of the estimated cost for this subcontract:

<u>Category</u>	<u>Subcontract Period Covered</u>	<u>Rate</u>	<u>Base</u>
Labor Overhead	10/01/01 through 05/31/05	25%	Direct Labor
G&A	10/01/01 through 05/31/05	30%	Total Direct Costs Excluding Equipment

The Subcontractor shall utilize the negotiated indirect rates, during the period of this subcontract, as billing/invoicing rates. In accordance with the clauses entitled "Allowable Cost and Payment", "Limitation of Funds", and "Limitation of Costs" the Subcontractor shall notify the Subcontract Administrator immediately, when its current indirect rates and the rates utilized in the

negotiation of this subcontract's estimated cost differ significantly such that the Subcontractor has or should have reason to anticipate the incurrence of costs which are in excess of, or are substantially less than, the amount set forth in Article 3 of this subcontract schedule. Such notice from the Subcontractor shall include indirect rate cost calculation and supporting data, the cost impact to the subcontract by cost categories, and proposed technical approaches for handling the potential overrun or underrun under this subcontract.

- B. In accordance with the clause entitled "Allowable Cost and Payment," the following rates shall be applied as ceiling rates to the allowable costs for the recovery of indirect costs against this subcontract if upon completion, finalization, and negotiation of the actual indirect rates for the appropriate periods covered by this subcontract, the actual indirect rates exceed the following ceiling rates:

<u>Category</u>	<u>Subcontract Period Covered</u>	<u>Rate</u>	<u>Base</u>
Labor Overhead	10/01/01 through 05/31/05	25%	Direct Labor
G&A	10/01/01 through 05/31/05	30%	Total Direct Costs Excluding Equipment

- C. The Subcontractor is cautioned that, pursuant to the clause entitled "Limitation of Cost", if this subcontract is fully funded or the clause entitled "Limitation of Funds" if this subcontract is incrementally funded, NREL shall not be obligated to reimburse the Subcontractor for indirect or direct costs incurred in excess of the allotted amount set forth in Article 3 of this subcontract schedule. This shall also apply to overruns created by an indirect rate fluctuation that the Subcontractor, as a prudent businessperson, should have been aware of, and should have informed NREL of, at the time.
- D. In accordance with the Allowable Cost and Payment clause of Appendix B, the Subcontractor shall submit an adequate final indirect cost rate proposal to the NREL Subcontract Administrator and cognizant auditor within the 6-month period following the expiration of each of its fiscal years during the period of this subcontract.

#### ARTICLE 15 - PUBLIC DISCLOSURE

- A. Publicity release of any nature in connection with this subcontract shall not be made by the Subcontractor without prior review and approval of the NREL Subcontract Administrator.

#### ARTICLE 16 - ALTERATIONS TO TERMS AND CONDITIONS

Appendix B-1 is hereby modified by adding the following clause thereto:



**“CLAUSE 49 - SUBMISSION OF COMMERCIAL TRANSPORTATION BILLS TO DOE/NREL FOR AUDIT BY THE GENERAL SERVICES ADMINISTRATION. (JUN 1997) AND COMMERCIAL BILL OF LADING NOTATIONS (APR 1984)**

*Derived from FAR 52.247-67 (FD) and FAR 52.247-1*

*(Submission requirement applies to all cost-reimbursement subcontracts when reimbursement of shipment costs is a direct charge to the subcontract.)*

*(Notation requirement applies to all cost reimbursement subcontracts when transportation is a direct charge to the subcontract and all fixed price subcontracts when direct and actual transportation cost is a separate item in the invoice (e.g. F.O.B. origin) and not included in the delivered price (e.g. F.O.B. destination))*

**SUBMISSION**

- A. 1. In accordance with paragraph A.2. of this clause, the Subcontractor shall submit to NREL for audit by the General Services Administration (GSA), legible copies of all paid freight bills/invoices, commercial bills of lading (CBL's), passenger coupons, and other supporting documents for transportation services on which NREL/DOE will assume freight charges that were paid—
  - (i) By the Subcontractor under a cost-reimbursement subcontract;
2. Cost-reimbursement Subcontractors shall only submit for audit those CBL's with freight shipment charges exceeding \$50.00. Bills under \$50.00 shall be retained on-site by the Subcontractor and made available for on-site audits by NREL/DOE, or designee. This exception only applies to freight shipment bills and is not intended to apply to bills and invoices for any other transportation services.
- B. The Subcontractor shall forward copies of paid freight bills/invoices, CBL's, passenger coupons, and supporting documents as an attachment to the Subcontractor's invoice on which the transportation charges are identified for payment by NREL.
- C. Any original transportation bills or other documents requested by NREL/DOE shall be forwarded promptly by the Subcontractor to NREL. The Subcontractor shall ensure that the name NREL/DOE is stamped or written on the face of the original bill or other documents before sending it to NREL.

**NOTATION**

If the NREL Subcontract Administrator authorizes supplies to be shipped on a commercial bill of lading and the Subcontractor will be reimbursed these transportation costs as direct allowable costs, the Subcontractor shall ensure before shipment is made that the commercial shipping documents are annotated with the following notation:

“Transportation is for the U.S. Department of Energy, acting through its National Renewable Energy Laboratory (NREL) and the actual total transportation charges paid to the carrier(s) by the consignor or consignee shall be reimbursed by NREL on behalf of the Government, pursuant to cost-reimbursement contract No. DE-AC36-99GO10337. This may be confirmed by contacting The Golden Field Office, 1617 Cole Blvd. Golden, CO 80401.”



**ARTICLE 17 - INTEGRATION**

This subcontract contains the entire understanding between the parties, and there are no understandings or representations except those set forth or incorporated by reference herein. No subsequent modifications of this subcontract shall be of any force or effect unless in writing signed by a duly authorized official of NREL.

IN WITNESS WHEREOF, the parties hereto have executed this subcontract as of the date fully signed below.

ACCEPTED: EVERGREEN SOLAR, INC.

AUTHORIZED: MIDWEST RESEARCH INSTITUTE

NATIONAL RENEWABLE ENERGY LABORATORY DIVISION

BY: Ronald SchumaiBY: Daniel HowellTITLE: Vice PresidentTITLE: Director, C&BSDATE: 01/08/03DATE: 01/08/03

**Appendix A**  
Statement of Work for Evergreen Solar, Inc.  
**Innovative Approaches to Low Cost Module Manufacturing  
of String Ribbon Si PV Modules**

ZDO-2-30628-09  
September 18, 2002

## **1.0 BACKGROUND**

The U.S. Department of Energy (DOE), in cooperation with the U.S. Photovoltaics (PV) Industry, has the objective of retaining and enhancing U.S. leadership in the world market. To further this objective, the Photovoltaic Manufacturing Technology (PVMaT) project was initiated in FY 1990 to form a partnership between DOE and the U.S. PV industry, assisting in the improvement of module manufacturing processes and in the substantial reduction of module manufacturing cost. The goals of the project were to improve PV manufacturing processes and products for terrestrial applications, accelerate PV manufacturing cost reduction, lay the foundation for significantly increased production capacity, and assist the U.S. industry in retaining and enhancing its world leadership role in the commercial development and manufacture of terrestrial PV systems. The focus of the program emphasized research and development (R&D) manufacturing process issues.

Four solicitations have been completed since inception of the PVMaT Project and a fifth solicitation is near completion. These solicitations addressed, respectively: (1) process-specific R&D on PV module manufacturing (open only to companies that completed successfully a preliminary problem-definition phase; (2) generic research on problems of interest to all, or to a large portion of the PV industry; (3) process-specific R&D on PV module manufacturing; (4) product-driven PV manufacturing R&D addressing process-specific problems, as well as manufacturing improvements for balance-of-systems (BOS) components and system design improvements; and (5) PV module manufacturing technology and PV system and component technology.

The FY2000 solicitation, "PV Manufacturing R&D — In-Line Diagnostics and Intelligent Processing in Manufacturing Scale-Up," was a continuation of the PV Manufacturing R&D Project that focused on further accelerating the PVMaT achievements and was designed to be impartial to various PV technologies and manufacturing approaches. The goals are to improve PV manufacturing processes and products while reducing costs and providing a technology foundation that supports significant manufacturing scale-up (100-MW level). Letters of Interest under this solicitation were to address areas of work that could include, but were not be limited to, issues such as improvement of module manufacturing processes; system and system component packaging, system integration, manufacturing and assembly; product manufacturing flexibility; and balance-of-system development including storage and quality control. The primary emphasis was on new and improved in-line diagnostics and monitoring with real-time feedback for optimal process control and increased yield in the fabrication of PV modules, systems, and other system components.



During this subcontract, Evergreen Solar, Inc. (hereafter referred to as "Evergreen" in this document) will address the goals of improved PV manufacturing processes and products while reducing costs and providing a technology foundation that supports significant manufacturing scale-up. To accomplish these goals, EVERGREEN will focus their efforts on their second-generation technology. These advances would be: further cost reduction in the production of wafers by the String Ribbon technique; high efficiency wrap-around contact solar cells; development and deployment of the manufacturing technology to make frameless modules based on polymers developed in Evergreen Solar's first PVMaT contract (1995 – 1997); and the culmination of all these developments- monolithic modules. These developments will be accompanied with extensive use of manufacturing science techniques especially in the areas of diagnostics and statistical process control. EVERGREEN will also work toward PVMaT goals by developing quality assurance and ES&H programs in keeping with local, State, and Federal regulations as applicable.

## **2.0 OBJECTIVE**

The objective of this subcontract over its three-phase duration is to continue the development of EVERGREEN's String Ribbon Si PV technology resulting in an advanced generation of crystalline silicon PV module manufacturing technology applied to a virtually continuous fully integrated manufacturing line. The final goal of this line will be the production of frameless modules using wrap-around contacts on String Ribbon solar cells and made in a monolithic module configuration. Specific objectives include methods for improving surface and bulk quality of as-grown ribbon, techniques for wrap-around solar cell efficiency improvement, extensive reliability testing under accelerated conditions, developing low cost manufacturing to make frameless modules in general and monolithic modules in particular, and in line diagnostics throughout the production line. To further the high efficiency work, close interaction with Prof. Rohatgi's group at Georgia Tech will be pursued.

## **3.0 SCOPE OF WORK**

The subcontract shall consist of three phases and will be incrementally funded. EVERGREEN shall complete the investigations described in the following tasks and provide a detailed summary of this work in its reports and deliverables.

### **PHASE I**

During Phase I, EVERGREEN shall perform R&D needed to affect improvements in ribbon growth and cell and module manufacture. These efforts shall address the scale-up of a previously developed laboratory scale technique to a production worthy doping method, growth of surface oxide free ribbon, improved starting lifetime of as-grown string ribbon, 12% efficient wrap-around cells, and device improvements on wrap-around cells. EVERGREEN shall design and develop a prototype machine to apply wrap-around decals. They shall develop necessary in-line diagnostics to support crystal growth. Evergreen shall also perform work leading to backskin materials cost reduction and develop and use methods for accelerated testing of monolithic modules to demonstrate desired stability. For all of these efforts Evergreen shall develop the quality assurance and ES&H programs required in keeping with local, state, and federal regulations as applicable. EVERGREEN shall report all progress from this Phase I task-oriented research through reporting requirements detailed in Sections 4, 5, and 6.



### **3.1 Task 1 Scale-Up Of A Production Worthy Doping Method**

EVERGREEN shall scale-up the laboratory scale technique already developed to a scale suitable for manufacturing feedstock silicon using liquid spin-on dopants. To accomplish this task, Evergreen shall demonstrate a mixing method with satisfactory uniformity, develop a suitable solvent drying procedure and develop equipment which will not contaminate the feedstock silicon. This task is expected to result in a production worthy doping method and apparatus that produces satisfactory ribbon growth and cell efficiencies.

### **3.2 Task 2 Growth Of Surface Oxide Free Ribbon-1**

EVERGREEN shall find a simple optical method to detect surface oxide on Si ribbon as it grows and develop an easily implementable method that provides data needed for in-situ correction. To accomplish this task, Evergreen shall develop a detailed characterization of surface oxide layers and develop a simple method for optical detection. This task is expected to result in the development of an optical method for collecting data needed to implement real-time corrective action during crystal growth (see task 11 in Phase II) that can eliminate all etch steps between growth and diffusion for Si ribbon.

### **3.3 Task 3 Improve Starting Lifetime Of As-Grown String Ribbon -1**

EVERGREEN shall improve the starting lifetime of as-grown string ribbon through better purification of hot zone component materials to reduce transition metals and the development of coatings that are more impermeable for hot zone components. DLTS shall be used to verify the lifetime improvements. To accomplish this task, Evergreen shall investigate coatings to reduce permeability, investigate improved purification methods for graphite parts, investigate new configurations in hot zone parts, perform in-house lifetime measurements, obtain DLTS results through university contacts, and obtain string ribbon characterization through interaction with Georgia Tech. This task is expected to result in improvement in starting lifetime through reduced transition metals in string ribbon.

### **3.4 Task 4 12% Efficient Wrap-around Cell**

EVERGREEN shall improve cell-processing leading to a 12% efficient wrap-around cell. Evergreen will achieve the efficiency gains in this task by both improvements in starting lifetime (Task 3) and advances in cell processing, especially plasma nitride passivation and firing through contacts. To accomplish this task, Evergreen shall perform cell processing of higher lifetime material, optimization of plasma nitride processes, and optimization of metallization firing processes. This task is expected to result in 12% wrap-around cells.

### **3.5 Task 5 Improve Devices Through Lowered Series Resistance And Increased Shunt Resistance**

EVERGREEN shall develop techniques to improve their wrap-around cell by achieving lowered series resistance through changes in finger cross section and increased shunt resistance through materials science studies on pastes and dielectric layers. To accomplish this task, Evergreen will develop methods to improve finger cross section, perform Ag paste studies to improve wrap around ribbon edge, investigate appropriate dielectric layers, and develop methods for reduction of edge leakage. This task is expected to result in improved fill factors for 120 sq. cm. wrap-around contact cells

### **3.6 Task 6 Design And Develop A Prototype Machine To Apply Wrap-around Decals**

EVERGREEN shall develop a concept and prototype machine for applying wrap-around solar cells that will lead higher manufacturing line volume and yield. To accomplish this task, Evergreen shall develop a concept for prototype machine, design a prototype machine, develop the prototype machine, and test the prototype machine. This task is expected to result in the testing of a prototype decal application machine that will be the basis for development of a high volume production machine.

### **3.7 Task 7 In-Line Diagnostics-1**

EVERGREEN shall develop a central database for in-line diagnostics in the crystal growth area to automatically generate SPC charts using the software package called RS View 32. To accomplish this task, Evergreen shall develop a data network for all new crystal growth machines, add bulk resistivity and laser cutter data to the network, and develop real time process monitoring using SPC charts. This task is expected to result in improved process control in the crystal growth area.

### **3.8 Task 8 Backskin Materials Cost Reduction**

EVERGREEN shall develop processes to reduce cost of the backskin material by formulating thinner sheets of this material and then apply appropriate qualification tests, as well as in house accelerated tests, to the thinner sheets. To accomplish this task, Evergreen shall formulate thinner backskin, cross-link thinner backskin sheets, conduct qualification tests with thinner material, and perform in-house accelerated testing. This task is expected to result in the development of a process to reduced backskin cost.

### **3.9 Task 9 Accelerated Testing Of Monolithic Modules**

EVERGREEN shall study appropriate inks and printing properties and perform accelerated testing to establish the long term stability of the electrical bonds for material used in adhesive and conducting bars. To accomplish this task, Evergreen shall study



various conductive inks, establish suitable printing properties for conductive material, and conduct accelerated testing of conductive material contacts. This task is expected to result in the development of practical printing method for the conductive material chosen, the demonstration of long term stability for contacts, and the demonstration of long term viability by the monolithic module.

## **PHASE II**

During Phase II, EVERGREEN shall continue to perform R&D needed to affect improvements in ribbon growth and cell and module manufacture. Evergreen's Phase II efforts shall address further improvement in the starting lifetime of as-grown string ribbon, continued work on growth of surface oxide free ribbon, continued improvements on wrap-around cells leading to 13% efficiency, the design, development, and initial testing of a machine to apply wrap-around decals, development of a continuous lamination process, design and development of manufacturing processes and equipment to make frameless modules, development of a manufacturing process to make monolithic modules, and the design of a robotic pick and place machine. In addition, Evergreen shall continue improving their in-line diagnostics capability through completion of the design for automating the collection and analysis of bulk resistivity measurements and the monitoring of module making machines. For all of these efforts Evergreen shall develop the quality assurance and ES&H programs required in keeping with local, state, and federal regulations as applicable. Evergreen shall report all progress from this Phase II task-oriented research through reporting requirements detailed in sections 4, 5, and 6.

### **3.10 Task 10 Improve Starting Lifetime Of As-Grown String Ribbon -2**

EVERGREEN shall continue to improve the starting lifetime of as-grown string ribbon through better control of thermal and mechanical perturbations to minimize dislocation formation. To accomplish this task, Evergreen shall make use of vibration control and more uniform thermal environment to obtain lower dislocation content. Evergreen shall redesign their crystal growth hot zone to improve the thermal uniformity, design and develop techniques for vibration damping during growth, and perform dislocation density mapping to guide other efforts in this task. This task is expected to result in higher starting lifetimes through reduced dislocation density.

### **3.11 Task 11 Growth Of Surface Oxide Free Ribbon-2**

EVERGREEN shall develop a better understanding of oxygen ingress from the exit slits and convection in the region around the hot zone through a better understanding of convection in the hot zone. In addition, Evergreen shall design new techniques to utilize the improved understanding of oxygen ingress and reduce the oxygen available that creates undesired oxide on newly grown ribbon. To accomplish this task, Evergreen shall redesign their Ar introduction techniques and develop methods to



reduce convection in the hot zone region. This task is expected to result in oxide free ribbon and eliminate all etch steps between growth and diffusion for Si ribbon.

### **3.12 Task 12 13 % Wrap-around Cells**

EVERGREEN shall improve efficiency through optimized nitride passivation for both front and rear surfaces and development of a method to form a good back contact. To accomplish this task, Evergreen shall develop, deploy, and test a boat for double sided passivation and develop and test Al paste that can fire through nitride. This task is expected to result in 13 % wrap-around cells.

### **3.13 Task 13 Design, Develop, and Test a Production-worthy Machine to Apply Wrap-around Decals**

EVERGREEN shall design, develop, and test a machine to apply wrap-around decals for high volume production rates on the order of 1000 cells/hr. The design shall make use of an Allen Bradley PLC that will feed process data into a central computer. This task is expected to result in the development of a production-worthy machine that automates the application of wrap-around decals.

### **3.14 Task 14 Continuous Lamination Process**

EVERGREEN shall develop a continuous, non-vacuum lamination process that eliminates cell cracking and which is suitable for high volume production. To accomplish this task, Evergreen shall find process conditions (such as roller temperature, pre heat temperature, speed, and roller pressure) whereby cell cracking is eliminated. Evergreen shall then develop suitable process conditions for high volume manufacturing. This task is expected to result in a high volume, continuous non-vacuum lamination process.

### **3.15 Task 15 Develop a Manufacturing Process to Make Frameless Modules**

EVERGREEN shall develop a low-cost, manufacturable technique to make frameless modules through close interaction with vendors and manufacturing personnel. To accomplish this task, Evergreen shall study alternative methods to modify their backskin for higher impermeability and study alternative methods to form a backskin edge. This task is expected to result in the development of a viable manufacturing process for frameless modules.

### **3.16 Task 16 Design Manufacturing Equipment to Make Frameless Modules**

EVERGREEN shall design, develop and test low-capital cost equipment for high volume manufacturing of frameless modules. To accomplish this task, Evergreen shall design a suitable backskin modification machine for improved impermeability backskin, test the

backskin modification machine for output with improved impermeability, design a machine to form sealed leads from the module, and test the machine to form the sealed leads. This task is expected to result in the design, development and testing of a backskin modification machine and design, development, and testing of a machine to form sealed electrical leads from the module.

### **3.17 Task 17 Develop a Manufacturing Process to Make Monolithic Modules**

EVERGREEN shall develop a cost-effective, manufacturing method to control backskin shrinkage. To accomplish this task, Evergreen shall explore possible methods to control shrinkage, identify and select a promising method, and develop and test this method for adequacy in a manufacturing process. This task is expected to result in a method to control backskin shrinkage suitable for manufacturing.

### **3.18 Task 18 Design a Robotic Pick and Place Machine**

EVERGREEN shall design a robotic pick and place machine that can accurately position a wrap-around cell on the printed backskin. To accomplish this task, Evergreen shall identify a robot with desired properties and design a machine with that robot to perform the required pick and place activities needed to position the cell on the backskin. This task is expected to result in a pick and place machine with positional accuracy of plus or minus 0.005".

### **3.19 Task 19 In-Line Diagnostics-2**

EVERGREEN shall develop the necessary processes and equipment to incorporate bulk resistivity measurement into the automatic laser cutting station. Such equipment to perform the measurements, done manually during the Phase I, shall be designed to automatically perform the required measurements on the as grown wafers. In the module area, processes and equipment necessary to incorporate RSVIEW into the machine designs shall also be developed and tested. This task is expected to result in in-line diagnostics for bulk resistivity measurement and automated monitoring of module making machines.

## **PHASE III**

During Phase III, EVERGREEN shall continue to perform R&D needed to affect improvements in ribbon growth and cell and module manufacture. Evergreen's Phase III efforts shall address the demonstration of improved starting lifetime of as-grown string ribbon from a production-capable system, continued improvements on wrap-around cells leading to 14% efficiency, continued testing and fine tuning to demonstrate manufacturing line worthiness for a decal application machine. Evergreen shall also design and develop an improved small high voltage module, debug, test, and fine-tune



module manufacturing equipment used for frameless, monolithic modules, debug, test, and fine-tune a robotic pick and place machine for automated monolithic module layout, and continue improved automation of their manufacturing line with design, development, and testing of a network for collection of all data at a central point for advanced in-line diagnostics. And finally Evergreen shall demonstrate their state of the art manufacturing capability to make monolithic modules. EVERGREEN shall report all progress from this Phase III task-oriented research through reporting requirements detailed in Sections 4, 5, and 6.

### **3.20 Task 20 Demonstrate Improved Starting Lifetime On Production-Capable System**

EVERGREEN shall demonstrate the results of the work on impurity reduction (Task 3) and dislocation reduction (Task 10) on a production crystal growth system so as to produce a higher average and tighter distribution of starting lifetime. Presently the lifetimes vary from <1 to >10 microseconds. The goal here will be to eliminate the lower end of the distribution. This task is expected to result in starting lifetimes of 5 to >10 microseconds.

### **3.21 Task 21 14% Efficient Wrap-around Contact Cells**

EVERGREEN shall combine advances made in Tasks 12 and 20 to routinely make 14% cells. To accomplish this task, Evergreen shall make cells utilizing the advances developed during Phase II to produce cells on production-worthy equipment developed for performing tasks 12 and 20. This task is expected to result in 14% wrap-around contact cells.

### **3.22 Task 22 Fine-Tune And Test Wrap-around Decal Application Machine**

EVERGREEN shall demonstrate, fine-tune, and test a production worthy wrap-around decal application machine with a goal of achieving throughput of 1000 cells/hr at > 95% yield. To accomplish this task, Evergreen shall execute an iterative process of fine-tuning and testing their wrap-around decal application machine at high volume. This task is expected to result in a complete debugging of their wrap-around decal application machine and a demonstration of production-worthiness.

### **3.23 Task 23 Design And Develop An Improved Small, High Voltage Module**

EVERGREEN shall design and develop a high voltage small monolithic module suitable for automated production. To accomplish this task, Evergreen shall demonstrate the viability of laser cutting large wrap-around cells into smaller wrap-around cells, demonstrate adequate reliability for these smaller cells, and show automation capability for finishing the small high-voltage module. This task is expected to result in the demonstration of a manufacturing process capable of producing a high voltage, small module product.

### **3.24 Task 24 Debug And Test Module Manufacturing Equipment Used To Produce Frameless, Monolithic Modules**

EVERGREEN shall develop, debug, and test production size module manufacturing equipment used to produce frameless, monolithic modules. Evergreen shall demonstrate production worthy speed (time to form a completed module) and quality with a yield of 99%. This task is expected to result in demonstration of speed, quality, and yield for the processes and equipment developed in Tasks 15, 16, and 17.

### **3.25 Task 25 Develop, Debug, And Test Robotic Pick And Place Machine**

EVERGREEN shall develop, debug, and test the robotic pick and place machine designed in task 18. Evergreen shall demonstrate positional accuracy estimated to be plus or minus 0.005" or as determined from additional tests with actual equipment. This task is expected to result in a robotic pick and place machine satisfying manufacturing requirements

### **3.26 Task 26 In Line Diagnostics-3**

EVERGREEN shall continue improved automation of their manufacturing line with design, development, and testing of a network for collection of all data at a central point for advanced in-line diagnostics. To accomplish this task, Evergreen shall bring together the inputs from RSView on all the machines used to make frameless and monolithic modules and integrate these inputs into a real-time response system for machine control. This task is expected to result in in-line diagnostics for real time control for frameless and monolithic module manufacturing.

### **3.27 Task 27 Demonstrate State Of The Art Si Ribbon Manufacturing Capability To Make Monolithic Modules**

EVERGREEN shall demonstrate the automated production of monolithic modules through the delivery of test results from the manufacturing line based on process improvements developed in the subcontract. The test shall be an actual run and the goal shall be a 99% yield from a run of 100 consecutive modules. This task is expected to result in a demonstration of the production of a frameless, monolithic module produced from highly automated, cost-effective high yield string ribbon Si manufacturing equipment and provide NREL data to characterize the improvements made by Evergreen under this subcontract.



## 4.0 PROGRAM PLAN

The subcontracted research shall be conducted at EVERGREEN. The research shall be carried out according to the Task Schedule outlined below. All Milestones, Deliverables, and Reporting Requirements shall be met by EVERGREEN according to the schedules detailed in the appropriate sections that follow.

### 4.1 TASK SCHEDULE

Task Schedules are broken down into separate Phase I, Phase II, and Phase III efforts to correspond to the three phases of the subcontract. EVERGREEN shall perform these tasks according to the following phased schedules:

#### PHASE I

EVERGREEN shall perform and complete Tasks 1 through 9 during Phase I of this subcontract according to the following schedule:

Months	S	O	N	D	J	F	M	A	M
Task 1	X	X	X	X	X				
Task 2	X	X	X	X					
Task 3	X	X	X	X	X	X	▽		
Task 4									
Task 5	X	X	X	X	X	X	▽		
Task 6	X	X	X	X	X	X			
Task 7	X	X	X	X	X	X	▽		
Task 8	X	X	X	X	X	X	▽		
Task 9	X	X	X	X	X	X	▽		
Monthly Reports		15th	15th	15th	15th	15th	15th		
Annual Report							draft 15 <sup>th</sup>		Final 30 <sup>th</sup>

## PHASE II

EVERGREEN shall perform and complete Tasks 10 through 19 during Phase II of this subcontract according to the following schedule:

Months	A	M	J	J	A	S	O	N	D	J	F	M	A	M
Task 10	X	X	X	X	X	X	X	X	X	X	X	▽		
Task 11	X	X	X	X	X	X								
Task 12						X	X	X	X	X	X	▽		
Task 13	X	X	X	X	X	X	X	X	X	X	X	▽		
Task 14			X	X	X	X	X	X	X					
Task 15			X	X	X	X	X	X	X	X	X			
Task 16					X	X	X	X	X	X	X	▽		
Task 17						X	X	X	X	X	X			
Task 18				X	X	X	X	X	X					
Task 19							X	X	X	X	X	▽		
Monthly Reports	15th	15th	15th	15th	15th	15th	15th	15th	15th	15th	15th	15th		
Annual Report												draft 15th		final 30th

## Phase III

EVERGREEN shall perform and complete Tasks 20 through 27 during Phase III of this subcontract according to the following schedule:

Months	A	M	J	J	A	S	O	N	D	J	F	M	A	M
Task 20							X	X	X	X	X	▽		
Task 21										X	X	▽		
Task 22	X	X	X	X	X	X	X	X	X	X	X	▽		
Task 23			X	X	X	X	X	X						
Task 24			X	X	X	X	X	X	X	X	X	▽		
Task 25	X	X	X	X	X	X								
Task 26									X	X	X	▽		
Task 27									X	X	X	▽		
Monthly Reports		15th	15th	15th	15th	15th	15th	15th	15th	15th	15th	15th		
Annual Report												draft 15th		Final 30th



## 4.2 MILESTONES

Milestones are broken down into Phase I, Phase II, and Phase III milestones to correspond to the three phases of the subcontract. EVERGREEN shall perform tasks 1 through 27 in order to meet milestones and deliverables according to the below schedule. Although Milestones are shown as due by the end of three month periods, Evergreen shall regularly report on Milestone progress in its Monthly Reports due on the 15<sup>th</sup> of each month.

### PHASE I

#### Milestones due no later than October 31, 2002

m-1.1.1	Demonstrate process steps for uniform mixing of dopant	(Task 1)
m-1.1.2	Grow ribbon with doped feedstock using demonstrated mixing procedure	(Task 1)
m-1.1.3	Demonstrate a suitable solvent drying procedure	(Task 1)
m-1.1.4	Show suitable transport in feeder	(Task 1)
m-1.1.5	Complete chemical and optical characterization of surface oxide	(Task 2)
m-1.1.6	Demonstrate feasibility of a simple optical method for oxide determination	(Task 2)
m-1.1.7	Concept for prototype decal application machine completed	(Task 6)
m-1.1.8	Design for prototype machine completed	(Task 6)
m-1.1.9	Thinner backskin sheets formulated	(Task 8)

#### Milestones due no later than October 31, 2002

m-1.2.1	Install mixing equipment	(Task 1)
m-1.2.2	Grow ribbon using feedstock mixed in new equipment	(Task 1)
m-1.2.3	Show no negative impact on efficiency from new doping process	(Task 1)
m-1.2.4	Identify contact cross section changes for screen printing	(Task 5)
m-1.2.5	Decision on whether or not to study alternative printing method	(Task 5)
m-1.2.6	Dielectric layers selected	(Task 5)
m-1.2.7	Prototype machine developed and tested	(Task 6)
m-1.2.8	Demonstrate cross-linked thinner backskin sheets	(Task 8)
m-1.2.9	Choose conductive ink for printing onto backskin	(Task 9)
m-1.2.10	Demonstrate ease of printing of conductive material	(Task 9)

#### Milestones due no later than January 31, 2003

- |         |  |          |
|---------|--|----------|
| m-1.3.1 | Demonstrate coating with reduced permeability                    | (Task 3) |
| m-1.3.2 | Network for all new crystal growth machines established          | (Task 7) |
| m-1.3.3 | Bulk resistivity and laser cutting data connected to the network | (Task 7) |
| m-1.3.4 | Initiate qualification tests                                     | (Task 8) |
| m-1.3.5 | Initiate in-house accelerated testing                            | (Task 8) |
| m-1.3.6 | Demonstrate adequate performance under thermal cycling           | (Task 9) |
| m-1.3.7 | Demonstrate adequate performance under humidity freeze           | (Task 9) |

#### Milestones due no later than, March 31, 2003

- |          |   |          |
|----------|---|----------|
| m-1.4.1  | Test graphite parts for improved purification                 | (Task 3) |
| m-1.4.2  | Test novel hot zone parts' configurations                     | (Task 3) |
| m-1.4.3  | Demonstrate lifetime gains from M-1.3.1-M-1.3.3               | (Task 3) |
| m-1.4.4  | Verify M-1.3.4 with DLTS                                      | (Task 3) |
| m-1.4.5  | R and D cells from Ga. Tech with efficiency > 15.5%           | (Task 3) |
| m-1.4.6  | Optimize plasma nitride process                               | (Task 4) |
| m-1.4.7  | Optimize metallization firing process                         | (Task 4) |
| m-1.4.8  | Demonstrate fabrication of 120 sq. cm., 12% wrap-around cells | (Task 4) |
| m-1.4.9  | Demonstrate reduced series resistance                         | (Task 5) |
| m-1.4.10 | Demonstrate increased shunt resistance                        | (Task 5) |
| m-1.4.11 | Demonstrate process monitoring using SPC charts               | (Task 7) |
| m-1.4.12 | Complete accelerated testing                                  | (Task 8) |
| m-1.4.13 | Complete accelerated tests                                    | (Task 9) |

## **PHASE II**

#### Milestones due no later than June 30, 2003

- |         |   |           |
|---------|---|-----------|
| m-2.1.1 | Demonstrate reduced oxygen in hot zone                        | (Task 11) |
| m-2.1.2 | Design for alternate method to introduce Ar into the hot zone | (Task 11) |
| m-2.1.3 | Production-worthy decal application machine designed          | (Task 13) |
| m-2.1.4 | Establish parameters for glass/encapsulant lamination         | (Task 14) |
| m-2.1.5 | Identify method to modify backskin for higher impermeability  | (Task 15) |



#### Milestones due no later than September 30, 2003

m-2.2.1	Establish hot zone redesign	(Task 10)
m-2.2.2	Demonstrate growth of oxide free ribbon	(Task 11)
m-2.2.3	Establish parameters for cell/backskin lamination	(Task 14)
m-2.2.4	Develop method to modify backskin	(Task 15)
m-2.2.5	Complete design of backskin modification machine	(Task 16)
m-2.2.6	Complete identification of pick and place robot	(Task 18)

#### Milestones due no later than December 31, 2003

m-2.3.1	Complete design and implementation of vibration damping	(Task 10)
m-2.3.2	Complete design and deployment of boat for double sided passivation	(Task 12)
m-2.3.3	Demonstrate adequate firing through of Al paste	(Task 12)
m-2.3.4	Decal application machine developed and tested	(Task 13)
m-2.3.5	Establish process for full module lamination	(Task 14)
m-2.3.6	Identify method to form backskin edge	(Task 15)
m-2.3.7	Complete development of backskin modification machine	(Task 16)
m-2.3.8	Decision on monolithic module manufacturing method	(Task 17)
m-2.3.9	Complete design of pick and place machine	(Task 18)
m-2.3.10	Complete design for automatic bulk resistivity measurement	(Task 19)

#### Milestones due no later than March 31, 2004

m-2.4.1	Complete dislocation maps	(Task 10)
m-2.4.2	Demonstrate fabrication of 13% cells	(Task 12)
m-2.4.3	Establish data processing for decal application machine	(Task 13)
m-2.4.4	Develop method to form backskin edge	(Task 15)
m-2.4.5	Complete design of machine to form sealed leads	(Task 16)
m-2.4.6	Complete development of machine to form sealed leads	(Task 16)
m-2.4.7	Complete development of monolithic module manufacturing method	(Task 17)
m-2.4.8	Complete development of automatic bulk resistivity measurement	(Task 19)
m-2.4.9	Complete incorporation of RS View in module machine designs	(Task 19)

## PHASE III

### Milestones due no later than June 30, 2004

m-3.1.1 Complete debug of robotic pick and place machine (Task 25)

### Milestones due no later than September 30, 2004

m-3.2.1 Complete debug of wrap-around decal application machine (Task 22)

m-3.2.2 Demonstrate viability of laser cutting small cells from large cells (Task 23)

m-3.2.3 Complete running of robotic pick and place machine (Task 25)

m-3.2.4 Complete demonstration of positional accuracy and repeatability (Task 25)

### Milestones due no later than December 31, 2004

m-3.3.1 Demonstrate impurity reduction on production machine (Task 20)

m-3.3.2 Demonstrate dislocation reduction on production machine (Task 20)

m-3.3.3 Complete reliability studies on high-voltage small modules (Task 23)

m-3.3.4 Complete automation for high-voltage small modules (Task 23)

m-3.3.5 Complete speed and quality demonstration for manufacture of frameless, monolithic module (Task 24)

### Milestones due no later than March 31, 2005

m-3.4.1 Demonstrate starting lifetimes of 5 to >10 microseconds (Task 20)

m-3.4.2 Advances made in Tasks 12 and 20 brought together (Task 21)

m-3.4.3 Demonstrate 14% wrap-around contact cells (Task 21)

m-3.4.4 Complete testing of wrap-around decal application machine (Task 22)

m-3.4.5 Complete yield demonstration for manufacture of frameless, monolithic module (Task 24)

m-3.4.6 Complete development of RS View on all automated machines for modules (Task 26)

m-3.4.7 Complete integration of all inputs into a central collection point (Task 26)

m-3.4.8 Complete demonstration of manufacturing capability (Task 27)

m-3.4.9 Demonstrate capability to make 100 modules at a yield 99% (Task 27)



## 5.0 DELIVERABLES/REPORTING REQUIREMENTS

EVERGREEN shall prepare and submit reports and deliverables in accordance with the following Sections. EVERGREEN shall also supply NREL with samples of EVERGREEN cells and modules for collaborative and analytical efforts with NREL as directed by the technical monitor. In addition, EVERGREEN shall supply, according to the schedule indicated, the following representative samples of the current best device/material design and fabrication procedures:

### 5.1 DELIVERABLES

The Deliverables under this subcontract are divided into Phase I, Phase II, and Phase III deliverables to correspond to the three phases of the subcontract. EVERGREEN shall provide deliverables according to the following schedule:

#### PHASE I

<u>No.</u>	<u>Deliverable Description</u>	<u>Quantity</u>	<u>Due Date</u>
D-1.1.1	Report on results for scaling up process for uniform mixing of dopant. (Task 1)	2	October 31, 2002
D-1.1.2	One sample of 3" wide ribbon grown per M-1.1.2. (Task 1)	1	October 31, 2002
D-1.1.3	Report on a suitable solvent drying procedure. (Task 1)		October 31, 2002
D-1.1.4	Report on suitable transport of doped feedstock in feeder. (Task 1)		October 31, 2002
D-1.1.5	Report on chemical and optical characterization of surface oxide. (Task 2)		October 31, 2002
D-1.1.6	Report on feasibility of a simple optical method for oxide determination. (Task 2)		October 31, 2002
D-1.1.7	Ribbon sample grown without any surface oxide. (Task 2)	1	October 31, 2002
D-1.1.8	Report describing concept for prototype decal application machine. (Task 6)		October 31, 2002
D-1.1.9	Report describing design for prototype machine. (Task 6)		October 31, 2002
D-1.1.10	Example of thinner backskin sheets. (Task 8)		October 31, 2002

D-1.2.1	Report on installation of mixing equipment. (Task 1)		October 31, 2002
D-1.2.2	One sample of 3" wide doped ribbon. (Task 1)	1	October 31, 2002
D-1.2.3	Two 12% cells made with feedstock doped with new doping process. (Task 1)	2	October 31, 2002
D-1.2.4	Report on finger cross section through screen-printing. (Task 5)		October 31, 2002
D-1.2.5	Report on decision to study alternative printing methods. (Task 5)		October 31, 2002
D-1.2.6	Report on dielectric layers selected. (Task 5)		October 31, 2002
D-1.2.7	Report on development and testing of prototype machine. (Task 6)		October 31, 2002
D-1.2.8	One cell from prototype machine. (Task 6)	1	October 31, 2002
D-1.2.9	Example of cross-linked thinner backskin . (Task 8)		October 31, 2002
D-1.2.10	Report on ink choice. (Task 9)		October 31, 2002
D-1.2.11	One sample of printed conductive material on backskin. (Task 9)		October 31, 2002
D-1.3.1	Report on coating with reduced permeability. (Task 3)		January 31, 2003
D-1.3.2	Report on establishment of network for new crystal growth machines. (Task 7)		January 31, 2003
D-1.3.3	Report on resistivity and laser cutting data added to the network. (Task 7)		January 31, 2003
D-1.3.4	Report on initiation of in-house accelerated tests and qualification tests. (Task 8)		January 31, 2003
D-1.3.5	One backskin sample. (Task 8)	1	January 31, 2003
D-1.3.6	Report on performance under thermal cycling and humidity freeze. (Task 9)		January 31, 2003
D-1.3.7	Report on completed accelerated tests. (Task 9)		January 31, 2003
D-1.4.1	Report on tests of improved purification graphite parts. (Task 3)		March 31, 2003



D-1.4.2	Report on novel hot zone parts' configurations. (Task 3)		March 31, 2003
D-1.4.3	Report on lifetime gains (and DLTS verification) from M-1.3.1-M-1.3.3. (Task 3)		March 31, 2003
D-1.4.4	One >15% R&D cell. (Task 3)	1	March 31, 2003
D-1.4.5	Report on optimization of plasma nitride process. (Task 4)		March 31, 2003
D-1.4.6	Report on optimization of metallization firing process. (Task 4)		March 31, 2003
D-1.4.7	One 120 sq. cm., 12% wrap-around cell and I-V Data. (Task 4)	1	March 31, 2003
D-1.4.8	Report on reduced series and shunt resistance. (Task 5)		March 31, 2003
D-1.4.9	One cell demonstrating device improvements due to contact improvements. (Task 5)	1	March 31, 2003
D-1.4.10	Report on real time process monitoring using SPC charts. (Task 7)		March 31, 2003
D-1.4.11	One sample of printed conductive material on backskin. (Task 9)	1	March 31, 2003

## PHASE II

<u>No.</u>	<u>Deliverable Description</u>	<u>Quantity</u>	<u>Due Date</u>
D-2.1.1	Report on reduced oxygen in hot zone. (Task 11)		June 30, 2003
D-2.1.2	Report on design for alternate method to introduce Ar. (Task 11)		June 30, 2003
D-2.1.3	Report on design of production-worthy decal application machine. (Task 13)		June 30, 2003
D-2.1.4	Report on parameters for glass/encapsulant lamination. (Task 14)		June 30, 2003
D-2.1.5	Report on choice of method to modify backskin. (Task 15)		June 30, 2003
D-2.2.1	Report on hot zone redesign. (Task 10)		September 30, 2003
D-2.2.2	Report on redesign of ambient gas flow pattern. (Task 11)		September 30, 2003

D-2.2.3	One oxide free ribbon sample. (Task 12)	1	September 30, 2003
D-2.2.4	Report on parameters for cell/backskin lamination. (Task 14)		September 30, 2003
D-2.2.5	Report on method to modify backskin. (Task 15)		September 30, 2003
D-2.2.6	Report on design of backskin modification machine. (Task 16)		September 30, 2003
D-2.2.7	Report on identification of pick and place robot. (Task 18)		September 30, 2003
D-2.3.1	Report on design and implementation of vibration damping. (Task 10)		December 31, 2003
D-2.3.2	Report on design and deployment of boat for double sided passivation. (Task 12)		December 31, 2003
D-2.3.3	Report on adequate firing through of Al paste. (Task 12)		December 31, 2003
D-2.3.4	Report on development and testing of decal application machine. (Task 13)		December 31, 2003
D-2.3.5	Report on hot roll lamination process for full module. (Task 14)		December 31, 2003
D-2.3.6	One typical full module produced with hot roll lamination process. (Task 14)	1	December 31, 2003
D-2.3.7	Report on choice of method to form backskin edge. (Task 15)		December 31, 2003
D-2.3.8	Report on development of backskin modification machine. (Task 16)		December 31, 2003
D-2.3.9	Report on design of a machine to form sealed leads. (Task 16)		December 31, 2003
D-2.3.10	Report on decision for monolithic module manufacturing method. (Task 17)		December 31, 2003
D-2.3.11	Report on pick and place machine design. (Task 18)		December 31, 2003
D-2.3.12	Report on design of automatic bulk resistivity measurement. (Task 19)		December 31, 2003
D-2.4.1	Report on improved lifetimes and dislocation maps. (Task 10)		March 31, 2004
D-2.4.2	One 13% wrap-around cell. (Task 12)	1	March 31, 2004



D-2.4.3	One sample from and report on decal application machine with data processing. (Task 13)		March 31, 2004
D-2.4.4	One sample from and report on decal application machine with data processing. (Task 13)	1	March 31, 2004
D-2.4.5	Report on process to make frameless modules. (Task 15)		March 31, 2004
D-2.4.6	Report on manufacturing equipment for frameless modules. (Task 16)		March 31, 2004
D-2.4.7	Report on development of monolithic module manufacturing method for shrinkage control. (Task 17)		March 31, 2004
D-2.4.8	One sample demonstrating monolithic module manufacturing method for shrinkage control. (Task 17)	1	March 31, 2004
D-2.4.9	Report on development of automatic bulk resistivity measurement. (Task 19)		March 31, 2004
D-2.4.10	Report on incorporation of RS View in module machine designs. (Task 19)		March 31, 2004

### PHASE III

<u>No.</u>	<u>Deliverable Description</u>	<u>Quantity</u>	<u>Due Date</u>
D-3.1.1	Report on debug of robotic pick and place machine. (Task 25)		June 30, 2004
D-3.2.1	Report on debug of wrap-around decal application machine. (Task 22)		September 30, 2004
D-3.2.2	Small cells cut from larger cell with laser. (Task 23)	6	September 30, 2004
D-3.2.3	Report on running of robotic pick and place machine. (Task 25)		September 30, 2004
D-3.2.4	Report on demonstration of positional accuracy and repeatability. (Task 25)		September 30, 2004
D-3.3.1	Report on impurity reduction on production machine. (Task 20)		December 31, 2004
D-3.3.2	Report on dislocation reduction on production machine. (Task 20)		December 31, 2004

D-3.3.3	Report on reliability of high-voltage small modules. (Task 23)		December 31, 2004
D-3.3.4	Report on completion of automation for high-voltage small modules. (Task 23)		December 31, 2004
D-3.3.4	Two prototype high-voltage small modules. (Task 23)	2	December 31, 2004
D-3.3.5	Report on speed and quality demonstration. (Task 24)		December 31, 2004
D-3.4.1	Report on starting material lifetimes of 5 to >10 microseconds. (Task 20)		March 31, 2005
D-3.4.1	One sample of starting material with lifetimes of 5 to >10 microseconds. (Task 20)	1	March 31, 2005
D-3.4.2	Report on advances made in Tasks 12 and 20 brought together. (Task 21)		March 31, 2005
D-3.4.3	Report on 14% wrap-around contact cells. (Task 21)		March 31, 2005
D-3.4.3	Two typical cells characterizing efforts for 14% wrap-around cells. (Task 21)	2	March 31, 2005
D-3.4.4	Report on testing (yield and throughput) of wrap-around decal application machine. (Task 22)		March 31, 2005
D-3.4.5	Report on yield demonstration. (Task 24)		March 31, 2005
D-3.4.6	Report on development of RS View on all automated machines for modules. (Task 26)		March 31, 2005
D-3.4.7	Report on integration of all inputs into a central collection point. (Task 26)		March 31, 2005
D-3.4.8	Report on demonstration of manufacturing capability. (Task 27)		March 31, 2005
D-3.4.9	Report on module fabrication yield. (Task 27)		March 31, 2005
D-3.4.10	Two monolithic modules typical of 100 module run sent to NREL. (Task 27)	2	March 31, 2005



Deliverables that are not reports shall be sent to the Technical Monitor at the following address:

National Renewable Energy Laboratory  
ATTENTION: David Mooney, MS#3214  
1617 Cole Boulevard  
Golden, Colorado 80401

with a copy of the transmittal letter sent to the Contract Administrator at:

National Renewable Energy Laboratory  
ATTENTION: Christie Johnson, MS#2713  
1617 Cole Boulevard  
Golden, Colorado 80401

Deliverables identified as reports in the above schedule in this section may be delivered as attachments to the Monthly Technical Status Report (MTSR) corresponding to the final month for the quarter in which that report deliverable is due. If an MTSR is not due in the final month of the quarter (as is the case at the end of each phase when an annual or the final report is due), the deliverable reports due at that time shall be delivered as one item with separate sections. In any of these cases, each deliverable report shall be clearly identifiable as a distinct section.

## 5.2 PRESENTATIONS AND PUBLICATIONS

Evergreen Solar, Inc. shall attend NREL Subcontractor Annual Review Meetings to be held at a place and time specified by NREL. Evergreen Solar, Inc. shall present a complete discussion of work performed under this subcontract at such meetings and submit one reproducible master copy of the presentation material prior to this review, as specified by the NREL Technical Monitor.

Presentations at scientific meetings and publications of research results in scientific journals are encouraged by the PV Manufacturing R&D Project, but must be approved in advance by the NREL Subcontract Administrator. Any costs to NREL that are to be incurred as a result of such presentations/publications must be included in the negotiated cost of the subcontract. The subcontractor is responsible for obtaining NREL's technical approval. Before a representative of Evergreen Solar, Inc. submits or presents a publication concerning the research effort under this subcontract (e.g., abstract, reprint of manuscript, etc.), Evergreen Solar, Inc. shall **submit two (2) copies to the NREL Technical Monitor, one (1) copy to each of the Technical Monitoring Team (TMT) members, and one (1) copy to the Contract Administrator.**

Evergreen Solar, Inc. is reminded that the **technical approval** requirements, as specified above, also apply to reports requiring distribution outside of NREL.

Evergreen Solar, Inc. shall also be prepared to respond to requests for written information in summary form as required by the Technical Monitor to meet obligations to DOE. Such requests include, but are not limited to, Program Summaries (annually, 1-2 pages) and Summary Annual Reports (2-3 pages). These are the usual requested annually, and NREL does not at this time expect any others during the contract. They are in addition to other reporting requirements (below).

### **5.3 REPORTING REQUIREMENTS**

Evergreen Solar, Inc. shall furnish reports in accordance with the "Required Reports," Section 5.4. These reports shall be sent to the NREL Technical Monitor at the following address:

National Renewable Energy Laboratory  
ATTENTION: David Mooney, MS#3214  
1617 Cole Boulevard  
Golden, Colorado 80401

with one copy of the report, and a copy of the transmittal letter to the Technical Monitor, being sent to the Contract Administrator at:

National Renewable Energy Laboratory  
ATTENTION: Christie Johnson, MS#2713  
1617 Cole Boulevard  
Golden, Colorado 80401

Technical monitoring will be performed by NREL/Sandia Personnel and will be in compliance with DOE PV Manufacturing R&D project and NREL Procurement requirements. One copy of these reports shall also be sent to the Technical Monitoring Team Members as described in Section 5.4, with a copy of their transmittal letters sent to the Technical Monitor.

### **5.4 REQUIRED REPORTS**

Evergreen Solar, Inc. shall be required to prepare and submit the following reports indicated below. If the period of performance for this subcontract begins during the first through the fifteenth of a month, then that month is considered the first full month of the subcontract for reporting purposes. If the period of performance for this subcontract begins during the sixteenth through the end of the month, then the first full month of the subcontract for reporting purposes is the following month. For example, if the period of



performance start date is January 10, then January is the first full month for reporting purposes; whereas, if the period of performance start date is January 20, then February is the first full month for reporting purposes.

#### **A. MONTHLY TECHNICAL STATUS REPORT:**

The Monthly Technical Status Report shall be formatted to communicate to NREL an assessment of subcontract status, explain variances and problems, report on the accomplishment of performance milestones and/or program deliverables, and discuss any other achievements or areas of concern. This report should be three to six pages written in a letter format with emphasis placed on the status rather than a description of the progress. An introductory paragraph will be included in each monthly report that provides a highlight of the month's activities. **Copies of this report are due on or before fifteen (15) days after completion of each month** [two (2) copies to the NREL Technical Monitor (TM), one (1) copy to each of the Technical Monitoring Team (TMT) members, and one (1) copy to the NREL Contract Administrator].

#### **B. ANNUAL TECHNICAL PROGRESS REPORT**

The Annual Technical Progress Reports shall be structured as formal technical reports, both in draft and final version, which describe all significant work performed during each phase of the subcontract. **Copies of the draft Annual Technical Progress Report are due on or before fifteen (15) days prior to the completion date for each phase's research effort under this subcontract** [two (2) copies for the NREL Technical Monitor (TM), one (1) copy for each of the Technical Monitoring Team (TMT) members, one (1) copy for the NREL TMT member, and one (1) copy for the NREL Contract Administrator]. The subcontractor shall make any corrections or revisions per NREL direction, which may include technical or editorial comments. The subcontractor shall be allowed fifteen (15) days after receipt of NREL's recommendations and/or comments to make these corrections and submit copies of the final version to NREL. The final version shall consist of **three (3) copies of the Annual Technical Progress Report** [one (1) master copy with original graphics, one (1) electronic copy with graphics (for posting on NREL's web site, see **B1** Guidelines below), and one (1) reproducible copy] **for the NREL Technical Monitor (TM), and one (1) reproducible copy for the NREL Contract Administrator.** If the subcontracted effort in the following phase is not authorized and funded by NREL, then that phase's Annual Technical Progress Report shall be designated as the Final Technical Report (see description below) and the period of performance for that phase shall be extended by three months to allow for the completion of this report as the Final Technical Report.

## C. FINAL TECHNICAL REPORT

The Final Technical Report is to be structured as a formal technical report, both in draft and final version, which describes all significant work performed during the entire subcontract's period of performance. **Copies of the draft Final Technical Report are due on or before fifteen (15) days after the final phase's completion date for active research under this subcontract** [two (2) copies for the NREL Technical Monitor (TM), one (1) copy for each of the Technical Monitoring Team members, and one (1) copy for the NREL Contract Administrator]. The subcontractor shall make any corrections or revisions per NREL direction, which may include technical or editorial comments. The subcontractor shall be allowed fifteen (15) days after receipt of NREL's recommendations and/or comments to make corrections and submit copies of the final version to NREL. The final version shall consist of **three (3) copies of the Final Technical Report** [one (1) master copy with original graphics, one (1) electronic copy with graphics (for posting on NREL's web site), and one (1) reproducible copy] **for the NREL Technical Monitor (TM), and one (1) reproducible copy for the NREL Contract Administrator.** The subcontractor shall follow one of the formats (listed above in Section B1, Annual Technical Progress Report) for the electronic copies of the final version of this report.

### 6.0 Electronic Reporting Requirements for Subcontract Report Deliverables:

As set forth in Department of Energy Order 241.1A, NREL is required to submit in an electronic format all scientific and technical information, including subcontract report deliverables intended for public distribution, to the DOE Office of Scientific and Technical Information (OSTI). In addition, it is NREL's intention to post subcontract report deliverables containing publicly available information (e.g. non-confidential, non-protected, non-proprietary information) for distribution on the NREL Intranet or the Internet.

The Subcontractor shall provide the final approved version of report deliverables intended for public distribution as specified in the deliverable schedule of this Statement of Work in accordance with the following electronic reporting requirements:

- a. The Subcontractor shall submit all report deliverables intended for public distribution (including status, annual, or final reports) as electronic files, preferably with all graphics and images embedded within the document. The electronic files shall be submitted along with an accompanying hard (printed) copy(ies) of the report. Limited exceptions allowing some graphics and images to be submitted as hard copies only may be granted on a case-by-case basis. The exceptions process for graphics and images is described in Paragraph E below. It shall be made clear in the



deliverable transmittal letter that certain graphics and images are supplied in hard copy only.

- b. All final approved version submissions shall be delivered to NREL on PC or MAC-formatted media (3.5 inch disks, Zip and Jaz cartridges, or CD-ROM). Files of 1 Mb or less can be sent via e-mail to the 1) NREL technical monitor, 2) the NREL Subcontract Administrator or Associate (as specified in the Statement of Work).
- c. The preferred format is a single electronic file that includes all of the text, figures, illustrations, and high-resolution digital photographs (or photographs should be scanned and incorporated in the text). Acceptable file formats are:
  - Microsoft Word (v.6.0 or newer for PC or MAC)
  - WordPerfect (v.6.1 or newer for PC)
  - Microsoft PowerPoint
  - Microsoft Excel
- d. If it is not possible to include all of the graphics and images (figures, illustrations, and photographs) in the same file as the text, NREL will accept the text in one of the above formats and the graphics and images as separate electronic graphic or image files\*. The native files for any page layout formats submitted shall be supplied. The following software is supported on both Mac and PC platforms:
  - QuarkXPress (.qxd) • Pagemaker (.pm)
  - Photoshop (.psd) • Illustrator (.ai)
  - Freehand (.fh) • Corel Draw (.cdr)
  - Framemaker (.fm) • Microsoft Publisher(.pub)

\*The acceptable graphic or image file formats are: .eps, .tif, .gif, .jpg, .wpg, .wmf, .pct, .png, .bmp, .psd, .ai, .fh, .cdr. The preferred resolution for graphics or images is 150 to 300 dpi. Include all fonts that were used in creating the file.

- e. In the rare case that the graphics or images cannot be supplied electronically, either incorporated within the text or as a separate electronic file, original hard copies will be accepted. The Subcontractor shall obtain prior approval from the Subcontract Administrator before submitting graphics or images in hard copies. It shall be made clear in the deliverable transmittal letter that certain graphics and images are supplied in hard copy only.

- f. For all calculations in support of subcontract reports that are conducted in ASPEN+, an electronic copy of INPUT, REPORT and BACKUP (if Model Manager is used) must be submitted with all reports. Additionally, if costing or sizing calculations are conducted in a spreadsheet [no process calculations (heat and material balances) in spreadsheet format are permitted], a copy of the fully documented MS Excel file shall be supplied. Note that vendor quotes and other non-original material can be supplied in hard copy.
- g. A fully executed release shall be supplied to NREL with all photographs, regardless of whether such photographs are delivered to NREL electronically or in hard copy. Such release shall certify that the National Renewable Energy Laboratory and the United States Government is granted a non-exclusive, paid-up, irrevocable, worldwide license to publish such photographs in any medium or reproduce such photographs or allow others to do so for United States Government purposes.
- h. The Subcontractor may contact NREL Publication Services at (303) 275-3644 with questions regarding technical guidance concerning the submission of subcontract report deliverables as electronic files or exceptions to electronic files for graphics and images.

## **7.0 PERFORMANCE EVALUATION**

The performance of Evergreen Solar, Inc. will be monitored and evaluated by the following means:



- i) Monthly Technical Status Reports consisting of a report of program status relative to milestone and program schedules (3-6 pages);
- ii) Annual Technical Progress Reports;
- iii) A Final Technical Report covering work done under the subcontract;
- iv) Up to two On-Site Visits by a PV Manufacturing R&D project selected evaluation team to Evergreen Solar, Inc. per phase – these visits shall entail presentations and demonstrations by Evergreen Solar, Inc.; and
- v) Participation by Evergreen Solar, Inc. in up to two contractor Program Review Meetings per Phase as designated by PV Manufacturing R&D project management personnel.

During the subcontract, on-site presentations and demonstration reviews will be conducted by a PV Manufacturing R&D project review committee consisting of members selected by PV Manufacturing R&D project management staff. These meetings will be critical program evaluation points. The progress of Evergreen Solar, Inc. will be assessed at this time by reviewing past accomplishments and future program plans.

The progress of Evergreen Solar, Inc. will also be monitored by telephone conversations and by possible additional on-site visits by the NREL technical evaluation team at the discretion of the NREL technical monitor for the subcontract.

LETTER SUBCONTRACT NO. ZDO-2-30628-09  
UNDER  
PRIME CONTRACT NO. DE-AC36-99-GO10337

CONTRACTING PARTY: MIDWEST RESEARCH INSTITUTE  
NATIONAL RENEWABLE ENERGY LABORATORY DIVISION

SUBCONTRACTOR: EVERGREEN SOLAR, INC.

ADDRESS: 259 CEDAR HILL STREET  
MARLBORO, MA 01752

SUBCONTRACT TITLE: "INNOVATIVE APPROACHES TO LOW COST MODULE  
MANUFACTURING OF STRING RIBBON Si PV MODULES"

TYPE OF LETTER SUBCONTRACT: COST SHARING - PHASED

ANTICIPATED TYPE OF  
DEFINITIZED SUBCONTRACT: COST SHARING- PHASED

LETTER SUBCONTRACT  
PERIOD OF PERFORMANCE: EXECUTION DATE THROUGH THREE (3) MONTHS

ANTICIPATED DEFINITIZED  
SUBCONTRACT PERIOD  
OF PERFORMANCE: PHASE I: EFFECTIVE DATE THROUGH 03/31/03  
PHASE II: 04/01/03 THROUGH 03/31/04  
PHASE III: 04/01/04 THROUGH 05/31/05

LETTER SUBCONTRACT NOT-TO-EXCEED AMOUNT:	NREL <u>AMOUNT</u>	SUBCONTRACTOR'S <u>COST SHARE</u>	<u>TOTAL</u>
	\$750,000.00 - 50%	\$750,000.00 - 50%	\$1,500,000.00 - 100%

ANTICIPATED DEFINITIZED SUBCONTRACT ESTIMATED AMOUNT:	NREL <u>AMOUNT</u>	SUBCONTRACTOR'S <u>COST SHARE</u>	<u>TOTAL</u>
PHASE I:	\$ 999,833.00 - 50%	\$ 999,834.00 - 50%	\$1,999,667.00 - 100%
PHASE II:	\$ 998,805.00 - 50%	\$ 998,804.00 - 50%	\$1,997,609.00 - 100%
PHASE III:	\$ 999,565.00 - 50%	\$ 999,565.00 - 50%	\$1,999,130.00 - 100%
TOTAL PRICE:	\$2,998,203.00 - 50%	\$2,998,203.00 - 50%	\$5,996,406.00 - 100%

PAYMENT TERMS: NET 30 DAYS

SUBCONTRACTOR'S  
REMITTANCE NAME  
AND ADDRESS: EVERGREEN SOLAR, INC.  
259 CEDAR HILL STREET  
MARLBORO, MA 01752

FUNDED AMOUNT AND  
TASK CHARGE NUMBER: \$750,000.00 - PVP26282



September 26, 2002

Evergreen Solar, Inc.  
259 Cedar Hill Street  
Marlboro, MA 01752

Attention: Rich Chleboski, Vice President

SUBJECT: Letter Subcontract No. ZD0-2-30628-09 entitled "Innovative Approaches to Low Cost Module Manufacturing of String Ribbon Si PV Modules"

Dear Mr. Chleboski:

This letter subcontract is effective upon execution between the Midwest Research Institute acting through its National Renewable Energy Laboratory Division (hereinafter called "NREL"), and Evergreen Solar, Inc., (hereinafter called the "Subcontractor") whose principal offices are located in Marlboro, Massachusetts.

Midwest Research Institute has entered into Contract No. DE-AC36-99GO10337 with the Department of Energy (hereinafter called "DOE"), an agency of the U.S. Government (hereinafter called the "Government"), for the operation and management of the National Renewable Energy Laboratory. This letter subcontract is entered into in furtherance of the performance of the work provided for in the Prime Contract.

The purpose of this letter subcontract is to allow the Subcontractor to begin work as of the effective date (execution date by NREL) on research and development entitled "Innovative Approaches to Low Cost Module Manufacturing of String Ribbon Si PV Modules."

This cost-sharing letter subcontract is written in anticipation of a definitized cost-sharing subcontract and will be in effect only until a definitive subcontract can be put in place. The first three months of Phase I of the anticipated definitized subcontract will be performed under this letter subcontract in the amount not to exceed \$1,500,000.00 of allowable and allocable costs. It is estimated that the allotted amount will cover the three (3) month period of performance of this letter subcontract, effective from the execution date by NREL. It is agreed by the parties hereto that said total not to exceed amount for this letter subcontract (and for the first three months of Phase I of the anticipated definitized subcontract) shall be shared as follows:

Estimated NREL Share:	\$ 750,000.00 - 50%
Estimated Subcontractor Share:	<u>\$ 750,000.00</u> - 50%
Total:	\$1,500,000.00 - 100%

This cost sharing formula shall also apply (on the percentage basis shown above) to any increase or decrease in the estimated total cost of the letter subcontract performance, changes under the "Changes" clause and/or terminations under the "Terminations" clause contained in Appendix B.

The following clauses are included in this letter subcontract and are in full force and effect:

**A. Execution, Commencement of Work, and Period of Performance**

1. The Subcontractor shall indicate acceptance of this letter subcontract by signing three (3) copies of the letter subcontract and returning them to Christie Johnson, Subcontract Administrator, no later than 09/30/02. Upon acceptance by both parties, the Subcontractor shall proceed with performance of the work described in Appendix A, including purchase of necessary materials.
2. The period of performance under this letter subcontract shall be the effective date through three (3) months.
3. The period of performance under the anticipated definitized subcontract shall be as follows:
  - a. Phase I: Effective Date through 03/31/03  
Phase II: 04/01/03 through 03/31/04  
Phase III: 04/01/04 through 05/31/05
  - b. Each of these periods may be extended by mutual written agreement of the parties. NREL will make a decision based on its sole judgment, whether or not to authorize the next Phase prior to the completion date of the current Phase. If all Phases are authorized by NREL, the total period of performance for the anticipated definitized subcontract would be through 05/31/05. If NREL should decide not to authorize a Phase, the anticipated definitized subcontract shall be considered complete upon submittal of the final version of the Annual Technical Report, with corrections as specified by NREL, if any.

**B. Limitation of NREL Liability**

1. In performing this letter subcontract, the Subcontractor is not authorized to make expenditures or incur obligations exceeding One Million Five Hundred Thousand Dollars and No Cents (\$1,500,000.00), said amount to include the Estimated NREL Share of Seven Hundred Fifty Thousand Dollars and No Cents (\$750,000.00) and Estimated Subcontractor Share of Seven Hundred Fifty Thousand Dollars and No Cents (\$750,000.00).
2. The maximum amount for which NREL shall be liable if this letter subcontract is terminated or a definitized subcontract is not completed is Seven Hundred Fifty Thousand Dollars and No Cents (\$750,000.00).
3. The Subcontractor shall be paid for the work conducted under this letter subcontract in accordance with the clause entitled "Allowable Cost and Payment" contained in Appendix B, the clause entitled "Payments of Allowable Costs Before Definitization," and the clause entitled "Date of Incurrence of Cost" in this letter subcontract. The Subcontractor is cautioned that, subject to the provisions of the clauses entitled "Limitation of Funds" and "Limitation of Cost" contained in Appendix B, NREL is not obligated to reimburse the