



UDW Federal Advisory Committee “Program Implementation”

John R. Duda



Contract (Status)

DEAR and FAR

National Environmental Policy Act



Images by JRDuda

Audits



KPMG LLP
2001 M Street, NW
Washington, DC 20036-3389

EXECUTIVE SUMMARY

September 10, 2010

Mr. Steven C. Jones
Contracting Officer's Representative
U.S. Department of Energy
Office of Headquarters Procurement
MA-64
1000 Independence Avenue, S.W.
Washington, DC 20585

Dear Mr. Jones:

This report presents the results of our work conducted to address the performance audit objectives relative to the Research Partnership to Secure Energy for America (hereinafter referred to as Auditee or RPSEA). Our fieldwork was performed during the period June 23 to September 10, 2010, and our results, reported herein, are as of September 10, 2010.

KPMG was engaged under Work Order No. 2010-1-NETL, dated July 23, 2010, to conduct a fiscal year (FY) 2008 compliance audit and incurred cost audit of RPSEA. This performance audit report presents the results of the FY 2008 compliance audit. The results of the FY 2008 incurred cost audit of RPSEA are reported separately.

We conducted this performance audit in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

The U.S. Department of Energy (DOE), National Energy Technology Laboratory (NETL) awarded a contract to the RPSEA in 2006, pursuant to the Energy Policy Act of 2005 (EPAcT) Title IX, Subtitle J, Sections 999A-999H, *Ultra-Deepwater and Unconventional Natural Gas and Other Petroleum Resources*. The audit objectives of our work relating to the Auditee were to determine, for FY 2008, whether RPSEA complied with the EPAcT Title IX, Subtitle J, and to determine if RPSEA was:

- Operating in accordance with established conflict of interest procedures;
- Carrying out the solicitation and subcontracts award processes in accordance with EPAcT Subtitle J, their approved FY 2008 Annual Plan, and the master contract with the DOE; and
- Disbursing funds and performing monitoring activities related to subcontract award agreements, in compliance with the terms and conditions of the awards.

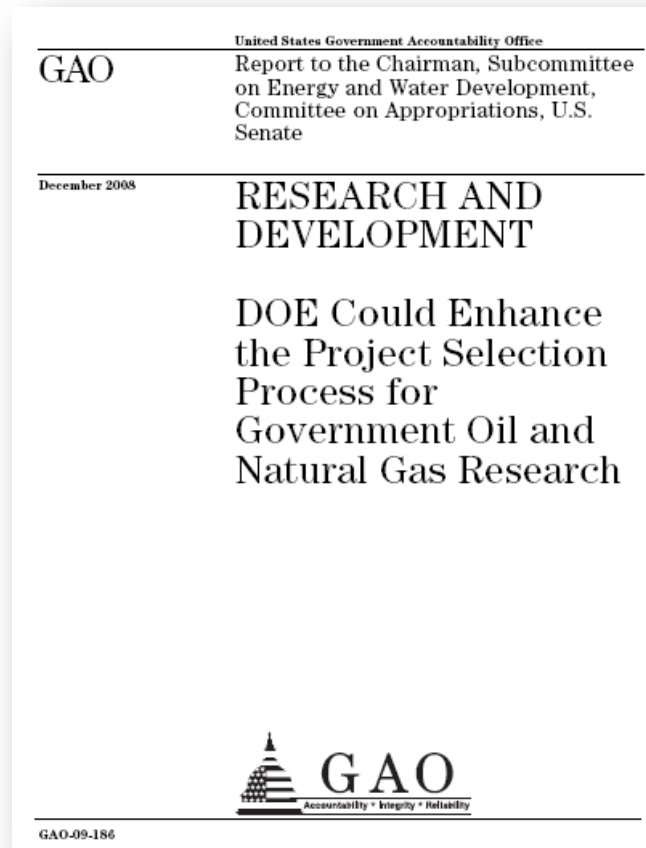
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Technical Committee



Government Accountability Office



Technology Transfer

Conference Exhibits

KMD



Presentations

Newsletters

NETL Website

Small Producers



Cost Share

~~80/20~~

20/50

Streamlined Process

Research Partnership to Secure Energy for America

Robert W. Siegfried II
President

**Subcontract Notification and Certification Form under
Prime Contract DE-AC26-07NT42677**

In accordance with the Energy Policy Act of 2005 (EPAc 2005), RPSEA shall oversee the implementation of subcontracts under Section 999 of EPAc 2005, consistent with Subtitle J, Section 999, the Annual Plan, including disbursing funds and monitoring activities carried out under such subcontracts for compliance with the terms and conditions of the prime contract.

Subcontractor Name:		
Subcontractor's Address:		
Consortium Partners:		
Title of the Project:		
Access ID:		
Subcontract No:		
Subcontract Technical Representative:		
RPSEA Technical Representative:		
Technical Readiness and Compliance with EPAc 2005, Section 999:		
Subcontract Amount:	RPSEA Share	
Harvard Petroleum, Well Enhancement	Industry Cost Share	
	Total Project Cost	

RPSEA has reviewed and analyzed all proposed cost share. To the best of my knowledge, the cost share as reviewed and analyzed is allowable, allocable, verifiable, appropriately valued and from non-Federal sources.

Industry Cost Share:

Source (Name of the Organization):	Amount:	Nature: (Cash or In-kind)* Source:
NM Institute of Mining and Technology		In-Kind
Harvard Petroleum Well Enhancement Services		

RPSEA has included in the subcontract all prime contract award flow down requirements including Intellectual Property Provisions as appropriate.

All negotiation issues were reviewed, discussed and mitigated.

09123-03- New Mexico Institute of Mining and Technology
Notification and Consent Form

Research Partnership to Secure Energy for America

Department of Energy (DOE) Environmental Questionnaire (NETL Form 451.1-1/3) has been submitted to the NETL Contracting Officer's Representative for the proposed Subcontractor [as well as for any lower tier Subcontractor(s)].


In accordance with EPAc 2005, Section 999(c), 2.5% of the total amount of the subcontract has been designated for technology transfer and outreach activities.

*An in-kind contribution is a non-cash input which can be given a cash value. Examples include but are not limited to personnel, fringe benefits, travel, etc.

Attached is the approved Scope of Work for the above referenced project. Attached is a full listing of anticipated Subcontractor acquired property.

I, Robert W. Siegfried II, President of RPSEA, hereby certify that the information outlined above is current, accurate and factual. I request DOE's approval to enter into a binding subcontract with the New Mexico Institute of Mining and Technology.

Sincerely,



Digitally signed by Robert W. Siegfried II
(DN: cn=Robert W. Siegfried II, o=NETL, ou=NETL, email=Robert.Siegfried@netl.doe.gov, c=US)
Date: 2011.02.21 11:46:07 -0500

Robert W. Siegfried II
Date:

09123-03- New Mexico Institute of Mining and Technology
Notification and Consent Form

Performing Organizations

