RECUSAL STATEMENT

THE SECRETARY

- 1. In accordance with the United States Senate Committee on Energy and Natural Resources Recusal Policy dated February 16, 2011, and 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.
- 2. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of American Science & Engineering, Inc. (AS&E) unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).
- 3. I have a "covered relationship" with the Massachusetts Institute of Technology ("MIT"). For a period of one year from the date of my retirement, I will not participate personally and substantially in any particular matter involving specific parties in which MIT is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).
- 4. I have a "covered relationship" with ICF. For a period of one year from the date of my resignation from the Board of Directors of ICF, I will not participate personally and substantially in any particular matter involving specific parties in which ICF is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).
- 5. I also have a "covered relationship with each of the following entities: Angeleno Group, LLC; General Electric Company/GE Ecomagination ("GE"); King Abdullah Petroleum Studies and Research Center; NGP Energy Technology Partners; Fondazione Eni Enrico Mattei; and Riverstone Equity Holdings, LP. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).
- 6. Within 2 years prior to my appointment, I received compensation for services other than speaking from the following entities: MIT, GE, AS&E, ICF, Riverstone Equity

Partners, LP, British Petroleum, Angeleno Group, Electric Power Research Institute, King Abdullah Petroleum Studies and Research Center, and Fondazione Eni Enrico Mattei. Pursuant to the Ethics Pledge, I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to these entities, including regulations and contracts, unless I obtain a waiver of the Ethics Pledge contained in Executive Order 13490 ("Ethics Pledge").

- 7. I have received a limited waiver as to paragraph 2 of the Ethics Pledge and an authorization, pursuant to 5 C.F.R. § 2635.502(d). The authorization under 5 C.F.R. § 2635.502(d) and waiver under the Ethics Pledge authorizes me to participate in particular matters involving specific parties in which GE is a party or represents a party except for the following: (1) the evaluation of any application for funding or decision to award, extend or modify any contract, grant, or other financial agreement between the Department and GE; or (2) any matter in which I have participated as a member of the GE Ecomagination Advisory Board.
- 8. I have directed Mark Appleton, TJ Augustine, and Jonathan Levy to route all matters referred to above from which I am recused to the Deputy Secretary.

Ernest J. Moniz Secretary 5/21/2013