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PRS-035

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**FEDERAL FACILITY AGREEMENT  
FOR THE  
PADUCAH GASEOUS DIFFUSION PLANT**

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June 2015



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THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION IV

AND

THE UNITED STATES DEPARTMENT OF ENERGY

AND

THE KENTUCKY NATURAL RESOURCES AND ENVIRONMENTAL PROTECTION  
CABINET

IN THE MATTER OF: )  
 )  
The U. S. Department ) FEDERAL FACILITY AGREEMENT  
of Energy's ) UNDER SECTION 120 OF CERCLA  
 ) AND SECTIONS 3004(u), 3004(v)  
 ) AND 6001 OF RCRA, AND KRS  
PADUCAH GASEOUS DIFFUSION PLANT ) 224 SUBCHAPTER 46  
 )  
 )  
\_\_\_\_\_ ) Docket No.

Based upon the information available to the Parties on the effective date of this FEDERAL FACILITY AGREEMENT (Agreement), and without trial or adjudication of any issues of fact or law, the Parties agree as follows:

INTRODUCTION

This Agreement directs the comprehensive remediation of the Paducah Gaseous Diffusion Plant (PGDP). It contains requirements for: (1) implementing investigations of known or potential releases of hazardous substances, pollutants or contaminants, or hazardous wastes or hazardous constituents, (2) selection and implementation of appropriate remedial and removal actions, and (3) establishing priorities for action and development of

schedules, consistent with the established priorities, goals and objectives of this Agreement. This Agreement delineates the relationship between its requirements and the requirements for corrective measures being conducted under Sections 3004(u) and 3004(v) of the Resource Conservation and Recovery Act (RCRA), 42 U.S.C. § 6924(u) and 6924(v), as amended by the Hazardous and Solid Waste Amendments of 1984 (HSWA), and KRS 224 Chapter 46, according to the conditions of PGDP's Federal Environmental Protection Agency RCRA Permit (the "HSWA" Permit) and Kentucky's Hazardous Waste Permit (collectively, the "RCRA Permits") and actions taken in accordance with a certain Administrative Consent Order dated November 23, 1988, (the "ACO"), pursuant to Section 106 of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), 42 U.S.C. § 9620(e)(1), as amended by the Superfund Amendments and Reauthorization Act of 1986 (SARA), Pub. L. 99-499. It incorporates the site investigation process as begun at PGDP in accordance with the ACO issued November 1988 and the RCRA Permits, and addresses those releases included in the RCRA Permits and any newly discovered releases at or from units not identified in the RCRA Permits. This Agreement sets forth the CERCLA requirements to address releases of hazardous or radioactive substances or both not specifically regulated by RCRA and/or KRS 224 Chapter 46.

This Agreement governs the corrective/remedial action process from site investigation through site remediation and

describes procedures for the Parties to set annual work priorities (including schedules and deadlines) for that process. The Parties will coordinate the administrative and public participation processes prescribed by the various statutes (e.g., RCRA and CERCLA) governing the corrective/remedial action process at PGDP. Upon execution of this Agreement, the CERCLA ACO shall be terminated and the Parties agree that all DOE obligations and actions required by the CERCLA ACO are satisfied and complete.

This Agreement also consists of Appendices A through G. In the event of any inconsistency between this Agreement and its Appendices, this Agreement shall govern unless and until modified under Section XXXIX (Modification of Agreement) of this Agreement.

#### I. JURISDICTION

A. Each Party is entering into this Agreement pursuant to the following authorities:

1. The U. S. Environmental Protection Agency (EPA), Region IV, enters into those portions of this Agreement that relate to: (1) the remedial investigation/feasibility study (RI/FS) pursuant to Section 120(e)(1) of CERCLA; (2) the RCRA Facility Investigation/Corrective Measures Study (RFI/CMS) pursuant to RCRA Sections 3004(u), 3004(v), 3008(h) and 6001, 42 U.S.C. §§ 6924(u), 6924(v), 6428(h), and 6961;

2. EPA enters into those portions of this Agreement

that relate to: (1) interim and final remedial actions pursuant to Section 120(e)(2) of CERCLA; and (2) corrective measures implementation, including interim measures, pursuant to Sections 3004(u), 3004(v), 3008(h) and 6001 of RCRA;

3. The U. S. Department of Energy (DOE) enters into those portions of this Agreement that relate to: (1) the RI/FS pursuant to Section 120(e)(1) of CERCLA; (2) the RFI/CMS pursuant to Sections 3004(u), 3004(v), 3008(h) and 6001 of RCRA; (3) the National Environmental Policy Act, 42 U.S.C. § 4321; and (4) the Atomic Energy Act of 1954 (AEA), as amended, 42 U.S.C. § 2201;

4. DOE enters into those portions of this Agreement that relate to: (1) interim and final remedial actions pursuant to Section 120(e)(2) of CERCLA; (2) corrective measures implementation, including interim measures, pursuant to Sections 3004(u), 3004(v), 3008(h) and 6001 of RCRA; and (3) the AEA;

5. DOE will take all necessary actions in order to fully effectuate the terms of this Agreement, including undertaking response actions on the Site (as such term is hereinafter defined) in accordance with laws, standards, limitations, criteria, and requirements under Federal or Kentucky law to the extent consistent with CERCLA, RCRA and KRS 224 Chapter 46.

6. The Kentucky Natural Resources and Environmental Protection Cabinet (KNREPC) enters into this Agreement pursuant to Sections 107, 120(f) and 121(f) of CERCLA; Section 3006 of

RCRA and the Kentucky Revised Statutes Sections 224.46-530 and 224.10-100. On April 26, 1996 at 61 Fed. Reg. 18,504, EPA, pursuant to RCRA Section 3006, gave Kentucky final authorization, effective June 25, 1996, to administer the Corrective Action portions of HSWA, specifically including 42 U.S.C. § 6924(u) and (v).

B. The National Priorities List (NPL) is promulgated under Section 105 of CERCLA, 42 U.S.C. § 9605 and at 40 C.F.R. Part 300. The Paducah Site was included by EPA on the Federal Agency Hazardous Waste Compliance Docket established under Section 120 of CERCLA, 42 U.S.C. § 9620, (See Federal Register February 12, 1988). EPA Region IV has evaluated the Paducah Site for inclusion on the NPL. The site was proposed for inclusion on the NPL in Federal Register May 10, 1993. The Site was listed on the NPL on May 31, 1994 at 59 Fed. Reg. 27,989. The Parties intend that this Agreement shall satisfy the requirements for an interagency agreement under Section 120 of CERCLA, 42 U.S.C. § 9620, for the Paducah Site.

## II. DEFINITIONS

Except as provided below or otherwise explicitly stated in this Agreement, the definitions provided in CERCLA and the National Oil and Hazardous Substances Pollution Contingency Plan, 40 C.F.R. Part 300 (hereinafter the National Contingency Plan or

NCP) and RCRA and its implementing regulations, as they may be amended, shall control the meaning of the terms used in this Agreement unless such terms are otherwise modified by the Parties. This Agreement references documents and terms required by DOE's RCRA Permits. Appendix A to this Agreement identifies those documents and their CERCLA equivalents. For the purposes of this Agreement and the work required herein, any and all references to the documents or terms identified in Appendix A shall use the CERCLA terminology to simplify use of terms (e.g.,: any reference to an RI shall also include a reference to an RFI).

In addition, the following definitions are used for purposes of this Agreement.

A. Additional Work shall mean any work agreed upon by the Parties under Section XIX (Additional Work) to this Agreement.

B. Atomic Energy Act (AEA) shall mean the Atomic Energy Act of 1954, as amended, 42 U.S.C. §§ 2011, et seq.

C. Agreement shall mean this document and shall include all Appendices to this document referred to herein. All such Appendices shall be enforceable in accordance with Section XLIV (Enforceability) of this Agreement.

D. Applicable Kentucky Laws shall include but not be limited to all laws determined to be applicable or relevant and appropriate requirements (ARARs) as described in Section 121(d) of CERCLA, 42 U.S.C. § 9621(d). It is recognized that in some

instances in which this phrase is used, there may be no applicable Kentucky laws.

E. ARAR(s) shall mean "legally applicable" or "relevant and appropriate", standards, requirements, criteria, or limitations as those terms are used in Section 121(d)(2)(A) of CERCLA, 42 U.S.C. § 9621(d)(2)(A).

F. Areas of Concern (AOC) shall include any area having a probable or known release of a hazardous waste, hazardous constituent or hazardous substance which is not from a solid waste management unit and which poses a current or potential threat to human health or the environment. Such areas of concern may require investigations and remedial action, in accordance with the requirements of this Agreement.

G. Authorized Representatives shall mean a Party's employees, agents, successors, assigns, and contractors acting in any capacity, including an advisor capacity, when so designated by that Party.

H. CERCLA shall mean the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, 42 U.S.C. §§ 9601, et seq., as amended by the Superfund Amendments and Reauthorization Act of 1986, Pub. L. 99-499.

I. Corrective Action shall mean those actions necessary to correct releases to all media from all Solid Waste Management Units and/or AOCs at RCRA facilities. Corrective Action consists

primarily of four steps: the RCRA Facility Assessment, the RCRA Facility Investigation, the Corrective Measures Study, and the Corrective Measures Implementation (including interim measures). For the purposes of this Agreement, the term Corrective Action shall be equivalent to the terms Respond, Response or Response Action.

J. Corrective Measures Implementation (CMI) shall mean the design, construction, operation, maintenance, and monitoring of selected corrective measures. For the purposes of this Agreement, the CMI shall meet the requirements of RCRA, the corrective action requirements of KRS 224 SubChapter 46, their implementing regulations and the RCRA Permits, and shall be equivalent to the Remedial Design/Remedial Action.

K. Corrective Measures Study (CMS) shall mean the study or report identifying and recommending, as appropriate, specific corrective measures that will correct the release(s) identified during the RCRA Facility Investigation. For the purposes of this Agreement, the CMS shall be equivalent to the Feasibility Study.

L. Days shall mean calendar days, unless business days are specified. Any submittal or written statement of dispute that, under the terms of this Agreement, would be due on a Saturday, Sunday, or holiday shall be due on the following business day:

M. DOE shall mean the United States Department of Energy and its authorized representatives.

N. Draft (D1) Primary Document shall mean the first draft of a report or work plan issued by DOE for any primary document listed in Section XX.C.1 and transmitted to EPA and KNREPC for review and comment under Section XX (Review/Comment On Draft/Primary Documents) of this Agreement except for RODs and IM Reports. The first draft of RODs and IM Reports shall represent the Draft-Final (D2) Primary Document.

O. Draft-Final (D2) Primary Document shall mean the revised draft report or work plan issued by DOE for any primary document listed in Section XX.C.1 (Review/Comment On Draft/Primary Documents) after receipt of comments from the EPA and KNREPC and before it becomes a final primary document under Section XX (Review/Comment On Draft/Primary Documents). All Draft-Final Primary Documents will be designated D2. A D2 Primary Document may be subject to the dispute resolution procedures of Section XXV (Resolution of Disputes) of this Agreement.

P. EPA shall mean the United States Environmental Protection Agency and its authorized representatives.

Q. Feasibility Study(s) (FS) shall mean a study to develop and evaluate options for remedial action. The FS emphasizes data analysis and is generally performed concurrently and in an interactive fashion with the remedial investigation (RI), using the data gathered during the RI. The RI data are used to define the objectives of the response action, to develop remedial action

alternatives, and to undertake an initial screening and detailed analysis of the alternatives. The term also refers to the report that describes the results of the study. For purposes of this Agreement, the FS shall be equivalent to the CMS.

R. Hazardous Constituent(s) shall mean those substances listed in Appendix VIII to 40 C.F.R. Part 261 and includes Hazardous Constituents listed in Table 1 of 40 C.F.R. § 261.24.

S. Hazardous Substances shall have the meaning set forth in Section 101(14) of CERCLA, 42 U.S.C. § 9601(14).

T. Hazardous Waste(s) shall have the meaning set forth by § 1004(5) of RCRA, 42 U.S.C. § 6903(5) and in 40 C.F.R. Parts 260 and 224 KRS 01-010 (31)(b).

U. Interim Measures (IM) shall mean those measures conducted in accordance with Condition II.E. of the EPA HSWA Permit and Condition IV.E of DOE's Kentucky Hazardous Waste Permit to contain, remove, mitigate, or treat contamination resulting from the release of Hazardous Constituents from Solid Waste Management Units and AOCs in order to protect against current or potential threats to human health and the environment. Such measures shall be equivalent to Interim Remedial Actions or Removal Actions under this Agreement.

V. Interim Remedial Action shall mean a temporary or non-final action performed in anticipation of a subsequent final remedy decision. Such actions may be necessary to, among other

things, control or prevent the further spread of contamination while a final comprehensive remedy is being developed. A ROD specifying Interim Remedial Action for an Operable Unit necessitates an incomplete RI/FS for that Operable Unit. Therefore, an RI/FS for an Operable Unit undergoing an Interim Remedial Action, shall be continued or planned in accordance with Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings) of this Agreement.

W. KNREPC shall mean the Commonwealth of Kentucky's Natural Resources and Environmental Protection Cabinet and its authorized representatives.

X. National Contingency Plan (NCP) shall mean the National Oil and Hazardous Substances Pollution Contingency Plan, 40 C.F.R. Part 300, and any amendments thereto.

Y. National Priorities List (NPL) Site shall mean the Site as finally promulgated at 40 C.F.R. Part 300.

Z. On-site shall mean the areal extent of contamination and all suitable areas in very close proximity to the contamination necessary for implementation of the response action, 40 C.F.R. Section 300.400(e). Nothing contained in this paragraph Z shall limit any authority KNREPC has, absent this Agreement, to enforce the requirements of Kentucky law.

AA. Operable Unit (OU) shall mean a discrete action that

comprises an incremental step toward comprehensively addressing Site problems. This discrete portion of a remedial response manages migration, or eliminates or mitigates a release, threat of release, or pathway of exposure. The cleanup of the Site can be divided into a number of OUs, depending on the complexity of the problems associated with the Site. OUs may address geographic portions of the Site, specific Site problems, or initial phases of an action, or may consist of any set of actions performed over time or any actions that are concurrent but located in different parts of the Site. A Comprehensive Site (CS) OU is an OU which integrates the information obtained from Potential OU RI/FS activities regarding environmental media (i.e., surface water OU and ground water OU) which has been contaminated by commingled source Releases. OUs will not impede implementation of subsequent response actions at the Site.

BB. Paducah Gaseous Diffusion Plant (PGDP) shall mean the lands owned by the United States and under the jurisdiction of DOE (approximately 3,423 acres) that are located in Western McCracken County, Kentucky, approximately 10 miles west of Paducah Kentucky. PGDP is described in more detail in Section VIII (Site Description) of this Agreement.

CC. Parties shall mean all parties who are signatories to this Agreement.

DD. Potential Operable Units shall mean those areas listed

in the most recently approved SMP and RCRA Permits which are to be addressed under a single RI/FS Work Plan which may lead to a single Proposed Plan (as such term is hereafter defined) and a corresponding RCRA Permit modification for the Potential OU as a whole, or multiple Interim Remedial Action OU Proposed Plans. Waste Area Groupings identified in the RCRA Permits shall be included in the list of Potential OUs.

EE. Project Manager(s) shall mean the officials designated by EPA, DOE, and KNREPC to coordinate, monitor, or direct remedial response actions at the Site.

FF. Proposed Plan shall be the report which briefly describes the remedial alternatives analyzed, proposes a preferred remedial action alternative, and summarizes the information relied upon to select the preferred alternative. The Proposed Plan shall meet the criteria established in 40 C.F.R. Section 300.430(f)(2). The Proposed Plan shall be considered as equivalent to the Draft Permit Modification.

GG. Quality Assured Data shall mean data that have undergone the quality assurance process as set forth in the approved Quality Assurance Plan.

HH. RCRA shall mean the Resource Conservation and Recovery Act, 42 U.S.C. §§ 6901, et seq., as amended. 98-616.

II. RCRA closure and post-closure care shall mean closure and post-closure care of hazardous waste management units under

40 C.F.R. Parts 264 and 265 or the Commonwealth of Kentucky's corresponding regulations.

JJ. RCRA Facility Assessment(s) (RFA(s)) shall mean the assessment(s) performed under RCRA to identify actual and potential releases from regulated units and other Solid Waste Management Units located at PGDP. This includes Solid Waste Management Unit (SWMU) Assessment Reports for newly discovered SWMUs identified since issuance of the RCRA Permits. For the purposes of this Agreement, RFA shall include removal and remedial site evaluations.

KK. RCRA Facility Investigation (RFI) shall mean an investigation performed in accordance with the RCRA Permits to gather data sufficient to adequately characterize the nature, extent and rate of migration of actual and potential hazardous constituent releases identified in the RFA. For purposes of this Agreement, RFI shall be equivalent to the Remedial Investigation.

LL. Record of Decision (ROD) shall mean the document issued which describes a remedial action plan for an Operable Unit pursuant to Section 117(b) of CERCLA, 42 U.S.C. § 9617 and shall be consistent with 40 C.F.R. 300.430(f)(5).

MM. Release shall mean any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment (including the abandonment or discarding of barrels, containers, and other

closed receptacles containing any hazardous substance or pollutant or contaminant), but excludes 1) any Release which results in exposure to persons solely within a workplace, with respect to a claim which such persons may assert against the employer of such person, 2) emissions from the engine exhaust of a motor vehicle, rolling stock, aircraft, vessel, or pipeline pumping station engine, 3) Release of source, byproduct, or special nuclear material from a nuclear incident, as those terms are defined in the AEA, if such Release is subject to requirements with respect to financial protection established by the Nuclear Regulatory Commission under Section 170 of the AEA, or, for the purposes of Section 104 of CERCLA or any other response action, any Release of source, byproduct, or special nuclear material from any processing site designated under Section 102(a)(1) or 302(a) of the Uranium Mill Tailings Radiation Control Act of 1978, 4) the normal application of fertilizer, and 5) the Releases of petroleum as excluded under Section 101(14) and (33) of CERCLA, 42 U.S.C. § 9601(14) and (33). However, nothing herein shall affect DOE's obligation to report Releases of petroleum pursuant to KRS 224.01-400 and 224.01-405.

NN. Regulated Unit shall mean a surface impoundment, waste pile, and land treatment unit or landfill that receives hazardous waste after July 26, 1982.

OO. Remedial Action (RA) shall mean the implementation of the RA Work Plan, in accordance with the ROD, the approved Remedial Design (RD), the NCP and Superfund Remedial Design and RA Guidance including on-site construction, treatment processes, and any other necessary tasks and shall be consistent with 42 U.S.C. Section 9601(24). For the purposes of this Agreement, the RA shall be equivalent to the CMI which shall meet the requirements of the RCRA Permits.

PP. Remedial Action Work Plan shall mean the plan describing the implementation of the RA selected for remediation of an OU.

QQ. Remedial Design (RD) Report shall mean the report which specifies the technical analysis and procedures which follow the selection of a remedy and result in a detailed set of plans and specifications for final design of the RA. In accordance with the approved RD Work Plan, Intermediate RD Reports and a Final RD Report shall be submitted for review and comment in accordance with Section XX (Review/Comment on Draft/Final Documents) of this Agreement. The design shall generally be developed in phases (e.g., 30%, 60%, 90%, etc.,) with Intermediate RD Reports for each primary design development/review phase.

RR. Remedial Design (RD) Work Plan shall mean the plan specifying the approach to developing the RD. This plan shall

specify the general content, approach, and schedule for submitting the secondary Intermediate RD Report(s) and the D1 RD Report. Generally, the RD Work Plan shall include the conceptual design.

SS. Remedial Investigation (RI) shall mean an investigation conducted to adequately assess the nature and extent of the Release or threat of Release of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents and to gather necessary data to support the corresponding baseline risk assessment and FS and shall be consistent with 40 C.F.R. 300.5. For purposes of this Agreement, the RI shall be equivalent to the RFI.

TT. Removal Action shall have the same meaning as "remove" or "removal" as defined by Section 101(23) of CERCLA, 42 U.S.C. § 9601(23). For the purposes of this Agreement, Removal Action shall be equivalent to IM under the RCRA Permits.

UU. Respond, Response or Response Action shall have the meaning set forth in Section 101(25) of CERCLA, 42 U.S.C. § 9601(25). For purposes of this Agreement, the terms respond, response and response action shall be equivalent to Corrective Action.

VV. Site (Paducah Site) shall mean "facility" as defined by Section 101(9) of CERCLA, 42 U.S.C. § 9601(9), and includes all areas contaminated by Hazardous Substances, pollutants or

contaminants, or Hazardous Wastes and Hazardous Constituents from Releases at PGDP. This definition is not intended to limit CERCLA, RCRA, or any other federal response authorities or Kentucky authorities.

WW. Site Management Plan (SMP) shall mean the plan, to be updated annually, which establishes the fiscal year, fiscal year +1, fiscal year +2, and any outyear enforceable commitments (i.e., surface and ground water OU completion dates), and long term projections schedule for work planned in accordance with Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings) of this Agreement. The SMP is Appendix G hereto.

XX. Solid Waste shall have the meaning set forth by Section 1004(27) of RCRA, 42 U.S.C. § 6903(27) and in 40 C.F.R. Part 261 and KRS 224.01-010(31).

YY. Solid Waste Management Unit (SWMU) means any discernible unit at which solid wastes have been placed at any time, irrespective of whether the unit was intended for the management of solid or Hazardous Waste. Such units include any area at a facility at which routine and systematic releases of hazardous wastes or hazardous constituents has occurred.

ZZ. Kentucky shall mean the Commonwealth of Kentucky.

AAA. Treatment, Storage, and Disposal (TSD) Units shall include all hazardous waste management units, as the term is

defined by 40 C.F.R. 260.10 and 401 KAR 30:010, authorized to treat, store, and dispose of RCRA hazardous wastes under the RCRA "base program" administered by the Commonwealth of Kentucky.

BBB. Timetables and Deadlines shall mean schedules as well as that work and those actions that are to be completed and performed in conjunction with such schedules, including performance of actions and schedules established pursuant to Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings), Section XIX (Additional Work), Section XX (Review/Comment On Draft/Primary Documents), and Section XXV (Resolution of Disputes) of this Agreement.

CCC. Waste Area Grouping (WAG) shall mean a group of solid waste management units and/or other Areas Of Concern that are geographically contiguous, hydrologic units or SWMUs/AOCs that exhibit other common characteristics (e.g., contaminant type, remedial alternatives, etc.). DOE may consolidate SWMUs, WAGs, and/or other areas into single groupings for purposes of conducting any work under this Agreement and with the concurrence of EPA and KNREPC. Potential OUs include a WAG or a group of WAGs which assemble SWMUs/AOCs under a single RI/FS Work Plan to facilitate effective site characterization.

### III. PURPOSES OF AGREEMENT

A. The general purposes of this Agreement are to:

1. Ensure that the environmental impacts associated with past and present activities at the Site are thoroughly investigated and that appropriate response action is taken as necessary to protect the public health and welfare and the environment.

2. Ensure that all Releases of Hazardous Substances, pollutants or contaminants as defined by CERCLA and all Releases of Hazardous Wastes as defined by RCRA and KRS Section 224 or Hazardous Constituents as defined by RCRA are addressed so as to achieve a comprehensive remediation of the Site;

3. Establish a procedural framework and schedule for developing, implementing, and monitoring appropriate response actions at the Site in accordance with CERCLA, the NCP, RCRA Sections 3004(u) and (v), 3008(h), the RCRA Permits the Corrective Action Provisions of KRS 224 Subchapter 46, and appropriate guidance and policy, and in accordance with the law of the Commonwealth of Kentucky;

4. Facilitate cooperation, exchange of information, and participation of the Parties and provide for effective public participation;

5. Minimize the duplication of investigative and analytical work and documentation and ensure the quality of data management;

6. Ensure that response action(s) at the Site will be

in compliance with ARARs (unless a particular ARAR is waived pursuant to 40 CFR §300.430(f)(1)(ii)(C));

7. Expedite response actions with a minimum of delay;

8. Establish a basis for a determination that DOE has completed the RI/FS(s), RD(s), and RA(s) at the Site pursuant to CERCLA, the NCP and the corrective action provisions of KRS 224 Subchapter 46;

9. Coordinate response actions under CERCLA, including actions taken under the ACO, with the Corrective Action activities required by the RCRA Permits and Kentucky hazardous waste laws.

10. Coordinate response actions under CERCLA, RCRA Sections 3004(u) and (v), 3008(h), the Corrective Action Provisions of KRS 224 Subchapter 46, and this Agreement with any investigatory/response actions that may be required pursuant to the KPDES, for those outfall ditches subject to investigation under this Agreement;

11. Coordinate an early review of response actions by the appropriate federal and Kentucky Natural Resources Trustees to minimize or eliminate potential injury to natural resources. Provided, however, that nothing herein shall be deemed to vest in the Natural Resource Trustees any authority they would not otherwise have absent this Agreement.

B. Specifically, the purposes of this Agreement are to:

1. Establish requirements for conducting the removal actions identified or to be identified in Section X (Removal Actions) consistent with the purposes of this Agreement and in a manner consistent with the NCP and the RCRA Permits.

2. Identify Potential OUs, and OUs for Interim RAs, which are necessary or appropriate at the Site in accordance with the program management principles of the NCP. This process is designed to promote cooperation among the Parties in the early identification of Potential OUs and to coordinate the investigatory process with the evaluation of remedial alternatives prior to selection of an Operable Unit(s) via a Proposed Plan.

3. Establish one set of consistent requirements, consistent with the NCP, and the RCRA Permits, for the performance of an RI(s) to adequately determine the nature and extent of the threat to the public health or welfare or the environment caused by the Release or threatened Release of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and hazardous constituents at the Site in accordance with CERCLA, RCRA Sections 3004(u) and (v), 3008(h), the Corrective Action Provisions of KRS 224 Subchapter 46, and in compliance with ARARs identified pursuant to this Agreement. Appendix B lists those SWMUs or AOCs under the RCRA Permits requiring an RI.

4. Establish one set of consistent requirements, consistent with the NCP, and the RCRA Permits for the performance of an FS(s) for the Site to identify, evaluate, and select alternatives for the appropriate RA(s) to prevent, mitigate, or abate the Release or threatened Release of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents at the Site in accordance with CERCLA, RCRA Sections 3004(u) and (v), 3008(h), the Corrective Action Provisions of KRS 224 Subchapter 46, and in compliance with ARARs identified pursuant to this Agreement.

5. Establish requirements for the performance of a periodic review of response actions to determine fully the nature and extent of the threat to the public health or welfare or the environment anticipated to remain at the Site, including risks associated with more than one Operable Unit. The periodic review shall be performed in accordance with Section XXX (Five Year Review) of this Agreement.

6. Identify the nature, objective and schedule of response actions to be taken at the Site. Response actions at the Site shall attain that degree of remediation of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents, as mandated by CERCLA, RCRA Sections 3004(u) and (v), 3008(h), the Corrective Action Provisions of KRS 224 Subchapter 46, and in compliance with ARARs identified

pursuant to this Agreement.

7. Implement the selected removal actions and RAs (including Interim Remedial Actions) in accordance with CERCLA, the NCP, RCRA Sections 3004(u) and (v), 3008(h), the RCRA Permits, the Corrective Action Provisions of KRS 224 Subchapter 46, and in compliance with ARARs identified pursuant to this Agreement.

8. Meet the requirements of Section 120(e)(2) of CERCLA, 42 U.S.C. § 9620(e)(2).

9. Provide for continued operation and maintenance following implementation of the selected RA(s).

10. Assure compliance with Federal and Commonwealth of Kentucky hazardous waste laws and regulations for matters covered by this Agreement.

11. Expedite the remediation process to the extent necessary to protect human health and welfare and the environment.

12. Provide for the continuation of the actions initiated under the ACO and ensure that such actions are in compliance with this Agreement, the NCP and RCRA Sections 3004(u) and (v), 3008(h), and the Corrective Action Provisions of KRS 224 Subchapter 46.

13. Provide for early and meaningful public involvement in the initiation, development, and selection of remedial

action(s) to be undertaken at the Site, including the review of all applicable data as it becomes available and the development of studies, reports, and action plans.

14. Provide a framework for reducing the costs of clean-up activities at the Site through improved project management, greater involvement of EPA and KNREPC in DOE's planning and budgeting processes, improved oversight of clean-up, greater use of consultative approaches, and elimination or streamlining of unnecessary procedures.

C. Under this Agreement, DOE agrees that it shall conduct, at a minimum, the following activities to meet the purposes of this Agreement:

1. Perform site evaluations for those areas with potential or known Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents identified after the effective date of this Agreement, pursuant to Section IX (Site Evaluations) of this Agreement.

2. Identify and prioritize Potential OUs at the Site for the purposes of expediting removal actions/RAs for those OUs which pose the greatest risks of exposure and/or migration. The identification and prioritization of Potential OUs shall meet the requirements of Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings) of this Agreement.

3. Conduct removal actions for the Site in accordance with the timetables set forth in Appendix C of this Agreement. The removal actions shall meet the requirements set forth in Section X of this Agreement.

4. For each final Potential OU (involving final Remedial Action) at the Site, conduct an RI and prepare a Baseline Risk Assessment in accordance with the timetables set forth in Appendix C of this Agreement. The RI and Baseline Risk Assessment shall meet the requirements set forth in Section XI of this Agreement. The scope of the RI and Baseline Risk Assessment shall reflect the scope of the response action for the action under consideration.

5. For each final Potential OU (involving final Remedial Action) at the Site, conduct, develop, and prepare an FS in accordance with the timetables set forth in Appendix C of this Agreement. The FS shall meet the requirements set forth in Section XII of this Agreement. The scope of the FS shall reflect the scope of the action under consideration.

6. Following completion of the RI, Baseline Risk Assessment, and FS for each of the Potential OUs, publish a Proposed Plan for public review and comment in accordance with the timetables set forth in Appendix C of this Agreement. The Proposed Plan shall meet the requirements of Section XIV of this Agreement.

7. For each of the OUs at the Site, issue a ROD in accordance with the timetables set forth in Appendix C of this Agreement. The ROD shall meet the requirements of Section XIV of this Agreement.

8. Develop documentation necessary to support Interim RAs, as required pursuant to Section XIV.B of this Agreement.

9. For the Comprehensive Site Operable Unit(s) (CS OUs) (i.e., surface and ground water integrator units) required in accordance with Section XIII of this Agreement, conduct and report upon a RI/FS (including Baseline Risk Assessment), in accordance with the timetables set forth in Appendix C of this Agreement. The CS OU RI/FS(s) shall be carried out in accordance with Section XIII of this Agreement, and any necessary remedial action shall be selected and implemented in accordance with Sections XIV and XV of this Agreement. In the event EPA and Kentucky determine after review of the Final CS OU, as described in Section XIII of this Agreement, that the selected response actions are not protective of human health and the environment, as required by CERCLA, the NCP, RCRA Sections 3004(u) and (v), 3008(h), the Corrective Action Provisions of KRS 224 Subchapter 46, and appropriate EPA policy and guidance, the three Parties to this Agreement agree to modify the Agreement to take the necessary action to provide adequate protection to human health and the environment.

10. Following finalization of each ROD for each Operable Unit, as set forth in Section XIV of this Agreement, DOE shall develop and submit a RD/RA Work Plan for the design and implementation of the RA(s) selected in each ROD in accordance with Section XV of this Agreement.

11. Following review and approval by EPA and KNREPC of the RD/RA Work Plans for each OU, DOE shall implement the RA(s) in accordance with Section XV of this Agreement.

#### IV. RCRA/CERCLA AND KPDES COORDINATION

A. The Parties intend to use this agreement to coordinate DOE's CERCLA response obligations with the corrective measures required by its current RCRA Permits and Kentucky's hazardous waste statutes and regulations. The Parties further intend that the response actions under this Agreement together with the corrective measures required by the RCRA Permits, will achieve comprehensive remediation of Releases and threatened Releases of Hazardous Substances, pollutants or contaminants or Hazardous Wastes and Hazardous Constituents from the SWMUs/AOCs in Appendix B, as well as any other Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents from sources identified pursuant to this Agreement. Response actions under this Agreement will address Hazardous Substances, pollutants or contaminants, as defined under CERCLA, in addition to Hazardous Wastes and Hazardous Constituents, as defined under

RCRA. Therefore, the Parties intend that compliance with the terms of this Agreement will be deemed to achieve compliance with CERCLA, 42 U.S.C. §§ 9601, et seq.; the Corrective Action requirements of Sections 3008(h) of RCRA, 42 U.S.C. § 6928(h) for Interim status facilities; the investigation and Corrective Action requirements of § 3004(u) and (v) of RCRA, 42 U.S.C. § 6924(u) and (v); and the Corrective Action requirements of KRS 224 Subchapter 46. The parties also intend that remediation at the Site will meet or exceed all applicable or relevant and appropriate Federal and Kentucky laws and regulations to the extent required by Section 121 of CERCLA, 42 U.S.C. § 9621. The documents common to RCRA and CERCLA, and a flowchart for their submittal is provided in Appendix A to this Agreement. For purposes of coordinating CERCLA, RCRA, and the corrective action requirements of KRS 224 Subchapter 26, the technical documents required pursuant to the CERCLA response action and the federal and Kentucky RCRA corrective action process will be deemed equivalent, provided that the elements of Appendix D are considered and incorporated as appropriate.

B. Further, the Parties intend to coordinate the remedial activities that are regulated under this Agreement with the requirements of the Federal Facility Compliance Act to develop a plan for treatment of those mixed wastes that are: (1) generated by actions under this Agreement, and (2) required to be treated

to meet RCRA Section 3004(m) and KRS 224 Subchapter 46 standards. The Parties agree that all mixed wastes generated by actions under this Agreement will be regulated by the approved Site Treatment Plan and Order enforced by KNREPC in lieu of being regulated under this Agreement.

Finally, the Parties intend to coordinate DOE's RCRA/CERCLA response obligations with the requirements of the KPDES Permit for the Site to evaluate contaminated surface water discharges. This coordination specifically applies to the outfall ditches identified in Appendix B and any other discharge applicable to KPDES permitting, resulting from, at least in part, SWMU or AOC hazardous constituent Releases, or any other hazardous substance Releases identified in Appendix B to this Agreement.

However, the Parties recognize that:

- a. DOE is obligated to comply with the applicable requirements of RCRA, KRS 224 Subchapter 46, CERCLA and Kentucky environmental law for all remedial activities under this Agreement;
- b. the coordination of these statutory requirements under this Agreement in no way diminishes DOE's obligations;
- c. the inclusion of these statutory requirements in a single document serves to facilitate DOE's efficient compliance with these statutory requirements; and
- d. the Agreement is a single document that has a dual purpose

of serving both as a CERCLA § 120 Interagency Agreement and a KRS 224 Subchapter 46 corrective action order; the requirements of both are enforceable by the parties.

C. This Agreement expands the RFAs and Investigations at PGDP, in a manner consistent with Conditions II.C. and II.D.1.b. of the EPA HSWA permit and Conditions IV.C. and IV.D.1.b. of the Kentucky Hazardous Waste Permit, to include requirements to investigate Releases at or from units not identified in the EPA HSWA Permit and the Kentucky Hazardous Waste Permit issued July 16, 1991. The Parties intend to coordinate and combine the assessments, investigations, and other response actions at the Site. Work done and data generated prior to the effective date of this Agreement pursuant to the ACO or the RCRA Permits shall be retained and utilized as appropriate under this Agreement to the maximum extent feasible. A list of the documents submitted to EPA and/or KNREPC pursuant to the ACO and the RCRA Permits is contained in Appendix E. Appendix F identifies the statutory framework governing review of such documents and further identifies whether or not approval of the document was granted. All documents submitted, but not approved, as of the effective date of this Agreement, shall be reviewed and approved in accordance with CERCLA, the NCP, RCRA Sections 3004(u) and (v), 3008(h), the RCRA Permits and the Corrective Action Provisions of KRS 224 Subchapter 46. All documents submitted after the

effective date of this Agreement shall be reviewed and approved in accordance with this Agreement. The Parties intend to combine the administrative records and files developed for activities under the RCRA Permits and any previous response actions with response actions under this Agreement in order to facilitate public participation in the selection of response actions under this Agreement and to ensure comprehensive remediation of the Site. The Parties shall coordinate the procedures for the selection of response action(s) under this Agreement with the administrative procedures for issuance of any future modifications of the RCRA Permits. Subject to Section XL (Reservation of Rights) of this Agreement, EPA and/or KNREPC will modify DOE's RCRA Permits to incorporate the RA(s) selected under this Agreement as corrective measures, when appropriate to satisfy Sections 3004(u) and (v) of RCRA, 42 U.S.C. §§ 6924(u) and (v), and the Corrective Action requirements of Kentucky's Hazardous Waste statutes and regulations. Upon signature of this Agreement by all parties, EPA and KNREPC shall modify DOE's RCRA Permits to amend the compliance schedule for Sections 3004(u) and (v) of RCRA, 42 U.S.C. §§ 6924(u) and (v), and KRS 224 Subchapter 46 to reference the Timetables and Deadlines of this Agreement, as well as other provisions of DOE's RCRA Permits necessary to facilitate coordination with the requirements of this Agreement. If, due to public comment or appeal, any amendment to DOE's RCRA

Permits being made to facilitate such coordination is changed so as to cause inconsistency between the requirements of DOE's RCRA Permits and this Agreement, the Parties agree to modify this Agreement so as to minimize or eliminate the inconsistency to the extent allowable under applicable law.

D. The Parties recognize that the requirement to obtain Permits for response actions undertaken pursuant to this Agreement shall be as provided for in Section XXI of this Agreement.

E. Notwithstanding any provision of this Agreement, any challenges to response actions selected or implemented under Sections 104, 106, or 120 of CERCLA, 42 U.S.C. §§ 9604, 9606, or 9620, may be brought only as provided in Section 113 of CERCLA, 42 U.S.C. § 9613. Judicial review of any conditions of the RCRA Permits which reference this Agreement shall, to the extent authorized by law, be consistent with this Subparagraph E. Nevertheless, KNREPC asserts that nothing in this Agreement shall preclude the KNREPC from taking any action to enforce any requirement of RCRA or KRS Subchapter 46 consistent with Section XL (Reservation of Rights) of this Agreement. DOE reserves the right to appeal any modification to the RCRA Permits which is different from the corresponding response action selected or implemented under this Agreement. The timing of such appeal shall not be limited by this Subparagraph D. DOE also reserves

the right to appeal any modification of the RCRA Permits which is inconsistent with RCRA or KRS 224.

F. KNREPC decisions for TSD Units over which KNREPC has regulatory authority, and for which KNREPC has issued RCRA Hazardous Waste Permits establishing operating, closure, or post-closure standards for treatment, storage and disposal shall not be subject to the terms of this Agreement. Appendix B, which lists such units, will be revised by KNREPC periodically, as appropriate.

G. All materials removed from the Site shall be disposed of or treated at facilities operating in compliance with applicable provisions of RCRA, the Toxic Substances Control Act, 15 U.S.C. §2601 et seq., and other applicable Federal and Kentucky requirements, including U.S. EPA's Off-Site Policy 42 U.S.C. §9657 and 40 CFR §300.440.

#### V. STIPULATED FACTS

A. For purposes of this Agreement only, the stipulated facts presented herein constitute a summary of facts upon which this Agreement is based. None of the facts related herein shall be considered admissions by any Party. This Section contains findings of fact determined solely by the Parties and shall not be used by any other person related or unrelated to this Agreement for purposes other than determining the basis of this Agreement.

B. PGDP is owned by DOE and is used for the enrichment of uranium for use in fueling power plants. The United States Enrichment Corporation (USEC), a wholly owned federal government corporation, leases and operates portions of PGDP in accordance with the Energy Policy Act of 1992, P.L. 102-486 (signed October 24, 1992), and is subject to the USEC Privatization Act, P.L. 104-134 (signed April 26, 1996) and the lease provisions between DOE and USEC.

C. DOE performed a baseline environmental survey in 1986 which revealed approximately ninety-three (93) areas in which Hazardous Substances may have been Released into the environment within the meaning of Section 101(22) of CERCLA, 42 U.S.C. §9601(22). The survey also identified at least three (3) areas in which the groundwater is contaminated with trichloroethylene (TCE) and radionuclides.

D. PGDP's 1986 Environmental Surveillance Report included data showing that beta emitters were present in samples taken from groundwater well number 66 located in the northwest corner of PGDP. Well number 66 was installed in August 1986. Initial sample data collected from well No. 66 revealed a dissolved beta activity in the sample of 1020 picocuries per liter (pCi/l).

E. On July 25, 1988, personnel from the McCracken County Health Department of the Commonwealth of Kentucky collected groundwater samples from groundwater wells designated 173-R-08

and 173-R-11, near PGDP. The Department for Health Services for the Commonwealth of Kentucky reported analytical results showing that the gross beta, and potentially gross-alpha, activity from these samples were 49.2 pCi/l and 6.8 pCi/l at sampling location 173-R-08 and 188.2 pCi/l and 6.8 pCi/l at sampling location 173-R-11. The analytical results from subsequent samples showed an alpha activity of 7.1 pCi/l and beta activity of 264.0 pCi/l.

F. The analytical data from samples taken in 1988 from on-site groundwater monitoring well number 66 show results for TCE that range from 3800 parts per billion (ppb) to 5900 ppb, and results for technetium ( $Tc^{99}$ ) that range from 2850 pCi/l to 4200 pCi/l.

G. Groundwater well numbers 173-R-08 and 173-R-11 are located approximately 1.5 miles and 0.75 miles, respectively, from the northwest corner of PGDP and are located in line with groundwater well number 66 on PGDP.

H. On August 10, 1988, DOE initiated groundwater sampling of private groundwater wells and analyzed the samples for TCE and  $Tc^{99}$ .

I. As of November 1988, approximately 135 residential groundwater wells and 23 monitoring wells on the TVA-SHAWNEE reservation were sampled. These wells are located around the perimeter of PGDP. The results of sampling indicated that the contaminants TCE and  $Tc^{99}$  are/or may be present in 12 wells

located north of PGDP. In 6 wells, analytical results revealed the presence of TCE in excess of the standard (i.e. 5ug/l) established by EPA for drinking water, promulgated on July 8, 1987.

J. The concentration of TCE detected in the above-mentioned wells ranged from less than 1 ug/l to 960 ug/l. The concentration of technetium in the above-mentioned wells varied from less than 25 to 408 pCi/l. The maximum measured concentration of Tc<sup>99</sup> in a residential well was 408 pCi/l.

K. On August 12, 1988, PGDP and McCracken County Disaster and Emergency Services personnel contacted ten (10) residents north of the plant and advised them not to drink or bathe in water from their wells. Potable water was supplied to the affected residents.

L. Effective November 23, 1988, DOE and EPA entered into an Administrative Consent Order (ACO) for PGDP. The ACO directed an investigation of PGDP to: (1) determine fully the nature and extent of the threat to human health or welfare and the environment caused by the off-Site contamination of the groundwater from PGDP; (2) ensure that the environmental effects associated with any Releases or threatened Releases are thoroughly investigated and appropriate action taken as necessary to protect the public health, welfare and the environment; (3) establish a work plan and schedule(s) for developing,

implementing and monitoring any necessary response actions at the Site in accordance with CERCLA; and (4) to facilitate the cooperation, exchange of information and participation of the Parties in such action.

M. In accordance with the work plans required pursuant to the ACO, the ACO documents listed in Appendix F have been submitted.

N. In accordance with the Kentucky RCRA Permit and the EPA HSWA Permit, 7 RFI Work Plans, 205 SWMUs identified in various SWMU Assessment Reports, and 4 Interim Corrective Measures Work Plans have been submitted as of June 20, 1996.

O. In accordance with Section 120(d)(2) of the Superfund Amendments and Reauthorization Act of 1986, U.S. EPA prepared a final Hazard Ranking System (HRS) Scoring Package for the Site. The Site was proposed for listing on the National Priorities List in the Federal Register of May 10, 1993. The HRS score was 56.95. The Site was listed on the National Priorities List on May 31, 1994 at 59 Fed. Reg. 27,989.

#### VI. STIPULATED DETERMINATIONS

For the purposes of this Agreement only, the following constitute the determinations upon which this Agreement is based.

A. PGDP is located in Western McCracken County, Kentucky, approximately 10 miles west of Paducah, Kentucky and constitutes a facility within the meaning of Section 101(9) of CERCLA, 42

U.S.C. § 9601(9). PGDP, for the purposes of this Agreement, is a Federal installation listed on the Federal Agency Hazardous Waste Compliance Docket pursuant to CERCLA Section 120. PGDP is subject to, and shall comply with, CERCLA, RCRA and all applicable Kentucky hazardous waste laws in the same manner and to the same extent, both procedurally and substantively, as any nongovernmental entity, including liability under Section 107 of CERCLA, 42 U.S.C. § 9607. PGDP is a facility authorized to operate under Section 3005(c) and 3005(e) of RCRA, 42 U.S.C. § 6925(c) and 6925(e), and KRS 224 Subchapter 46.

B. Consistent with RCRA Section 3010, DOE notified EPA and/or Kentucky of hazardous waste activity at the Site in 1980. On June 29, 1984, DOE filed RCRA and KNREPC Part A hazardous waste permit applications. Thereafter, on November 1, 1985, DOE filed RCRA and KNREPC Part B hazardous waste applications for treatment, storage and/or disposal units at the Site.

C. On July 16, 1991, EPA issued a Permit, effective August 19, 1991, under Section 3005(c) of RCRA, 42 U.S.C. § 9625(c), to DOE to require it to determine whether there have been any Releases of Hazardous Waste or Hazardous Constituents from SWMUs or AOCs on PGDP and to take appropriate Corrective Action for any such Releases. This permit, in conjunction with the Hazardous Waste Permit issued by the Commonwealth of Kentucky on July 16, 1991, constitute the RCRA Permits for the PGDP. The PGDP has

treatment, storage or disposal units that have Part B hazardous waste permits.

D. Hazardous Substances, pollutants or contaminants and solid wastes and Hazardous Wastes and/or Hazardous Constituents within the meaning of Sections 101(14), 101(33) and 104(a)(2) of CERCLA, 42 U.S.C. §§ 9601(14), 9601(33), and 9604(a)(2), and Sections 1004(27) and 1004(5) of RCRA, 42 U.S.C. §§ 6903(27) and 6903(5) and 40 C.F.R. Part 261, and KRS 224.01.010 (31)(a) and (b) (42) and 401 KAR 30:010(85) and (87), and 401 KAR 31:010 Section 3 have been Released or disposed of at the Site.

E. There have been Releases and there continue to be Releases and threatened Releases of Hazardous Substances, pollutants or contaminants and solid and Hazardous Wastes (including Hazardous Constituents) from the Site into the environment within the meaning of Sections 101(22), 104, 106, and 107 of CERCLA, 42 U.S.C. §§ 9601(22), 9604, 9606, and 9607, and Sections 1004(27), 1004(5), and 3004(u) of RCRA, 42 U.S.C. §§ 6903(27), 6903(5), and 6924(u), and KRS 224.01-010 (31)0(3)(a) and (b) and (42) and 401 KAR 30:010 (85) and (87)(224)(b) and (82) and 401 KAR 31:010 Section 3. PGDP releases of source, special nuclear, and byproduct materials in compliance with legally enforceable orders issued pursuant to the AEA are "federally permitted releases" as defined in Section 101(10) of CERCLA, 42 U.S.C § 9601(10).

F. With respect to those Releases and threatened Releases, DOE is a person and an owner or operator within the meaning of Sections 101(21), 101(20), and 107 of CERCLA, 42 U.S.C. §§ 9601(21), 9601(20), and 9607, and KRS 224.01-010(17) and Kentucky Administrative Regulations 401 KAR 30:010 (144), (145). PGDP is authorized to operate under Section 3005(e) of RCRA, 42 U.S.C. § 6925(e) and 3005(c) of RCRA, 42 U.S.C. § 6925(c), and Section 3005(c) of RCRA, 42 U.S.C. § 9625(c), and KRS 224 Subchapter 46.

G. The actions to be taken pursuant to this Agreement are reasonable and necessary to protect public health, welfare and the environment.

H. A reasonable time for completing the actions required by this Agreement will be provided.

#### VII. PARTIES

The Parties to this Agreement are EPA, KNREPC, and DOE. KNREPC is the authorized representative of Kentucky for purposes of this Agreement. The terms of this Agreement shall apply to and be binding upon the EPA, KNREPC, and DOE, their respective agents, employees, and response action contractors for the Paducah Site and upon all subsequent owners, operators, and lessees of DOE for the Site. Nothing in this Section shall be construed as binding the United States Enrichment Corporation (USEC) to the terms of this Agreement. This Agreement shall not

be construed to relieve USEC of its obligations, if any, under the hazardous waste Permit issued for PGDP or of compliance with RCRA or KRS 224 and the regulations promulgated thereunder; nor shall this Agreement be construed as relieving the USEC from any potential CERCLA liability. DOE shall be responsible for coordinating with the USEC to ensure that the on-Site activities of the USEC do not interfere in any way with the implementation of this Agreement. DOE shall notify EPA and KNREPC in its fiscal year ~~quarterly~~ semiannual written progress reports (as further discussed in Section XXIII (Reporting) of this Agreement) of the identity and assigned tasks of each of its contractors performing work under this Agreement upon their selection. DOE shall take all necessary measures to assure that its contractors, subcontractors, and consultants performing work under this Agreement act in a manner consistent with the terms of this Agreement. This Section shall not be construed as an agreement by the Parties to indemnify each other or any third party. DOE shall notify its agents, employees, response action contractors for the Site, and all subsequent owners, operators, and lessees of PGDP of the existence of this Agreement.

#### VIII. SITE DESCRIPTION

PGDP is an active Uranium Enrichment (UE) facility consisting of a diffusion cascade and extensive support facilities. Construction of PGDP began in 1951. The plant began operating in

1952 and was fully operational by 1955, supplying enriched uranium for commercial reactors and military defense reactors.

Extensive facilities are utilized in generating the primary product, enriched uranium. Enriched uranium is uranium in which the concentration of the fissionable  $U^{235}$  has been increased. Natural uranium is mostly  $U^{238}$ , with about 0.72 weight-percent  $U^{235}$  and 0.005 weight-percent  $U^{234}$ . Uranium mills process the ores to produce a concentrated uranium oxide,  $U_3O_8$ , that is then commercially converted to uranium hexafluoride ( $UF_6$ ) for enrichment in the gaseous diffusion plant. The enrichment mechanism is based on the fact that a  $UF_6$  molecule containing  $U^{235}$  is slightly lighter than a  $UF_6$  molecule containing  $U^{238}$ . As the  $UF_6$  molecules move through several miles of tubing in the diffusion plant's cascade system, slightly more  $U^{235}$  than  $U^{238}$  escapes through the small holes in the tubing. As the process of cascading is repeated, the  $U^{235}$  concentration increases. About two-thirds of the  $U^{235}$  in the natural ore is extracted during enrichment, so there are two product streams (1) enriched uranium product, and (2) depleted uranium tails. The majority of the depleted tails are stored, on-site, in 14-ton steel cylinders.

There are facilities to store, process, and manage the two uranium components (enriched and depleted). Also, at present, uranium enriched at PGDP is further enriched at another DOE

gaseous diffusion plant in Portsmouth, Ohio; accordingly, there are packaging and transportation facilities. Most of the uranium from PGDP is ultimately designated for the commercial sector as fuel for nuclear power reactors in the United States and abroad.

There are extensive support facilities to maintain the diffusion process. These include a steam plant, four electrical switchyards, four sets of cooling towers, a chemical cleaning and decontamination facility, water and wastewater treatment plants, a chromium reduction facility, maintenance and laboratory facilities, and two active landfills. Several inactive facilities are also located on the plant site.

On October 24, 1992, the Energy Policy Act of 1992, Pub. L. 102-486, which amended the Atomic Energy Act of 1954, §§ 2011-2296 (1992, as amended), was signed into law. The Energy Policy Act establishes a new government corporation, the United States Enrichment Corporation (USEC), whose charter is to provide uranium enrichment services on a profitable and competitive basis. USEC leased DOE's Gaseous Diffusion Plant at Paducah beginning July 1, 1993. On April 26, 1996, the USEC Privatization Act, Pub. L. 104-134, was enacted.

The Energy Policy Act, the USEC Privatization Act and the lease provisions between DOE and USEC set out certain obligations for environmental conditions at the plant. The Energy Policy Act requires DOE to be responsible for the decontamination and

decommissioning, response actions, and/or Corrective Actions for conditions existing before the transition date. "[A]ll liabilities attributable to operation of the uranium enrichment enterprise before the transition (July 1, 1993) shall remain direct liabilities of the Department of Energy" Pub.L. 102-486 §1406(a). Section 3109(c) of the USEC Privatization Act provides that USEC "shall be liable for any liabilities arising out of its operations after the privatization date."

The area surrounding PGDP is predominantly rural. Immediately adjacent to PGDP is the West Kentucky Wildlife Management Area (WKWMA) comprised of 7000 acres, which is used by a considerable number of hunters and fishermen each year. A portion of PGDP is located on property formerly owned by the Department of Defense that includes the remnants of the Kentucky Ordnance Works (KOW), a World War II-era facility where trinitrotoluene (TNT) and other explosives were manufactured. The remaining area is lightly populated, and includes several farms and residences. The small communities of Grahamville and Heath are located approximately two (2) miles east of the plant. The community of Metropolis, Illinois is across the Ohio River from PGDP. PGDP is ten (10) miles west of Paducah, Kentucky.

PGDP is located within the drainage areas of Big Bayou and Little Bayou creeks, which meet about three miles north of the site and discharge into the Ohio River. Big Bayou Creek, which

flows along the western boundary of the plant, is a perennial stream whose drainage extends from approximately two and one-half miles south of the site to the Ohio River. Little Bayou Creek, which originated in the WKWMA, flows north toward the Ohio River along a course that includes parts of the eastern boundary of the plant. During dry weather much of the flow in both creeks is due to controlled effluent Releases from PGDP. These effluents constitute about 85 percent of the normal flow in Big Bayou Creek and 100 percent in Little Bayou Creek.

The regional geology at PGDP is characterized by Cretaceous, Tertiary, and Quaternary sediments overlying Paleozoic bedrock. The most important formation of these geologic systems includes the Continental Deposits of the Pleistocene/Pliocene series. The sediments of the Continental Deposits predominantly consist of clays, sands, and gravels. The gravel facies, which comprises the lower portion of the formation, is recognized as the most important portion of the formation because of its aquiferous characteristics and continuous nature. Accordingly, the unit has been termed the Regional Gravel Aquifer (RGA). The RGA is the uppermost aquifer at PGDP and serves as a local source of water to residences with private wells surrounding PGDP.

Since establishment of the UE facility in 1951, materials defined as hazardous substances, pollutants and contaminants by

CERCLA and materials defined as hazardous waste and hazardous constituents by RCRA and KRS Chapter 224 and the regulations promulgated thereunder have been produced and disposed or released at various locations at the Site including but not limited to treatment, storage and disposal units. Certain hazardous substances, pollutants, contaminants, hazardous waste and hazardous constituents have been detected and remain in groundwater, surface water, sediments and soils at the Site. Groundwater, surface water, sediments, soils and air pathways provide routes, or potential routes, of migration of hazardous substances, pollutants, contaminants, hazardous waste and hazardous constituents into the environment.

IX. SITE EVALUATION(S)

Upon discovery of an area with potential or known Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents identified after the effective date of this Agreement, DOE agrees to: (a) provide notice to EPA and KNREPC in accordance with Section 300.405 of the NCP, Conditions II.B.1 and II.B.2 of the EPA RCRA Permit and Conditions IV.B.1 and IV.B.2 of the Kentucky Hazardous Waste Permit; and (b) conduct removal site evaluations (SEs) in accordance with Section 300.410 of the NCP, remedial SEs in accordance with Section 300.420 of the NCP, and SWMU assessments in accordance with Condition II.B.3 of the EPA HSWA Permit and

Condition IV.B.3 of the Kentucky Hazardous Waste Permit. The Parties agree that the notifications provided by DOE pursuant to the RCRA Permits shall fulfill the reporting requirements to EPA and KNREPC specified in Section 300.405 of the NCP. DOE shall submit to EPA and KNREPC integrated Removal/Remedial SE and SWMU Assessment Reports (hereafter referred to as SE Reports), in a format consistent with Appendix D to this Agreement, for each newly discovered area with potential or known Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents. If the SE Report indicates that a removal and/or RA under Sections 300.415 or 300.430 of the NCP or the RCRA Permits is necessary, DOE shall conduct such response actions in accordance with Sections X and/or Sections XI through XV (i.e., Removal Actions or RAs) of this Agreement. If, upon review of the SE Report, EPA and KNREPC determine that a remedial investigation is necessary for an area, then DOE agrees, subject to the dispute resolution procedures in Section XXV (Resolution of Disputes), to amend Appendix B to this Agreement to include such areas and to conduct Additional Work at such areas under the terms of this Agreement as needed.

X. REMOVAL ACTIONS

A. Applicability:

DOE shall develop and perform removal actions, pursuant to this Agreement, CERCLA, the NCP, and the IM provisions of the

RCRA Permits to abate, minimize, stabilize, mitigate or eliminate the Release or threat of Release of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents at or from PGDP. DOE shall designate a PGDP On-Scene Coordinator (OSC) as required by Section 300.120 of the NCP. The PGDP OSC shall be the point of contact between DOE, EPA and KNREPC for all removal actions. DOE agrees to submit to EPA and KNREPC an annual Removal Action Report which describes the removal actions performed during the previous fiscal year. As appropriate, this report shall meet the reporting requirements to EPA of §300.165 of the NCP and the IM Reporting provisions of condition II.E.3 of the EPA HSWA Permit and condition IV.E.3 of the Kentucky Hazardous Waste Permit. The report shall be submitted as a section or appendix to the annual SMP.

Nothing in this Section or any other part of this Agreement shall restrict EPA or KNREPC from taking any action authorized under Section 106 of CERCLA necessary to abate Releases or potential Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes or Hazardous Constituents at or from the facility that present an imminent and substantial endangerment to public health or welfare or the environment. Likewise, nothing in this Agreement shall be construed as a waiver of DOE's authority under Executive Order 12580 for implementation of removal actions. Pursuant to Executive Order

12580, DOE has authority to conduct removal actions under Section 104 of CERCLA, 42 U.S.C. § 9604. Except as otherwise provided in this Agreement, in the event of dispute, DOE will exercise its authority to conduct removal actions under Section 104 of CERCLA, 42 U.S.C. Section 9604, pursuant to Executive Order 12580 for Releases or threatened Releases covered by RCRA or KRS 224, Subchapter 46, only after exhausting the dispute resolution provisions of this Agreement. The terms of this Agreement shall not apply to those removal actions addressing Releases which are not covered by RCRA or KRS 224, Subchapter 46. Notwithstanding the foregoing, DOE will notify EPA and KNREPC of any removal actions which are not covered by RCRA or KRS 224 Subchapter 46, and, upon request, will provide copies of the work plans for such removal actions. The Parties understand that DOE is agreeing to notify EPA and KNREPC and provide requested copies of work plans for informational purposes only.

The Parties agree that removal actions shall generally be low-cost response actions, that deal with situations requiring a short-term response. Removal activity is not intended to supplant, compromise or foreclose RAs, including Interim RAs, at the Site. If a long-term remedy is planned, removal actions at the Site may be used to mitigate the threat to human health and the environment until the RA can be implemented. Removal actions shall, to the extent practicable, contribute to the efficient

performance of any anticipated long-term RA with respect to the Release concerned. In selecting an appropriate Removal Action, the parties shall take into consideration the removal actions outlined in section 300.415(d) of the NCP.

B. Removal Action Planning:

Except as otherwise provided by this Section, prior to initiating removal activities, DOE shall submit to EPA and KNREPC for review and approval, a written Removal Notification (the "Removal Notification"). Such submission shall be by return receipt mail or hand delivery.

DOE's Removal Notification shall include the removal site evaluation or summary of the administrative record constituting an equivalent removal site evaluation, a description of the factors considered in determining the appropriateness of the Removal Action (i.e., NCP §300.415(b)(2)), and any information produced through a remedial site evaluation, if any has been done previously, and the current site conditions, to determine if Removal Action is appropriate. The Removal Notification shall contain adequate specificity in defining the nature, extent and duration of the activity to permit meaningful review and comment.

The Removal Notification shall identify whether a planning period of at least six (6) months exists before on-Site activities must be initiated. The planning period shall commence upon submission of the Removal Notification. Removal actions for

which a six month or longer planning period exists shall be defined as Non-Time critical. The Removal Notification for Non-Time Critical Removals shall include a schedule for submission of an EE/CA (as defined below.) All other removal actions shall be defined either as time-critical or emergency actions.

Except as otherwise provided herein, EPA and KNREPC shall review DOE's Removal Notification and shall respond with any comments and/or objections within thirty (30) Days of their receipt. EPA and KNREPC may request additional time, not to exceed twenty (20) Days, in which to respond to the Removal Notification. If EPA or KNREPC disagrees with the classification of an action as removal rather than remedial, or any other aspect of the proposed Removal Action, the disagreement shall be resolved in accordance with Section XXV (Resolution of Disputes) of this Agreement. All removal actions subject to dispute resolution shall be stayed until resolution of the dispute in accordance with Section XXV (Resolution of Disputes) of this Agreement. Unless otherwise provided herein, removal actions under the terms of this Agreement will be taken at the facility if pursuant to this Agreement: 1) DOE determines that a Removal Action is appropriate and such determination is not disputed by EPA or KNREPC, or is resolved in favor of DOE in dispute resolution; or 2) EPA or KNREPC determines that a Removal Action is necessary and DOE agrees to perform such removal or such

determination is resolved in favor of EPA or KNREPC in dispute resolution. EPA or KNREPC may require DOE to submit a Removal Notification. Such submission will be consistent with Condition II.E. of the EPA HSWA Permit or Condition IV.E. of the Kentucky Hazardous Waste Permit. DOE shall submit the Removal Notification within ninety (90) Days of receipt of the EPA or KNREPC request.

C. Emergency Removal Action/Imminent Hazard

An emergency Removal Action taken because of imminent and substantial endangerment to human health or the environment, may be taken by DOE without following the notice, Removal Notification and comment procedures of this Section, including the commitment to exhaust dispute resolution in Subparagraph A and the review and comment procedures of Subparagraph B, only if consultation (i.e., development, review and approval of the Removal Notification) would be impractical, considering the exigencies of the situation. In cases in which a Release at the Site could cause imminent and substantial endangerment to the public health or welfare or the environment, DOE shall proceed as soon possible with the emergency Removal Action and notify EPA and KNREPC in accordance with Section 300.125 of the NCP and ~~Conditions II.I. (Imminent Hazard) and I.D.14. (Twenty Four Hour Reporting) of the EPA HSWA Permit and Conditions IV. I. and~~

IV.D.14. of the Kentucky Hazardous Waste Permit. A description of the emergency and the technical specifications for the Removal Action, including any further action needed to complete the Removal Action, must be submitted in writing to EPA and KNREPC within fifteen (15) Days of the Release. The emergency Removal Action must be consistent with the provisions of NCP Section 300.415, and the RCRA Permits.

D. Time-Critical Removal Actions

Upon EPA and KNREPC approval of the Removal Notification for a proposed time critical removal action, DOE shall implement the selected removal action. The Removal Notification submitted for a proposed time critical removal action shall also meet the requirements of the Action Memorandum Primary Document and the IM Work Plan requirements of ~~Section II.E.1.b of the EPA HSWA Permit and~~ condition IV.E.1.b of the Kentucky Hazardous Waste Permit and shall include a proposed response action. DOE shall publish a notice of availability of the administrative record for the selected removal action within sixty (60) Days of the initiation of on-Site removal activity in accordance with §300.415(m) of the NCP and the Administrative Record requirements of §300.820 of the NCP. Within thirty (30) Days after the close of the comment period, DOE shall respond to comments in a Time Critical Removal Action Responsiveness Summary Primary Document for EPA and Kentucky review and approval in

accordance with Section XX of this Agreement. The approved Removal Notification and the Responsiveness Summary shall be included in the Administrative Record.

E. Non-Time-Critical Removal Actions

Upon EPA and KNREPC approval of a Removal Notification for a proposed non-time-critical Removal Action, and in accordance with the schedule in the approved Removal Notification, DOE shall submit to EPA and to the KNREPC for approval, a D1 Engineering Evaluation/Cost Analysis (EE/CA) Primary Document to further evaluate removal alternatives. Upon issuance of the Final EE/CA pursuant to Section XX (Review/Comment on Draft/Primary Documents), DOE shall make the Removal Notification, the EE/CA, and the Administrative Record available for public comment in accordance with NCP § 300.415(m) and shall comply with the Administrative Record requirements of NCP § 300.820. Within thirty (30) Days of the close of the public comment period, DOE shall submit for EPA and Kentucky approval, a D1 Action Memorandum Primary Document which responds to public comments and describes the selected response action. Within thirty (30) Days of EPA and KNREPC approval of the Action Memorandum, DOE shall submit for EPA and KNREPC approval, a D1 Removal Work Plan Primary Document for the work to be performed in completing the selected alternative. The Removal Work Plan shall provide a concise description of the activities to be

undertaken to comply with the requirements of this Agreement and shall meet the IM Work Plan requirements of ~~Section II.E.1.b of the EPA HSWA permit and the requirements of~~ Section IV.E.1.b of the Kentucky Hazardous Waste Permit. The Removal Work Plan shall also contain, but not be limited to, the following: 1) a health and safety plan; 2) a detailed design report (or schedule for submitting a detailed design report); and 3) a schedule for the completion of the work to be performed. Removal Work Plans requiring environmental sampling shall also include a sampling and analysis plan and a quality assurance project plan. Within fifteen (15) Days of EPA's and KNREPC's approval, DOE shall commence implementation of the approved final Removal Work Plan in accordance with the requirements and time schedules set forth in the approved Removal Work Plan.

F. Removal Action Document Review

Unless otherwise provided in this Agreement, any Removal Notification, EE/CA, Action Memorandum, Time-Critical Removal Responsiveness Summary, or Removal Work Plan to be submitted pursuant to this section is a Primary Document subject to review in accordance with Section XX (Review/Comment on Draft/Final Documents) of this Agreement. Any modification of a D1 or D2 Removal Action Primary Document shall be consistent with the purposes of this Agreement, CERCLA, the NCP, ~~the EPA HSWA Permit and~~ the Kentucky Hazardous Waste Permit, and EPA

guidance and policy documents. The approved final EE/CA, Action Memorandum or Removal Work Plans required under this Section shall be incorporated into and be enforceable under this Agreement. Associated timetables and deadlines will be included in Appendix C and the SMP as appropriate.

XI. REMEDIAL INVESTIGATIONS

1. DOE shall develop and perform remedial investigations pursuant to this Agreement, CERCLA, the NCP, RCRA Sections 3004(u) and (v), and 3008(h), the RCRA Permits and the Corrective Action requirements of KRS 224 Subchapter 46. DOE agrees that it shall submit a D1 RI/FS Work Plan and conduct an RI for each Potential OU and CS OU, as defined in the most recently approved SMP. In accordance with this Agreement, an RI Report shall be prepared separately for any final RA. The RI/FS Work Plans and RI Reports shall be developed in a format consistent with Appendix D to this Agreement. The work plan shall be submitted in accordance with the Timetables and Deadlines set forth in Appendix C of this Agreement. The D1 RI/FS Work Plans shall describe the plan for implementing the RI (including a Baseline Risk Assessment) and FS and shall be reviewed in accordance with Section XX (Review/Comments on Draft/Final Documents) of this Agreement. The scope of the RI and Baseline Risk Assessment shall reflect the scope of the response action for the OU under consideration. The RI/FS Work Plan shall describe how Interim

RAs or removal actions, as defined under this Agreement, will be considered throughout the RI/FS to support a bias for action, as described in the NCP Program Management Principles (40 CFR 300.430(a)(1)(ii)).

2. For each of those areas in PGDP SWMU/AOC List of Appendix B to this Agreement, RIs shall be conducted which shall meet the purposes set forth in Section III (Purposes of Agreement) of this Agreement. The SWMUs and AOCs in Appendix B shall be grouped into Potential OUs in the SMP to facilitate effective RI/FS scoping for the Site. For SWMUs and AOCs for which DOE is required to conduct an RFI pursuant to its RCRA Permits, the Parties agree that the RFI and RI shall be combined into a single investigation designed to meet the requirements of both the RCRA Permits and the purposes of this Agreement, as described in Section IV.A. In accordance with the requirements of Section XIV (Proposed Plan(s)/Record(s) of Decision) to this Agreement, DOE will, at a minimum, submit D1 Proposed Plans to EPA and KNREPC for those Potential OUs and CS OUs listed in the most recently approved SMP. If EPA or KNREPC determine that Additional Work is necessary to complete the RI for such a unit, then DOE agrees, subject to the dispute resolution procedures in Section XXV (Resolution of Disputes), to conduct Additional Work at such unit, under the terms of this Agreement.

3. Consistent with Section XX.E (Review/Comment on

Draft/Final Documents; Meetings of Project Managers) of this Agreement, for each RI/FS Work Plan, an RI/FS Scoping meeting will be held in an effort to develop a general consensus on the scope of the RI/FS Work Plan. The purpose of RI/FS scoping is to ensure that KNREPC, EPA and other stakeholders have the opportunity to provide input into designing the work plan so as to minimize comments on the D1 RI/FS Work Plan and thereby accelerate the review, comment and approval process. To facilitate this effort, DOE shall submit a D1 RI/FS scoping document for EPA and Kentucky review at least fifteen (15) Days prior to the RI/FS Scoping meeting. The scoping document may serve as a portion of the RI/FS Work Plan, thereby eliminating duplication of efforts. The RI/FS Scoping Document shall be developed in a manner consistent with Appendix D to this Agreement.

#### XII. FEASIBILITY STUDIES

As specified herein, DOE agrees it shall conduct an FS for each Potential OU and CS OU, as defined in the most recently approved SMP, and in accordance with this Agreement. An FS shall be separately conducted for any OU carved out from a larger Potential OU or pursuant to Section XIV.B of this Agreement for the purpose of expediting Remedial Action. If an Interim RA is to be performed on an OU carved out in this manner, its separate FS may be limited as appropriate to the scope of that action. An FS

shall be required when the Baseline Risk Assessment, for the Potential OU or a portion thereof, identifies a risk that requires an evaluation of remedial alternatives. At a minimum, an evaluation of alternative remedies (i.e., an FS) to address any Release shall be conducted when the circumstances listed below are present.

- The Baseline Risk Assessment shows that the cumulative carcinogenic risk for an individual exposed to a given Release, based on a reasonable maximum exposure for both current and future land use, is greater than  $10^{-6}$ , or;
- The Baseline Risk Assessment shows that the non-carcinogenic hazard quotient for an individual exposed to a given Release, based on a reasonable maximum exposure for both current and future land use, is greater than 1, or;
- The Release has caused adverse environmental impacts;
- Maximum Contaminant Levels, non-zero Maximum Contaminant Level Goals, or other Chemical-Specific ARARs are exceeded, or;
- Other site-specific or Release-specific circumstances warranting an evaluation of alternatives.

For each FS, a D1 report on the FS shall be submitted in accordance with the Timetables and Deadlines set forth in Appendix C of this Agreement. The D1 FS shall be reviewed in accordance with Section XX (Review/Comments on Draft/Final Documents). The FS shall be based on the RI and shall meet the purposes set forth in Section III (Purposes of Agreement) of this Agreement. For SWMUs for which DOE is required to conduct a CMS pursuant to its RCRA Permits, the Parties agree that the CMS and FS shall be combined into a single study designed to meet the requirements of both the RCRA Permits and the purposes of this Agreement. The FS Report shall be developed in a format consistent with Appendix D to this Agreement.

#### XIII. OPERABLE UNITS

The Site shall be segregated into Potential OUs and CS OUs for the purpose of scoping and planning RI/FS activities. Potential OUs shall be developed for source areas and CS OUs shall be developed for environmental media contaminated by commingled source releases. OUs for Interim or final RAS may be designated for all or any portion of a Potential OU or CS OU.

##### A. Potential Operable Units

Pursuant to Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings), DOE agrees that it shall develop a list of Potential OUs, which includes the units in Appendix B to this Agreement, to

effectively manage the implementation of RI/FS activities for the site. Potential OUs shall meet the purposes set forth in Section III (Purposes of Agreement) of this Agreement.

B. Comprehensive Site Operable Units

1. A Comprehensive Site (CS) OU is an OU which integrates the information obtained from Potential OU RI/FS activities regarding environmental media (i.e., surface water OU and ground water OU) which has been contaminated by commingled source Releases. The final RA for any given CS OU shall be evaluated after issuance of all RODs concerning the environmental medium at issue and after completion (excluding long term monitoring and/or Operation and Maintenance) of all final RA(s) for the sources contributing to the commingled contamination. The environmental medium and the sources causing the commingled contamination shall be collectively evaluated under the final CS OU. For each CS OU for which there exists insufficient data to adequately characterize the nature and extent of any contamination, DOE shall develop and submit to EPA a CS OU RI/FS Work Plan (e.g., RI/FS Strategy for the environmental medium) and a RI Report to be finalized in accordance with Section XX (Review/Comment On Draft/Primary Documents) of this Agreement. The schedule for submission of each CS OU RI/FS Work Plan and RI Report shall be included in the appropriate annual Site Management Plan. The CS OU RI Report shall include a baseline

risk assessment for the risk remaining at the Site associated with the CS OU and shall incorporate by reference all data collected pursuant to the RIs for any Interim remedial action OUs or Removal Actions being encompassed in the CS OU. The CS OU RI Report shall summarize all relevant CS OU RI data for the CS OU, including any data collected after the effective date of all RODs for Interim RA OUs and removal actions collectively being evaluated under the CS OU. The CS OU RI shall also gather any additional sampling data if necessary to support the CS OU RI Report (including baseline risk assessment) and FS.

2. A final CS OU shall be designated upon issuance of the last final ROD for the Site. The final CS OU shall evaluate all RODs subject to review under Section XXX (Five Year Review) for a determination of whether any further RA will be necessary due to residual risks which resulted in Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents remaining at the site above levels that allow for unlimited use and unrestricted exposure under the applicable risk/exposure scenario.

C. Operable Units

DOE agrees that a proposed designation of RODs for OUs (OUs), including, as appropriate, OUs carved out from previously-identified Potential OUs, shall be included in its annual Site Management Plan. The Parties shall make selections of the OUs

for the Site, annually, in accordance with Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings) of this Agreement, or as appropriate to support a bias for early response actions, as described in Section XIV.B of this Agreement. OUs may incorporate other OUs for which remedies have already been selected in a ROD, where appropriate (i.e., Comprehensive Site OU, RODs containing final remedy decisions following Interim RAs) to ensure that multiple remedies continue to be protective of human health and the environment. OU(s) and Potential OUs shall meet the purposes set forth in Section III (Purposes of Agreement) of this Agreement.

XIV. PROPOSED PLANS/RECORDS OF DECISION

A. Potential/Comprehensive Site Operable Unit Remedial Actions:

1. In accordance with the schedule in Appendix C and following completion of the review in accordance with Section XX (Review/Comment On Draft/Primary Documents) by EPA and KNREPC of the RI Reports and the corresponding FS Reports for those Potential OUs and CS OUs listed in the most recently approved SMP, DOE shall submit a D1 Proposed Plan(s) for RA(s), including proposed Timetables and Deadlines for the submittal of the RD Work Plan(s) and RA Work Plan(s), to EPA and KNREPC for review in accordance with Section XX (Review/Comment On Draft/Primary

Documents) of this Agreement. Proposed Plans for Potential and CS OU final RAs shall be supported by a complete RI/FS (including a baseline risk assessment) in which the RI/FS data and evaluations to support the final RA are commensurate to the scope of the proposed operable unit. Site-specific data needs, evaluation of alternatives and the appropriate documentation necessary to support a Proposed Plan for a Potential or CS OU for an RA shall reflect the scope and complexity of the site problems being addressed (Section 300.430(a)(1)(ii)(C)).

2. Subject to Section XL (Reservation of Rights) of this Agreement, EPA and/or KNREPC will develop a Statement(s) of Basis and a draft modified RCRA Permit(s) consistent with the approved Proposed Plan, pursuant to Condition II.G. of the EPA HSWA Permit and Condition IV.G. of the Kentucky Hazardous Waste Permit for selection of the WAG/WAG Group final remedy. Where practicable, and subject to Section XL (Reservation of Rights), EPA and KNREPC agree that the Statement of Basis and permit modification for such a final remedy will be contemporaneously developed and processed along with the Proposed Plan and ROD.

B. Expediting Actions under Remedial Authority:

Subject to Section XXV (Resolution of Disputes), any of the Parties may propose expediting Remedial Action for a part of any Potential OU listed in the most recently approved SMP, in accordance with CERCLA, the NCP, ~~Condition II.E of the EPA HSWA~~

Permit, and Condition IV.E. of the Kentucky Hazardous Waste Permit, so that an RA is performed on that part ahead of the time when the RA is scheduled for the entire OU as listed. By way of example (but not of limitation), expediting Remedial Action might be considered for achieving significant risk reduction quickly and/or efficiently, to expedite the completion of total site cleanup, or to respond to some immediate site threat. RAs expedited in this manner may be either interim or final with respect to the OU being carved out for remediation ahead of the entire OU listed in the SMP. An Interim RA is limited in scope and shall be followed by a final RA that completes protection of human health and the environment through a final remedy decision.

Proposed Plans for final RAs shall be supported by a complete RI/FS (including a baseline risk assessment) in which the RI/FS data and evaluations to support the final RA are commensurate to the scope of the proposed OU being remediated on an expedited basis. Site-specific data needs, evaluation of alternatives and the documentation necessary to support a Proposed Plan for a selected remedy for an Interim RA shall reflect the scope and complexity of the site problems being addressed (Section 300.430(a)(1)(ii)(C) of the NCP). Few alternatives (in some cases only one) should be developed for Interim RAs, and completed baseline risk assessments generally are not necessary for Interim RAs when sufficient data is otherwise available to

support interim action decisions.

C. Proposed Plan Review, Approval and Public Notice:

The Proposed Plans shall meet the purposes set forth in Section III (Purposes of Agreement) of this Agreement. Following approval by the EPA and KNREPC pursuant to Section XX (Review/Comment On Draft/Primary Documents) of this Agreement, DOE shall publish the Final Proposed Plan for public review and comment in accordance with Section 117(a) of CERCLA, 42 U.S.C. § 9617(a), the NCP, EPA policy and guidance, and KRS 224 Subchapter 46 and the regulations promulgated pursuant thereto. The Parties agree that public notice of the Proposed Plan may be issued jointly with public notices of any proposed modifications of DOE's RCRA Permits. The period for public review shall be coordinated to meet NCP and the RCRA Permit requirements. Within ten (10) Days of the completion of the public comment period, all Parties shall confer with each other about the need for modification of the Proposed Plan and additional public comment based on the public response.

D. ROD Review, Approval and Final Issuance:

1. For purposes of expediting the ROD development and review, the Parties agree that the Draft Primary Document review process shall not apply. Instead, DOE shall submit, within thirty (30) Days of the close of the public comment period, and any extensions thereof, a Draft-Final ROD, including the

responsiveness summary, to EPA and KNREPC in accordance with the schedule in Appendix C. The Draft-Final ROD shall be developed in accordance with appropriate guidance, shall meet the purposes set forth in Section III (Purposes of Agreement) of this Agreement, and include proposed timetables and deadlines for submittal of the RD Work Plan(s). A review in accordance with Section XX (Review/Comment On Draft/Primary Documents) shall be conducted on the Draft-Final ROD. If the Parties agree on the Draft-Final ROD, the ROD shall be adopted by EPA, KNREPC and DOE, and then DOE shall issue the final ROD pursuant to CERCLA Section 120(e)(4). If, after exhausting the dispute resolution provisions of this Agreement, EPA and DOE are unable to reach agreement on a Draft-Final ROD, the selection of the RA shall be made by the Administrator of EPA, or his or her delegatee, and EPA shall then prepare the final ROD. The selection of the RA by the Administrator of EPA shall be final as to EPA and DOE and shall not be subject to dispute under Section XXV (Resolution of Disputes). If, after the dispute resolution process, KNREPC and EPA are unable to reach an agreement on RA selection, then KNREPC reserves its rights, if any, to impose a permit modification consistent with KNREPC's hazardous waste statutes and regulations and to enforce those requirements in accordance with Section XL (Reservation of Rights) of this Agreement.

2. Notice of the final ROD shall be published by DOE with

EPA and KNREPC's concurrence (provided that KNREPC concurs with the ROD), and shall be made available to the public prior to the commencement of the RA, in accordance with Sections 117(b), (c), and (d) of CERCLA, 42 U.S.C. §§ 9617(b), (c), and (d), RCRA and KRS Chapter 224 and the regulations promulgated thereunder. EPA and/or KNREPC shall propose any modifications necessary to the Corrective Action provisions of DOE's RCRA Permit in conjunction with the notice of the Proposed Plan and final ROD.

XV. REMEDIAL DESIGNS/REMEDIAL ACTIONS

The RD/RAs shall meet the purposes set forth in Section III (Purposes of this Agreement) of this Agreement and the RODs. In accordance with the schedule in Appendix C and following final issuance of each ROD, DOE shall submit a D1 RD Work Plan for the RA selected in the ROD for review in accordance with Section XX (Review/Comment on Draft/Final Documents). The RD Work Plans shall include appropriate Timetables and Deadlines for developing the design and submission of the secondary Intermediate RD Report(s) (e.g., 30 per cent design, 60 per cent design) and the D1 RD Report, and submission of a RA Work Plan. The secondary Intermediate RD Reports and the D1 RD Reports shall be reviewed in accordance with Section XX (Review/Comment on Draft/final Documents). In accordance with the schedule in Appendix C and the schedule in the approved RD Work Plans, DOE shall submit a D1 RA Work Plan with a schedule for implementing the selected RA and

for submitting a Construction Quality Control Plan, a Post Construction Report, an Operation and Maintenance Plan, and a Final Remediation Report (as such terms are more fully defined in Appendix D.) The RA Work Plans, the Construction Quality Control Plans, the Post-Construction Reports, the Operation and Maintenance Plans and the Final Remediation Reports shall be reviewed in accordance with Section XX (Review/Comment on Draft/Final Documents). The parties acknowledge the requirement of CERCLA Section 120 (e) (2), 42 U.S.C. § 9620(e) (2), that substantial continuous physical on-site RA commence within 15 months of completion of the RI/FS.

XVI. DELIVERABLES

DOE agrees to submit to EPA and KNREPC certain deliverables to fulfill the obligations and meet the purposes of this Agreement. A schedule for submittal of these deliverables shall be specified in Appendix C to this Agreement. Deliverables which include engineering plans for construction, modification or operation of environmental restoration facilities, or which describe RAs, shall be certified by a registered professional in accordance with applicable law. All Primary Document (as such term is hereinafter defined) deliverables shall be signed and certified in accordance with 40 CFR §270.11(d).

XVII. GUIDANCE

EPA agrees to provide DOE with guidance and policy in

response to DOE's written request to assist DOE in the performance of the requirements under this Agreement. EPA shall respond to DOE's request within fifteen (15) Days of receipt of the written request. KNREPC agrees to respond within 15 days to any written request from DOE for information to assist DOE in the performance of the requirements under this Agreement.

XVIII. SITE MANAGEMENT, TIMETABLES AND DEADLINES,  
BUDGET PLANNING AND EXECUTION,  
COST AND PRODUCTIVITY SAVINGS

A. Site Management Plan

DOE shall submit a D1 annual Site Management Plan (SMP) each year to EPA, KNREPC and other Stakeholders no later than November 15, of each fiscal year (FY) for timetables, deadlines and projected activities pertaining to the next fiscal year (i.e., FY+1) and beyond. The currently effective annual SMP shall remain operative until the next annual SMP is finalized. KNREPC and EPA shall review and comment on the D1 SMP within thirty (30) Days of receipt. DOE shall revise the D1 SMP, if necessary, and submit a D2 SMP within fifteen (15) Days of receipt of EPA and KNREPC comments. The Parties agree to finalize the SMP in accordance with the provisions of Subsection I of Section XX (Review/Comment on Draft/Final Documents) of this Agreement. The purpose of the SMP is to coordinate and document the selected OUs (including Potential OUs and CS OUs), removal actions and proposed removal actions (to the extent possible), work

priorities, projected activities, and Timetables and Deadlines. The D1 SMP shall provide a list of the Potential OUs and CS OUs, as currently defined, based on information available in the current or previous fiscal years. The Potential OU and CS OU lists shall identify the SWMUs/AOCs in Appendix B to this Agreement which are included in each Potential OU and CS OU. A brief justification shall be provided for the inclusion of the SWMUs/AOCs in each Potential OU or CS OU. The SMP shall include a list of OUs, their ROD issuance dates, a brief description of their current RD/RA status and any published Explanation of Significant Difference. The SMP shall include an updated list of Removal Actions and a description of Removal Actions carried out during the previous fiscal year, in accordance with Section X (Removal Actions) of this Agreement. The SMP shall also include a section establishing priorities and Timetables and Deadlines for commitments and long-term projections, in accordance with this Section of the Agreement and based on consideration of other relevant factors, including but not limited to:

1. the logical progression toward cleanup;
2. the reduction of short-term and long-term human health and environmental risk;
3. existing requirements of this Agreement;
4. the life-cycle cost of individual projects;
5. logistic, engineering, technical, and health and safety

- concerns related to proposed projects;
6. any impacts on related projects, including the costs and scheduling of such projects;
  7. detrimental impacts of significant fluctuations in resource requirements from year to year;
  8. DOE's management capabilities;
  9. new or emerging technologies;
  10. KNREPC's and EPA's oversight capabilities;
  11. changing priorities as a result of new information;
  12. views expressed by local elected officials;
  13. views expressed by the public;
  14. any consensus views expressed by the PGDP Citizens Advisory Board;
  15. the Congressional budget appropriation, OMB apportionment, and DOE PGDP EM allotment for FY, as well as the PGDP EM allotment in the President's budget for FY+1 and associated outyear funding targets;
  16. the completeness and accuracy of the scope, schedule, and costs for the tentative FY tasks;
  17. the status of ongoing projects; and
  18. costs savings initiatives and productivity improvements.

The parties to this Agreement recognize that the management of the Site remains solely a DOE responsibility; however, the development of the SMP shall include the input and consultation

of EPA and KNREPC.

B. Scoping Work Priorities

DOE agrees to establish a basis for prioritizing response actions with the input and consultation of EPA and KNREPC, and to document the prioritization criteria in the annual SMP. The SMP prioritization criteria shall be used to prioritize the investigatory activities required for the Potential OUs and CS OUs identified in the annual SMP, and for identifying and implementing response actions. The D1 annual SMP shall identify the priorities by ranking the Potential OUs and CS OUs according to the prioritization criteria.

The D1 annual SMP shall include a list of commitments and long-term projections, developed in a manner consistent with the prioritization described herein, which identify the submittal dates for deliverables that correspond to work activities for FY+1 and FY+2, and any enforceable outyear commitments, ROD issuance dates for FY+1 and FY+2, ROD issuance target dates by fiscal year quarters for FY+3 and beyond for all Potential, CS and RA OUs defined pursuant to this Agreement. DOE, KNREPC and EPA agree that the dates for FY+3 RODs and beyond will be nonenforceable and used by all Parties for planning purposes and to develop an understanding of the resource needs that the implementation and oversight of the environmental restoration activities will require. However, the outyear completion dates

for the following pre-GDP shutdown OUs: surface water, and groundwater, soils, burial grounds, and D&D OUs shall be considered enforceable timetables and deadlines in accordance with the provisions of Subsection C (Timetables and Deadlines) of this Section. Commitments for FY+1 and FY+2 shall become current FY commitments in accordance with the provisions of Subsection C (Timetables and Deadlines) of this Section.

C. Timetables and Deadlines

Enforceable timetables and deadlines for current FY Commitments are contained in Appendix C to this Agreement. Enforceable timetables and deadlines for FY+1 and FY+2 commitments and completion dates for the following pre-GDP shutdown OUs: surface water, and groundwater, soils, burial grounds, and D&D OUs are contained in the most recently approved annual SMP. Enforceable timetables and deadlines under this Agreement shall be limited to FY, FY+1, FY+2, and completion dates for the work scope associated with the following pre-GDP shutdown OUs: surface water, groundwater, soils, burial grounds, and D&D, -OUs as specified in the most recently approved annual SMP. The FY+1 timetables and deadlines in the most recently approved SMP shall be incorporated into Appendix C to this Agreement and shall become current FY timetables and deadlines on October 1, FY+1.

D. Budget Planning

1. DOE shall use its best efforts and take all necessary steps to obtain sufficient and timely funding to meet all of its obligations under this Agreement. DOE's compliance with the Budget Planning and Execution provisions of this Agreement shall constitute compliance with the above standard. The Parties

acknowledge Executive Order 12088's requirement that DOE include sufficient funds in its budget request to the President to support the activities and requirements to be conducted under this Agreement.

2. It is DOE's intent to identify, evaluate and implement opportunities to control project costs and increase productivity in meeting its obligations under this Agreement. EPA and KNREPC intend to assist DOE in its commitment to identify, evaluate and implement productivity gains and cost saving measures. The parties agree that budget targets provided by the Office of Management and Budget (OMB) and DOE-HQ shall be considered in establishing the requirements and schedule under this Agreement but further and specifically agree that the targets shall not strictly drive the requirements and schedule of this Agreement. In any action to enforce any provision of this Agreement, DOE may raise as a defense that its failure or delay was caused by the unavailability of appropriated funds. Kentucky disagrees that an Anti-Deficiency Act Defense or any other defense based on the lack of appropriations or funding exists. However, Kentucky and DOE agree and stipulate that it is premature at this time to raise and adjudicate the existence of any such defense. Acceptance of this provision (or any other specific reservation of rights by Kentucky) does not constitute a waiver by DOE of its right to argue that its obligations under this Agreement are

subject to the provisions of the Anti-Deficiency Act, 31 U.S.C. Section 1341.

3. DOE shall consult with EPA and KNREPC in formulating its annual Environmental Management (EM) budget for PGDP, including project work scope and management, priorities, and schedules/compliance dates. DOE shall provide EPA and KNREPC with all necessary information and briefings on the budget formulation, including funding information at the level of the Activity Data Sheet (ADS) (or its Project Baseline Summary (PBS) successor) or the work breakdown structure (WBS) level, if requested. EPA and KNREPC will continue to serve as ex-officio members of the Oak Ridge Reservation Environmental Restoration Prioritization Board which may serve as one of the means by which DOE provides EPA and KNREPC with budget formulation and project management information. In addition, DOE shall provide EPA and KNREPC with budget and project information as follows:

a. Planning for FY and FY + 1

1. Prior to the submission of the annual SMP by DOE, (between July and October of each year), and for the purpose of providing early input into development of the annual SMP, the parties shall evaluate the FY and FY + 1 schedule, current projected cost and funding information, WBS summaries and any cost savings initiatives and productivity improvements. Further, during negotiations of Task Work Agreements (TWAs) and Incentive

Task Orders (ITOs), DOE shall inform EPA and KNREPC of potential changes in project workscope and/or project costs from the workscope and/or project costs contained in previously approved primary documents or ADS (or its Project Baseline Summary (PBS) successor) cost estimates. Upon request, DOE shall provide copies of finally negotiated TWAs and ITOs to EPA and KNREPC. The parties recognize that the terms of TWAs and ITOs are developed through negotiations between DOE and its contractors and that the final terms of these contracts are not subject to the dispute resolution provisions of this Agreement. Notwithstanding the foregoing, the parties understand and agree that if project workscope change from previously approved workscope contained in primary documents, DOE shall submit such changes as a modification to the appropriate primary document. The modification request shall be subject to review and approval by EPA and KNREPC and to the dispute resolution provisions of this Agreement.

2. Within thirty (30) days after Congressional appropriation of the FY budget, DOE shall brief EPA and KNREPC on the budget appropriation and proposed Environmental Management (EM) funding allocations for the new FY at the level of the ADS (or its Project Baseline Summary (PBS) successor) or below, if requested. If there is a delay in Congressional appropriations beyond the first of the new federal fiscal year, DOE shall inform

EPA and KNREPC of any continuing resolution action and the impact of the delay on its ability to meet the requirements of this Agreement. EPA and KNREPC will review this information and may recommend reallocation of available funds.

3. Within ten (10) days of the DOE EM allotments to ORR, DOE-ORR shall brief EPA and KNREPC on the DOE-ORR EM allotments at the level of the ADS (or its Project Baseline Summary (PBS) successor) or below, if requested.

4. After receipt of the DOE EM allotments to PGDP, but no later than sixty (60) Days after OMB's apportionment of the DOE's FY EM appropriation, the parties shall evaluate all projects scheduled for FY and FY + 1 in light of the factors in Section XVIII.A. and cost and productivity savings and determine if the PGDP EM allotment exceeds or is less than the projected costs for the proposed work. If the PGDP EM allotment is greater than the projected costs, DOE shall propose additional work or an acceleration of scheduled work at PGDP. DOE may propose using part or all of the excess allotment for activities not covered by this agreement. EPA and KNREPC will review the proposals and may approve changes in the FY and FY + 1 Timetables and Deadlines in Appendix C.

5. If DOE believes that adequate funds or appropriations are not available to comply with the FY obligations of this Agreement, DOE shall nonetheless make a good faith effort to

comply with the enforceable commitments for FY. A good faith effort may, but does not necessarily, include one or more of the following actions: rescoping or rescheduling the work being performed under this agreement consistent with the enforceable commitments, developing and implementing new productivity or cost-saving measures, requesting re-allotments or reprogramming of appropriated funds, and seeking supplemental appropriations.

6. If DOE believes that adequate funds or appropriations are not available to comply with the FY obligations of this Agreement, DOE may submit a request within forty-five (45) business days of PGDP's budget allotment to modify the enforceable Timetables and Deadlines for the current FY commitments contained in Appendix C in accordance with Section XXXIX (Modification of Agreement) and this subsection to the Agreement. The request must include a draft revised Appendix C. KNREPC and EPA shall review and comment on the draft revised Appendix C within fifteen (15) business days of receipt. Within fifteen (15) business days of receipt of KNREPC and EPA comments, DOE will revise, if necessary, the draft revised Appendix C and submit a D2 Appendix C. The parties agree to finalize Appendix C in accordance with the provisions of Subsection I of Section XX (Review/Comment on Draft/Final Documents) of this Agreement and to incorporate necessary revisions to Appendix C approved in accordance with this Subsection into this Agreement, in

accordance with Section XXXIX (Modification of Agreement) of this Agreement. Also, at any other time DOE learns that adequate funds or appropriations are not available, it shall notify EPA and KNREPC within thirty (30) Days of learning such information.

7. KNREPC and EPA will consider the following factors in reviewing a request for a revision of the Timetables and Deadlines in Appendix C: DOE's efforts to comply with the requirements of paragraph D.a.5 of this section; public comments received; consensus views of the PGDP site-specific advisory board; the impact of the proposed revision on human health and the environment; the impact of the revision on project management, life-cycle costs and logistic, technical, and engineering issues related to the project; new or emerging technologies; new technical or characterization information; site priorities identified through consultation among DOE, EPA, KNREPC and the public; the Congressional budget appropriation, OMB apportionment, and DOE-ORR and PGDP EM allotment for FY; DOE's efforts to achieve project cost savings and increases in productivity; and other relevant factors.

b. Planning for FY + 2

1. DOE PGDP shall provide EPA and KNREPC with information on the EM planning budget for fiscal year + two (FY +2 ), within seven (7) Days of DOE PGDP receiving such information, including any information on OMB and DOE-HQ target funding guidance.

Within twenty-one (21) Days of DOE-PGDP receiving target funding guidance, DOE-PGDP shall provide EPA and KNREPC with a preliminary assessment of its impacts at PGDP. DOE shall also provide a copy of PGDP's initial contractor budget guidance to EPA and KNREPC within two (2) weeks after its issuance.

2. By February 1 of each year, DOE shall prepare a draft Integrated Priority List for PGDP. DOE shall provide EPA and KNREPC with a copy of its draft Integrated Priority List for PGDP and an assessment of the budget targets on site priorities by February 15 of each year. The list shall prioritize all PGDP waste management and environmental restoration activities (including all enforceable commitments of this Agreement) and may include other site activities, as appropriate.

3. Between February 1 and the date that DOE submits its annual budget request and supporting ADS (or its Project Baseline Summary (PBS) successor) for PGDP EM activities to DOE-HQ, DOE, EPA and KNREPC shall meet and discuss project work scope, priorities, and funding levels required to comply with the obligations of this Agreement. DOE may revise its budget request and supporting documentation in response to issues raised by EPA and KNREPC during this timeframe. In the event that issues are not resolved with DOE, DOE shall submit with its budget request to DOE-HQ an outline of any unresolved issues identifying the issues, and DOE's and EPA's and KNREPC's respective positions

with respect to those issues, along with an estimate of the funding necessary to meet the requirements and obligations of this Agreement. In addition, if EPA or KNREPC disagree with DOE's assessment, they may jointly or individually prepare an assessment of the impacts as it relates to PGDP and DOE shall include a copy of the assessment(s) and any comments with its budget request to DOE-HQ. DOE shall provide EPA and KNREPC with a complete copy of the budget request and attached documentation relating to PGDP that is sent to DOE-HQ.

4. After submission of the PGDP EM budget request to DOE-HQ, and prior to submission of the EM budget request to the Secretary of DOE, it is DOE's intent to provide EPA and KNREPC with a copy of any additional written analyses of the proposed PGDP budget and/or potential changes to the proposed PGDP EM budget and any analyses of associated potential impacts on work required under this Agreement sent from PGDP or DOE-ORR to DOE-HQ concerning the PGDP EM budget, subject to a claim of privilege by DOE. In the event of a claim of privilege, DOE shall provide EPA and KNREPC with an explanation setting forth the basis for the claim of privilege. In the event that DOE changes its intent to provide EPA and KNREPC with the documentation required by this paragraph, DOE shall provide EPA and KNREPC with a written explanation as to why such documentation will no longer be provided. DOE's decision is not subject to the dispute

resolution provisions of this Agreement.

5. If the issues raised by EPA and/ or KNREPC are not resolved prior to DOE's submission of its budget request to the Office of Management and Budget (OMB), DOE shall include an outline of any unresolved issues at PGDP identifying the issues and DOE's and EPA's and/or KNREPC's respective positions with respect to those issues, including any comments submitted by EPA and/or KNREPC and an estimate of the funding necessary to meet the requirements of this Agreement with DOE-HQ's budget request submitted to the OMB.

6. Within 10 days of the President's submission of the FY + 1 budget to Congress, DOE shall submit to EPA and KNREPC a summary of the budget request forwarded to DOE-HQ by DOE-ORR and submit to EPA and KNREPC the DOE-PGDP budget request contained in the President's budget.

7. Within thirty (30) days after the President's submission of the FY + 1 budget to the Congress, DOE shall brief EPA and KNREPC on the President's budget request as it relates to the PGDP at the level of detail of the ADS (or its Project Baseline Summary (PBS) successor) or below, if requested. At this briefing, DOE shall provide EPA and KNREPC with a written description of the funding levels included in the President's budget request as it relates to PGDP and identification of any differences between these levels and the levels necessary to

comply with the terms of this Agreement, along with an assessment of the impacts these differences may have on DOE's ability to meet its requirements under this Agreement.

E. Budget Execution for the Current FY

1. During the regularly scheduled project manager meetings, the project managers in their review of the progress of projects scheduled for the year shall discuss potential cost savings initiatives and productivity gains for the projects.

2. DOE shall provide EPA and KNREPC with copies of any PGDP program execution guidance at the same time it is provided to DOE's contractors. DOE shall consult with EPA and KNREPC in reviewing WBS summaries prepared by the contractors.

3. Throughout the FY, DOE shall promptly notify EPA and KNREPC of any proposed site-specific or major programmatic action, if such action is likely to have an impact on DOE's ability to meet the requirements of this Agreement. DOE shall consider any comments made by EPA or KNREPC in implementing the proposed action.

4. Within thirty (30) days of the completion of DOE's annual midyear management review, DOE shall brief EPA and KNREPC on any decisions that affect compliance with the requirements of this Agreement.

5. DOE agrees to notify the EPA and KNREPC when it provides confidential budget information to EPA and KNREPC. EPA and

KNREPC agree not to release confidential budget information to any other entities prior to submission of the President's budget request to Congress, unless authorized by DOE or required to do so by the Kentucky Open Records Act (KRS 61.870 et seq.), by federal statute or regulation, or by court order. DOE may seek to intervene in any proceeding brought to compel or enjoin release of this information. If allowed to intervene, DOE may assert its interest in, and the legal basis for, maintaining the confidentiality of this information.

6. DOE shall provide EPA and KNREPC with a copy of the reports specified in section 3153 of the Defense Authorization Act for fiscal year 1994 within 10 days of their submission to Congress.

7. Neither the process described above, nor EPA and KNREPC's participation in the process, waives their position that the Executive Branch is obligated to seek full funding for all activities required by this Agreement and that DOE's failure to obtain adequate funds or appropriations from Congress does not in any way relieve DOE from its obligation to comply with this Agreement. If adequate funds or appropriations are not available to fulfill DOE's obligations under this Agreement, EPA and KNREPC may pursue any remedy they have under this Agreement or exercise any of their statutory or regulatory authority. In addition, acceptance of the process by DOE-PGDP does not constitute a waiver by DOE of its position that its obligations

under this Agreement are subject to the availability of appropriated funds and the provisions of the Anti-Deficiency Act, 31 U.S.C. Sec. 1341.

8. The participation by EPA and KNREPC in DOE's budget planning and execution process under this Section is limited solely to the process set forth herein and shall in no way be construed as allowing EPA and/or KNREPC to become involved with the internal DOE budget process. Furthermore, nothing herein shall affect DOE's authority over its budgets and funding level submissions.

F. Cost and Productivity Savings

1. The parties agree to consult during the site budget planning and execution processes to identify opportunities and develop and implement approaches for achieving cost and productivity savings in implementing this agreement. The parties agree that the approaches for achieving cost and productivity savings should include, inter alia, review of the standards, requirements, and practices of managing and conducting activities at PGDP to ensure that the objectives of this Agreement are carried out in an efficient and cost-effective manner, as well as efforts to control project scopes, as much as is practicable, to scopes originally agreed upon to provide for the maximum utilization of available allocated funding to implement this Agreement.

Notwithstanding the foregoing, the parties understand that it may be necessary in some circumstances to alter project scopes based

on regulatory or other requirements. Furthermore, while the parties recognize the value of identifying and implementing cost savings measures and productivity improvements, the Parties agree that the identification and implementation of such measures is a goal, and not a requirement, of this Agreement. This Section and Section 4.4 of the SMP set forth the process by which certain percentages of cost and productivity savings will presumptively remain at the PGDP and be applied to activities required under this Agreement.

2. In the event that projects achieve cost and productivity savings that result in excess funds being available after all enforceable commitments under this Agreement have been met within a fiscal year, subject to Paragraph 4 below, a portion of the funding not contractually obligated will stay at the PGDP site and be reallocated to support other work at the site. Cost and productivity savings realized during a given fiscal year may be carried over for performance of other work in subsequent years. DOE will confer with EPA and KNREPC in identifying the other work at PGDP to which any realized cost and productivity savings will be applied. Such other work may include work not required pursuant to this Agreement. If EPA or KNREPC disagrees with DOE's identification of other work to which realized cost and productivity savings will be applied, EPA or KNREPC may invoke the dispute resolution provisions of this Agreement.

3. The Parties understand and agree that mere deferral of work and associated costs shall not constitute "cost and productivity savings" within the meaning of this Agreement.

4. The reallocation process set forth in this Section and Section 4.4 of the SMP shall be utilized to ensure that cost and productivity savings in implementing this Agreement presumptively remain at the PGDP site in accordance with the following schedule:

FY 1997 -- no less than 60% of cost and productivity savings

FY 1998 -- no less than 75% of cost and productivity savings

FY 1999 and beyond -- no less than 90% of cost and productivity savings.

5. To the extent that cost and productivity savings are attributed to any DOE contractor at the Site performing activities required under this Agreement, the percentages cited herein apply to cost and productivity savings remaining after any contractual obligations are paid to any such contractor.

6. The presumption that cost and productivity savings will remain at PGDP may be overcome in cases where DOE determines that imminent danger or significant threat to human health or the environment exist at another site, and the application of PGDP cost and productivity savings is necessary to abate such danger or threat. DOE will consult with KNREPC and EPA prior to making a determination to apply any portion of cost and productivity

savings to another site. Determinations with respect to overcoming the presumption that cost and productivity savings will stay at PGDP lie within DOE's sole discretion and shall not be subject to the Dispute Resolution provisions of this Agreement.

XIX. ADDITIONAL WORK

A. In addition to the provisions of Section XX (Review/Comment On Draft/Primary Documents) of this Agreement, either EPA or KNREPC may at any time request Additional Work, including field modifications, remedial investigatory work, or engineering evaluations, which they determine necessary to accomplish the purposes of this Agreement, when the basis for modifying a primary document, as specified under Section XX.J of this Agreement, cannot be demonstrated. Such requests shall be in writing to DOE, with copies to the other Parties. DOE agrees to give full consideration to all such requests. DOE may either accept or reject any such requests and shall do so in writing, together with a statement of reasons, within forty-five (45) Days of receipt of any such request. If there is no agreement concerning whether or not the requested Additional Work or modification to work should be conducted, then dispute resolution may be invoked by DOE within thirty (30) Days after DOE's submission of its written rejection of the request for such Additional Work or modification of work.

B. Should Additional Work be required pursuant to this Section, the appropriate work plan shall be amended and proposed by DOE for review and approval by EPA and KNREPC. Appendix C to this Agreement shall be modified if necessary in accordance with Section XXXIX (Modification of Agreement) of this Agreement.

C. The discovery of previously unknown sites, Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents or other significant new Site conditions, including newly acquired information concerning residual risk, may be addressed as Additional Work under this Section.

D. Any Additional Work or modifications to work proposed by DOE shall be proposed in writing to the other Parties and shall be subject to review in a Primary Document (or modification to an existing Primary Document) in accordance with Section XX (Review/Comment on Draft/Final Documents) of this Agreement. DOE shall not initiate such work prior to review and approval by EPA and KNREPC, except for emergency Removal Actions taken under Subsection X.B (Removal Actions).

E. Any Additional Work or modification to work agreed to or required under this Section, shall be completed in accordance with the standards, specifications, and schedules determined or approved by EPA and KNREPC and shall be governed by the provisions of this Agreement.

XX. REVIEW/COMMENT ON DRAFT/FINAL DOCUMENTS

A. Applicability

The provisions of this Section establish the procedures that shall be used by DOE, EPA and KNREPC to provide the Parties with appropriate notice, review, comment, and response to comments regarding documents specified herein as either primary or secondary documents. In accordance with Section 120 of CERCLA, 42 U.S.C. § 9620, and the RCRA permits, DOE shall be responsible for issuing primary and secondary documents to EPA and KNREPC. As of the effective date of this Agreement, all D1 and D2 documents and reports that are required to be submitted to EPA and KNREPC under this Agreement, as identified herein, shall be prepared and distributed in accordance with Subsections B through J, below. All documents shall be clearly labeled as primary or secondary, and as D1, D2 or Final. All primary and secondary documents shall meet the requirements of CERCLA, the NCP, KRS 224 Subchapter 46, the RCRA Permits, and be consistent with relevant guidance issued by EPA.

The designation of a document as D1 or D2 is solely for purposes of consultation with EPA, KNREPC and other Stakeholder in accordance with this Section.

B. General Process for Document Review

1. Primary Documents are those documents identified in Subsection C.1 herein, for all response actions at the Site.

Primary Documents are initially issued by DOE in draft subject to review and comment by EPA and KNREPC. Following receipt of comments on a particular D1 Primary Document, DOE will respond to comments received and issue a D2 Primary Document subject to EPA and KNREPC approval.

2. Secondary Documents typically include those documents that are discrete portions of the Primary Documents and are typically feeder documents. Secondary Documents are issued by DOE in draft subject to review and comment by EPA and KNREPC. Although DOE must respond to comments received, the D1 Secondary Documents may be finalized in the context of the corresponding Primary Documents. A Secondary Document may only be disputed at the time the corresponding D2 Primary Document is submitted.

3. The Parties agree that plans and reports prepared by DOE for SWMUs/AOCs subject to the Corrective Action requirements of its RCRA Permits, as well as the review of such plans and reports by EPA and KNREPC, shall be combined into a single document with its corresponding CERCLA counterpart designed to meet the requirements of both the RCRA Permits and this Agreement.

C. Primary Documents

1. DOE shall complete and transmit the following D1 Primary Documents to EPA and KNREPC for review and comment in accordance with the provisions of this Section:

- a. Community Relations Plan;
- b. RI/FS Work Plans;
- c. RI Reports;
- d. Baseline Risk Assessment Reports;
- e. FS Reports;
- f. Proposed Plans;
- g. Records of Decision;
- h. Remedial Design Work Plans;
- i. Final Remedial Design Reports;
- j. Remedial Action Work Plans;
- k. Final Remediation Reports
- l. Site Management Plans;
- m. Removal Work Plans;
- n. Engineering Evaluation/Cost Analyses (EE/CA);
- o. Action Memoranda;
- p. Data Management Plan;
- q. Site Evaluation Reports;
- r. Time-Critical Responsiveness Summaries; and
- s. Removal Notification.

2. The RD Reports may be submitted in phased packages when necessary to expedite construction work under this Agreement. In such cases, the RD Work Plan shall describe the phased submittals and identify the RD submittals which shall be considered Primary Documents for purposes of Section XLIII (Stipulated Penalties) under this Agreement.

3. Only the D2 Documents for the Primary Documents identified above shall be subject to dispute resolution. DOE shall complete and transmit D1 Primary Documents in accordance with Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings) of this Agreement.

4. A D1 Primary Document may not be required for an OU if: (a) the same Primary Document completed or to be completed

with respect to another OU addresses all required elements of the subject OU, and, (b) the Parties agree in writing that such a Primary Document for the subject OU is adequately addressed in another Primary Document. The Parties agree to merge or combine multiple documents (including secondary documents), whenever appropriate, in an effort to accelerate the documentation process.

D. Secondary Documents

1. DOE shall complete and transmit drafts of secondary documents to EPA and KNREPC for review and comment in accordance with the provisions of this Section. The following list contains examples of secondary documents:

- a. Sampling and Analysis Plans;
- b. Preliminary Risk Assessment Reports;
- c. Preliminary Characterization Summary; Reports;
- d. Screening/Analysis of Alternatives;
- f. Treatability Study Reports;
- g. Fiscal Year ~~Quarterly~~ Semiannual Progress Reports;
- h. RI/FS Scoping Document;
- i. Field Sampling Plans;
- j. Quality Assurance Project Plans;
- k. Health and Safety Plans;
- l. Sampling and Analysis Results;
- m. Chain of Custody Forms;
- n. Request for Analysis Forms;
- o. Computer Models and Technical Databases;
- p. Minutes of Public Meetings;
- q. Public Meeting Transcripts;
- r. Administrative Record Index;
- s. Results of Community Interviews;
- t. Responsiveness Summaries;
- u. Intermediate Remedial Design Reports (eg., 30%, 60%, etc.);
- v. Removal Site Evaluations;

- w. Construction Quality Control Plans;
- x. Post-Construction Reports; and,
- y. Operation and Maintenance Plans.

2. Although EPA and KNREPC may comment on the D1 secondary documents, such documents shall not be subject to dispute resolution except as provided by Subsection B hereof. In lieu of providing comprehensive comments on a D1 Secondary document, EPA and KNREPC may comment or provide comments identifying major issues. At a minimum, it is EPA's and KNREPC's intent to provide comments on secondary documents to ensure that major issues are identified which may negatively impact review and approval of a subsequent primary document and/or to ensure that site activities are progressing consistent with the requirements of this Agreement and the RCRA Permits. Failure of EPA and/or KNREPC to comment on a secondary document does not constitute EPA and/or KNREPC approval of the secondary document. Secondary documents shall be identified and target dates shall be established for the completion and transmission of D1 secondary documents within Primary Documents (e.g., work plan primary documents) pursuant to Section XVIII (Site Management, Timetables and Deadlines) of this Agreement. When secondary documents are developed and submitted independent of primary documents, then DOE shall identify target dates for such secondary documents.

E. Meetings of Project Managers

The Project Managers shall meet approximately every forty-five (45) Days, except as otherwise agreed by the Parties, to review and discuss the progress of work being performed at the Site and to discuss the progress of work being performed on Primary and Secondary Documents. The Parties shall hold RI/FS scoping meetings pursuant to Section XI (Remedial Investigations) as early as possible and in accordance with the SMP to effect a meaningful exchange of information/expectations prior to the date D1 RI/FS Work Plans are due. Prior to preparing any D1 document specified in Subsections C and D above, the Parties may confer as necessary to discuss the documents in an effort to reach a common understanding.

F. Identification and Determination of Potential ARARs

1. For those Primary Documents or secondary documents that consist of or include ARAR determinations, prior to DOE's issuance of such a D1 document, the Parties shall confer to identify and propose, to the best of their ability, all potential ARARs pertinent to the document being addressed including any permitting requirements which may be a source of ARARs. DOE shall initiate ARARs identification during the initial stages of development of such primary or secondary documents by performing a comprehensive evaluation of possible ARARs. DOE shall notify EPA and KNREPC, as early as possible, of the status of the ARAR evaluation in order to permit a meaningful review of the

potential ARARs by EPA and KNREPC. EPA and KNREPC may request additions or deletions to the ARARs list prior to DOE's formal submission of the document. Kentucky will identify potential state ARARs as required by CERCLA Section 121(d)(2)(A)(ii), 42 U.S.C. § 9621(d)(2)(A)(ii). Draft ARARs determinations shall be prepared by DOE in accordance with Section 121(d)(2) of CERCLA, 42 U.S.C. § 9621(d)(2), the NCP, and pertinent guidance issued by EPA.

2. In identifying potential ARARs, the Parties recognize that actual ARARs can be identified only on an Operable Unit-specific basis and that ARARs depend upon the specific Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents at a site, the particular actions proposed as a remedy and the characteristics of an Operable Unit. The Parties recognize that ARARs identification is necessarily an iterative process and that potential ARARs must be re-examined throughout the RI/FS processes until the ROD is issued.

3. Nothing in this Agreement or this Section of the Agreement shall be construed to affect KNREPC's Reservation of Rights.

G. Review and Comment on Documents

1. DOE shall complete and transmit each D1 Primary Document to EPA and KNREPC on or before the corresponding

deadline established for the submittal of the document established pursuant to Section XVIII (Site Management, Timetables and Deadlines, Budget Planning and Execution, Cost and Productivity Savings) of this Agreement. DOE shall complete and transmit the D1 Secondary Document in accordance with the target dates established for the issuance of such documents according to the approved schedules within the appropriate Work Plans.

2. Unless the Parties mutually agree to another time period, or unless otherwise specified in this Agreement, all D1 Primary Documents shall be subject to the review/comment period specified in Appendix F for the given document under review. All D2 Primary Documents shall be subject to a thirty (30) Day period of review. All D1 Secondary Documents shall be subject to a ninety (90) Day period of review unless the Parties mutually agree to another time period, or if the ninety (90) Day review period would conflict with the review of the corresponding primary document, in which case an alternative period of review for the secondary document shall be specified in the annual SMP, the associated primary document, or other written Agreement. Review of any document by the EPA and KNREPC may concern all aspects of the document (including its completeness) and should include, but is not limited to, technical evaluation of any aspect of the document and consistency with CERCLA, the NCP, the RCRA Permits and any pertinent guidance or policy promulgated by

EPA. Comments by EPA and KNREPC shall provide adequate specificity so that DOE may respond to the comments and, if appropriate, make changes to the D1 document. Comments shall refer to any pertinent sources of authority or references upon which the comments are based, and, upon request of DOE, EPA and KNREPC shall provide a copy of the cited authority or reference. In cases involving complex or unusually lengthy reports, EPA and KNREPC may extend the review period for D1 and D2 Primary Documents an additional thirty (30) Days by written notice to DOE prior to the end of the review period. In extenuating circumstances, this period may be further extended in accordance with Section XXIX (Extensions) of this Agreement. On or before the close of the review/comment period, EPA and KNREPC shall transmit their written comments to DOE.

3. Representatives of DOE shall make themselves readily available to EPA and KNREPC during the review/comment period for purposes of informally responding to questions and comments on D1 documents. Oral comments made during such discussions need not be the subject of a written response by DOE at the close of the review/comment period.

4. In commenting upon a D1 document which contains a proposed ARAR determination, EPA or KNREPC shall include a reasoned statement of whether it objects to any portion of the proposed ARAR determination. To the extent that EPA and/or

KNREPC objects, it shall explain the bases for its objection in detail and shall identify any ARARs which it believes were not properly addressed in the proposed ARAR determination.

5. Following the close of the review/comment period for a D1 document, DOE shall fully address all EPA and KNREPC written comments on the D1 document submitted during the review/comment period by revising the document or providing an adequate response as to why the document does not require revision in response to the comment. Within forty-five (45) Days of the receipt of comments on a D1 Secondary Document, DOE shall transmit to EPA and KNREPC its written response to comments received within the review/comment period. The D1 Secondary Document may be revised and submitted with the appropriate D1 or D2 Primary Document. Within the time period specified in Appendix ~~G-F~~ for DOE response to comments on a D1 Primary Document, DOE shall transmit to EPA and KNREPC the D2 Primary Document, which shall include DOE's response to all EPA and KNREPC written comments received within the review/comment period.

6. DOE may extend the period specified in Appendix ~~G-F~~ for responding to comments on a D1 document and issuing the D2 Primary Document for an additional thirty (30) Days by providing written notice to EPA and KNREPC. In extenuating circumstances, this time period may be further extended in accordance with Section XXIX (Extensions) of this Agreement.

H. Availability of Dispute Resolution for D2 Primary Documents

1. Dispute resolution shall be available to the Parties for D2 Primary Documents as set forth in Section XXV (Resolution of Disputes).

2. When dispute resolution is invoked on a D2 Primary Document, work may be stopped in accordance with the procedures set forth in Section XXV (Resolution of Disputes).

I. Finalization of Documents

Within the time period for review of a D2 Primary Document, including any extensions thereof, both EPA and KNREPC shall either issue a letter of concurrence, a letter of conditional concurrence, or a letter of non-concurrence. The letter of conditional concurrence shall specify the conditions which must be satisfied in the subject Primary Document and shall either: 1) specify a due-date for resubmission of the revised D2 Primary Document and specify the revisions which must be made to the document (generally for reports); or, 2) specify the document's effective date and list the conditions which must be met (generally for work plans). The letter of non-concurrence shall describe the basis for non-concurrence and serve to invoke informal dispute in accordance with Section XXV.B (Resolution of Disputes) of this Agreement.

The period for review of the D2 Primary Document terminates upon EPA and KNREPC issuance of a letter of concurrence,

conditional concurrence, or non-concurrence. In accordance with Section XXV (Resolution of Disputes) of this Agreement, DOE may invoke dispute resolution regarding a conditional concurrence or nonconcurrence. If KNREPC and EPA fail to issue a letter of concurrence, non-concurrence, or conditional concurrence within the time period for review, including all extensions thereof, then DOE will be presumed to have good cause for a request for an extension pursuant to Section XXIX (Extensions) hereof.

The D2 Primary Document shall become the Final Primary Document upon DOE receipt of EPA and KNREPC written concurrence or, upon receipt of EPA and KNREPC letters of conditional concurrence which specify the required changes to the Primary Document, provided that the changes are made, or if dispute resolution is invoked, at completion of the dispute resolution process should DOE's position be sustained. If DOE's determination is not sustained in the dispute resolution process, DOE shall prepare, within not more than sixty (60) Days, a revision of the D2 Primary Document which conforms to the results of dispute resolution. In appropriate circumstances, the time period for this revision period may be extended in accordance with Section XXIX (Extensions) of this Agreement.

J. Subsequent Modifications of Final Documents

Following finalization of any Primary Document pursuant to Subsection I, above, EPA, KNREPC, or DOE may seek to modify

the document, including seeking additional field work, pilot studies, computer modeling or other supporting technical work, only as provided in Subsections J.1 and 2, below.

1. EPA, KNREPC, or DOE may seek to modify a document after finalization if it determines, based on new information (e.g., information that became available, or conditions that became known, after the document was finalized) that the requested modification is necessary. Any party seeking modification may seek such a modification by submitting a concise written request to persons designated to receive notice pursuant to Section XXIV of this Agreement. The request shall specify the nature of the requested modification and how the request is based on new information.

2. In the event that a consensus is not reached by the Parties on the need for a modification, any of the Parties may invoke dispute resolution to determine if such modification shall be made. Modification of a document shall be required only upon a showing that: (1) the requested modification is based on new information; and (2) the requested modification could be of significant assistance in evaluating impacts on the public health or the environment, in evaluating the selection of remedial alternatives, or in protecting human health and the environment.

3. Nothing in this Subsection shall alter either EPA's or KNREPC's ability to request the performance of Additional Work

pursuant to Section XIX (Additional Work) of this Agreement which does not constitute modification of a final document.

K. EPA/KNREPC Review and Comment Coordination

To the extent practicable, EPA and KNREPC intend to coordinate their review of documents and consult on major issues raised during such reviews prior to submission of their individual comments to DOE. However, this provision shall in no way preclude EPA and KNREPC from submitting comments to DOE which may conflict. If such conflicts cannot be resolved during preparation of the D2 document or the D2 review period, and any extensions thereof, the dispute may be resolved in accordance with Section XXV of this Agreement (Resolution of Disputes).

XXI. PERMITS

A. The Parties recognize that under Section 121 (e) (1) of CERCLA, 42 U.S. C. § 9621(e) (1), portions of the response actions required by this Agreement and conducted entirely on the Site are exempted from the procedural requirement to obtain federal, state, or local permits, when such response action is selected and carried out in compliance with Section 121 of CERCLA, 42 U.S. C. § 9621. It is the understanding of the parties that the statutory language is intended to avoid delays of on-Site response actions, due to procedural requirements of the permit process. The parties agree that: (a) any activity required under a ROD or hazardous waste permit modification in which KNREPC

concurrent; (b) decommissioning activities; (c) removal actions for hazardous substances that are also hazardous wastes or hazardous constituents performed in accordance with Section X (Removal Actions); and (d) remedial or removal actions for hazardous substances that are not also hazardous wastes or hazardous constituents (e.g., radionuclides that are not mixed wastes or PCBs) are being approved, at least in part, pursuant to CERCLA authorities. Therefore, no permits are required for these activities. DOE agrees to seek and implement any federal, state, or local permit, including RCRA or KNREPC hazardous waste permit, for operations or processes required to implement activities regulated under this Agreement, other than those listed in (a) - (d) above. However, this Agreement does not supersede, modify, or otherwise change the requirements of DOE's existing RCRA permits or DOE's requirement to modify its existing RCRA permits consistent with the terms of this Agreement. Further, when DOE proposes a response action to be conducted entirely on-site which in the absence of CERCLA Section 121(e)(1) and the NCP would require a federal or state permit, DOE shall include in the submittal:

1. Identification of each permit which would otherwise be required.
2. Identification of the standards, requirements, criteria, or limitations which would have had to have

been met to obtain such permit.

3. An explanation of how the response action proposed will meet the standards, requirements, criteria, or limitations identified.

Notwithstanding the foregoing, KNREPC asserts that the application of CERCLA Section 121(e)(1), 42 U.S.C. § 9621(e)(1), does not constitute a waiver of any Kentucky statutory or regulatory requirement or a waiver of KNREPC's rights to require DOE to obtain a permit if EPA and KNREPC do not issue concurrence hazardous waste permit modifications/RODs. Furthermore, nothing in this Agreement shall be construed as an admission by any Party as to whether any permits would be required if EPA and KNREPC do not issue concurrence hazardous waste permit modifications/RODs.

B. If a permit which is necessary for implementation of this Agreement is not issued, or is issued or renewed in a manner which is materially inconsistent with the requirements of this Agreement or, by no fault of DOE, is not issued in time for DOE to comply with the terms of this Agreement, DOE agrees it shall notify the Secretary of the KNREPC and the Regional Administrator of EPA of its intention to propose modifications to this Agreement (or modifications to primary or secondary documents required by this Agreement) to obtain conformance with the permit (or lack thereof). Notifications by DOE of its intention to propose modifications shall be submitted within seven (7)

business Days of receipt by DOE of notification that: (1) a permit will not be issued; (2) a permit has been issued or reissued; or (3) if the permit is appealed, a final determination with respect to any such appeal has been entered. If DOE does not receive advance notification that a permit will not be issued, then DOE may notify EPA and KNREPC of its intent to propose modifications within seven (7) Days after the date that the permit is needed by DOE in order to comply with the terms of this Agreement. Within thirty (30) Days from the date it submits its notice of intention to propose modifications, DOE shall submit to the Secretary of the KNREPC and the Regional Administrator of EPA its proposed modifications to this Agreement with an explanation of its reasons in support thereof.

C. During any appeal of any permit required to implement this Agreement or during review of any of DOE's proposed modifications as provided in Subsection B of this Section, DOE shall continue to implement those portions of this Agreement which can be implemented pending final resolution of the permit issue(s).

#### XXII. CREATION OF DANGER

A. In the event that the Secretary of KNREPC or the Regional Administrator of EPA determines that activities conducted pursuant to this Agreement may present an imminent and substantial endangerment to the health or welfare of the people

on the Site or in the surrounding areas or to the environment, the Secretary of KNREPC or the Regional Administrator of EPA may order DOE to stop any work being implemented under this Agreement for such period of time as needed to abate the danger or may require DOE to take necessary action to abate the danger or both.

In the event that DOE determines that any on-site activities or work being implemented under this Agreement may create an immediate threat to human health or the environment from the Release or threat of Release of a hazardous substance, pollutant or contaminant, it may stop any work or on-site activities for such period of time as needed to respond to or abate the danger.

In the event DOE makes a determination to stop work under this Section, it shall immediately notify EPA and KNREPC. DOE shall submit a written summary of events to EPA and KNREPC within five (5) Days of making a determination under this Section.

B. The EPA and KNREPC agree to comply with DOE's Site Health and Safety Plan, or its equivalent, for EPA and KNREPC activities on PGDP.

#### XXIII. REPORTING

DOE agrees that it shall submit to KNREPC and EPA, fiscal year ~~quarterly~~ seminannual written progress reports (FY ~~Quarterly~~ Seminannual Reports) which describe the actions which DOE has taken during the previous two quarters to implement the requirements of this Agreement. FY ~~Quarterly~~ Semiannual Reports shall also describe the schedules of

activities to be taken during the upcoming ~~quarter~~reporting period. FY ~~Quarterly~~Semiannual Reports shall also provide the identity and assigned tasks of each of DOE's contractors pursuant to Section VII (Parties) hereof. Progress reports shall be submitted on or before the thirtieth Day ~~following the end of~~ April and October of each fiscal year. ~~quarter (i.e., January 30, April 30, July 30 and October 30).~~ DOE's first fiscal year ~~quarterly progress report shall be due thirty (30) Days after the end of the first quarter following the effective date of this Agreement.~~ The progress reports shall include a detailed statement of the manner and extent to which the requirements and time schedules set out in the Appendices to this Agreement are being met. The Progress Report shall also include a Primary/Secondary Document Tracking System. The tracking system should identify all documents under review and/or preparation for the given ~~quarter~~reporting period and the due dates for completion of review/modification tasks. In addition, the progress reports shall identify any anticipated delays in meeting time schedules, the reason(s) for the delay and actions taken to prevent or mitigate the delay.

#### XXIV. NOTIFICATION

A. Unless otherwise specified, any report or submittal provided pursuant to a schedule or deadline identified in or developed under this Agreement shall be sent by certified mail, return receipt requested, or similar method (including electronic

transmission) which provides a written record of the sending and receiving dates and addressed or hand delivered to the following persons:

U. S. Environmental Protection Agency, Region IV  
Remedial Project Manager  
Paducah Gaseous Diffusion Plant  
Federal Facilities Branch  
100 Alabama Street, S. W.  
Atlanta, Georgia 30303

Kentucky Department for Environmental Protection  
Director, Division of Waste Management  
14 Reilly Road, Frankfort Office Park  
Frankfort, Kentucky 40601

U. S. Department of Energy  
Site Manager  
Paducah Site Office  
P.O. Box 1410  
Paducah, Kentucky 42001-1410

Copies of all correspondence shall be provided by the originator to all Parties. Unless otherwise specified or requested, all routine correspondence, other than a document or submittal as described above, may be sent via regular mail or electronically transmitted to the above persons.

**XXV. RESOLUTION OF DISPUTES**

Except as specifically set forth elsewhere in this Agreement, if a dispute arises under this Agreement, the procedures of this Section shall apply. All Parties to this Agreement shall make reasonable efforts to informally resolve disputes at the Project Manager or immediate supervisor level. If resolution cannot be achieved informally, then the procedures

of this Section shall be implemented to resolve a dispute.

Nothing herein shall be construed as a limitation upon KNREPC's reservation of rights pursuant to Section XL (Covenant Not to Sue/Reservation of Rights) and KNREPC may exercise its reservation of rights after the Senior Executive Committee has concluded its deliberations (as set forth below in paragraph B. 5.).

A. Informal Dispute:

Subject to the limitations set forth elsewhere in this Agreement, informal dispute resolution may be invoked by any Party for any action which leads to or generates a dispute. A Party who wishes to invoke dispute resolution shall do so by first issuing a written statement of informal dispute. For disputes concerning review of a Primary Document, the disputing Party must issue the written statement of informal dispute within thirty (30) Days after the period established for review of a Primary Document pursuant to Section XX (Review/Comment On Draft/Primary Documents) of this Agreement. The written statement of informal dispute shall set forth the nature of the dispute, the work affected by the dispute, the disputing Party's position with respect to the dispute, and the information the disputing Party is relying upon to support its position. A Secondary Document may only be disputed at the time the corresponding D2 Primary Document is submitted.

During informal dispute, the disputing Party shall engage the other Parties in informal dispute resolution among the Project Managers and/or their immediate supervisors. During the informal dispute resolution process, the Parties shall meet as many times as are necessary to discuss and attempt resolution of the dispute. Except as otherwise set forth below, the informal dispute resolution period shall be limited to thirty (30) Days from receipt of the written statement of informal dispute by the Parties. The informal dispute resolution period may automatically be extended for an additional fifteen (15) Days if requested by any of the Parties.

B. Formal Dispute:

1. If agreement cannot be reached on any issue during the informal dispute resolution process, then the disputing Party shall forward, no later than fifteen (15) Days after the end of the informal dispute resolution period, a written statement of formal dispute to the Dispute Resolution Committee (DRC), thereby elevating the dispute to the DRC for resolution. The date of the written statement of formal dispute shall serve as the date for initiation of formal dispute.

2. The DRC will serve as a forum for resolution of disputes for which agreement has not been reached through informal dispute resolution. The Parties shall each designate one individual and an alternate to serve on the DRC. The

individuals designated to serve on the DRC shall be employed at a policy level (Senior Executive Service or equivalent). The EPA designated member on the DRC is the Waste Management Division (WMD) Director, EPA Region IV. DOE's designated member is the Site Manager, Paducah Site Office. The KNREPC designated member is the Kentucky Division of Waste Management, Director.

3. Following elevation of a dispute to the DRC, the DRC shall have twenty-eight (28) Days to unanimously resolve the dispute and issue a written decision. If the DRC is unable to unanimously resolve the dispute within this twenty-eight (28) Day period, then the KNREPC and EPA representatives on the DRC shall attempt to resolve the dispute. The KNREPC and EPA representatives shall have five (5) additional Days to resolve the dispute and issue a written decision. If the KNREPC and EPA DRC representatives are unable to reach a decision within this five Day period, then the written statement of dispute shall be forwarded to the Senior Executive Committee (SEC) for resolution. Alternatively, if DOE is not satisfied with the decision reached by KNREPC and EPA, then DOE may, within ten (10) days of receiving notice of the decision, elevate the dispute to the SEC for resolution.

4. The SEC will serve as the forum for resolution of disputes for which agreement has not been reached by the DRC or disputes elevated pursuant to Paragraph 3 above. The EPA

representative on the SEC is the Regional Administrator of EPA Region IV. The DOE representative on the SEC is the Manager of Oak Ridge Operations. The KNREPC representative on the SEC is the Commissioner of KDEP. The SEC members shall, as appropriate, confer, meet, and exert their best efforts to resolve the dispute and issue a written decision. If unanimous resolution of the dispute is not reached within twenty-eight (28) Days, then the KNREPC and EPA representatives on the SEC will attempt to resolve the dispute. The KNREPC and EPA representatives shall have five (5) additional Days to resolve the dispute and issue a written decision. If DOE is not satisfied with the decision reached by KNREPC and EPA, then DOE may, within ten (10) days of receiving notice of the decision, elevate the dispute to the EPA Administrator for resolution.

5. If the KNREPC and EPA representatives are unable to reach a decision, then KNREPC, may, within ten (10) days of the conclusion of the SEC's deliberations, issue a written notice to EPA and DOE, exercising its reservation of rights as set forth in Section XL (Covenant Not To Sue/Reservation of Rights). Provided, however, that in the event KNREPC elects to exercise its reservation of rights, KNREPC agrees to continue to participate informally (e.g., either in person, telephonically, in writing, etc., as appropriate) in discussions pertaining to the matter under dispute. The continued participation of the

Commonwealth shall in no way affect the Commonwealth's election of its reservation of rights and shall not be construed as limiting or affecting the Commonwealth's authority under RCRA and KRS 224, and the Commonwealth may, during the discussions, pursue any enforcement or other action it deems appropriate. Whether or not KNREPC elects to exercise its Reservation of Rights, the EPA Region IV Regional Administrator shall issue a written position on the dispute. DOE and/or KNREPC (if KNREPC has not exercised its reservation of rights) may, within ten (10) Days of the Regional Administrator's issuance of EPA's position, issue a written notice elevating the dispute to the Administrator of EPA for resolution in accordance with all applicable laws and procedures. In the event that neither DOE nor KNREPC (if KNREPC has not exercised its reservation of rights) elect to elevate the dispute to the EPA Administrator within the designated ten (10) Day elevation period, DOE and the KNREPC shall be deemed to have agreed with the Regional Administrator's written position with respect to the dispute.

6. Upon elevation of a dispute to the EPA Administrator pursuant to Subsection B.4 or B.5, the Administrator will review and resolve the dispute within twenty-eight (28) Days. Upon request and prior to resolving the dispute, the Administrator shall meet and confer with the Secretary of DOE and/or the Secretary of KNREPC to discuss the

issue(s) under dispute. Upon resolution, the Administrator shall provide all Parties with a written final decision setting forth resolution of the dispute. With the prior concurrence of DOE, the duties of the Administrator set forth in this Subsection may be delegated to the Assistant Administrator for Enforcement and Compliance Assurance.

7. The pendency of any dispute under this Section shall not affect DOE's responsibility for timely performance of the work required by this Agreement, except that the time period for completion of work affected by such dispute shall be extended for a period of time usually not to exceed the actual time taken to resolve any good faith dispute in accordance with the procedures specified herein. All elements of the work required by this Agreement which are not affected by the dispute shall continue and be completed in accordance with the applicable schedule.

8. When dispute resolution is in progress, work affected by the dispute will immediately be discontinued if the WMD Director for EPA, Region IV or the Director of the Kentucky Division of Waste Management (KDWM) requests, in writing, that work related to the dispute be stopped because, in EPA or KNREPC's opinion, such work is inadequate or defective, and such inadequacy or defect is likely to yield an adverse effect on human health or the environment, or is likely to have a

substantial adverse effect on the remedy selection or implementation process. To the extent possible, EPA or KNREPC shall give DOE prior notification that a work stoppage request is forthcoming. After stoppage of work, if DOE believes that the work stoppage is inappropriate or may have potential significant adverse impacts, then DOE may meet with the WMD Director or the Director of KDWM to discuss the work stoppage. The final written decision of the WMD Director or the Director of KDWM will be submitted to DOE within fifteen (15) Days and may be subject to formal dispute resolution immediately. Such dispute may be brought directly to either the DRC or the SEC, at the discretion of DOE, EPA or KNREPC.

9. Within thirty-five (35) Days of resolution of a dispute pursuant to the procedures specified in this Section, DOE shall incorporate the resolution and final determination into the appropriate plan, schedule or procedures and proceed to implement this Agreement according to the amended plan, schedule or procedures.

10. Resolution of a dispute pursuant to this Section of this Agreement constitutes a final resolution of said dispute. All Parties shall abide by all terms and conditions of any final resolution of dispute obtained pursuant to this Section of this Agreement (if KNREPC has not exercised its reservation of rights). Any final resolution of a dispute pursuant to this

Agreement shall be incorporated into this Agreement and shall become a term and condition of this Agreement. Nothing herein shall be construed as a limitation upon KNREPC's reservation of rights pursuant to Section XL (Covenant Not to Sue/Reservation of Rights) or DOE's reservation of removal authority as set forth in Section X (Removal Actions) of this Agreement. Provided, however, that in the event KNREPC exercises its reservation of rights under this Agreement, any final decision by EPA under this Section shall be binding and have effect only as between EPA and DOE, and DOE reserves its right to raise any and all defenses as to KNREPC that it might otherwise have in the absence of such decision.

11. Resolution of disputes may include a determination of the length of any time extensions which are necessary.

12. Pursuant to this Section, all or a portion of a dispute may be elevated.

13. Authorities set forth to members of the DRC or SEC may be delegated only to those persons acting for the designated member during a designated member's absence.

14. Resolution of disputes under this Section may be accelerated as provided in Section XL (Covenant Not to Sue/Reservation of Rights) of this Agreement. Moreover, for disputes relating to Emergency and Time Critical Removal Actions only, the informal dispute resolution period shall be limited to

fifteen (15) Days, with no extension. Furthermore, if, consensus is not reached amongst the parties during the informal dispute resolution period, then within five (5) Days of the end of the informal dispute resolution period, the disputing party shall forward a written statement of formal dispute directly to the SEC. The members of the SEC may agree to shorten their twenty-eight (28) day deliberation period to such time frame as is mutually agreed upon given the exigencies of the situation.

XXVI. DESIGNATED PROJECT MANAGERS

A. EPA, DOE, and KNREPC will each designate Project Managers to coordinate the implementation of this Agreement and shall notify each other in writing of the designation. Each Party may change its designated Project Manager by notifying the other Parties in writing.

B. Daily communications between EPA, DOE, and KNREPC shall be between Project Managers. All documents, including reports, agreements, and other correspondence, concerning the activities performed pursuant to the terms and conditions of this Agreement, shall be distributed in a manner consistent with Section XXIV (Notification) of this Agreement. EPA, DOE and KNREPC Project Managers will coordinate with the Managers identified under Section XXIV (Notification) of this Agreement to ensure timely submission of all documents subject to a schedule or deadline established under this Agreement. Each Project Manager shall be

responsible for assuring the internal dissemination and processing of all communications and documents received from the other Project Managers.

XXVII. QUALITY ASSURANCE/SAMPLING AVAILABILITY/DATA MANAGEMENT

A. The Parties shall make available to each other, upon request, results of sampling, tests, or other data generated by this Agreement. All quality-assured data, or summaries of all quality-assured data, from all samples collected, analyzed, and reported shall be available no later than thirty (30) Days after the analyses have been received and validated.

B. At the request of the EPA and/or the KNREPC Project Manager, DOE shall allow split or duplicate samples to be taken by EPA or KNREPC during sample collection conducted pursuant to this Agreement. Upon request by DOE, EPA and KNREPC shall submit to DOE copies of records and other documents, including sampling and monitoring data, that are relevant to oversight activities. All requirements of the AEA, 42 U.S.C. § 2011, et seq., and all Executive Orders concerning the handling of unclassified controlled nuclear information, restricted data, and national security information, including the "need to know" requirement, shall be applicable to any grant of access to classified information, including sample collection, under provisions of this Agreement.

C. The Parties intend to integrate all data and Release

characterization studies generated pursuant to this Agreement . All data and studies produced under this Agreement shall be managed and presented in accordance with the requirements contained in a D1 Data Management Plan (DMP) to be developed by DOE and submitted to EPA and KNREPC within ninety (90) Days of the effective date of this Agreement for review in accordance with Section XX (Review/Comment on Draft/Final Documents) of this Agreement. The Final DMP shall be appended to the SMP. DOE shall maintain one consolidated data base for the Site which includes all data/studies generated pursuant to this Agreement. Such data base(s) will be operational within six (6) months after the effective date of this Agreement. These data bases may be maintained in electronic form provided however, that hard copies of all data/studies and related documents are made available upon request.

XXVIII. ACCESS/DATA/DOCUMENT AVAILABILITY

A. Without limitation on any authority conferred on EPA or KNREPC by statute, regulation or other agreement, EPA, KNREPC and/or their authorized representatives shall have authority to enter the Site at all reasonable times, with or without advance notification for the purpose of inspecting records, logs, and other documents relevant to implementation of this Agreement; reviewing the progress of DOE, its contractors, and lessees in carrying out the activities under this Agreement; conducting,

sampling and analyses which EPA or KNREPC deem necessary; and verifying data submitted to EPA and KNREPC by DOE. DOE shall honor all reasonable requests for access to the Site made by EPA or KNREPC. When on-site, EPA and KNREPC shall comply with OSHA Hazardous Waste Operations and Emergency Response rules, where applicable, and DOE's site health and safety requirements. EPA and KNREPC access shall be subject to the applicable requirements of the AEA, 42 U.S.C. § 2011, et seq., and Executive Orders concerning the handling of unclassified controlled nuclear information, restricted data, and national security information. Upon request by EPA or KNREPC, DOE shall submit to EPA and KNREPC copies of records, and other documents, including sampling and monitoring data, that are relevant to oversight activities.

B. To the extent that activities pursuant to this Agreement must be carried out on property other than PGDP property, DOE agrees to use its best efforts, including exercising its authority, if necessary, to obtain access pursuant to Section 104(e) of CERCLA, 42 U.S.C. §6904(e), Section 3004(v) of RCRA and KRS 224.10-100(10) from the present owners and/or lessees. DOE shall use its best effort to obtain access agreements which shall provide reasonable access for DOE, EPA, and KNREPC and their representatives, and other appropriate state regulatory agencies. Pursuant to 40 CFR 264.101(c), DOE is not relieved of all responsibility to conduct off-site response actions when off-site

access is denied. The appropriateness of on-site measures to address such off-site Releases will be determined considering site-specific circumstances.

C. DOE shall use its best efforts to obtain written access agreements with respect to non-DOE property upon which monitoring wells, pumping wells, treatment facilities, or other facilities may be located, to carry out response actions under this Agreement. The agreements shall provide that no conveyance of title, easement, or other interest in the property shall be consummated without provisions for the continued operation of such wells, treatment facilities, or other response actions on the property. The access agreements shall also provide that the owners of any property where monitoring wells, pumping wells, treatment facilities or other response actions are located shall notify EPA, KNREPC and DOE by certified mail, at least thirty (30) Days prior to any conveyance of the property owner's interest in the property and of the provisions made for the continued operation of the monitoring wells, pumping wells, treatment facilities or other response actions installed pursuant to this Agreement. In the event DOE is unable to obtain access within sixty (60) Days after the access is sought, DOE shall promptly notify EPA and KNREPC regarding both the lack of access and the efforts undertaken to obtain such access. DOE shall submit proposed modification(s) to this Agreement to EPA and

KNREPC in response to such inability to obtain access.

D. Information, records, or other documents (including D1 primary and secondary documents) produced under the terms of this Agreement by EPA, KNREPC, and DOE shall be available to the public except (a) those identified to EPA and KNREPC by DOE as classified, or unclassified but controlled, within the meaning of and in conformance with the AEA or (b) those that could otherwise be withheld pursuant to the Freedom of Information Act, the Privacy Act, or the Kentucky Open Records Act, unless expressly authorized for Release by the originating agency. Documents or information so identified shall be handled in accordance with those regulations. If no claim of confidentiality accompanies information which is submitted to any Party, then the information may be made available to the public without further notice to the originating Party.

E. Notwithstanding any provision of this Agreement, all requirements of the AEA, as amended, and all Executive Orders concerning the handling of unclassified controlled nuclear information, restricted data and national security information, including the "need to know" requirement, shall be applicable to any access to information or facilities covered under the provisions of this Agreement. The EPA and KNREPC reserve their right to seek or to otherwise obtain access to such information or facilities in accordance with applicable law.

XXIX. EXTENSIONS

A. Either a timetable and deadline or a schedule including schedules within a Work Plan, shall be extended upon receipt of a timely request for extension and when good cause exists for the requested extension. If an extension due to good cause affects any enforceable deadline in Appendix C, the Agreement shall be modified according to Section XXXIX (Modification of Agreement). A request for an extension by a Party shall be timely if it is made in writing (or orally followed within ten (10) Days by a written request) prior to the deadline or scheduled deliverable date. Any oral or written request shall be provided to the other Parties pursuant to Section XXIV (Notification). The request shall specify:

1. The timetable and deadline or the schedule that is sought to be extended;
2. The length of the extension sought;
3. The good cause(s) for the extension; and
4. Any related timetable and deadline or schedule that would be affected if the extension were granted.

B. Good cause exists for an extension when sought in regard to:

1. An event of force majeure;
2. A delay caused by another Party's failure to meet any requirement of this Agreement;
3. A delay caused by the good faith invocation of

dispute resolution or the initiation of judicial action;

4. A delay caused, or which is likely to be caused, by the grant of an extension in regard to another timetable and deadline or schedule;

5. A delay caused by Additional Work agreed to by the Parties; and

6. Any other event or series of events mutually agreed to by the Parties as constituting good cause.

C. Delays caused by the failure of DOE to adequately coordinate its activities with the USEC shall not be considered good cause for an extension.

D. Absent agreement of the Parties with respect to the existence of good cause, the Parties may seek and obtain a determination through the dispute resolution process of whether or not good cause exists.

E. For extension requests by DOE, EPA and KNREPC shall use the following procedures:

1. Within twenty-one (21) Days of receipt of a written request for an extension of a timetable and deadline or a schedule, the EPA and KNREPC shall advise all Parties in writing of their respective positions on the request. To the extent that EPA and KNREPC fail to respond to DOE's request within the 21 Day period, then beginning on the 22nd Day, DOE shall have a day for day extension until such time as EPA and KNREPC either concur

with the extension request or issue a statement of nonconcurrency. If EPA or KNREPC do not concur with the requested extension, they shall include in their statement of nonconcurrency an explanation of the basis for their position.

2. If there is consensus among the Parties that the requested extension is warranted, then DOE shall extend the affected timetable and deadline or schedule accordingly. If there is no consensus among the Parties as to whether all or part of the requested extension is warranted, the timetable and deadline or schedule shall not be extended except in accordance with a determination resulting from the dispute resolution process.

3. Within fourteen (14) Days of receipt of a statement of nonconcurrency with the requested extension, DOE may invoke dispute resolution. If DOE does not invoke dispute resolution within fourteen (14) Days of receipt of a statement of nonconcurrency, then DOE shall be deemed to have accepted EPA's or KNREPC's nonconcurrency and the existing schedule.

4. A timely and good faith request for an extension shall suspend any assessment of stipulated penalties or application for judicial enforcement of the affected timetable and deadline or schedule until a decision is reached on whether the requested extension will be approved. If dispute resolution is invoked and the requested extension is denied because it was

not brought in good faith, stipulated penalties may be assessed and may accrue from the date of the original timetable, deadline, or schedule. Following the grant of an extension, an assessment of stipulated penalties, as defined in Section XLIII (Stipulated Penalties), or an application for judicial enforcement may be sought only to compel compliance with the timetable and deadline or schedule as most recently extended.

F. For extension requests by EPA and KNREPC, if no Party invokes dispute resolution within twenty-one (21) Days after receipt of written notice of the requested extension, the extension shall be deemed approved.

XXX. FIVE YEAR REVIEW

Consistent with Section 121(c) of CERCLA, 42 U.S.C. § 9621(c), and in accordance with this Agreement, DOE agrees that if the selected, final RAs for any operable unit, including selected alternatives entailing institutional controls with remedial action, result in Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents remaining at the Site above levels that allow for unlimited use and unrestricted exposure in accordance with Section 300.430(f)(4)(ii) of the NCP, DOE will submit to EPA and KNREPC a review of the RAs no less often than once every five (5) years' (Five Year Review) after the initiation of such RAs (i.e., date of issuance of final-ROD) for as long as the site remains on the

NPL to assure that human health and the environment are being protected by the RAs being implemented. To facilitate the Five Year Review process for multiple OUs, the Five Year Reviews shall be synchronized as follows: reviews which are required for RA OUs will be conducted every five years starting from the initiation of the RA for the first OU. Every five years thereafter, all subject OU RAs which were started prior to the next Five Year Review date, shall be included in the next Five Year Review. For OU RAs which started after the most recent Five Year Review, the level of the review shall be commensurate to the completeness of the RA and the quantity of operation and maintenance data collected.

If, based on the Five Year Review, it is the judgment of EPA or KNREPC that additional action or modification of a RA is appropriate in accordance with Sections 104, 106 or 120 of CERCLA, 42 U.S.C. §§ 9604, 9606, or 9620, the RCRA Permits or KRS 224 Subchapter 46, then EPA or KNREPC shall require DOE to submit a proposal to implement such additional or modified actions, which shall be subject to review and approval by EPA and KNREPC.

Any dispute under this Section shall be resolved under Section XXV (Resolution of Disputes) of this Agreement.

XXXI. RETENTION OF RECORDS

DOE shall preserve, during the duration of this Agreement and for a minimum of ten (10) years after the termination and

satisfaction of this Agreement, the complete Administrative Record, post-ROD primary and secondary documents and reports. After this ten (10) year period, DOE shall notify EPA and KNREPC at least ninety (90) Days prior to the destruction of any such records or documents. Upon request by EPA or KNREPC, DOE shall make available any such records or copies of such records.

**XXXII. ADMINISTRATIVE RECORD**

A. DOE shall establish and maintain the CERCLA Administrative Record for the Site for each Operable Unit (hereinafter, collectively referred to as the "Administrative Record"). A complete copy of the Administrative Record shall be available to the public at DOE Environmental Information Center in Kevil, Kentucky. In addition, copies of the current index to the Administrative Record and selected documents from the Administrative Record shall be available at other locations, as specified in the approved Community Relations Plan.

B. EPA shall maintain its Administrative Record for the EPA RCRA Permit issued pursuant to HSWA, as required under 40 CFR §§124.9 and 124.18. KNREPC shall maintain its Administrative Record for the Kentucky Hazardous Waste Permit, as required under 401 KAR 38:050.

C. The selection of each response action shall be based on the CERCLA Administrative Record, in accordance with Section 113(k) of CERCLA, 42 U.S.C. § 9613(k), the NCP, and any

regulations promulgated pursuant to that Section, KRS 224 Subchapter 46 and any applicable guidance, and the Administrative Records referenced under Subparagraph B of this Section to the Agreement. A copy of the CERCLA Administrative Record or a complete index thereof shall be maintained at EPA's Region IV office in Atlanta, Georgia.

D. Upon request by EPA or KNREPC, DOE shall provide copies of documents generated or possessed by DOE which are included in the CERCLA Administrative Record to the requesting Party. EPA and KNREPC shall provide DOE with copies of documents generated by each agency which should be included within the CERCLA Administrative Record.

E. Upon establishment of the CERCLA Administrative Record, DOE shall provide EPA and KNREPC with an index of the Administrative Record. The index shall identify the documents which will comprise the Administrative Record including each decision document for each particular response action.

F. DOE shall provide EPA and KNREPC, in its fiscal year ~~quarterly~~ semiannual written progress reports, a periodic update of the index of the Administrative Record that includes any changes or additions to the Record. The Project Managers shall review the Administrative Record Index quarterly to ensure that the Administrative Record is current and complete.

G. EPA shall provide DOE with guidance on establishing and

maintaining the CERCLA Administrative Record as EPA develops guidance.

XXXIII. PUBLIC PARTICIPATION

A. The Parties agree that work conducted under this Agreement, including an Engineering Evaluation/Cost Analysis (as described in Appendix D to this Agreement) for a Removal Action or Proposed Plans for RA at the Site, shall comply with the public participation requirements of CERCLA, including Section 117 of CERCLA, 42 U.S.C. § 9617, the NCP, RCRA and KRS 224 (as applicable), all applicable guidance developed by EPA, all applicable Kentucky hazardous waste laws, and the principles of the Federal Facility Environmental Restoration Dialogue Committee Final report dated April 1996. This shall be achieved through implementation of the approved Community Relations Plan (CRP) prepared and implemented by DOE. A D1 CRP must be submitted to EPA and KNREPC within sixty (60) Days of the effective date of this Agreement for review in accordance with Section XX (Review/Comment On Draft/Primary Documents) of this Agreement and shall include procedures for solicitation of public comment and dissemination of information to the PGDP Site Specific Advisory Board. The Parties agree that the CRP shall, to the extent practicable, coordinate the public participation requirements of CERCLA, RCRA and KRS 224 for activities undertaken pursuant to this Agreement. A major permit modification, including the

required public participation procedures, to incorporate a final remedy upon completion of the RFI/CMS for a Potential OU, shall be carried out in accordance with Condition II.G. of the EPA RCRA Permit and Condition IV.G. of the Kentucky Hazardous Waste Permit. The Parties may integrate public participation requirements of other Federal and Kentucky environmental laws on a case-by-case basis.

B. Excluding imminent hazard situations, any Party issuing an official news release with reference to any of the work required by this Agreement shall advise the other Parties of such news release and the contents thereof at least two (2) business Days before the issuance of such news release.

C. Nothing in this Agreement shall be construed to preclude any Party from responding to public inquiries at any time.

#### XXXIV. RECOVERY OF EXPENSES

##### A. EPA Resources

EPA shall take all necessary steps and make efforts to obtain timely funding to meet its obligations under this Agreement. Notwithstanding any other provision of this Agreement, in the event that EPA determines that sufficient funds have not been appropriated to meet any post fiscal year 1996 commitments established by this Agreement, EPA may terminate this Agreement by written notice to DOE and KNREPC.

##### B. Reimbursement of KNREPC Expenses

1. DOE agrees to reimburse Kentucky for all costs incurred by Kentucky specifically related to the implementation of this Agreement at the Site, provided these costs either: 1) are not inconsistent with the NCP or 2) constitute fees payable to KNREPC. Costs to be reimbursed as described in this paragraph shall not be deemed inconsistent with the NCP solely because such costs are not specifically addressed in the NCP.

2. A separate funding agreement between DOE and Kentucky will be executed. The separate funding agreement between DOE and KNREPC is the specific mechanism for the transfer of funds between DOE and KNREPC for payment of the costs referred to in Subsection B.1. and provides a mechanism for the resolution of any disputed costs between DOE and Kentucky.

3. For the purposes of budget planning only, Kentucky shall provide to DOE, before the beginning of the fiscal year, a written estimate of Kentucky's projected costs to be incurred in implementing the Agreement in the upcoming fiscal year.

4. Kentucky reserves all rights it has to recover any other past and future costs incurred by Kentucky in connection with CERCLA activities conducted at PGDP.

5. In the event of a substantial change in Kentucky's costs incurred specifically related to the implementation of this Agreement, and a significant change in the scope of the project, KNREPC and DOE agree to renegotiate the amounts contained in the

separate funding agreement to reflect such change proportionate to the circumstances. The amount and schedule of payment of these costs will be negotiated with consideration for DOE's multi-year funding cycle.

XXXV. CLAIMS AND PUBLICATION

A. DOE agrees to assume full responsibility for the remediation of the Site in accordance with CERCLA, the NCP, RCRA Sections 3004(u) and (v) and 3008 (h), and KRS 224 Subchapter 46. However, nothing in this Agreement shall constitute or be construed as a release by KNREPC, DOE, or EPA of any claims, causes of action, or demand in law or equity against any person, firm, partnership, or corporation not a signatory to this Agreement for any liability which it may have arising out of or related in any way to the generation, storage, treatment, handling, transportation, Release, or disposal of any Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents found at, taken to, or taken from the Site.

B. This Agreement does not constitute any decision or preauthorization by EPA of funds under Section 111(a)(2) of CERCLA, 42 U.S.C. § 9611(a)(2), for any person, agent, contractor, or consultant acting for DOE.

C. EPA and KNREPC shall not be held as a party to any contract entered into by DOE to implement the requirements of

this Agreement.

D. This Agreement shall not restrict EPA or KNREPC from any legal, equitable, administrative, or response action for any matter not part of the work covered by this Agreement.

E. DOE, KNREPC and EPA shall provide a copy of this Agreement to appropriate contractors, subcontractors, laboratories, and consultants retained to conduct any portion of the work performed pursuant to this Agreement prior to beginning work to be conducted under this Agreement.

F. Nothing in this Agreement shall be considered an admission by any Party with respect to any unrelated claims by any Party or any claims by persons not a Party to this Agreement.

XXXVI. ORDER OF PREFERENCE

In the event of any inconsistency between the Sections of this Agreement and the Appendices to this Agreement, the Sections of this Agreement shall govern unless specifically stated otherwise in this Agreement.

XXXVII. COMPLIANCE WITH LAWS

Nothing in this Agreement shall be construed to relieve DOE or its representative(s) of the obligation to comply with all applicable Federal laws, regulations and Executive Orders, and all applicable Kentucky and local laws and regulations.

XXXVIII. FORCE MAJEURE

A. (i) A Force Majeure shall mean any event arising from causes beyond the control of a Party that could not have been overcome or avoided by due diligence of that Party and that causes a delay in or prevents the performance of any obligation under this Agreement, including, but not limited to:

1. Acts of God; fire; war; insurrection; civil disturbance; or explosion;

2. Unanticipated breakage or accident to machinery, equipment or lines of pipe despite reasonably diligent maintenance;

3. Adverse weather conditions that could not be reasonably anticipated; unusual delay in transportation;

4. Restraint by court order or order of public authority;

5. Inability to obtain, after exercise of reasonable diligence, any necessary authorizations, approvals, permits, or licenses due to action or inaction of any governmental agency or authority other than DOE; and

6. Delays caused by compliance with applicable statutes or regulations governing contracting, procurement or acquisition procedures, despite the exercise of reasonable diligence.

(ii) Delay caused in whole or in part by the United States Enrichment Corporation shall not be presumed to be a force

majeure event.

(iii) Failure to submit a timely Primary Document due to a delay in submission of a related Secondary Document shall not be presumed to be a force majeure event

B. A Force Majeure shall also include any strike or other labor dispute, whether or not within the control of the Parties affected thereby. Force Majeure shall not include increased costs or expenses of Response Actions, whether or not anticipated at the time such Response Actions were initiated.

C. The Parties agree that Subsection A.2 (entirely), Subsection A.3 ("delay in transportation" provision only), Subsection A.4 ("order of public authority"), and Subsection A.6 (entirely) above, do not create any presumptions that such events arise from causes beyond the control of a Party. KNREPC and EPA specifically reserve the right to withhold their concurrence to any extensions which are based on such events which are not entirely beyond the control of DOE pursuant to terms of Section XXIX (Extensions), or to contend that such events do not constitute Force Majeure in any action to enforce this Agreement.

D. Notwithstanding the provisions of Section XXIX (Extensions) hereof, if any event occurs or has occurred that may delay the performance of any obligation under this Agreement, whether or not caused by a force majeure event, DOE shall notify orally EPA and KNREPC within 72 hours of when DOE first knew or

should have known that the event might cause a delay. Within 10 Days thereafter, DOE shall provide in writing to EPA and KNREPC an explanation and description of the reasons for the delay; the anticipated duration of the delay; all actions taken or to be taken to prevent or minimize the delay; a schedule for implementation of any measures to be taken to prevent or mitigate the delay or the effect of the delay; DOE's rationale for attributing such delay to a force majeure event if it intends to assert such a claim; and a statement as to whether, in the opinion of DOE, such event may cause or contribute to an endangerment to public health, welfare or the environment. DOE shall include with any notice all available documentation supporting its claim that the delay was attributable to a force majeure. Failure to comply with the above requirements shall preclude DOE from asserting any claim of force majeure for that event. DOE shall be deemed to have notice of any circumstance of which their contractors or subcontractors had or should have had notice.

E. Extension requests based on a force majeure shall proceed pursuant to Section XXIX (Extensions) hereof.

XXXIX. MODIFICATION OF AGREEMENT

A. This Agreement may be modified by agreement of all the Parties. All major modifications shall be in writing and shall be effective upon the date on which such modifications are signed by EPA. EPA shall be the last signatory on any major modifications to this Agreement.

B. Except as provided in Subsection C, no informal advice, guidance, suggestions, or comments by EPA or KNREPC shall be construed as relieving DOE of any obligation required by this Agreement.

C. Modifications shall be considered major modifications under Subsection A, if designated "major" by any Party. If any party disagrees with the designation of a modification as major, it may invoke dispute resolution pursuant to Section XXV of this Agreement. A major modification is subject to public participation to the extent required by DOE's Community Relations Plan under Section XXXIII (Public Participation) of this Agreement. All other modifications shall not be considered major and can be made informally upon consent of those Parties designated to receive notice in accordance with Section XXIV (Notification) of this Agreement. Informal modifications shall be confirmed in writing within ten (10) Days following the consent of the Project Managers.

D. Any modification to this Agreement, its appendices,

or any primary or secondary document previously approved as final by EPA and KNREPC which incorporates new innovative technology shall be considered a major modification to this Agreement. The Parties agree that such modifications will be made in the future where appropriate to incorporate those new technologies which achieve compliance with this Agreement, either at reduced cost, or in a shorter period of time.

E. The Parties understand that changes in law or regulations may occur which affect the obligations or rights of the parties under this Agreement or change the nature of this Agreement. The Parties agree to consider modifications to this Agreement to address the effects of any such changes.

XL. COVENANT NOT TO SUE/RESERVATION OF RIGHTS

A. In consideration for DOE's compliance with this Agreement, and based on the information known to the Parties on the effective date of this Agreement, EPA agrees that compliance with this Agreement, including payment of stipulated penalties, shall stand in lieu of any administrative, legal and equitable remedies against DOE available to it regarding the currently known Releases or threatened Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents at the Site which are the subject of an RI/FS or Removal Notification and which will be addressed by a RA or Removal Action provided for under this Agreement. Except as

otherwise provided in this Agreement, and based on the information known to the Parties on the effective date of this Agreement, KNREPC agrees that compliance with this Agreement shall satisfy DOE's obligations arising under the RCRA Permits and the corrective action provisions of KRS 224 Subchapter 46 regarding the currently known releases or threatened releases of hazardous wastes or hazardous constituents at the Site which are the subject of an RI/FS or Removal Notification and which will be addressed by a Response Action approved by KNREPC and provided for under this Agreement. Provided, however, that this provision shall not apply where Kentucky has exercised its reservation of rights pursuant to paragraph B.5 of Section XXV (Resolution of Disputes) and Section L (Covenant Not to Sue/Reservation of Rights) of this Agreement. KNREPC agrees, at a minimum, to proceed through the SEC level of the dispute resolution process provided in Section XXV (Resolution of Disputes) of this Agreement prior to taking any other action available to it regarding the currently known Releases or threatened Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents at the Site which are the subject of an RI/FS or Removal Notification and which will be addressed by a RA or Removal Action provided for under this Agreement. Nothing in this Agreement shall preclude either the EPA or KNREPC from exercising any administrative, legal and

equitable remedies available (including the assessment of civil penalties and damages if such are otherwise legally assessable) to require additional response actions by the DOE in the event that the implementation of the requirements of this Agreement is no longer protective of public health and the environment or for matters not specifically part of the work covered by this Agreement. Moreover, nothing herein shall limit KNREPC's or EPA's authority to challenge a Removal Action pursuant to 42 U.S.C. §9622(e)(6) and KRS 224 Subchapter 46. Nothing in this Agreement shall be deemed to confer or waive authority reserved to DOE under the Atomic Energy Act, 42 U.S.C. 2011 et seq.. Additionally, in the event of enforcement action being taken against DOE under this Agreement, including, but not limited to actions under Sections X or XIV of this Agreement, DOE reserves all rights, including any appeal rights it may have.

B. Except to the extent expressly provided for elsewhere in this Agreement, this Agreement shall not be construed as waiving any right or authority that KNREPC may have and shall not be construed as a bar or release of any claim, cause of action or demand in law or equity including any right KNREPC may have to assess penalties for DOE's failure to comply with any term or condition of this Agreement or any timetable or deadline established pursuant to this Agreement. Notwithstanding the provisions of Section XXV (Resolution of Disputes) or any other

Section of this Agreement, in the event that KNREPC issues a written notice exercising its reservation of rights pursuant to Section XXV (Resolution of Disputes), paragraph B.5., or is dissatisfied with any final decision issued by the Administrator pursuant to Section XXV (Resolution of Disputes), KNREPC may take any action concerning the disputed matter which would be available in the absence of this Agreement, including imposing its requirements directly on DOE, defending the basis for those requirements, and contesting EPA's conflicting requirements, if any.

C. Notwithstanding this Section, or any other Section of this Agreement, KNREPC shall retain any right it may have to obtain judicial review of any final decision of EPA on selection of a remedial action or ARARs determination pursuant to any authority KNREPC may have under Sections 113, 121(e)(2), 121(f), and 310 of CERCLA, 42 U.S.C. §§ 9613, 9621(e)(2), 9621(f), and 9659.

D. If dispute resolution concerning any matter requires a decision by the Regional Administrator or the Administrator, the Parties may mutually agree to accelerate that matter through the dispute resolution procedures of Section XXV (Resolution of Disputes) under this Agreement to the Administrator. Notwithstanding the provisions of Section XXV (Resolution of Disputes) or any Section of this Agreement, in the event that

KNREPC elects to exercise its reservation of rights pursuant to Section XXV (Resolution of Disputes), paragraph B.5., or is dissatisfied with any final decision issued by the Administrator pursuant to Section XXV (Resolution of Disputes), KNREPC may take any action concerning the disputed matter which would be available in the absence of this Agreement.

E. This Covenant Not to Sue shall not be deemed to affect any rights which any non-party may have.

F. DOE is not released from any claim for damages for injury to, destruction of, or loss of natural resources pursuant to CERCLA Section 107. This Agreement does not in any way release DOE from any claims any party may have for natural resource damage assessments or for damages to natural resources.

G. Nothing in this Agreement shall preclude KNREPC from exercising any administrative or judicial remedies available in the event or upon the discovery of a violation of, or noncompliance with, any provision of RCRA or KRS 224 Chapter 46 including any disposal or release of hazardous waste or hazardous constituents which are not addressed by this Agreement. Moreover, nothing in this Agreement shall be interpreted to excuse DOE from complying with the requirements of RCRA, KRS 224 Subchapter 46 and the regulations promulgated thereunder for matters not addressed by this Agreement.

H. For matters within the scope of this Agreement,

KNREPC and EPA reserve the right to bring any enforcement action against other potentially liable parties, including contractors, subcontractors and/or operators, if DOE fails to comply with this Agreement. For matters outside this Agreement, and any actions related to response costs, KNREPC and EPA reserve the right to bring any enforcement action against other potentially responsible parties, including DOE's contractors, subcontractors and/or operators, regardless of DOE's compliance with this Agreement.

XLI. NATURAL RESOURCE DAMAGES

DOE and other Kentucky and Federal trustees shall act on behalf of the public as the trustees for the natural resources present at PGDP. In this capacity, DOE shall be responsible for notifying other Kentucky and Federal trustees and for assessing damages (injury, destruction or loss of natural resources) resulting from Releases of Hazardous Substances, pollutants or contaminants, or Hazardous Wastes and Hazardous Constituents on PGDP, and for implementation of measures designed to mitigate such damages. These authorities are vested in DOE (as specified in Executive Order 12580) pursuant to Section 107(f) of CERCLA and Section 311(f) of the Federal Water Pollution Control Act. As a trustee for natural resources on PGDP, DOE Kentucky, U.S. Fish and Wildlife Service, Tennessee Valley Authority and the Department of Interior, shall have the authority to:

1. Assess damages to public natural resources following the procedures provided by 43 CFR Part 11 and subsequent rule making; and

2. Devise and implement a plan to restore, replace or acquire the equivalent of such resource pursuant to CERCLA. Such a plan shall be consistent, to the degree possible, with applicable Record(s) of Decision under this Agreement.

DOE shall notify the appropriate Federal and Kentucky natural resource trustees as required by Section 104(b)(2) of CERCLA, 42 U.S.C. § 9604(b)(2), and Section 2(e)2 of Executive Order 12580. Except as provided herein, DOE is not released from any liability which it may have pursuant to any provisions of Kentucky and Federal law, including any claim for damages for liability to the destruction of, or loss of natural resources.

#### XLII. PROPERTY TRANSFER

In the event that DOE determines to enter into any contract for the sale or transfer of any of the Site, DOE shall comply with the requirements of Section 120(h) of CERCLA, 42 U.S.C. § 9620(h), in effectuating that sale or transfer, including all notice requirements. In addition, DOE shall include notice of this Agreement in any document transferring ownership or operation of the Site to any subsequent owner and/or operator of any portion of the Site and shall notify EPA and KNREPC of any such sale or transfer at least ninety (90) Days prior to such

sale or transfer. No change in ownership of the Site or any portion thereof or notice pursuant to Section 120(h)(3)(B) of CERCLA, 42 U.S.C. § 9620(h)(3)(B), shall relieve DOE of its obligation to perform pursuant to this Agreement. No change of ownership of the Site or any portion thereof shall be consummated by DOE without provision for continued maintenance of any containment system, treatment system, or other response action(s) installed or implemented pursuant to this Agreement. This provision does not relieve DOE of its obligations under 40 C.F.R. Part 270 and KRS 224 §46, 401 KAR Chapter 38.

**XLIII. STIPULATED PENALTIES**

A. In the event that DOE fails to submit a Primary Document, as identified in Section XX (Review/Comment On Draft/Primary Documents), to EPA and KNREPC pursuant to the appropriate enforceable timetable or deadline included in Appendix C in accordance with the requirements of this Agreement, or fails to comply with a term or condition of this Agreement which relates to the actual performance of an interim or final RA, or a Removal Action, DOE may be assessed a stipulated penalty in an amount not to exceed \$5,000 for the first week (or part thereof), and \$10,000 for each additional week (or part thereof) for which a failure set forth in this Subsection occurs. Stipulated penalties will accrue from the date of the missed deadline or the date the noncompliance occurs, as appropriate.

B. Upon determining that DOE has failed in a manner set forth in Subsection A, above, EPA and KNREPC shall jointly notify DOE in writing. If the failure in question is not already subject to dispute resolution at the time such notice is received, then DOE shall have fifteen (15) Days after receipt of the notice to invoke dispute resolution on the question of whether the failure did in fact occur or was caused by force majeure. DOE shall not be liable for the stipulated penalty assessed by EPA and KNREPC if the failure is determined, through the dispute resolution process, not to have occurred or to have occurred as the result of a force majeure event. In the case of a stipulated penalty assessed only by EPA or only by the Commonwealth, the assessing party shall notify DOE, in writing, of the failure. If the failure in question is not already subject to dispute resolution at the time such notice is received, then DOE shall have fifteen (15) Days after receipt of the notice to invoke dispute resolution on the question of whether the failure did in fact occur or was caused by force majeure. DOE shall not be liable for the stipulated penalty assessed by EPA or KNREPC if the failure is determined, through the dispute resolution process, not to have occurred or to have occurred as the result of a force majeure event. No assessment of a stipulated penalty pursuant to this Section shall be final until the conclusion of dispute resolution procedures related to

the assessment of the stipulated penalty. DOE's invocation of dispute resolution shall toll the obligation to pay the assessed penalty, but shall not toll the accrual of stipulated penalties. Assessment of a stipulated penalty by EPA and/or KNREPC shall preclude the agency (ies) assessing such stipulated penalty from seeking to also impose a statutory penalty arising from DOE's failure to meet the same regulatory milestone. Furthermore, in the event of a noncompliance or failure under this Agreement by DOE, neither EPA nor KNREPC individually shall seek penalties under both CERCLA and RCRA/KRS 224 for the same instance of noncompliance or failure.

C. DOE's annual report to Congress required by Section 120(e)(5) of CERCLA, 42 U.S.C. § 9620(e)(5), shall include, with respect to each final assessment of a stipulated penalty against DOE under this Agreement, each of the following:

1. The facility responsible for the failure;
  2. A statement of the facts and circumstances giving rise to the failure;
  3. A statement of any administrative or other corrective action taken at the relevant facility, or a statement of why such measures were determined to be inappropriate;
  4. A statement of any additional action taken by or at the facility to prevent recurrence of the same type of failure;
- and

5. The total dollar amount of the stipulated penalty assessed for the particular failure.

D. Stipulated penalties assessed pursuant to this Section shall be payable as follows:

Unless otherwise agreed between EPA and the State, any stipulated penalty assessed by both the State and EPA pursuant to this part shall be divided equally between the Hazardous Substances Response Trust Fund and KNREPC in accordance with KRS 224.10-250. Any stipulated penalty assessed only by EPA shall be payable to the Hazardous Substances Response Trust Fund. Any stipulated penalty assessed only by the Commonwealth shall be payable to KNREPC in accordance with KRS 224.10-250. The parties recognize that stipulated penalties assessed by KNREPC are assessed pursuant to RCRA and KRS 224, and not pursuant to CERCLA. Stipulated penalties payable to the Hazardous Substances Response Trust Fund shall be paid from funds authorized and appropriated for that purpose. DOE shall make specific budget requests for payment of assessed stipulated penalties. DOE shall pay stipulated penalties assessed by the Commonwealth of Kentucky under this part within 120 days of the date DOE receives the Commonwealth's demand for payment of a finally-assessed penalty unless KNREPC agrees to a longer schedule. DOE shall request, for stipulated penalties assessed by EPA, specific authorization and appropriation of any such penalty in its budget submission

for FY +1, unless DOE has already submitted its final budget for that budget year to OMB, in which case DOE shall request such specific authorization and appropriation in its FY +2 budget submittal.

E. Failure of DOE to comply with the requirements of Section XVIII.D. (Budget Planning) or Section XVIII.E. (Budget Execution for the Current FY) shall not be subject to stipulated penalties under this Section.

F. In no event shall this Section give rise to a stipulated penalty in excess of the amount set forth in Section 109 of CERCLA, 42 U.S.C. § 9609.

G. This Section shall not affect DOE's ability to obtain an extension of a timetable, deadline, or schedule pursuant to Section XXIX (Extensions) of this Agreement.

H. Nothing in this Agreement shall be construed to render any officer or employee of DOE personally liable for the payment of any stipulated penalty assessed pursuant to this Section.

I. Nothing in this Section shall preclude EPA or KNREPC from pursuing any other sanction that may be available to them, in lieu of stipulated penalties, for DOE's failure to meet any requirement of this Agreement. Nor shall anything in this Section preclude EPA or KNREPC from seeking or imposing any injunctive relief that may be available to them to compel DOE's

compliance with this Agreement.

XLIV. ENFORCEABILITY

A. The Parties agree that:

1. Upon the effective date of this Agreement, any standard, regulation, condition, requirement, or order which has become effective under CERCLA and is incorporated into this Agreement is enforceable by any person pursuant to Section 310 of CERCLA, 42 U.S.C. § 9659, and any violation of such standard, regulation, condition, requirement, or order will be subject to the civil penalty provisions under Sections 310(c) and 109 of CERCLA, 42 U.S.C. §§ 9659(c) and 9609; and

2. All Appendix C timetables or deadlines and Site Management Plan CS OU timetables or deadlines associated with the development, implementation and completion of the RI/FS shall be enforceable by any person pursuant to Section 310 of CERCLA, 42 U.S.C. § 9659, and any violation of such timetables or deadlines will be subject to civil penalties under Sections 310(c) and 109 of CERCLA, 42 U.S.C. §§ 9659(c) and 9609;

3. All terms and conditions of this Agreement which relate to interim or final RAs and removal actions (including IM and Corrective Actions), including corresponding timetables, deadlines, or schedules, and all work associated with interim or final RAs and removal actions (including IM and Corrective Actions), shall be enforceable by any person pursuant to Section

310(c) of CERCLA, 42 U.S.C. § 9659(c), and any violation of such terms or conditions will be subject to the civil penalties provisions under Sections 310(c) and 109 of CERCLA, 42 U.S.C. §§ 9659(c) and 9609; and

4. Any final resolution of a dispute pursuant to Section XXV (Resolution of Disputes) of this Agreement which establishes a term, condition, timetable, deadline, or schedule shall be enforceable by any person pursuant to Section 310(c) of CERCLA, 42 U.S.C. § 9659(c), and any violation of such term, condition, timetable, deadline or schedule will be subject to civil penalties under Section 310(c) and 109 of CERCLA, 42 U.S.C. §§ 9659(c) and 9609.

5. Requirements of this Agreement that are requirements of RCRA and KRS 224 Subchapter 46 shall be enforceable by any person, including the Commonwealth of Kentucky, pursuant to any rights which may exist under section 7002(a)(1)(A) of RCRA. DOE agrees that the Commonwealth of Kentucky or one of its agencies is a "person" within the meaning of section 7002(a) of RCRA. Nothing in this paragraph shall be construed as being in contravention of CERCLA §113(h).

6. Requirements of this Agreement that relate to RCRA or KRS 224 Subchapter 46 may be enforced by KNREPC as requirements of a Corrective Action Order on Consent issued pursuant to KRS 224.46-530.

B. Nothing in this Agreement shall be construed as authorizing any person to seek judicial review of any action or work where review is barred by any provisions of CERCLA, including Section 113(h) of CERCLA, 42 U.S.C. § 9613(h). However, nothing in this paragraph shall prevent KNREPC from taking any action or exercising any right KNREPC may have to enforce any requirement of RCRA or KRS 224 Subchapter 46 and its corresponding regulations.

C. The Parties agree that all Parties shall have the right to enforce the terms of this Agreement.

XLV. TERMINATION AND SATISFACTION

A. To the extent that remedial response actions are conducted in OUs under the provisions of this Agreement, following completion of all response actions at an OU, as specified in the ROD for that OU, and upon written request by DOE, EPA and KNREPC will send to DOE a written notice that the response actions selected in the ROD have been completed in accordance with the requirements for that operable unit. This notice shall not serve as written notice of termination and satisfaction of the entire Agreement described under Subsection B of this Section.

B. To the extent that remedial preliminary assessment actions are conducted pursuant to the provisions of this Agreement, following the completion of all response actions

(i.e., removal and RAs), including the comprehensive site-wide operable unit, and upon written request by DOE, EPA, and KNREPC will send to DOE a written notice of satisfaction of the terms of this Agreement within ninety (90) Days of the request. The notice shall state that, in the opinion of EPA and KNREPC, DOE has satisfied all the terms of this Agreement in accordance with the requirements of CERCLA, the NCP, Sections 3004(u) and (v), and 3008(h) of RCRA, 42 U.S.C. § 6928(h), and related guidance, KRS 224 Subchapter 46 and its implementing regulations and applicable state laws and that the work performed by DOE is consistent with the agreed-to response actions.

C. KNREPC may, in its sole discretion, terminate this Agreement upon sixty (60) Days written notice to the other Parties. Termination of the Agreement by KNREPC shall be effective on the 60th Day after such notice, unless KNREPC agrees otherwise in writing before such date. Once termination is effective pursuant to this paragraph, this Agreement shall have no further force or effect as to KNREPC; provided, however, that surviving requirements of this Agreement shall remain enforceable as requirements of a CERCLA § 120 Interagency Agreement between EPA and DOE.

XLVI. EFFECTIVE DATE

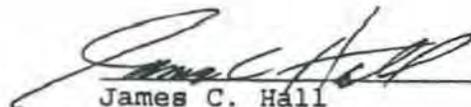
This Agreement shall become effective after it is executed by all the Parties and upon the date set by EPA in written

notification to all Parties that the Agreement has been finally executed and is effective.

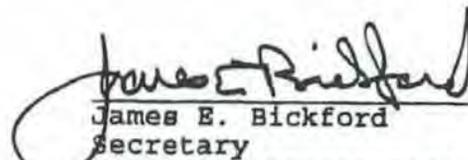
This Agreement will not be executed until such time as all public comment provided during a forty-five (45) day comment period has been addressed by the Parties and incorporated into the Agreement as appropriate.

IT IS SO AGREED:

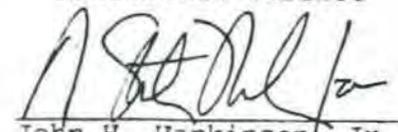
11/19/97  
DATE

  
James C. Hall  
Manager  
United States Department of  
Energy  
Oak Ridge Operations Office

12 Dec 1998  
DATE

  
James E. Bickford  
Secretary  
Kentucky Natural Resources  
and Environmental  
Protection Cabinet

FEB 12 1999  
DATE

  
John H. Hankinson, Jr.  
Regional Administrator  
United States Environmental  
Protection Agency

APPENDIX A

RCRA/CERCLA Process/Document Comparisons



# General Response Process

INVESTIGATION

## CERCLA

RI/FS Work Plan

RI Report

FS Report

## RCRA

RFI Work Plan

RFI Report

CMS Work Plan

CMS Report

REMEDY  
SELECTION

Proposed Plan

ROD

Statement of Basis

Permit Mod

REMEDIAL ACTION

RD Work Plan

RD Report

RA Work Plan

Post Con. Report

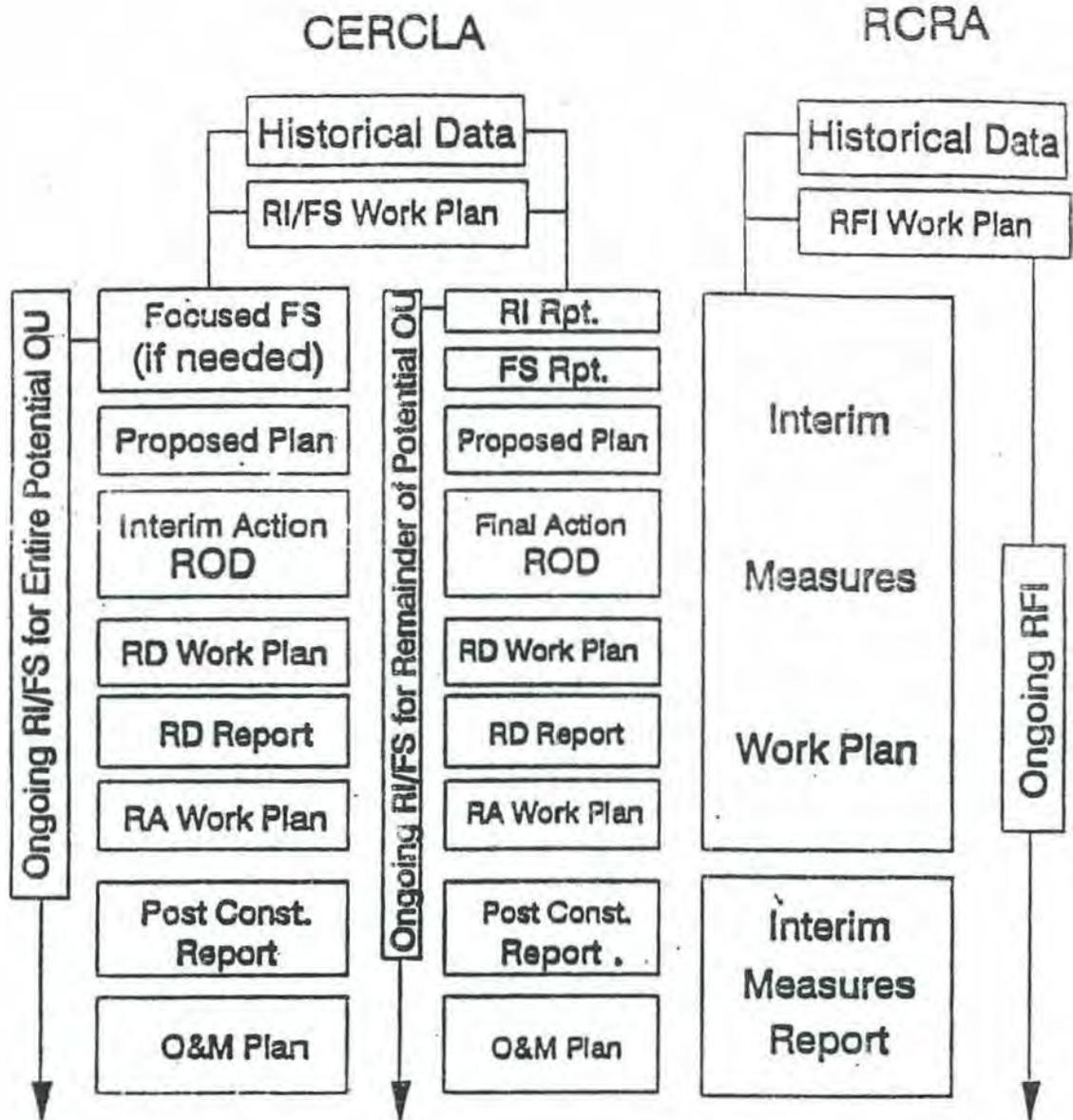
O&M Plan

Final Remed. Rpt

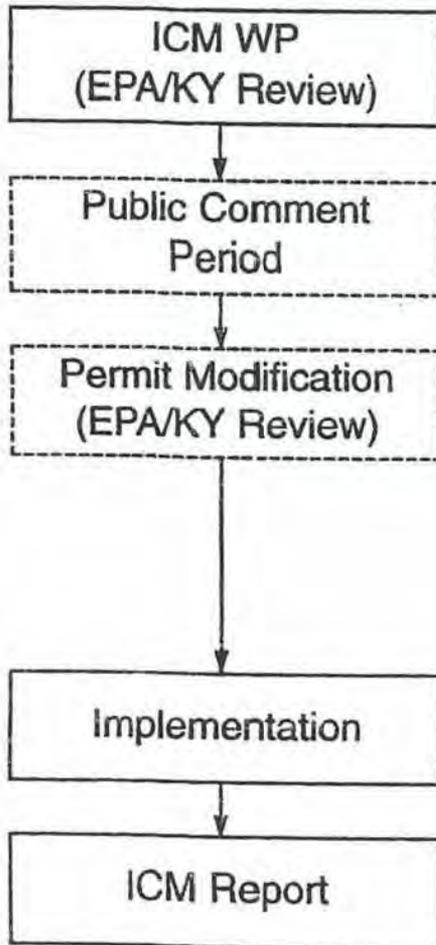
As Specified

In Permit Mod

# INTERIM RESPONSE ACTIONS



## RCRA

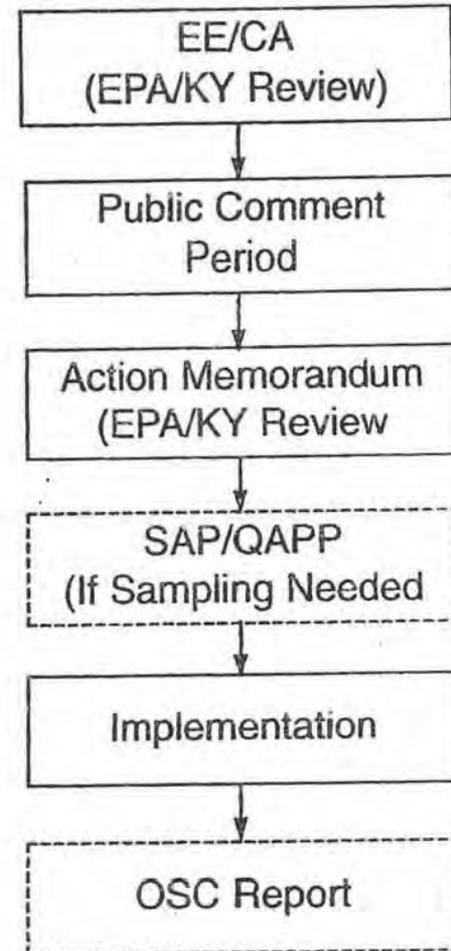


## Common Requirement

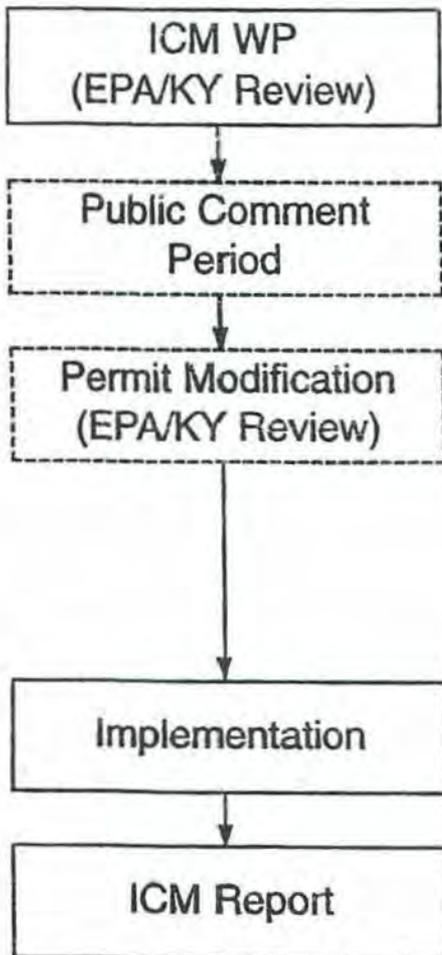
- Alternative(s)/objective
- Schedule
- Design/Specifications
  
- Public Participation
  
  
- Final Alternative Decision
  
  
  
- Perform Action
  
  
- Post-action Documentation

----- Optional  
———— Required

## CERCLA (Non Time-critical)



### RCRA

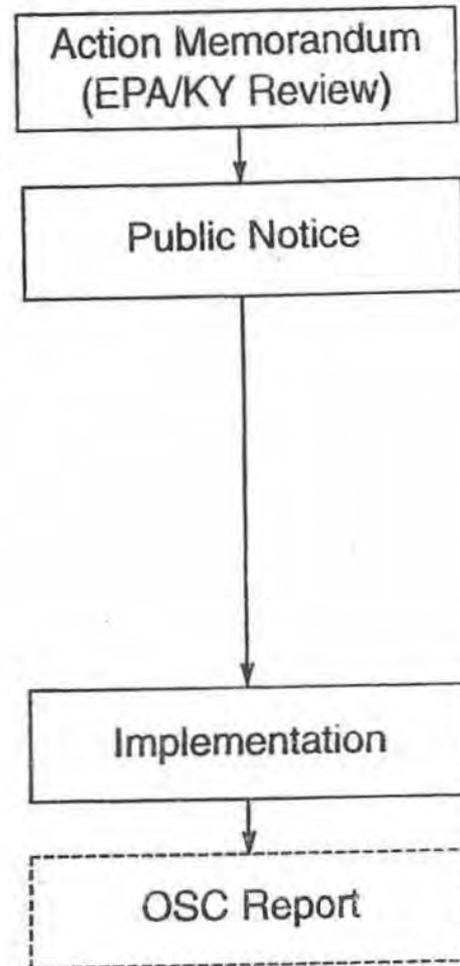


### Common Requirement

- Alternative(s)/objective
- Perform Action
- Post-action Documentation

----- Optional  
————— Required

### CERCLA (Time-critical)



**APPENDIX B**

**RCRA/CERCLA Units List**

**Solid Waste Management Units/Areas of Concern by Operable Unit**

| <b>GROUNDWATER</b>         |                                       |                             |   |  |  |
|----------------------------|---------------------------------------|-----------------------------|---|--|--|
| <b>Operable Unit</b>       | <b>Subproject</b>                     | <b>SWMU No.</b>             | <b>Description</b>  |  |  |
| GWOU                       | C-400 Action                          | 11                          | C-400 Leak Site   |  |  |
|                            |                                       | 533                         | TCE Spill Site from TCE Unloading Operations at C-400             |  |  |
|                            | Southwest Plume Sources               | 1                           | C-747-C Oil Land Farm   |  |  |
|                            |                                       | 211 A                       | C-720 TCE Spill Site Northeast                                    |  |  |
|                            |                                       | 211 B                       | C-720 TCE Spill Site Southeast                                    |  |  |
|                            | Dissolved-Phase Plumes                | 201                         | Northwest Groundwater Plume                                       |  |  |
|                            |                                       | 202                         | Northeast Groundwater Plume                                       |  |  |
| 210                        |                                       | Southwest Groundwater Plume |   |  |  |
| GDP Groundwater Sources OU | C-400 Residuals and Remaining Sources | 11                          | C-400 TCE Leak Site   |  |  |
|                            |                                       | 533                         | TCE Spill Site from TCE Unloading Operations at C-400             |  |  |
| <b>SURFACE WATER</b>       |                                       |                             |   |  |  |
|                            | NSDD                                  | 59                          | NSDD (Inside)   |  |  |
| SWOU                       | SWOU Remedial Action                  | Removal Action              | 58  | NSDD (Outside) (includes KPDES 003)  |  |
|                            |                                       |                             | 60  | C-375-E2 Effluent Ditch (KPDES 002) <sup>1</sup>   |  |
|                            |                                       |                             | 61  | C-375-E5 Effluent Ditch (KPDES 013) <sup>1</sup>   |  |
|                            |                                       |                             | 62  | C-375-S6 SW Ditch (KPDES 009) <sup>1</sup>   |  |
|                            |                                       |                             | 63  | C-375-W7 Oil Skimmer Ditch (KPDES 008 and KPDES 004)                                     |  |
|                            |                                       |                             | 66  | C-375-E3 Effluent Ditch (KPDES 010)  |  |
|                            |                                       |                             | 67  | C-375-E4 Effluent Ditch (C-340 Ditch) (KPDES 011)  |  |
|                            |                                       |                             | 68  | C-375-W8 Effluent Ditch (KPDES 015)  |  |
|                            |                                       |                             | 69  | C-375-W9 Effluent Ditch (KPDES 001)  |  |
|                            |                                       |                             | 92  | Fill area for dirt from the C-420 PCB Spill Site   |  |
|                            |                                       |                             | 97  | C-601 Diesel Spill   |  |
|                            |                                       |                             | 102B  | Plant Storm Sewer associated with C-333-A, C-337-A, C-340, C-535, and C-537 <sup>1</sup> |  |
|                            |                                       |                             | 168   | KPDES Outfall Ditch 012 <sup>1</sup>   |  |
|                            |                                       | 526                         | Internal Plant Drainage Ditches (includes KPDES 016) <sup>2</sup> |  |  |
|                            |                                       |                             |   | 64   | Little Bayou Creek                                 |
|                            |                                       |                             |   | 65   | Bayou Creek  |
|                            |                                       |                             |   | 93   | Concrete Disposal Area East of Plant Security Area |
|                            |                                       | 105                         | Concrete Rubble Pile (3)  |  |  |
|                            |                                       | 106                         | Concrete Rubble Pile (4)  |  |  |
|                            |                                       | 107                         | Concrete Rubble Pile (5)  |  |  |
|                            |                                       | 108                         | Concrete Rubble Pile (6)  |  |  |
|                            |                                       | 109                         | Concrete Rubble Pile (7)  |  |  |
|                            |                                       | 113                         | Concrete Rubble Pile (11)   |  |  |

<sup>1</sup> The results of the SWOU (On-Site) Site Investigation determined that there were no unacceptable levels of risk to current and anticipated future receptors that warranted inclusion of SWMU 60 (Outfall 002), SWMU 168 (Outfall 012), or SWMU 102 (PGDP storm sewer systems associated with C-333-A, C-337-A, C-340, C-535, and C-537). As a result, no action will be taken for these SWMUs as originally planned under the SWOU removal action. These SWMUs will be evaluated further as part of the SWOU remedial action. It also should be noted that during development of the Sampling and Analysis Plan (SAP) for SWOU (On-Site) Removal Action, Outfall 009 and Outfall 013 were evaluated. This assessment of the outfalls, which included a review of historical data, indicated that Outfall 009 and Outfall 013 did not require an early action, and further assessment of Outfall 009 and Outfall 013 would be addressed during the Comprehensive Site Operable Unit (CSOU). Based upon current site strategy, Outfall 009 and Outfall 013 also will be addressed as part of the SWOU remedial action.

<sup>2</sup> KPDES Outfall 016, in its entirety, will be addressed as part of the SWOU Remedial Investigation.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SURFACE WATER (CONTINUED)</b>    |                                |                 |  |
|-------------------------------------|--------------------------------|-----------------|--|
| <b>Operable Unit</b>                | <b>Subproject</b>              | <b>SWMU No.</b> | <b>Description</b>   |
| SWOU                                | Action<br>SWOU Remedial        | 129             | Concrete Rubble Pile (27)  |
|                                     |                                | 175             | Concrete Rubble Pile (28)  |
|                                     |                                | 185             | C-611-4 Horseshoe Lagoon (includes KPDES 014)  |
|                                     |                                | 199             | Bayou Creek Monitoring Station   |
|                                     |                                | 205             | Eastern Portion of Yellow Water Line   |
|                                     |                                | 549             | Dirt/Concrete Rubble Pile near Outfall 008   |
|                                     |                                | 550             | Concrete Culvert Sections Located on the West Bank of the Ditch Leading to Outfall 001 |
| GDP Lagoons and Ditches OU          |                                | 17              | C-616-E Sludge Lagoon  |
|                                     |                                | 18              | C-616-F Full-Flow Lagoon   |
|                                     |                                | 21              | C-611-W Sludge Lagoon  |
|                                     |                                | 22              | C-611-Y Overflow Lagoon (includes KPDES 006)   |
|                                     |                                | 23              | C-611-V Lagoon (includes KPDES 005)  |
|                                     |                                | 171             | C-617-A Lagoons  |
|                                     |                                | Others          | Outfalls 017, 018, 019/020, and 526 and associated ditches                             |
| <b>BURIAL GROUNDS</b>               |                                |                 |  |
| BGOU                                | BGOU Remedial                  | 2               | C-749 Uranium Burial Ground  |
|                                     |                                | 3               | C-404 Low-Level Radioactive Waste Burial Ground  |
|                                     |                                | 4               | C-747 Contaminated Burial Ground   |
|                                     |                                | 5               | C-746-F Classified Burial Ground   |
|                                     |                                | 6               | C-747-B Burial Area  |
|                                     |                                | 7               | C-747-A Burial Ground  |
|                                     |                                | 9               | C-746-S Residential Landfill   |
|                                     |                                | 10              | C-746-T Inert Landfill   |
|                                     |                                | 30              | C-747-A Burn Area  |
| Additional Burial Ground Sources OU |                                | 145             | Residential/Inert Landfill Borrow Area (P-Landfill)                                    |
|                                     |                                | 472             | C-746-B Pad  |
| 520                                 | Scrap Material West of C-746-A |                 |  |
| <b>SOILS</b>                        |                                |                 |  |
| Soils OU                            | Soils Remedial                 | 1               | C-747-C Oil Land Farm  |
|                                     |                                | 13              | C-746-P Clean Scrap Yard <sup>3</sup>  |
|                                     |                                | 14              | C-746-E Contaminated Scrap Yard  |
|                                     |                                | 15              | C-746-C Scrap Yard <sup>3</sup>  |
|                                     |                                | 19              | C-410-B HF Neutralization Lagoon   |
|                                     |                                | 26              | C-400 to C-404 Underground Transfer Line <sup>3</sup>                                  |
|                                     |                                | 27              | C-722 Acid Neutralization Tank   |
|                                     |                                | 56              | C-540-A PCB Waste Staging Area <sup>3,4</sup>  |
|                                     |                                | 57              | C-541-A PCB Waste Staging Area <sup>4</sup>  |
|                                     |                                | 76              | C-632-B Sulfuric Acid Storage Tank   |
|                                     |                                | 77              | C-634-B Sulfuric Acid Storage Tank <sup>3,5</sup>                                      |
|                                     |                                | 80              | C-540-A PCB Spill Site <sup>3</sup>  |

<sup>3</sup> These SWMUs/AOCs will be evaluated further under a Soils OU RI 2 and addressed by a subsequent Soils OU feasibility study.

<sup>4</sup> SWMUs 56 and 57 are located within, and will be addressed as part of, SWMUs 80 and 81, respectively.

<sup>5</sup> This SWMU was evaluated as part of the Soils Operable Unit. The soils and underlying slabs associated with this SWMU will be addressed under the Soils and Slabs OU as part of post-GDP shutdown activities.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b> |  |                 |   |
|--------------------------|--|-----------------|---|
| <b>Operable Unit</b>     | <b>Subproject</b>  | <b>SWMU No.</b> | <b>Description</b>  |
| Soils OU<br>(Cont.)      | Soils<br>Remedial<br>(Cont.)                                     | 81              | C-541-A PCB Spill Site                                      |
|                          |  | 99 B            | C-745 Kellogg Bldg. Site—SepticTank/Leach Field             |
|                          |  | 138             | C-100 Southside Berm  |
|                          |  | 153             | C-331 PCB Soil Contamination (West)                         |
|                          |  | 156             | C-310 PCB Soil Contamination (West Side)                    |
|                          |  | 158             | Chilled-Water System Leak Site                              |
|                          |  | 160             | C-745 Cylinder Yard Spoils (PCB Soils)                      |
|                          |  | 163             | C-304 Bldg./HVAC Piping System (Soil Backfill)              |
|                          |  | 165             | C-616-L Pipeline & Vault Soil Contamination                 |
|                          |  | 169             | C-410-E HF Vent Surge Protection Tank                       |
|                          |  | 170             | C-729 Acetylene Bldg. Drain Pits                            |
|                          |  | 180             | Outdoor Firing Range (WKWMA)                                |
|                          |  | 181             | Outdoor Firing Range (PGDP)                                 |
|                          |  | 194             | McGraw Construction Facilities (Southside)                  |
|                          |  | 195             | Curlee Road Contaminated Soil Mounds                        |
|                          |  | 196             | C-746-A Septic System                                       |
|                          |  | 200             | Soil Contamination South of TSCA Waste Storage Facility     |
|                          |  | 204             | Dykes Road Historical Staging Area <sup>3</sup>             |
|                          |  | 211 A           | C-720 TCE Spill Site Northeast <sup>3</sup>                 |
|                          |  | 212             | C-745-A Radiological Contamination Area                     |
|                          |  | 213             | OS-02   |
|                          |  | 214             | OS-03   |
|                          |  | 215             | OS-04   |
|                          |  | 216             | OS-05   |
|                          |  | 217             | OS-06   |
|                          |  | 219             | OS-08   |
|                          |  | 221             | OS-10   |
|                          |  | 222             | OS-11   |
|                          |  | 224             | OS-13 <sup>3</sup>  |
|                          |  | 225A            | OS-14 <sup>3</sup>  |
|                          |  | 225 B           | Contaminated Soil Area near C-533-1 DMSA OS-14 <sup>3</sup> |
|                          |  | 227             | OS-16   |
|                          |  | 228             | OS-17   |
|                          |  | 229             | OS-18 <sup>3</sup>  |
|                          |  | 486             | Rubble Pile WKWMA   |
|                          |  | 487             | Rubble Pile WKWMA   |
|                          |  | 488             | PCB Contamination Area by the C-410 Trailer Complex         |
|                          |  | 489             | Septic Tank North of C-710 Laboratory                       |
|                          |  | 492             | Contaminated Soil Area Near Outfall 010                     |
|                          |  | 493             | Concrete Rubble Piles Near Outfall 001                      |
|                          |  | 517             | Rubble and Debris Erosion Control Fill Area                 |
| 518                      | Field South of C-746-P1 Clean Scrap Yard                         |                 |   |
| 520                      | Scrap Material West of C-746-A                                   |                 |   |
| 531                      | Aluminum Slag Reacting Area (C-746-H4) near the C-746-A Facility |                 |   |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b>           |   |                 |  |
|------------------------------------|---|-----------------|--|
| <b>Operable Unit</b>               | <b>Subproject</b>   | <b>SWMU No.</b> | <b>Description</b>   |
| Soils OU<br>(Cont.)                | Soils<br>Remedial<br>(Cont.)                                | 541             | Contaminated Soil Area South of Outfall 011  |
|                                    |   | 561             | Soil Pile I  |
|                                    |   | 562             | Soil Piles C, D, E, F, G, H, J, K, and P in subunit 1 north of Soil Pile I on the west bank of Little Bayou Creek.                                   |
|                                    |   | 563             | Soil Piles 20, CC, and BW in subunit 4 north of outfall 012 west of Little Bayou Creek   |
|                                    |   | 564             | Soil Pile AT in subunit 5 that consists of three soil areas on the east side of the North-South Diversion Ditch north of the P-, S-, and T-Landfills |
|                                    |   | 565             | Rubble Area KY-19 (along Bayou Creek north of C-611 Water Treatment Plant) <sup>3</sup>  |
|                                    |   | 567             | Soil Pile K013 near Outfall 013, West of Little Bayou  |
| Soils and Slabs<br>OU <sup>6</sup> |   | 11              | C-400 TCE Leak Site  |
|                                    |   | 16              | C-746-D Classified Scrap Yard  |
|                                    |   | 20              | C-410-E Emergency Holding Pond slab and underlying soils   |
|                                    |   | 28              | C-712 Laboratory Equalization Tank slab and underlying soils   |
|                                    |   | 31              | C-720 Compressor Pit Water Storage Tank slab and underlying soils  |
|                                    |   | 32              | C-728 Clean Waste Oil Tanks slab and underlying soils  |
|                                    |   | 33              | C-728 Motor Cleaning Facility slab and underlying soils  |
|                                    |   | 38              | C-615 Sewage Treatment Plant slab and underlying soils   |
|                                    |   | 40              | C-403 Neutralization Tank slab and underlying soils  |
|                                    |   | 41              | C-410-C Neutralization Tank slab and underlying soils  |
|                                    |   | 42              | C-616 Chromate Reduction Facility slab and underlying soils  |
|                                    |   | 47              | C-400 Technetium Storage Tank Area   |
|                                    |   | 55              | C-405 Incinerator building slab and underlying soils   |
|                                    |   | 70              | C-333-A Vaporizer slab and underlying soils  |
|                                    |   | 71              | C-337-A Vaporizer slab and underlying soils  |
|                                    |   | 74              | C-340 PCB Transformer Spill Site   |
|                                    |   | 75              | C-633 PCB Spill Site   |
|                                    |   | 77              | C-634-B-Sulfuric Acid Storage Tank slab and underlying soils   |
|                                    |   | 78              | C-420 PCB Spill Site   |
|                                    |   | 79              | C-611 PCB Spill Site   |
|                                    |   | 82              | C-531 Electric Switchyard slab and underlying soils  |
|                                    |   | 83              | C-533 Electric Switchyard slab and underlying soils  |
|                                    |   | 84              | C-535 Electric Switchyard slab and underlying soils  |
|                                    |   | 85              | C-537 Electric Switchyard slab and underlying soils  |
|                                    |   | 86              | C-631 Pumphouse and Cooling Tower slab and underlying soils  |
|                                    |   | 87              | C-633 Pumphouse and Cooling Tower slab and underlying soils  |
|                                    |   | 88              | C-635 Pumphouse and Cooling Tower slab and underlying soils  |
| 89                                 | C-637 Pumphouse and Cooling Tower slab and underlying soils |                 |  |
| 98                                 | C-400 Basement Sump slab and underlying soils               |                 |  |
| 99 A                               | C-745 Kellogg Bldg. Site – Cylinder Yard                    |                 |  |
| 135                                | C-333 PCB Soil Contamination (North Side)                   |                 |  |
| 137                                | C-746-A Inactive PCB Transformer Sump Area <sup>7</sup>     |                 |  |

<sup>6</sup> SWMUs contained in facilities located on the ground floor of the building slabs have been identified as part of this scope. Those SWMUs located on the upper floors, that are expected to be totally removed as part of the decommissioning, have not been included.

<sup>7</sup> SWMU 137 was evaluated as part of the American Recovery and Reinvestment Act (ARRA), and the Soils Operable Unit. SWMU 137 will be addressed as part of GDP D&D OU.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b>         |  |                 |   |
|----------------------------------|--|-----------------|---|
| <b>Operable Unit</b>             | <b>Subproject</b>  | <b>SWMU No.</b> | <b>Description</b>  |
| Soils and Slabs<br>OU<br>(Cont.) |  | 154             | C-331 PCB Soil Contamination (Southeast)                                |
|                                  |  | 155             | C-333 PCB Soil Contamination (West)                                     |
|                                  |  | 159             | C-746-H3 Storage Pad slab and underlying soils                          |
|                                  |  | 161             | C-743-T-01 Trailer Site (Soil Backfill)                                 |
|                                  |  | 162             | C-617-A Sanitary Water Line (Soil Backfill)                             |
|                                  |  | 166             | C-100 Trailer Complex Soil Contamination (East Side)                    |
|                                  |  | 167             | C-720 White Room Sump slab and underlying soils                         |
|                                  |  | 172             | C-726 Sandblasting Facility slab and underlying soils                   |
|                                  |  | 176             | C-331 RCW Leak Northwest Side   |
|                                  |  | 177             | C-331 RCW Leak East Side  |
|                                  |  | 178             | C-724-A Paint Spray Booth slab and underlying soils                     |
|                                  |  | 179             | Plant Sanitary Sewer System   |
|                                  |  | 192             | C-710 Acid Interceptor Pit slab and underlying soils                    |
|                                  |  | 198             | C-410-D Area Soil Contamination slab and underlying soils               |
|                                  |  | 203             | C-400 Discard Waste System slab and underlying soils                    |
|                                  |  | 209             | C-720 Compressor Shop Pit Sump slab and underlying soils                |
|                                  |  | 211 B           | C-720 TCE Spill Site Southeast  |
|                                  |  | 218             | OS-07 slab and underlying soils   |
|                                  |  | 220             | OS-09 slab and underlying soils   |
|                                  |  | 223             | OS-12 slab and underlying soils   |
|                                  |  | 226             | OS-15   |
|                                  |  | 463             | C-746-A East End Smelter slab and underlying soils                      |
|                                  |  | 464             | C-746-A West End Smelter building slab and underlying soils             |
|                                  |  | 469             | C-745-J Yard  |
|                                  |  | 470             | C-746-V Yard  |
|                                  |  | 474             | West of Vortec Site   |
|                                  |  | 477             | C-340 Metals Plant building slab and underlying soils                   |
|                                  |  | 478             | C-410/420 Feed Plant building slab and underlying soils                 |
|                                  |  | 480             | C-402 Lime House building slab and underlying soils                     |
|                                  |  | 482             | C-415 Feed Plant Storage Building slab and underlying soils             |
|                                  |  | 483             | Nitrogen Generating Facilities slab and underlying soils                |
|                                  |  | 494             | Ash Receiver Area in C-410/420 slab and underlying soils                |
|                                  |  | 495             | C-410-I Ash Receiver Shed building slab and underlying soils            |
|                                  |  | 497             | C-410/420 F2 Cell Neutralization Room Vats slab and underlying soils    |
|                                  |  | 498             | C-410/420 Sump at Column D & E-1&2 slab and underlying soils            |
|                                  |  | 499             | C-410/420 Sump at Column H-9&10 slab and underlying soils               |
|                                  |  | 500             | C-410/420 Sump at Column U-10&11 slab and underlying soils              |
|                                  |  | 501             | C-410/420 UF <sub>6</sub> Scale Pit Sumps A&B slab and underlying soils |
|                                  |  | 502             | C-410/420 Sump at Column U-9 slab and underlying soils                  |
|                                  |  | 503             | C-410/420 Sump at Column G-1 slab and underlying soils                  |
|                                  |  | 504             | C-410/420 Sump at Column L-10 slab and underlying soils                 |
|                                  |  | 505             | C-410/420 Sump at Column A-3N slab and underlying soils                 |
| 506                              | C-410/420 Sump at Column Wa-9 slab and underlying soils  |                 |   |
| 507                              | C-410/420 Condensate Tank Pit slab and underlying soils  |                 |   |
| 508                              | C-410/420 Settling Basin slab and underlying soils       |                 |   |
| 509                              | C-410/420 Drain pit slab and underlying soils            |                 |   |
| 510                              | C-410/420 Sump at Column P&Q-2 slab and underlying soils |                 |   |
| 511                              | C-410/420 Sump at Column Q&R-2 slab and underlying soils |                 |   |
| 512                              | C-410/420 Sump at Column R-2 slab and underlying soils   |                 |   |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b>                   |  |                 |  |
|--|--|-----------------|--|
| <b>Operable Unit</b>                       | <b>Subproject</b>                        | <b>SWMU No.</b> | <b>Description</b>   |
| Soils and Slabs<br>OU<br>(Cont.)           |  | 513             | C-411 Cell Maintenance Room Sump slab and underlying soils                     |
|  |  | 522             | C-340 Work Pit at Ground Floor Level (B-7—B-9) slab and underlying soils       |
|  |  | 523             | C-340 Metals Plant Pit at Ground Floor (F-6 to F-11) slab and underlying soils |
|  |  | 524             | C-340 Pickling System Sump (B-10 to B-11) slab and underlying soils            |
|  |  | 529             | C-340 Powder Plant Sump at Ground Floor Level slab and underlying soils        |
| <b>DECONTAMINATION AND DECOMMISSIONING</b> |  |                 |  |
| D&D OU                                     | Inactive<br>Facilities<br>(C-410<br>D&D) | 41              | C-410-C Neutralization Tank  |
|  |  | 478             | C-410/420 Feed Plant   |
|  |  | 494             | Ash Receiver Area in C-410/420   |
|  |  | 495             | C-410-I Ash Receiver Shed  |
|  |  | 496             | C-410 Fluorine/Hydrogen Filters (Northeast Mezzanine)                          |
|  |  | 497             | C-410/420 F <sub>2</sub> Cell Neutralization Room Vats                         |
|  |  | 498             | C-410/420 Sump at Column D&E-1&2   |
|  |  | 499             | C-410/420 Sump at Column H-9&10  |
|  |  | 500             | C-410/420 Sump at Column U-10&11   |
|  |  | 501             | C-410/420 UF <sub>6</sub> Scale Pit Sumps A&B                                  |
|  |  | 502             | C-410/420 Sump at Column U-9   |
|  |  | 503             | C-410/420 Sump at Column G-1   |
|  |  | 504             | C-410/420 Sump at Column L-10  |
|  |  | 505             | C-410/420 Sump at Column A-3N  |
|  |  | 506             | C-410/420 Sump at Column Wa-9  |
|  |  | 507             | C-410/420 Condensate Tank Pit  |
|  |  | 508             | C-410/420 Settling Basin   |
|  |  | 509             | C-410/420 Drain pit  |
|  |  | 510             | C-410/420 Sump at Column P&Q-2   |
|  |  | 511             | C-410/420 Sump at Column Q&R-2   |
| 512  | C-410/420 Sump at Column R-2             |                 |  |
| 513  | C-411 Cell Maintenance Room Sump Pit     |                 |  |
| <b>DECONTAMINATION AND DECOMMISSIONING</b> |  |                 |  |
| <b>Operable Unit</b>                       | <b>Subproject</b>                        | <b>SWMU No.</b> | <b>Description</b>   |
| GDP D&D OU                                 | GDP D&D                                  | 28              | C-712 Laboratory Equalization Tank   |
|  |  | 33              | C-728 Motor Cleaning Facility  |
|  |  | 38              | C-615 Sewage Treatment Plant   |
|  |  | 42              | C-616 Chromate Reduction Facility  |
|  |  | 70              | C-333-A Vaporizer  |
|  |  | 71              | C-337-A Vaporizer  |
|  |  | 82              | C-531 Electric Switchyard  |
|  |  | 83              | C-533 Electric Switchyard  |
|  |  | 84              | C-535 Electric Switchyard  |
|  |  | 85              | C-537 Electric Switchyard  |
|  |  | 86              | C-631 Pumphouse and Cooling Tower  |
|  |  | 87              | C-633 Pumphouse and Cooling Tower  |
|  |  | 88              | C-635 Pumphouse and Cooling Tower  |
|  |  | 89              | C-637 Pumphouse and Cooling Tower  |
|  |  | 98              | C-400 Basement Sump  |
|  |  | 137             | C-746-A Inactive PCB Transformer Sump Area                                     |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>DECONTAMINATION AND DECOMMISSIONING</b>    |  |   |   |
|---|--|---|---|
| <b>Operable Unit</b>                          | <b>Subproject</b>                      | <b>SWMU No.</b>   | <b>Description</b>  |
| GDP D&D OU                                    | GDP D&D                                | 159   | C-746-H3 Storage Pad                                      |
|   |  | 164   | KPDES Outfall Ditch 017 Flume - Soil Backfill             |
|   |  | 167   | C-720 White Room Sump                                     |
|   |  | 172   | C-726 Sandblasting Facility                               |
|   |  | 178   | C-724-A Paint Spray Booth                                 |
|   |  | 179   | Plant Sanitary Sewer System                               |
|   |  | 192   | C-710 Acid Interceptor Pit                                |
|   |  | 203   | C-400 Discard Waste System                                |
|   |  | 209   | C-720 Compressor Shop Pit Sump                            |
|   |  | 463   | C-746-A East End Smelter                                  |
|   | 482                                    | C-415 Feed Plant Storage Building                             |   |
|   | DUF <sub>6</sub> D&D                   | 183   | McGraw UST  |
|   |  | 193   | McGraw Construction Facilities (Southside Cylinder Yards) |
|   |  | 194   | McGraw Construction Facilities (Southside)                |
| 536   |  | Concrete Truck Washout Area                                   |   |
| <b>FINAL COMPREHENSIVE SITE OPERABLE UNIT</b> |  |   |   |
| CSOU <sup>8,9</sup>                           | <b>SWMU No.</b>                        | <b>Description</b>  |   |
|   | 8                                      | C-746-K Inactive Sanitary Landfill                            |   |
|   | 91                                     | UF <sub>6</sub> Cylinder Drop Test Area                       |   |
|   | 100                                    | Fire Training Area  |   |
| <b>PERMITTED</b>                              |  |   |   |
| Permitted                                     | 3                                      | C-404 Low-Level Radioactive Waste Burial Ground <sup>10</sup> |   |
|   | 9                                      | C-746-S Residential Landfill                                  |   |
|   | 10                                     | C-746-T Inert Landfill  |   |
|   | 44                                     | C-733 Hazardous Waste Storage Area                            |   |
|   | 46A                                    | C-746-Q Hazardous and Low-Level Mixed Waste Storage Facility  |   |
|   | 207                                    | C-752-A ER Waste Storage Bldg.                                |   |
| 208   | C-746-U Solid Waste Contained Landfill |   |   |

<sup>8</sup> The FFA, as currently written, contemplated multiple CSOUs, consisting of those associated with integrator units (i.e., groundwater, surface water), and a final CSOU completed after issuance of all final RODs for the site. The FFA parties acknowledge that the above scope description is intended to reflect the final CSOU, and a future FFA modification will be conducted to resolve any inconsistencies between the FFA and SMP strategy.

<sup>9</sup> Historically, once an action has been completed for a particular SWMU whereby no additional active response actions are expected, such SWMUs have been placed in the CSOU for further evaluation; however, the FFA parties recognized the need to reach consensus on the criteria for assigning units to the CSOU. As a result, placement of SWMUs 8, 91, and 100 in the CSOU is provisional pending the FFA parties reaching consensus on such criteria.

<sup>10</sup> SWMU 3 was issued only a post-closure permit, was not permitted for construction and operation, and was not an engineered hazardous waste landfill.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION<sup>11</sup></b> |  |
|---------------------------------------|--|
| <b>SWMU No.</b>                       | <b>Description</b>   |
| 12                                    | C-747-A UF <sub>4</sub> Drum Yard  |
| 24                                    | C-750-D UST  |
| 25                                    | C-750 1,000-gal Waste Oil Tank (UST)   |
| 29                                    | C-746-B TRU Storage Area   |
| 34                                    | C-746-M PCB Waste Storage Area   |
| 35                                    | C-337 PCB Waste Storage Area   |
| 36                                    | C-337 PCB Waste Staging Area   |
| 37                                    | C-333 PCB Waste Staging Area   |
| 39                                    | C-746-B PCB Waste Storage Area   |
| 43                                    | C-746-B Waste Chemical Storage Area  |
| 45                                    | C-746-R Waste Solvent Storage Area   |
| 46                                    | C-409 Hazardous Waste Pilot Plant  |
| 48                                    | Gold Dissolver Storage Tank (DMSA C400-03)                                     |
| 49                                    | C-400-B Waste Solution Storage Tank  |
| 50                                    | C-400-C Nickel Stripper Evaporation Tank                                       |
| 51                                    | C-400-D Lime Precipitation Tank  |
| 52                                    | C-400 Waste Decontamination Solution Storage Tanks                             |
| 53                                    | C-400 NaOH Precipitation Unit  |
| 54                                    | C-400 Degreaser Solvent Recovery Unit  |
| 72                                    | C-200 Underground Gasoline Tanks   |
| 73                                    | C-710 Underground Gasoline Tanks   |
| 90                                    | C-720 Petroleum Naphtha Pipe   |
| 96                                    | C-333 Cooling Tower Scrap Wood Pile  |
| 101                                   | C-340 Hydraulic System   |
| 102A                                  | Plant Storm Sewer—between the south side of the C-400 Building and Outfall 008 |
| 103                                   | Concrete Rubble Pile (1)   |
| 104                                   | Concrete Rubble Pile (2)   |
| 110                                   | Concrete Rubble Pile (8)   |
| 111                                   | Concrete Rubble Pile (9)   |
| 112                                   | Concrete Rubble Pile (10)  |
| 114                                   | Concrete Rubble Pile (12)  |
| 115                                   | Concrete Rubble Pile (13)  |
| 116                                   | Concrete Rubble Pile (14)  |
| 117                                   | Concrete Rubble Pile (15)  |
| 118                                   | Concrete Rubble Pile (16)  |
| 119                                   | Concrete Rubble Pile (17)  |
| 120                                   | Concrete Rubble Pile (18)  |
| 121                                   | Concrete Rubble Pile (19)  |
| 122                                   | Concrete Rubble Pile (20)  |
| 123                                   | Concrete Rubble Pile (21)  |
| 124                                   | Concrete Rubble Pile (22)  |
| 125                                   | Concrete Rubble Pile (23)  |
| 126                                   | Concrete Rubble Pile (24)  |
| 127                                   | Concrete Rubble Pile (25)  |
| 128                                   | Concrete Rubble Pile (26)  |
| 130                                   | C-611 550-gal Gasoline UST   |
| 131                                   | C-611 50-gal Gasoline UST  |
| 132                                   | C-611 2,000-gal Oil UST  |
| 133                                   | C-611 (unknown size) Grouted UST   |

<sup>11</sup> A portion of the SWMUs/areas of concerns listed may not qualify as NFAs per CERCLA and may require additional characterization for radionuclides under the appropriate post-GDP shutdown OU.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION</b> |  |
|--------------------------|--|
| <b>SWMU No.</b>          | <b>Description</b>                                       |
| 134                      | C-611 1,000-gal Diesel/Gasoline Tank                     |
| 136                      | C-740 TCE Spill Site                                     |
| 139                      | C-746-A1 UST   |
| 140                      | C-746-A2 UST   |
| 141                      | C-720 Inactive TCE Degreaser                             |
| 142                      | C-750-A 10,000-gal Gasoline Tank (UST)                   |
| 143                      | C-750-B 10,000-gal Diesel Tank (UST)                     |
| 144                      | C-746-A Hazardous and Mixed Waste Storage Facility       |
| 146                      | Concrete Rubble Pile (40)                                |
| 147                      | Concrete Rubble Pile (41)                                |
| 148                      | Concrete Rubble Pile (42)                                |
| 149                      | Concrete Rubble Pile (43)                                |
| 150                      | Concrete Rubble Pile (44)                                |
| 151                      | Concrete Rubble Pile (45)                                |
| 152                      | Concrete Rubble Pile (46)                                |
| 173                      | C-746-A Trash-Sorting Facility                           |
| 174                      | C-745-K Low-Level Storage Area                           |
| 184                      | Concrete Rubble Pile (29)                                |
| 186                      | C-751 Fuel Facility                                      |
| 187                      | C-611 Septic System                                      |
| 188                      | C-633 Septic System                                      |
| 189                      | C-637 Septic System                                      |
| 190                      | C-337A Sewage Treatment Aeration Tank                    |
| 191                      | C-333-A Sewage Treatment Aeration Tank                   |
| 197                      | Concrete Rubble Pile (30)                                |
| 206                      | C-755-A Toxic Substances Control Act Waste Storage Bldg. |
| 208                      | C-746-U Solid Waste Contained Landfill                   |
| 360                      | C-535  |
| 361                      | C-727-90 day   |
| 362                      | G-310-04   |
| 363                      | G-331-03   |
| 364                      | G-331-05   |
| 365                      | G-333-02   |
| 366                      | G-333-03   |
| 367                      | G-333-04   |
| 368                      | G-333-08   |
| 369                      | G-333-10   |
| 370                      | G-333-20   |
| 371                      | G-335-01   |
| 372                      | G-337-02   |
| 373                      | G-337-03   |
| 374                      | G-337-13   |
| 375                      | G-337-14   |
| 376                      | G-337-15   |
| 377                      | C-337-22   |
| 378                      | G-340-01   |
| 379                      | G-340-03   |
| 380                      | G-340-04   |
| 381                      | G-340-05   |
| 382                      | G-340-06   |
| 383                      | G-400-01   |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION (CONTINUED)</b> |                           |
|--------------------------------------|---------------------------|
| <b>SWMU No.</b>                      | <b>Description</b>        |
| 384                                  | G-400-02                  |
| 385                                  | G-409-25                  |
| 386                                  | G-410-01                  |
| 387                                  | C-416-01                  |
| 388                                  | C-416 Decontamination Pad |
| 389                                  | G-533-01                  |
| 390                                  | G-535-02                  |
| 391                                  | G-537-01                  |
| 392                                  | G-540-A-01                |
| 393                                  | G-540-A-1-02              |
| 394                                  | G-541-A-01                |
| 395                                  | G-600-01                  |
| 396                                  | C-611-U-01                |
| 397                                  | G-612-01                  |
| 398                                  | G-612-02                  |
| 399                                  | G-612-A-01                |
| 400                                  | G-635-01                  |
| 401                                  | G-710                     |
| 402                                  | G-710-04                  |
| 403                                  | G-710-20                  |
| 404                                  | G-710-24                  |
| 405                                  | G-720-22                  |
| 406                                  | G-743-T-17-01             |
| 407                                  | G-743-T-17-02             |
| 408                                  | G-745-B-01                |
| 409                                  | G-745-T-01                |
| 410                                  | G-746-G-01                |
| 411                                  | G-746-G-1-01              |
| 412                                  | G-746-G-2-01              |
| 413                                  | G-746-G-3-01              |
| 414                                  | G-746-F-01                |
| 415                                  | G-746-S-01                |
| 416                                  | G-746-X-01 (PCBs)         |
| 417                                  | G-746-X-01 (Asbestos)     |
| 418                                  | G-748-B-01                |
| 419                                  | G-752-C-01                |
| 420                                  | G-752-C-02                |
| 421                                  | G-754-01                  |
| 422                                  | G-755-A-01                |
| 423                                  | G-755-C-01                |
| 424                                  | G-755-T-07-01             |
| 425                                  | G-755-T-08                |
| 426                                  | G-755-T-2-3-01            |
| 427                                  | G-755-T-3-1-01            |
| 428                                  | G-755-T-3-2-01            |
| 429                                  | S-310-04                  |
| 430                                  | S-331-02                  |
| 431                                  | S-333-12                  |
| 432                                  | S-335-09                  |
| 433                                  | S-337-11                  |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION (CONTINUED)</b> |   |
|--------------------------------------|---|
| <b>SWMU No.</b>                      | <b>Description</b>  |
| 434                                  | S-340-01  |
| 435                                  | S-409-100   |
| 436                                  | S-409-20  |
| 437                                  | S-409-40  |
| 438                                  | S-409-60  |
| 439                                  | S-409-80  |
| 440                                  | S-410-05  |
| 441                                  | S-540-A-2-01  |
| 442                                  | S-612-01  |
| 443                                  | S-709-01  |
| 444                                  | S-709-02  |
| 445                                  | S-710-05  |
| 446                                  | S-710-06  |
| 447                                  | S-710-09  |
| 448                                  | S-710-16  |
| 449                                  | S-710-18  |
| 450                                  | S-710-32  |
| 451                                  | S-710-41  |
| 452                                  | S-710-44  |
| 453                                  | S-710-46  |
| 454                                  | S-743-T-17-01   |
| 455                                  | S-755-T-16-01   |
| 456                                  | S-755-T-16-02   |
| 457                                  | S-755-T-16-03   |
| 458                                  | S-755-T-2-3-01  |
| 459                                  | S-755-T-3-1-01  |
| 460                                  | S-755-T-3-2-01  |
| 461                                  | S-755-T-3-2-02  |
| 462                                  | S-755-T-3-2-03  |
| 465                                  | Yard Rubble Pile and Crushate Storage Area (G-Yard)                                 |
| 466                                  | South of Dyke Road, Pond Area   |
| 467                                  | Concrete Cylinder Holders Storage Area on Western Kentucky Wildlife Management Area |
| 468                                  | Area Northwest of Outfall 015   |
| 471                                  | Outside C-746-B South Storage Area  |
| 473                                  | C-746-B Pad, West   |
| 475                                  | C-745-G5-01 (Paint Enclosure)   |
| 476                                  | Concrete Crusher  |
| 479                                  | C-204 Disintegrator Building  |
| 481                                  | C-410-A Hydrogen Holder   |
| 484                                  | C-611-M Storage Tank  |
| 485                                  | C-611-N Sanitary Water Storage  |
| 490                                  | McGraw Fuel Facility Waste Oil Storage Tank   |
| 491                                  | Mercury Spill at the C-611 Water Treatment Plant Vault                              |
| 514                                  | C-340 Magnesium Fluoride Reject Silo  |
| 515                                  | C-340 "Dirty" Dust Collection System  |
| 516                                  | C-340 Derby Preparation Area Sludge Collection System                               |
| 519                                  | C-410 Sulfuric Acid Tank (C-634-B)  |
| 521                                  | C-340 Saw System Degreaser  |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION (CONTINUED)</b>   |  |
|--|--|
| <b>SWMU No.</b>  | <b>Description</b>   |
| 525  | Concrete Water Tower Supports (KOW)  |
| 527  | C-410 GSA/SAA at Column J-6  |
| 528  | GSA/SAA at the Northwest corner of C-745-G3 Paint Enclosure                          |
| 530  | Soil and Debris Storage Area by C-745-T Yard   |
| 532  | Photographic Solution Treatment Area in the C-102 Building                           |
| 534  | UST #18, within SWMU 193   |
| 535  | S-755-T08-01 (Satellite Accumulation Area at C-755, Trailer 8)                       |
| 537  | S-400-001 (SAA Located Outside at the Southeast Corner of the C-400 Building)        |
| 538  | S-MST-01-01 & S-MST-01-02 (Mobile Trailer 01)  |
| 539  | S-MST-02-01 & S-MST-02-02 (Mobile Trailer 02)  |
| 540  | S-MST-03-01 & S-MST-03-02 (Mobile Trailer 03)  |
| 542 a  | G-746-B-01; S-746-B-01; S-746-B-02 (GSA/SAA's located outside C-746-A)               |
| 542 b  | G-746-A-01; S-746-A-01; S-746-A-02 (GSA/SAA's located outside C-746-A)               |
| 543  | T-746-S-01 (90 Day Storage Area)   |
| 544  | T-752-C-01 (90 Day Storage Area)   |
| 545  | C-755-T-22-01 and G-755-T-22   |
| 546  | PGDP Post 67 Diesel Fuel Spill Area  |
| 547  | PGDP Post 38 Diesel Spill Area   |
| 548  | Staging Area for Concrete Piers, Wood and Rubble North Side of C-745-B Cylinder Yard |
| 551  | C-755-GSA-23 Located at C-755 near the East Fence Line                               |
| 552  | C-760 90-Day Accumulation Area   |
| 566  | H-340-01   |
| 568  | C-340 ST-90 Boxes  |
| 569  | C-743-T-17 Sample Return Refrigerator  |
| 570  | Sample Return Sealand  |
| <b>PENDING NO FURTHER ACTION DECISION</b>  |  |
|  | TBD  |
| <b>SWMUs THAT WILL BE INVESTIGATED AND REMEDIATED BY THE U.S. ARMY CORPS OF ENGINEERS<sup>12</sup></b> |  |
| 94   | KOW Trickling Filter and Leach Field   |
| 95   | KOW Burn Area  |
| 157  | KOW Toluene Spill Area   |
| 182  | Western Portion of Yellow Water Line   |

CERCLA = Comprehensive Environmental Response, Compensation, and Liability Act

CSOU = Comprehensive Site Operable Unit

D&D = decontamination and decommissioning

ER = environmental remediation

FY = fiscal year

GDP = gaseous diffusion plant

GSA = generator staging area

HVAC = heating, ventilating, and air-conditioning

KOW = Kentucky Ordinance Works

KPDES = Kentucky Pollutant Discharge Elimination System

NFA = no further action

NSDD = North-South Diversion Ditch

OU = operable unit

PCB = polychlorinated biphenyl

PGDP = Paducah Gaseous Diffusion Plant

RCW = recirculating cooling water

SAA = satellite accumulation area

SAP = Sampling and Analysis Plan

<sup>12</sup> EPA review/approval of the CERCLA documentation associated with these SWMUs has not occurred.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

SWMU = solid waste management unit

SWOU = Surface Water Operable Unit

TBD = to be determined

TCE = trichloroethene

TSCA = Toxic Substances Control Act

UST = underground storage tank

WKWMA = West Kentucky Wildlife Management Area

**APPENDIX C**

**Current Year Timetables and Deadlines**

**Paducah Federal Facility Agreement  
Appendix C<sup>1</sup>  
FY 2015 Enforceable Timetables and Deadlines**

| <u>Subproject</u>                  | <u>Deliverable</u>   | <u>Submittal Date</u> |
|------------------------------------|--|-----------------------|
| FFA                                | FFA Semiannual Progress Report <sup>2</sup><br>Second Half of Fiscal Year 2014 | 10/30/14              |
| FFA                                | FFA Semiannual Progress Report <sup>2</sup><br>First Half of Fiscal Year 2015  | 4/30/15               |
| FFA                                | D1 FY 2015 Site Management Plan  | 11/15/14              |
| Groundwater OU<br>C-400 Phase IIb  | D1 Treatability Study Construction<br>Start                                    | 1/10/15               |
| Waste Disposal Options             | D1 Proposed Plan   | ___ <sup>3</sup>      |
| Burial Grounds OU<br>SWMUs 5 and 6 | D1 Record of Decision  | ___ <sup>3</sup>      |
| Soils OU<br>Remedial Action 2      | Remedial Investigation Report  | 8/31/15               |

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<sup>1</sup> Appendix C reflects subsequent milestone modifications that have occurred since the FY 2014 SMP was approved by EPA and KDEP.

<sup>2</sup> Denotes Secondary Document

<sup>3</sup>New enforceable milestone dates will be established as part of dispute resolution

APPENDIX D

Document Outlines



**RI/FS SCOPING DOCUMENT**

1. A summary of how the RI/FS is to be conducted in a manner consistent with §300.430(a) and (b) of the NCP.
2. A summary of the following information:
  - 2.1 Existing data pertaining to the characteristics of the release or potential release.
    - 2.1.1 Previous investigations
    - 2.1.2 Historical records
  - 2.2 Conceptual model of release
    - 2.2.1 Identify potential release and exposure pathways
    - 2.2.2 Identify potential contaminants of concern
  - 2.3 Identify likely response scenarios, potentially applicable and applicability of presumptive remedies and innovative technologies
  - 2.4 Identify need for limited data collection efforts to assist RI/FS scoping
  - 2.5 Identify the type, quality, and quantity (i.e., DQOs) of the data to be collected during the RI/FS
  - 2.6 Initiate the identification of potential federal and state ARARs and, as appropriate, other criteria, advisories, or guidance to be considered
3. Applicability of streamlined response actions:
  - 3.1 Removals
  - 3.2 Early remedial actions
    - 3.2.1 Interim remedial actions
    - 3.2.2 Final remedial actions

**NOTE:** Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**INTEGRATED RI/FS, RFI, AND CORRECTIVE MEASURES STUDY (CMS) WORK PLAN  
BASED UPON OUTLINE FROM THE  
RFI WORK PLAN FOR WAG 13**

**Executive Summary**

- 1. Introduction**
  - 1.1 Project Scope
  - 1.2 Project Objectives and Goals
  - 1.3 Project DQOs
  - 1.4 Observational Approach
  
- 2. Project Organization and Management Plan**
  - 2.1 Organization, Responsibilities, and Staffing
  - 2.2 Project Coordination
  - 2.3 PGDP Tasks and Implementation Plan
  - 2.4 Project Schedule
  - 2.5 RFI Work Plan Activities
  - 2.6 Field Preparation Activities
  - 2.7 Field Support Facility
  
- 3. Regulatory Setting**
  - 3.1 ACO
  - 3.2 Environmental Programs
  - 3.3 RCRA
  - 3.4 CERCLA/NPL
  - 3.5 NEPA
  - 3.6 Investigative Overview
  
- 4. Environmental Setting/Site Characterization**
  - 4.1 Location
  - 4.2 Demography and Land Use
  - 4.3 General History
  - 4.4 Regional Geologic Setting
  - 4.5 Geology of PGDP
  - 4.6 Hydrogeology
  - 4.7 Surface Water Hydrology
  - 4.8 Ecological Setting
  - 4.9 Climatology
  
- 5. Characterization of Site/Previous Analytical Data**
  - 5.1 Area 1
  - 5.2 Area 2
  - 5.3 Area 3

6. Initial Evaluation
  - 6.1 Risk Assessment
    - 6.1.1 Data Evaluation
    - 6.1.2 Exposure Assessment
    - 6.1.3 Toxicity Assessment
    - 6.1.4 Risk Characterization
    - 6.1.5 Preliminary Remediation Goals (RAGS Vol. 1, Part B)
    - 6.1.6 Evaluation of Uncertainties
    - 6.1.7 Ecological Assessment Methods
  - 6.2 Preliminary Data Evaluation
    - 6.2.1 Characterization and Inventory of Wastes
    - 6.2.2 Information Status of Key Assessment Factors
    - 6.2.3 Release Potential from Contaminant Sources
  - 6.3 Sampling Strategy
7. Treatability Studies
  - 7.1 Identification of Treatability Studies Needed
  - 7.2 Description of Study to be Performed
  - 7.3 Additional Site Data Needed for Study or Evaluation
  - 7.4 Schedule for Submission of Treatability Study Work Plan (Section 2 also)
8. Alternatives Development
  - 8.1 Description of the General Approach to Investigating and Evaluating Potential Remedies
  - 8.2 Overall Objectives of the Study
  - 8.3 Preliminary Identification of General Response Actions and Remedial Technologies
  - 8.4 Remedial Alternatives Development Screening
  - 8.5 Detailed Analysis of Remedial Alternatives
  - 8.6 Format for FS/CMS Report (Appendix Document Outlines)
  - 8.7 Schedule/Timing for Conducting the Study (Section 2 also)
9. Field Sampling Plan
  - 9.1 Sampling Media and Methods
  - 9.2 Sample Analysis
  - 9.3 Site-Specific Sampling Plans
  - 9.4 Sampling Procedures
  - 9.5 Documentation
  - 9.6 Sample Location Survey
10. Health and Safety Plan\*
11. Quality Assurance Project Plan\*
12. Data Base Management Plan\*

13. Waste Management Plan\*
  - 13.1 Types of Investigation Derived Waste
  - 13.2 Waste Management Tracking Responsibilities
  - 13.3 Investigation Derived Waste Request for Disposal, Storage, and Labelling
  - 13.4 Transportation and Storage of Investigation Derived Waste
  - 13.5 Screening of Analytical Samples
  - 13.6 Investigation Derived Waste Characterization Sampling and Analysis
  - 13.7 Sample Residuals and Miscellaneous Waste Management
  - 13.8 Effect of Land Disposal Restrictions
14. Community Relations Plan\*
15. References

Appendices

- A. ARARs
- B. Statistical Evaluation Methods
- C. Miscellaneous Forms
- D. Document Outlines

\*Programmatic plans will be submitted, rather than included, in each project work plan.

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**INTEGRATED RFI/RI REPORT****Executive Summary**

1. Introduction
  - 1.1 Purpose of Report
  - 1.2 Site Background
    - 1.2.1 Site Description
    - 1.2.2 Site History
    - 1.2.3 Previous Investigations
  - 1.3 Report Organization
2. Study Area Investigation
  - 2.1 Includes all field activities associated with site characterization. These may include physical and chemical monitoring of some of the following:
    - 2.1.1 Surface Features
    - 2.1.2 Contaminant Source Investigations
    - 2.1.3 Meteorological Investigations
    - 2.1.4 Surface Water and Sediment Investigations
    - 2.1.5 Geological Investigations
    - 2.1.6 Soil and Vadose Zone Investigations
    - 2.1.7 Groundwater Investigations
    - 2.1.8 Human Population Surveys
    - 2.1.9 Ecological Investigations
  - 2.2 If technical memoranda documenting field activities were prepared, they may be included in an appendix and summarized in this report section.
3. Physical Characteristics of the Study Area
  - 3.1 Includes results of the field activities to determine physical characteristics. These may include some of the following:
    - 3.1.1 Surface Features
    - 3.1.2 Meteorology
    - 3.1.3 Surface Water Hydrology
    - 3.1.4 Geology
    - 3.1.5 Soils
    - 3.1.6 Hydrogeology
    - 3.1.7 Demography and Land Use
    - 3.1.8 Ecology
4. Nature and Extent of Contamination
  - 4.1 Presents the results of site characterization, both natural chemical components and contaminants of the following media:
    - 4.1.1 Sources (Lagoons, Sludges, Tanks, etc.)
    - 4.1.2 Soils and Vadose Zone
    - 4.1.3 Groundwater
    - 4.1.4 Surface Water and Sediments
    - 4.1.5 Air

5. Fate and Transport
  - 5.1 Potential Routes of Migration (i.e., Air, Groundwater, etc.)
  - 5.2 Contaminant Persistence
    - 5.2.1 Describe estimated persistence in the study area environment and physical, chemical, and/or biological factors of importance for the media of interest.
  - 5.3 Contaminant Migration
    - 5.3.1 Describe factors affecting contaminant migration for the media of importance (e.g., sorption onto soils, solubility in water, movement of groundwater, etc.).
    - 5.3.2 Describe modeling methods and results, if applicable.
6. BRA
  - 6.1 Human Health Evaluation
    - 6.1.1 Exposure Assessment
    - 6.1.2 Toxicity Assessment
    - 6.1.3 Risk Characterization
  - 6.2 Environmental Evaluation
7. Summary and Conclusions
  - 7.1 Summary
    - 7.1.1 Nature and Extent of Contamination
    - 7.1.2 Fate and Transport
    - 7.1.3 Risk Assessment
  - 7.2 Conclusions
    - 7.2.1 Data Limitations and Recommendations for Future Work
    - 7.2.2 Recommended RA Objectives

Appendices

- A Technical Memoranda on Field Activities
- B Analytical Data and QA/QC Evaluation Results
- C Risk Assessment Methods

**NOTE:** Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

## INTEGRATED FS/CMS REPORT

## Executive Summary

1. Introduction
  - 1.1 Purpose and Organization of Report
  - 1.2 Background Information (Summarized from RI/RFI Report)
    - 1.2.1 Site Description
    - 1.2.2 Site History
    - 1.2.3 Nature and Extent of Contamination
    - 1.2.4 Contaminant Fate and Transport
    - 1.2.5 BRA
2. Identification and Screening of Technologies
  - 2.1 Introduction
  - 2.2 RA Objectives -  
Presents the development of RA objectives for each medium of interest. For each medium, the following should be discussed:
    - 2.2.1 Contaminants of Interest
    - 2.2.2 Allowable Exposure Based upon Risk Assessment (including ARARs)
    - 2.2.3 Development of Remediation Goals
  - 2.3 General Response Actions -  
For each medium of interest, describe the estimation of areas or volumes to which treatment, containment, or exposure technologies may be applied.
  - 2.4 Identification and Screening of Technology Types and Process Options -  
For each medium of interest, describe:
    - 2.4.1 Identification and Screening of Technologies
    - 2.4.2 Evaluation of Technologies and Selection of Representative Technologies
3. Development and Screening of Alternatives
  - 3.1 Development of Alternatives -  
Describes rationale for combination of technologies/media into alternatives.
  - 3.2 Screening of Alternatives (if conducted)
    - 3.2.1 Introduction
    - 3.2.2 Alternative 1
      - 3.2.2.1 Description
      - 3.2.2.2 Evaluation
    - 3.2.3 Alternative 2 (etc.)
    - 3.2.4 Alternative 3 (etc.)
4. Detailed Analysis of Alternatives
  - 4.1 Introduction
  - 4.2 Individual Analysis of Alternatives
    - 4.2.1 Alternative 1
      - 4.2.1.1 Description
      - 4.2.1.2 Assessment

- 4.2.2 Alternative 2 (etc.)
- 4.2.3 Alternative 3 (etc.)
- 4.3 Comparative Analysis

Bibliography

Appendices

**NOTE:** Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

## PROPOSED PLAN/STATEMENT OF BASIS

1. Introduction
  - 1.1 Purpose
  - 1.2 Site Name and Location
  - 1.3 Lead and Support Agencies
  - 1.4 Objectives of the Proposed Plan
2. Site Background
  - 2.1 History of Site Activities that Led to Current Problems at the Site
  - 2.2 The Site Area or Media to be Addressed by the Selected Remedy
3. Scope and Role of the OU or Response Action
  - 3.1 Identify the principal threats posed by conditions at the site.
  - 3.2 Describe the scope of the problems addressed by the preferred alternative and its role within the overall site cleanup strategy.
4. Summary of Site Risks
  - 4.1 Provide a brief overview of the BRA, including the contaminated media, contaminants of concern, exposure pathways and populations, and potential or actual risks.
  - 4.2 Describe how current risks compare with remediation goals.
  - 4.3 Discuss environmental risks.
5. Summary of Alternatives
  - 5.1 Briefly describe each of the alternatives evaluated in the detailed analysis of the FS.
6. Evaluation of Alternatives and the Preferred Alternative
  - 6.1 Identify the preferred alternative.
  - 6.2 Introduce the nine evaluation criteria.
  - 6.3 Summarize the expected performance of the preferred alternative.
  - 6.4 Conformance of preferred alternative to statutory findings and preference for treatment
  - 6.5 Preliminary identification of preferred alternative design criteria and considerations
    - 6.5.1 Special technical problems
    - 6.5.2 Additional engineering/characterization data required
    - 6.5.3 Permits and regulatory requirement
    - 6.5.4 Access, easements, right of way
    - 6.5.5 Environmental impacts
    - 6.5.6 Health and safety requirements
  - 6.6 Time frame for design and implementation of preferred alternative
  - 6.7 General Operation and Maintenance and long-term monitoring requirements of preferred alternative
7. Community Participation
  - 7.1 Public Comment Period
  - 7.2 Public Meetings
  - 7.3 Contact Personnel
  - 7.4 Administrative Record Availability

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**RECORD OF DECISION**

1. Declaration
  - Site Name and Location
  - Statement of Basis and Purpose
  - Assessment of the Site
  - Description of the Selected Remedy
  - Statutory Determinations
  - Signature and Support Agency Acceptance of the Remedy
  
2. Decision Summary
  - 2.1 Site Name and Location
  - 2.2 Site History and Enforcement Activities
  - 2.3 Highlights of Community Participation
  - 2.4 Scope and Role of OU
  - 2.5 Site Characteristics
  - 2.6 Summary of Site Risks
  - 2.8 Description of Alternatives
  - 2.9 Summary of Comparative Analysis of Alternatives
  - 2.10 Selected Remedy
  - 2.11 Statutory Determinations
  - 2.12 Documentation of Significant Changes
  - 2.13 Discussion of any hazardous substances, contaminants or pollutants left on-site and need for Five-Year Review of remedial action
  
3. Responsiveness Summary
  - 3.1 Community Preferences
  - 3.2 Integration of Comments
  
4. Remedial Design Schedule With Summary (intended to satisfy Remedial Design Work Plan)
  - 4.1 Purpose
  - 4.2 Implementation of Remedial Design Schedule
  - 4.3 30 Percent Scoping Meeting, 60 Percent Progress Meeting, and 90 Percent Design Report

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**REMEDIAL DESIGN REPORT  
(90 PERCENT DESIGN)**

Based upon 90 percent design:

1. Brief Summary of Action
2. Description of Key Design Features
3. Schedule for Remedial Construction
  - 3.1 Purpose
  - 3.2 Implementation Schedule (intended to satisfy Remedial Action Work Plan)

Appendix

90 Percent Design Drawings

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**POSTCONSTRUCTION REPORT**

1. Brief description of how outstanding items noted in the Prefinal Inspection were resolved;
2. Explanation of modifications made during the RA to the original Remedial Design and RA Work Plans, and why these changes were made;
3. As-built and record drawings;
4. Synopsis of the construction work defined in this Agreement and certification that the construction work has been completed; and
5. Capital Cost Estimate.

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

## OPERATION AND MAINTENANCE PLAN

1. Equipment start-up and operator training:
  - 1.1 Technical specifications governing treatment systems;
  - 1.2 Requirements for providing appropriate service visits by experienced personnel to supervise the installation, adjustment, start-up, and operation of the systems; and
  - 1.3 Schedule for training personnel regarding appropriate operational procedures once start-up has been successfully completed.
2. Description of normal O&M:
  - 2.1 Description of tasks required for system operation;
  - 2.2 Description of tasks required for system maintenance;
  - 2.3 Description of prescribed treatment or operating conditions; and
  - 2.4 Schedule showing the required frequency for each O&M task.
3. Description of potential operating problems:
  - 3.1 Description and analysis of potential operating problems;
  - 3.2 Sources or information regarding problems; and
  - 3.3 Common remedies or anticipated corrective actions.
4. Description of routine monitoring and laboratory testing:
  - 4.1 Description of monitoring tasks;
  - 4.2 Description of required laboratory tests and their interpretation;
  - 4.3 Required QA/QC; and
  - 4.4 Schedule of monitoring frequency and date, if appropriate, when monitoring may cease.
5. Description of alternate O&M:
  - 5.1 Should system fail, alternate procedures to prevent undue hazard; and
  - 5.2 Analysis of vulnerability and additional resource requirements should a failure occur.
6. Safety Plan:
  - 6.1 Description of precautions to be taken and required health and safety equipment, etc., for site personnel protection; and
  - 6.2 Safety tasks required in the event of systems failure.
7. Description of equipment:
  - 7.1 Equipment identification
  - 7.2 Installation of monitoring components
  - 7.3 Maintenance of site equipment
  - 7.4 Replacement schedule for equipment and installation components
8. Records and reporting:
  - 8.1 Daily operating logs,
  - 8.2 Laboratory records,
  - 8.3 Records of operating cost,
  - 8.4 Mechanism for reporting emergencies,

- 8.5 Personnel and maintenance records, and
- 8.6 Monthly reports to state/federal agencies (satisfied by the FFA Quarterly Reports).

9. Projected O&M Costs

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**FINAL REMEDIAL ACTION REPORT\***

1. Introduction
  - 1.1 General description of site
    - 1.1.1 Location
    - 1.1.2 Description
    - 1.1.3 History
  - 1.2 General Description of Remedy
    - 1.2.1 Components of remedy
    - 1.2.2 Contaminants dealt with
2. Chronology of Events
3. Performance Standards and Construction Quality Control
  - 3.1 Standards
  - 3.2 Results of field sampling
  - 3.3 Location and frequency of tests
  - 3.4 Basis for determination that standards were met
4. Construction Activities
  - 4.1 Narrative description
  - 4.2 Tabular summaries
    - 4.2.1 Quantities excavated
    - 4.2.2 Cleanup levels achieved
    - 4.2.3 Material and equipment used
  - 4.3 Names and roles of major design and remedial action contractors
  - 4.4 Participation by other federal agencies
  - 4.5 Lessons learned
    - 4.5.1 Problems encountered
    - 4.5.2 Options considered
    - 4.5.3 Process used to select solutions
    - 4.5.4 Causes of delays
    - 4.5.5 Innovative solution
    - 4.5.6 Time- or cost-saving measures
5. Final Inspection
  - 5.1 List of inspection Attendees
  - 5.2 Deficiencies found
  - 5.3 Resolution of deficiencies
6. Certification That Remedy is Operational and Functional
  - 6.1 SOW was performed within desired specifications
  - 6.2 Affirmation that performance standards have been met
  - 6.3 Basis for determination

7. Operation and Maintenance
  - 7.1 Highlights of operation and maintenance plan
  - 7.2 Potential problems or concerns
  
8. Summary of Project Costs
  - 8.1 Final costs
  - 8.2 Comparison of final costs to original estimate
  - 8.3 Need for and cost of modifications
  - 8.4 Summary of regulatory agency oversight costs

\*The Final Remedial Action Report shall be submitted after the O&M Period for each OU.

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**FINAL SITE REMEDIATION REPORT\***

The Final Site Remediation Report shall include the following:

1. Synopsis of the work defined in this Agreement and a demonstration that the performance standards have been attained;
2. Certification that the RA has been completed in full satisfaction of the requirements of this Agreement; and
3. A description of how DOE will operate and maintain the RA.

\*The Final Site Remediation Report shall be the Site Delisting Report.

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**SECONDARY DOCUMENT OUTLINES**

PRELIMINARY CHARACTERIZATION SUMMARY REPORT

EXECUTIVE SUMMARY

1. Introduction
    - 1.1 Background
    - 1.2 RFI Process
    - 1.3 PCSR Organization
  
  2. Screening and Evaluation Methods
    - 2.1 Introduction
    - 2.2 Evaluation Methods
    - 2.3 Background Reference Values
    - 2.4 Risk-Based Screening Values (PRGs)
      - 2.4.1 Site-Specific Exposure Scenarios
      - 2.4.2 Target Risk Levels
      - 2.4.3 Toxicity Values
    - 2.5 Certainty Analysis
  
  3. PRG/Background Screening Results
    - 3.1 WAG 1
      - 3.1.1 SWMU 1
      - 3.1.2 SWMU 2
      - 3.1.3 SWMU 3
    - 3.2 WAG 2
      - 3.2.1 SWMU 4
      - 3.2.2 SWMU 5
  
  4. SWMU Summary and Recommendations
  
  5. References
- Appendix A: Figures  
Appendix B: Tables  
Appendix C: Preliminary Remediation Goal Calculations  
Appendix D: Statistical Evaluation Method for Chemical Sample Results  
From the Paducah Site  
Appendix E: Laboratory Data Qualifier Definitions

NOTE: Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**INTEGRATED QUARTERLY REPORTS  
COMPILED FROM THE EPA HSWA PERMIT, DRAFT FFA**

- I. Work performed during previous quarter (include summaries of findings and any deviations from the Work Plan):**
  
- II. Schedules of activities to be taken during upcoming quarter (including projected work/crucial phases of construction):**
  
- III. Identity and assigned tasks of DOE Contractors for work to be performed for this project:**
  
- IV. Statement of the manner and extent to which the requirements and time schedules are being met:**
  
- V. Primary/Secondary Document Tracking System:**
  - A) Documents under review and or preparation for the previous quarter:**
  
  - B) Due dates for completion of review/modification tasks:**
  
- VI. Anticipated problems/delays (provide summary of problems, schedule, reason for delay, and actions taken to prevent or mitigate delay):**
  
- VII. Summary of all contacts with local community, public interest groups, or state government:**
  
- VIII. Changes in relevant personnel:**
  
- IX. Actual Cost for Operation & Maintenance, if appropriate:**

**NOTE:** Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

**PRELIMINARY ASSESSMENT/SITE INSPECTION REPORT  
AND SWMU ASSESSMENT REPORT**

**UNIT NUMBER:**

**UNIT NAME:**

**DATE:**

**REGULATORY STATUS:**

**LOCATION:**

**APPROXIMATE DIMENSION:**

**FUNCTION:**

**BRIEF HISTORY:**

**OPERATIONAL STATUS:**

**DATES OPERATED:**

**SITE/PROCESS DESCRIPTION:**

**WASTE DESCRIPTION:**

**WASTE QUANTITY:**

**SUMMARY OF ENVIRONMENTAL SAMPLING DATA:**

**DESCRIPTION OF RELEASE AND MEDIA AFFECTED:**

**DESCRIPTION OF RELEASE AND MEDIA AFFECTED:**

**GROUNDWATER:**

**SURFACE WATER:**

**SOIL:**

**ECOLOGY AFFECTED (i.e., endangered/threatened species)**

**DOCUMENTATION OF NO RELEASE:**

**IMPACT ON OR BY OTHER SWMU/AOC:**

**PRG COMPARISON:**

**RFI NECESSARY:**

**NOTE:** Elements included in this outline shall be considered and incorporated, as appropriate, when developing the above-referenced document.

APPENDIX E

Prior Work

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**Environmental Restoration Program  
Prior Work by Project**

10/16/96

**WAG 27 NORTHWEST PLUME SOURCES**

| Description                     | Due Date | Submitted | Approved   |
|---------------------------------|----------|-----------|--|
| RI/FS Scoping Document - WAG 27 | 07/03/96 | 07/18/96  | Serves as precursor to the Data Quality Objectives (DQO) session scheduled for July 29-30, 1996. |

**ADMINISTRATIVE CONSENT ORDER (ACO)**

| Description                        | Due Date | Submitted | Approved                    |
|------------------------------------|----------|-----------|-----------------------------|
| Administrative Consent Order (ACO) |          |           | Effective date of 11/23/88. |

**GROUNDWATER NORTHWEST IRA1**

| Description   | Due Date | Submitted | Approved  |
|---|----------|-----------|---|
| D1 Phase I Site Investigation Work Plan             | 01/22/89 | 01/20/89  | EPA KY<br>4/10/89 03/30/89<br>Conditional   |
| D1 Phase I Site Investigation Report                | 12/21/90 | 12/20/90  | Approved 1991   |
| D1 Phase II Site Investigation Work Plan            |          | 07/17/90  | EPA KY<br>12/04/90 12/04/90   |
| D1 Phase II Site Investigation Report               | 10/28/91 | 10/25/91  | EPA required no further revisions; however, the Final Report would not be approved until a complete schedule for implementation of post-Phase II activities is approved.  |
| D1 Phase II Public Health and Ecological Assessment | 12/29/91 | 12/19/91  | Review comments to be addressed in post-Phase II documents submitted in accordance with approved schedules. Draft report not required to be finalized but to support the final documents developed in accordance with the ACO/Site Management Plan. |
| D1 Phase II Preliminary Alternatives Evaluation     | 12/29/91 | 12/19/91  | Review comments to be addressed in post-Phase II documents submitted in accordance with approved schedules.   |
| D1 ICM Work Plan - Northwest Plume IRA1             | 05/22/92 | 05/21/92  | EPA KY<br>07/26/93 07/26/93   |
| D1 FS/PP - Northwest Plume IRA1                     | 03/08/93 | 03/03/93  | Received EPA concurrence on 04/15/93.   |

**Environmental Restoration Program  
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|  |          |          |   |                |
|--|----------|----------|---|----------------|
| D1 IROD - Northwest Plume IRA1   | 05/03/93 | 04/22/93 | Signature Dates:<br>DOE 07/16/93<br>EPA 07/22/93<br>KY concurred 08/13/93               |                |
| D1 RD Work Plan - Northwest Plume IRA1                                     | 05/10/93 | 05/10/93 | EPA<br>09/01/93   | KY<br>09/01/93 |
| D1 Remedial Design Report - Northwest Plume IRA1                           | 10/30/93 | 10/27/93 | EPA<br>02/14/94   | KY<br>03/15/94 |
| D1 Remedial Action Work Plan - Northwest Plume IRA1                        | 11/05/93 | 11/05/93 | EPA<br>03/28/94   | KY<br>03/28/94 |
| D1 Northwest Plume Groundwater Screening Risk Assessment                   | 12/20/93 | 12/17/93 | Comments will be addressed as part of the Risk Assessment Strategy included in the SMP. |                |
| D1 Treatability Study Work Plan (Iron Filings) - Northwest Plume IRA1      | 08/01/94 | 07/29/94 | EPA<br>04/19/95   | KY             |
| D1 O&M Plan - Northwest Plume IRA1   | 05/31/94 | 05/27/94 | EPA<br>03/06/96   | KY<br>12/08/95 |
| D1 Remedial Action Report (Postconstruction Report) - Northwest Plume IRA1 | 08/06/95 | 08/05/95 | EPA<br>09/28/95   | KY<br>09/11/95 |

**GROUNDWATER NORTHWEST IRA 2**

| Description   | Due Date | Submitted | Approved   |
|---|----------|-----------|--|
| D1 Focused Feasibility Study - Northwest Plume Source Containment | 01/28/94 | 01/19/94  | Agreements made to further delay action on the Northwest Plume   |
| D1 Proposed Plan - Northwest Plume Source Containment             | 09/09/94 | 09/07/94  | Received letter on 12/02/94 disapproving the report based on agreements made to delay further action on the Northwest Plume. |
| D1 Record of Decision - Northwest Plume IRA-2                     | 04/04/95 |           | On hold based on EPA/KY negotiations.  |

**GROUNDWATER NORTHWEST FRA**

| Description  | Due Date | Submitted | Approved                             |
|--|----------|-----------|--------------------------------------|
| D1 Feasibility Study Work Plan - Dissolved Phase Northwest Plume | 04/28/94 | 04/26/94  | EPA<br>03/14/95<br>KY<br>Response    |
| D1 RI Report (Baseline RA) - Dissolved Phase Risk Assessment     | 08/01/94 | 07/29/94  | On hold based on EPA/KY negotiations |

**Environmental Restoration Program  
Prior Work by Project**

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**GROUNDWATER NORTHEAST IRA**

| Description  | Due Date | Submitted | Approved  |
|--|----------|-----------|---|
| D1 ICM Work Plan - Northeast Plume   | 10/05/93 | 10/04/93  | EPA KY<br>03/07/94 02/18/94   |
| D1 Field Sampling Plan - Northeast Plume   | 01/13/94 | 01/12/94  | EPA KY<br>03/07/94 03/14/94   |
| D1 Preliminary Characterization Summary Report - Northeast Plume   | 02/07/95 | 02/06/95  | EPA KY<br>05/01/95 11/06/95   |
| D1 Technical Memorandum for Northeast Plume  | 02/02/95 | 01/31/95  | EPA KY<br>03/09/95 04/07/96   |
| D1 Proposed Plan for Northeast Plume   | 02/02/95 | 01/31/95  | EPA KY<br>03/09/95 03/10/95   |
| D1 ROD - Northeast Plume   | 05/24/95 | 05/23/95  | Signature Dates:<br>DOE 06/06/95<br>EPA 06/15/95<br>KY concurrence by permit<br>modification 06/26/95                 |
| 95% Design Package for construction of pipeline from extraction wells to security fence - Northeast Plume              | 07/26/96 | 07/22/96  | This is in place of the CFC that was due on 07/02/96 that was changed due to changes in design.                       |
| 90% Design Document for construction of pipelines from extraction wells to security fence - Northeast Plume            | 06/04/96 | 06/11/96  | Dates and structure have been changed per ROC dated 12/28/95 from DOE to EPA and KY which outlines such agreements    |
| 30% Design Document for construction of pipelines from cooling towers to security fence - Northeast Plume              | 03/12/96 | 02/27/96  | Dates and structure have been changed per ROC dated 12/28/95 from DOE to EPA and KY which outlines such agreements.   |
| Certified for Construction (CFC) for construction of pipelines from cooling towers to security fence - Northeast Plume | 06/04/96 | 06/03/96  | Pursuant to letter from DOE to EPA/KY dated 02/27/96.   |
| 90% Design Document for construction of pipelines from cooling towers to security fence - Northeast Plume              | 04/16/96 | 04/05/96  | Dates and structure have been changed per ROC dated 12/28/95 from DOE to EPA and KY which outlines such agreements.   |
| 30% Design for extraction well field complete - Northeast Plume  | 01/04/96 | 12/28/95  | Dates and structure have been changed per ROC dated 12/28/95 from DOE to EPA and KY which outlines such an agreement. |

## Environmental Restoration Program Prior Work by Project

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30% Design Document for construction of pipelines from extraction wells to security fence - Northeast Plume

04/30/96 04/22/96

Dates and structure have been changed per ROC dated 12/28/95 from DOE to EPA and KY which outlines such an agreement.

### GROUNDWATER GENERAL

| Description  | Due Date | Submitted | Approved                                      |
|--|----------|-----------|---|
| D1 Water Policy EE/CA                                      | 05/19/93 | 05/17/93  | EPA KY<br>08/13/93 08/25/93                   |
| D1 Groundwater Strategy Document                           | 06/30/93 | 06/28/93  | This document will be an appendix to the SMP. |
| D1 Action Memorandum - Water Policy                        | 10/26/93 | 10/22/93  | EPA KY<br>09/02/95 09/25/95                   |
| D1 Postconstruction Report for Water Policy Implementation | 07/30/95 | 07/27/95  | EPA KY<br>08/25/95 10/31/95                   |

### SURFACE WATER

| Description                                 | Due Date | Submitted | Approved   |
|---|----------|-----------|--|
| D1 ICM Work Plan for Institutional Controls | 05/21/92 | 05/21/92  | EPA KY<br>10/13/92 10/13/92<br>Conditional                           |
| D1 Surface Water Strategy Document          | 04/30/93 | 04/27/93  | Document will be included as an appendix to the Site Management Plan |
| D1 O&M Plan for Institutional Controls      | 08/15/93 | 10/04/93  | EPA KY<br>11/05/93 11/08/93  |
| D1 ICM Report for Institutional Controls    | 10/13/93 | 10/12/93  | EPA KY<br>11/05/93 11/08/93  |

### WAG 22

| Description   | Due Date | Submitted | Approved                    |
|---|----------|-----------|-----------------------------|
| D1 RI Addendum - WAG 22 Burial Grounds                        | 06/23/93 | 06/22/93  | EPA KY<br>10/25/94 01/17/95 |
| D1 Feasibility Study - SWMUs 2 and 3 of WAG 22 Burial Grounds | 10/12/94 | 10/11/94  | EPA KY<br>04/12/95 05/26/95 |
| D1 Proposed Plan - SWMUs 2 and 3 of WAG 22 Burial Grounds     | 03/24/95 | 03/21/95  | EPA KY<br>05/26/95 08/31/95 |

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|   |          |          |   |                         |
|---|----------|----------|---|-------------------------|
| D1 Record of Decision - SWMUs 2 and 3 of WAG 22 Burial Grounds  | 07/30/95 | 07/28/95 | Signature Dates:<br>EPA 08/22/95<br>DOE 08/16/95<br>KY concurrence 08/31/95   |                         |
| D1 Field Sampling Plan - SWMUs 7 and 30 of WAG 22 Burial Grounds  | 03/31/95 | 03/29/95 | The Field Sampling Plan, combined with the CERCLA ACO Phase I and Phase II Work Plans, constitutes the RI/FS Work Plan (RFI/CMS Work Plan). |                         |
| D1 Sampling Plan - SWMUs 2 and 3 of WAG 22 Burial Grounds   | 09/01/95 | 08/31/95 | EPA   | KY<br>06/17/96          |
| Addendum to D1 Field Sampling Plan - SWMUs 7 and 30 of WAG 22 Burial Grounds. Required in 05/04/95 Data Quality Objectives meeting. | 06/02/95 | 06/02/95 | EPA   | KY<br>07/11/95 07/21/95 |

### WAG 23

| Description                                 | Due Date | Submitted | Approved   |                         |
|---|----------|-----------|--|-------------------------|
| D1 Proposed Plan - WAG 23                   | 04/29/96 | 04/15/96  | Originally scheduled for 04/29/96 but pushed forward to 04/14/96. Due to some problems with certification, pushed back to original date of 04/29/96. |                         |
| D1 RI Addendum - WAG 23 PCB Spill Sites     | 07/23/93 | 07/22/93  | EPA  | KY<br>01/26/95 02/16/95 |
| D1 Treatability Study Program Plan - WAG 23 | 03/26/94 | 03/24/94  | EPA  | KY<br>01/12/95          |
| D1 Treatability Study Report - WAG 23       | 09/29/95 | 09/27/95  | In review (extension requested and approved by EPA and KY on 08/10/95 and 08/08/95, respectively.)   |                         |
| D1 Feasibility Study Report - WAG 23        | 01/25/96 | 01/23/96  | EPA  | KY<br>06/10/96 05/09/96 |

### WAG 11

| Description                      | Due Date | Submitted | Approved  |  |
|----------------------------------|----------|-----------|---|--|
| D1 RFI Work Plan - WAGs 5 and 11 | 06/14/92 | 06/01/92  | Resubmission moved to outyear pursuant to WAG restructuring included in Mod #10 to the RCRA Permit. |  |

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**WAGS 1 AND 7**

| Description   | Due Date | Submitted | Approved   |
|---|----------|-----------|--|
| D1 ICM Work Plan - C-746-K  | 08/10/92 | 08/14/92  | EPA KY<br>03/02/93 03/02/93  |
| D1 RFI Work Plan - WAGs 1 and 7   | 09/12/92 | 09/11/92  | EPA KY<br>09/28/93 09/28/93  |
| D1 Feasibility Study Work Plan (CMS Work Plan) - WAGs 1 and 7                 | 01/28/95 | 01/25/95  | EPA KY<br>03/08/95 03/06/95<br>RI Report submitted 09/11/95                                |
| D1 Preliminary Characterization Summary Report and FSP Addendum- WAGs 1 and 7 | 01/28/95 | 01/25/95  |  |
| D1 RFI Report - WAGs 1 and 7  | 11/01/95 | 10/30/95  | EPA KY<br>06/10/96 06/03/96<br>Also includes the RFI Report for KOW SMWUs 94, 95, and 157. |
| D1 Feasibility Study Report - WAGs 1 and 7                                    | 12/14/95 | 12/14/95  | EPA KY<br>06/10/96 06/03/96<br>w/comments  |
| D1 Proposed Plan - WAGs 1 and 7   | 05/20/96 | 05/16/96  | EPA KY<br>06/03/96   |

**WAG 3**

| Description                          | Due Date | Submitted | Approved  |
|--------------------------------------|----------|-----------|---|
| D1 RFI Work Plan - WAGs 2, 3, and 14 | 04/10/93 | 04/07/93  | Resubmission moved to 11/15/97 pursuant to WAG restructuring in Mod #10 to RCRA Permit. |

**WAG 13**

| Description               | Due Date | Submitted | Approved   |
|---------------------------|----------|-----------|--|
| D1 RFI Work Plan - WAG 13 | 07/09/93 | 07/07/93  | Resubmission moved to outyear pursuant to WAG restructuring in Mod #10 to RCRA Permit. |

**WAG 17**

| Description               | Due Date | Submitted | Approved                    |
|---------------------------|----------|-----------|-----------------------------|
| D1 RFI Work Plan - WAG 17 | 01/30/94 | 01/28/94  | EPA KY<br>01/12/95 08/02/95 |

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|   |          |          |  |                |
|---|----------|----------|--|----------------|
| D1 CMS Work Plan - WAG 17   | 06/06/94 | 06/03/94 | EPA<br>03/09/95  | KY<br>01/17/95 |
| Addendum II to WAG 17 RFI Work Plan   | 06/26/95 | 06/26/95 | EPA<br>07/12/95  | KY<br>08/02/95 |
| Modification to WAG 17 RFI Work Plan  | 03/13/95 | 03/13/95 | EPA<br>04/03/95  | KY<br>04/03/95 |
| Additional information requested in addition to Addendum II to WAG 17 RFI Work Plan | 07/21/95 | 07/21/95 | KY<br>08/02/95   |                |
| D1 Action Memorandum for WAG 17, SWMU 124   | 06/14/96 | 06/14/96 | EPA<br>07/08/96  | KY<br>06/25/96 |
| D2 Action Memorandum for WAG 17, AOC 124  |          | 07/26/96 | The removal action will proceed as scheduled with the notice of completion projected for 09/06/96. |                |

### WAG 6 - C-400

| Description   | Due Date | Submitted | Approved       |                |
|---|----------|-----------|----------------|----------------|
| D1 RI/FS Work Plan - WAG 6                                  | 07/27/94 | 07/25/94  | In review      |                |
| D3 RI/FS Work Plan - WAG 6 - C-400                          | 08/30/96 | 08/28/96  |                |                |
| Industrial Hydrogeology Study (IHS) Report - WAG 6 - C-400  | 07/13/96 | 07/12/96  | EPA            | KY             |
| D1 Industrial Hydrogeology Utilities Survey - WAG 6 - C-400 | 09/15/95 | 09/13/95  | EPA<br>11/2/95 | KY<br>11/03/95 |

### WAG 15

| Description                          | Due Date | Submitted | Approved |                |
|--------------------------------------|----------|-----------|----------|----------------|
| D1 SAP for Site Evaluation at WAG 15 |          | 05/28/96  | EPA      | KY<br>09/09/96 |

### WAG 24

| Description   | Due Date | Submitted | Approved        |                |
|---|----------|-----------|-----------------|----------------|
| D1 ICM Work Plan - Containment of Scrapyard Sediment Runoff | 02/02/93 | 02/01/93  | EPA<br>07/23/93 | KY<br>07/23/93 |
| D1 ICM Report (Postconstruction) - Scrapyards               | 08/04/94 | 08/02/94  | EPA<br>01/30/95 | KY             |

**Environmental Restoration Program  
Prior Work by Project**

10/16/96

|                          |          |          |                 |    |
|--------------------------|----------|----------|-----------------|----|
| D1 O&M Plan - Scrapyards | 08/04/94 | 08/02/94 | EPA<br>01/30/95 | KY |
|--------------------------|----------|----------|-----------------|----|

**WAG 18**

| <b>Description</b>  | <b>Due Date</b> | <b>Submitted</b> | <b>Approved</b>   |                              |
|---|-----------------|------------------|---|------------------------------|
| D1 ICM Work Plan - North-South Diversion Ditch                                  | 03/26/93        | 03/24/93         | EPA<br>03/28/94   | KY<br>03/28/94               |
| D1 Proposed Plan - North-South Diversion Ditch                                  | 10/04/93        | 09/10/93         | Approved upon signature of ROD.                                     |                              |
| Public Notice for Proposed Plan and ICM Work Plan - North-South Diversion Ditch | 11/08/93        | 11/07/93         |   |                              |
| Draft Strawman ROD - North-South Diversion Ditch                                | 11/12/93        | 11/12/93         | Signatures<br>DOE 03/15/94<br>EPA 03/28/94<br>KY concurred 03/28/94 |                              |
| ICM Report - North-South Diversion Ditch  | 11/18/95        | 11/15/95         |   |                              |
| O&M Plan - North-South Diversion Ditch  | 11/18/95        | 11/15/95         | EPA<br>01/30/96   | KY<br>02/14/96<br>w/comments |

**MISCELLANEOUS DOCUMENTS**

| <b>Description</b>                 | <b>Due Date</b> | <b>Submitted</b> | <b>Approved</b>  |  |
|------------------------------------|-----------------|------------------|--|--|
| D1 Program Site Management Plan    | 08/23/95        | 08/22/95         |  |  |
| D2 Program Site Management Plan    | 07/15/96        | 07/15/96         |  |  |
| D1 Data Management Plan            | 03/31/94        | 03/30/94         | In review  |  |
| D0 Community Relations Master Plan | 02/01/94        | 01/31/94         | As agreed by all Parties, a D1 will be developed once the FFA is signed. |  |

APPENDIX F

Primary Document Review Periods



PRIMARY DOCUMENT D1 REVIEW/COMMENT/REVISION PERIODS<sup>1</sup>

| D1 PRIMARY DOCUMENT      | ACTIVITY      | PERIOD (Days) |
|--------------------------|---------------|---------------|
| Community Relations Plan | EPA/KY Review | 90            |
|                          | DOE Revise    | 60            |
| RI/FS Work Plan          | EPA/KY Review | 90            |
|                          | DOE Revise    | 60            |
| RI Report                | EPA/KY Review | 90            |
|                          | DOE Revise    | 60            |
| Baseline Risk Assessment | EPA/KY Review | 90            |
|                          | DOE Revise    | 60            |
| FS Report                | EPA/KY Review | 90            |
|                          | DOE Revise    | 60            |
| Proposed Plan            | EPA/KY Review | 45            |
|                          | DOE Revise    | 30            |
| Removal Notification     | EPA/KY Review | 30            |
|                          | DOE Revise    | 30            |
| RD Work Plan             | EPA/KY Review | 30            |
|                          | DOE Revise    | 15            |
| Final RD Report          | EPA/KY Review | 30            |
|                          | DOE Revise    | 30            |

---

<sup>1</sup>Pursuant to Section XIV.D. of the FFA, the Draft Primary Review Process does not apply to RODs. Instead, DOE will submit a Draft-Final (D2) ROD to EPA and KNREPC within 30 days of the close of the public comment period. In accordance with Section XX.G.2. of the FFA, this D2 document will be subject to a 30 Day period of review.

| DI PRIMARY DOCUMENT                          | ACTIVITY      | PERIOD (Days) |
|--|---------------|---------------|
| RA Work Plan                                 | EPA/KY Review | 30            |
|  | DOE Revise    | 30            |
| Data Management Plan                         | EPA/KY Review | 60            |
|  | DOE Revise    | 30            |
| Final Remediation Report                     | EPA/KY Review | 90            |
|  | DOE Revise    | 60            |
| Site Management Plan                         | EPA/KY Review | 30            |
|  | DOE Revise    | 15            |
| Removal Work Plan                            | EPA/KY Review | 30            |
|  | DOE Revise    | 30            |
| Engineering Evaluation/Cost Analysis         | EPA/KY Review | 30            |
|  | DOE Revise    | 30            |
| Action Memorandum                            | EPA/KY Review | 30            |
|  | DOE Revise    | 30            |
| Site Evaluation Report                       | EPA/KY Review | 30            |
|  | DOE Revise    | 30            |
| Time-Critical Removal Responsiveness Summary | EPA/KY Review | 30            |
|  | DOE Revise    | 30            |

APPENDIX G

Site Management Plan



**Site Management Plan  
Paducah Gaseous Diffusion Plant  
Paducah, Kentucky**

**Annual Revision—FY 2015**



**CLEARED FOR PUBLIC RELEASE**



**Site Management Plan  
Paducah Gaseous Diffusion Plant  
Paducah, Kentucky**

**Annual Revision—FY 2015**

Date Issued—April 2015

Prepared for  
U.S. Department of Energy  
PADUCAH GASEOUS DIFFUSION PLANT  
Paducah, Kentucky 42002  
by  
LATA ENVIRONMENTAL SERVICES OF KENTUCKY, LLC  
under contract DE-AC30-10CC40020  
with contributions by  
U.S. Environmental Protection Agency  
Kentucky Energy and Environment Cabinet  
Kentucky Cabinet for Health and Family Services

**CLEARED FOR PUBLIC RELEASE**

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## ACRONYMS

|        |   |
|--------|---|
| AOC    | area of concern   |
| CERCLA | Comprehensive Environmental Response, Compensation, and Liability Act |
| CSOU   | Comprehensive Site Operable Unit                                      |
| D&D    | decontamination and decommissioning                                   |
| DOE    | U.S. Department of Energy   |
| EM     | Environmental Management  |
| EPA    | U.S. Environmental Protection Agency                                  |
| ERH    | electrical resistance heating   |
| FFA    | Federal Facility Agreement  |
| FS     | feasibility study   |
| FY     | fiscal year   |
| GDP    | gaseous diffusion plant   |
| LOI    | Letter of Intent  |
| LUC    | land use controls   |
| LUCAP  | Land Use Controls Assurance Plan                                      |
| LUCIP  | Land Use Control Implementation Plan                                  |
| NCP    | National Contingency Plan   |
| NPL    | National Priorities List  |
| OU     | operable unit   |
| PGDP   | Paducah Gaseous Diffusion Plant                                       |
| RACR   | Remedial Action Completion Report                                     |
| RAO    | Remedial Action Objective   |
| RCRA   | Resource Conservation and Recovery Act                                |
| RGA    | Regional Gravel Aquifer   |
| RI     | remedial investigation  |
| SMP    | Site Management Plan  |
| SWMU   | solid waste management unit   |

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# 1. INTRODUCTION

The Paducah Gaseous Diffusion Plant (PGDP) was placed on the National Priorities List (NPL) on May 31, 1994. In accordance with Section 120 of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), the U.S. Department of Energy (DOE) entered into a Federal Facility Agreement (FFA) with the U.S. Environmental Protection Agency (EPA) and Kentucky on February 13, 1998. The FFA established one set of consistent requirements for achieving comprehensive site remediation in accordance with the Resource Conservation and Recovery Act (RCRA) and CERCLA, including stakeholder involvement.

Section XVIII of the FFA requires that DOE submit an annual Site Management Plan (SMP), which outlines DOE's strategic approach for achieving cleanup under the FFA, to EPA and the Energy and Environment Cabinet (formerly known as the Kentucky Environmental and Public Protection Cabinet) by November 15th of each year. The FFA states that the purpose of the SMP is to coordinate and document the potential and selected operable units (OUs), including removal actions; to define cleanup priorities; to identify work activities that will serve as the basis for enforceable timetables and deadlines under the agreement; and to establish long-term cleanup goals.

The fiscal year (FY) 2004 SMP officially incorporated the provisions of the SMP Agreement signed by DOE, EPA, and Kentucky on April 14, 2003, and input from various strategic planning meetings between DOE and the regulators. Specifically, the FY 2004 SMP established enforceable milestones for FY 2004, FY 2005, and FY 2006, and outlined enforceable completion dates for investigation and response actions associated with the strategic cleanup initiatives below.

This annual update of the SMP sets forth enforceable milestones for FY 2015, FY 2016, and FY 2017, and enforceable completion dates for media-specific OUs associated with the strategic cleanup initiatives.

## Strategic Cleanup Initiatives

- Groundwater OU Strategic Initiative
- Burial Grounds OU Strategic Initiative
- Surface Water OU Strategic Initiative
- Soils OU Strategic Initiative
- Decontamination and Decommissioning (D&D) OU Strategic Initiative

These initiatives include a series of prioritized response actions, ongoing site characterization activities to support future response action decisions, and D&D of the gaseous diffusion plant (GDP) once it ceases operation. After completion of these activities, the Comprehensive Site OU (CSOU) evaluation will be conducted, with implementation of additional actions, as needed, to ensure long-term protectiveness of human health and the environment. CERCLA 5-Year Review evaluations are and will continue to be conducted to determine if any modifications to actions are required prior to the CSOU evaluation.

Appendix 1 of this SMP contains a summary of the status of all actions taken to date relative to the signed Records of Decision or Action Memoranda (including both interim and final response actions). This appendix also serves to meet the requirements of Section X.A of the FFA to submit an annual removal action report describing a summary of removal actions performed during the previous FY. More detailed information on the status of each OU is available in the FFA Semiannual Progress Report.

## 2. LAND USE

The planning assumptions for current land use are depicted in Figure 1 and the reasonably foreseeable future use is depicted in Figure 2. Several factors were considered in establishing the land-use assumptions under this strategy, including current and past land use, existing lease commitments, future industrial missions planned at the site, and stakeholder input. Interest has been expressed by outside entities for the industrial use of areas adjacent to the PGDP.

## 2.1 LAND USE CONTROLS

The site cleanup strategy recognizes that the long-term protectiveness of some response actions might rely upon, or be supplemented by, engineering barriers, institutional controls, and/or other land use controls (LUCs). To ensure that these controls remain protective, CERCLA five-year reviews, in conjunction with monitoring of requirements contained in the Land Use Control Assurance Plan (LUCAP), are implemented.

A Land Use Control Implementation Plan (LUCIP) is developed for each remedy that includes LUCs. The LUCIPs include a detailed explanation of the implementation and long-term maintenance of the LUCs. The LUCAP requires annual certification in the SMP that the LUCIPs are being implemented. This certification also will identify any noncompliance with a LUCIP and the steps taken to correct any such noncompliance, any nonmajor changes in land use, and any changes in designated officials. Appendix 2 contains the annual certification of LUCIPs implemented at PGDP.

## 3. OPERABLE UNITS

Site cleanup activities have been divided as follows: (1) pre-shutdown scope,<sup>1</sup> (2) post-shutdown scope,<sup>2</sup> and (3) CSOU scope. The pre-shutdown scope is associated with media-specific OUs initiated prior to shutdown of the operating Gaseous Diffusion Plant (i.e., Pre-GDP Shutdown Activities). These media-specific OUs were established by developing a site conceptual risk model for each source area [solid waste management units (SWMUs)/areas of concern (AOCs)]. This process included a qualitative evaluation of contaminant types and concentration, release mechanisms, likely exposure pathways, estimated points of exposure, and potential receptors based on current and reasonably foreseeable future land groundwater uses. The source areas for the Pre-GDP shutdown scope have been grouped into these media-specific OUs:

<sup>1</sup> Pre-shutdown scope is scope that is being performed prior to cessation of PGDP operations.

<sup>2</sup> Post-shutdown scope is scope that will be addressed after return of the PGDP facilities to DOE.

- Groundwater OU
- Surface Water OU
- Soils OU
- Burial Grounds OU
- D&D OU

Once a decision has been made to proceed with D&D of the GDP, a series of post-GDP shutdown activities will be implemented. The following OUs have been identified for site cleanup activities that will occur during the post-GDP phase of the cleanup:

- GDP Groundwater Sources OU
- Additional Burial Ground Sources OU
- GDP D&D OU
- Soils and Slabs OU
- GDP Lagoons & Ditches OU

The FFA parties intend to commence planning to further define the implementation approach, and it will be included in the appropriate annual update of the SMP.

The final CSOU evaluation will occur following completion of D&D of the GDP, D&D of the Depleted Uranium Hexafluoride (DUF<sub>6</sub>) Conversion Plant, and completion of cleanup of each of the specific OUs (e.g., GDP Groundwater Sources OU, Soils and Slabs OU). Any required environmental monitoring of remedy performance and/or progress toward achieving the RAOs will be conducted and reported in accordance with the selected remedies. Once no further response is appropriate, and all RAOs have been achieved, the site would be eligible for deletion from the NPL. Appendix 3 includes additional information regarding scope and planning assumptions for the OUs. Appendix 4 contains lists of SWMUs and AOCs sorted by OUs.

## 4. SITE PRIORITIZATION

DOE uses a combination of factors to prioritize work being implemented under the Environmental Management (EM) program at PGDP. These include considerations such as regulator expectations, risk-based decision making, compliance with other programs, technical considerations associated with GDP transition/turnover, mortgage reduction, and

demonstrated progress toward completing the EM mission.

| Risk Prioritization Criteria  |
|---|
| <ul style="list-style-type: none"><li>● Mitigate immediate threats, both on- and off-site.</li><li>● Reduce further migration of off-site contamination.</li><li>● Address sources contributing to off-site contamination.</li><li>● Address remaining sources contributing to on-site contamination.</li><li>● Perform D&amp;D of the GDP/Address post-GDP OUs.</li><li>● Perform D&amp;D of the DUF<sub>6</sub> Plant once it ceases operations.</li><li>● Evaluate the final CSOU.</li></ul> |

The risk prioritization criteria incorporate the general program-management principles of the NCP, which emphasize the use of accelerated actions to address imminent threats and reduce migration of off-site contamination.

Consistent with those principles, the risk prioritization criteria described above are used as guidelines, in conjunction with the other previously mentioned factors, to prioritize response actions. The prioritization criteria have been applied to each of the OUs at PGDP. Enforceable milestones for FY 2015, FY 2016, FY 2017, and outyear enforceable completion dates consistent with these prioritization criteria are included in Appendix 5. Appendix 5 includes enforceable completion dates for pre-GDP shutdown scope. These enforceable completion dates for remedial actions shall be considered satisfied upon issuance of a D1 Remedial Action Completion Report (RACR) (i.e., Final Remedial Action Report as specified in FFA) for those areas where RAOs have been achieved. In cases where a period of operations and maintenance (O&M) may be required to achieve RAOs, such as groundwater, a D1 Interim RACR will be issued upon completion of remedial construction and a determination by DOE that the remedy is operating as intended. The outyear enforceable milestone for completion of the pre-GDP shutdown Groundwater OU, as specified in Section XVIII.C, “Timetables and Deadlines” of the FFA, will be satisfied upon issuance of a D1 Interim RACR. The D1 Final RACR for groundwater then will be issued once the RAOs have been achieved. The pre-GDP shutdown D&D OU consists of multiple removal actions for specific facilities and will be considered complete upon issuance of a Removal Action Completion Notification letter for the OU.

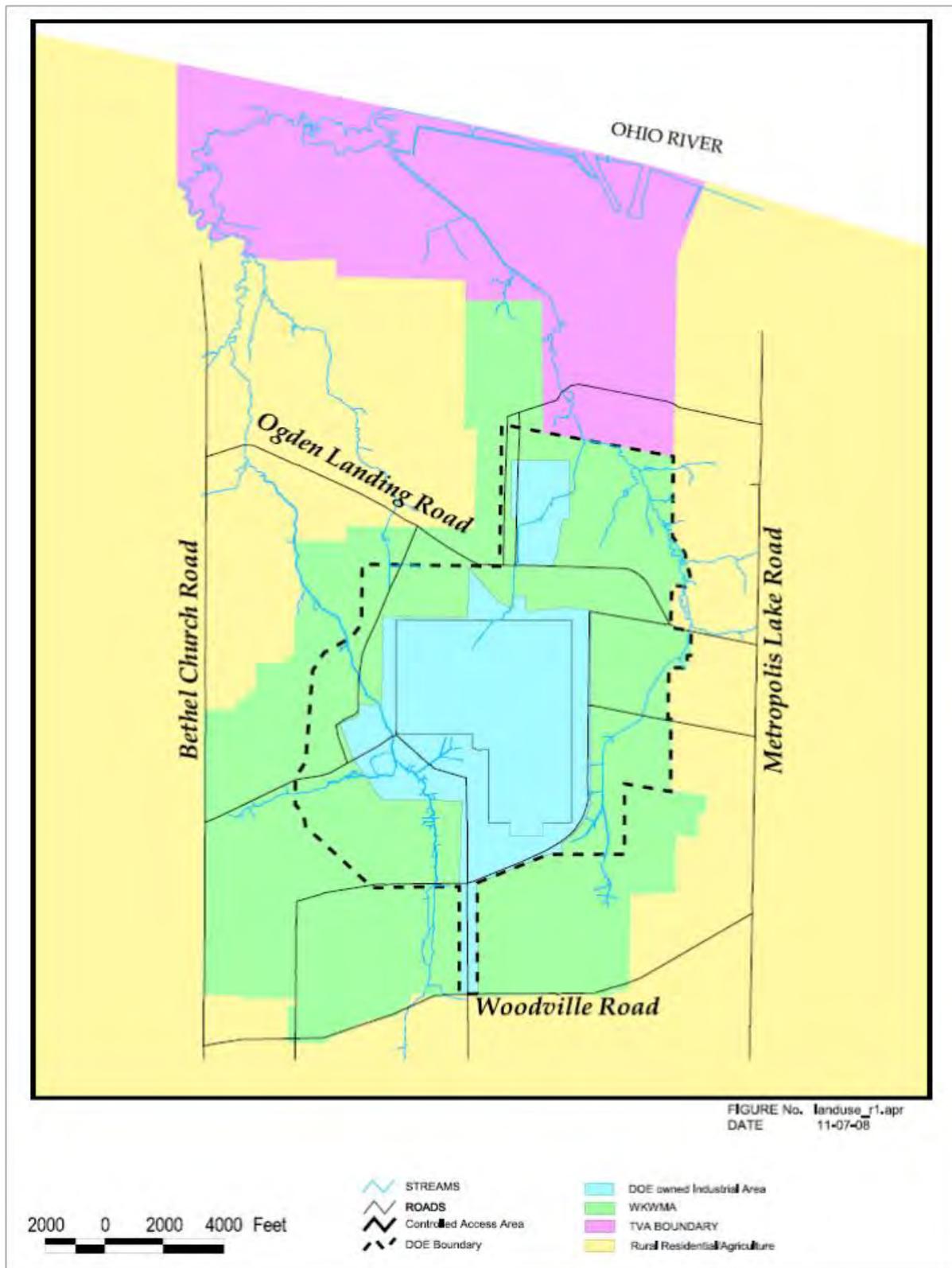


Figure 1. Current Land Use at PGDP

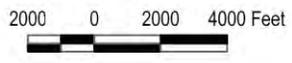
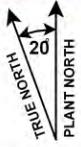
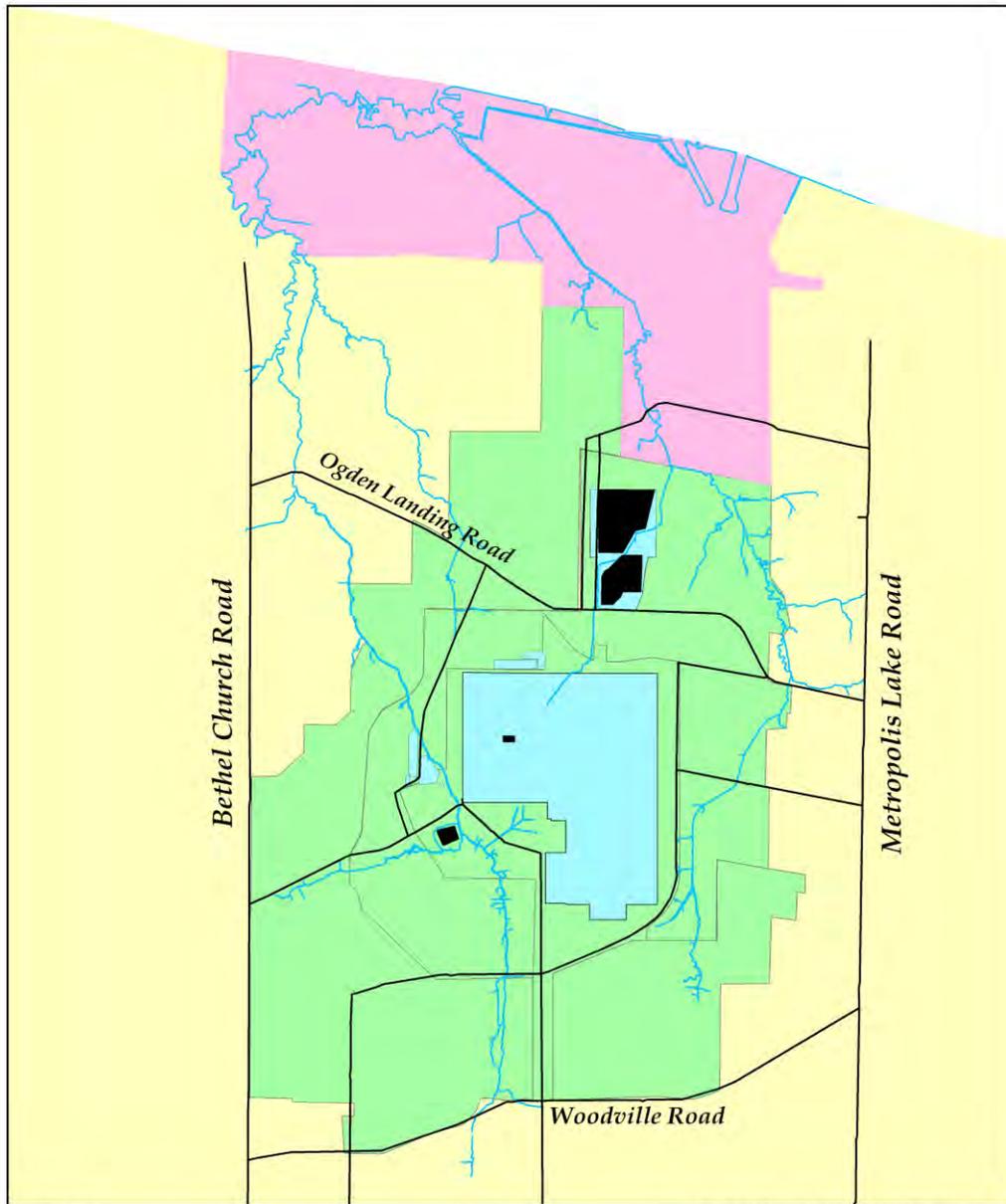


FIGURE No. SMP\LandUse\_FutureR7.mxd  
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- Industrial
- Recreational
- Rural Residential
- TVA
- Waste Management Area (see note)

Note: These areas include landfills that are active or certified closed and under long-term custodial care (i.e., C-404, C-746 S&T, C-746-U), or that are under an Interim Corrective Measure (i.e., C-746-K). As such, these areas are not amenable to unrestricted future industrial use.

**Figure 2. Reasonably Anticipated Future Land Use at PGDP**

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**APPENDIX 1**

**ACTIONS TAKEN TO DATE**

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**Operable Unit Summary**

| <b>WAGs/Media</b>                    | <b>Response Type</b>                         | <b>ROD/Action Memorandum</b> | <b>Response Description</b>   | <b>Status<sup>3</sup></b>  |
|--------------------------------------|--|------------------------------|---|--|
| <b>GROUNDWATER OPERABLE UNIT</b>     |  |                              |   |  |
| WAG 26/Groundwater                   | Emergency removal action                     | N/A                          | Provided temporary water to local residences where private wells are contaminated by TCE and Tc-99.   | Complete   |
| WAG 26/Groundwater                   | Removal action                               | August 30, 1994              | Extended municipal water line to residences affected by off-site groundwater contamination.   | Construction Complete/Operational  |
| WAG 26/Groundwater (Northwest Plume) | Interim Remedial Action (IRA)                | July 23, 1993                | Hydraulic containment and treatment of high concentrations of off-site TCE contamination in the Northwest Plume.  | Construction Complete/Operational  |
|                                      | Explanation of Significant Differences (ESD) | January 27, 2011             | Optimization of the Northwest Plume system through placing existing southern extraction wells (EWs) on standby and installing two new EWs east of original southern extraction field.   | Construction Complete/Operational  |
| WAG 26/Groundwater (Northeast Plume) | IRA  | June 15, 1995                | Hydraulic containment and treatment of high concentrations of off-site TCE contamination in the Northeast Plume.  | Construction Complete/Operational  |
|                                      |  |                              | An ESD has been submitted for optimization of the Northeast Plume system through placing existing EWs on standby, installing two new EWs in the upgradient high concentration area of the Northeast Plume near the eastern edge of the PGDP facility, and installing new treatment units for air stripping as an alternative to the cooling towers. | Construction of an alternate treatment unit was completed on May 30, 2013. The unit became operational on September 4, 2013. The ESD and RAWP currently are in dispute resolution. |
| SWMU 91/Soil                         | IRA  | August 10, 1998              | <i>In situ</i> treatment of TCE-contaminated soils using the LASAGNA™ technology.   | Complete   |

<sup>3</sup> Detailed information on the status of each project or operable unit is available in the FFA Semiannual Report.

Operable Unit Summary (Continued)

| WAGs/Media   | Response Type | ROD/Action Memorandum | Response Description  | Status <sup>3</sup>   |
|--|---------------|-----------------------|---|---|
| SWMU 11 and SWMU 533/Groundwater (C-400 Source Action) | IRA           | August 9, 2005        | <p align="center"><b>GROUNDWATER OPERABLE UNIT (Continued)</b></p> <p><i>In situ</i> treatment of TCE source areas in the UCRS and RGA located in the southeast and southwest corners of the C-400 Building using electrical resistance heating technology.</p> | <p>Field operations for Phase I completed in FY 2011. Parties have agreed to divide Phase II into Phase IIa and Phase IIb. Phase IIa operations began on July 22, 2013, and ceased on November 5, 2014. A treatability study for steam-enhanced extraction will be conducted prior to selection of a remedy for the lower RGA (Phase IIb). Mobilization activities associated with the treatability study began on December 19, 2014.</p> |

Operable Unit Summary (Continued)

| WAGs/Media   | Response Type   | ROD/Action Memorandum   | Response Description  | Status <sup>3</sup>   |
|--|-----------------|---|---|---|
| SWMU 1: SWMU 211-A; and SWMU 211-B (Southwest Plume Sources) | Remedial Action | <p style="text-align: center;"><b>GROUNDWATER OPERABLE UNIT (Continued)</b></p> <p>March 20, 2012</p> | <p>SWMU 1—<i>In situ</i> source treatment using deep soil mixing with interim LUCs.</p> <p>SWMU 211-A—<i>In situ</i> source treatment using enhanced <i>in situ</i> bioremediation with interim LUCs or long-term monitoring with interim LUCs based upon RDSI results.</p> <p>SWMU 211-B—<i>In situ</i> source treatment using enhanced <i>in situ</i> bioremediation with interim LUCs or long-term monitoring with interim LUCs based upon RDSI results.</p> | <p>ROD signed; RDSI field activities initiated on July 18, 2012.</p> <p>Completed RDSI field activities on April 26, 2013. Final Characterization Report has been finalized.</p> <p>Pending final remedy selection for 211-A and 211-B. DOE and Kentucky concurred on implementation of long-term monitoring with interim LUCs; however, EPA on February 25, 2014, requested that additional work be performed prior to making a decision. On October 29, 2014, DOE agreed to collect direct push technology borings to confirm previous assumptions and results. An addendum to the remedial design work plan for SWMUs 211-A and 211-B was issued on February 23, 2015. Mobilization activities for SWMU 1 deep soil mixing were initiated on February 9, 2015.</p> |

**Operable Unit Summary (Continued)**

| <b>WAGs/Media</b>   | <b>Response Type</b>             | <b>ROD/Action Memorandum</b> | <b>Response Description</b>  | <b>Status<sup>3</sup></b>         |
|---|----------------------------------|------------------------------|--|-----------------------------------|
| <b>SURFACE WATER OPERABLE UNIT</b>  |                                  |                              |  |                                   |
| WAG 25/Surface water (NSDD)   | IRA                              | March 28, 1994               | Instituted action to treat certain plant effluent and control the migration of contaminated sediment associated with the NSDD.   | Construction Complete/Operational |
| WAGs 18 & 25/Surface water and sediment (Surface Water/Ditches)   | IRA                              | N/A                          | Institutional controls (fencing/posting) for off-site contamination in surface water, outfalls, and lagoons.   | Construction Complete/Operational |
| WAG 24/Scrap (Scrapyards)   | IRA                              | N/A                          | Installation of sediment controls to mitigate surface water/sediment runoff from scrap yards.  | Construction Complete/Operational |
| WAGs 1 & 7<br>WAG 1: SWMU 100 (Fire Training Area) and SWMU 136 (C-740 TCE Spill Site)<br>WAG 7: SWMU 8 (C-746-K Landfill), SWMU 130 (C-611 550-gal Gasoline UST), SWMU 131 (C-611 50-gal Gasoline UST), SWMU 132 (C-611 2,000-gal. Oil UST), SWMU 133 (C-611 Grouted UST), and SWMU 134 (C-611 1,000-gal Diesel/Gasoline Tank) | IRA                              | August 10, 1998              | Interim remedial action installed riprap along creek bank to prevent direct contact, implemented institutional controls, and long-term monitoring for SWMU 8. All other SWMUs were determined to require "no further action" under the IRA. It should be noted that at SWMU 100, institutional controls were selected as part of the remedy. | Construction Complete/Operational |
| Drum Mountain (Scrap)   | Non-time-critical removal action | March 27, 2000               | Removed and disposed of Drum Mountain.   | Complete                          |
| WAG 24, WAG 14, and SWMU 99/Scrap   | Non-time-critical removal action | September 26, 2001           | Removed and disposed of scrap metal with enhanced sediment control measures.   | Complete                          |
| SWMU 59/Sediment  | IRA                              | September 25, 2002           | Remedial action for Sections 1 and 2 of the NSDD.  | Complete                          |

Operable Unit Summary (Continued)

| WAGs/Media   | Response Type                    | ROD/Action Memorandum | Response Description  | Status <sup>3</sup>   |
|--|----------------------------------|-----------------------|---|---|
| <b>SURFACE WATER OPERABLE UNIT (Continued)</b>   |                                  |                       |   |   |
| SWMU 58 (Sections 3, 4, and 5 of the NSDD);<br>SWMU 69 (Outfall 001);<br>SWMU 63 (Outfall 008);<br>SWMU 66 (Outfall 010);<br>SWMU 67 (Outfall 011);<br>and SWMU 68 (Outfall 015) and their associated internal ditches and areas (including SWMUs 92 and 97) | Non-time-critical removal action | April 23, 2009        | Removal action for contaminants associated with sediment in Sections 3, 4, and 5 of the NSDD and KPDES Outfalls 001, 008, 010, 011, and 015, and associated internal ditches and areas of PGDP.   | Complete  |
| <b>BURIAL GROUND OPERABLE UNIT</b>   |                                  |                       |   |   |
| WAG 22/Waste and soil (SWMU 2- Burial Ground)  | IRA                              | September 11, 1995    | The interim ROD selected an impermeable cap to reduce leachate migration from surface infiltration, groundwater monitoring, and institutional controls. Through agreement of the parties, an impermeable cap was not constructed ( <i>Waste Area Grouping (WAG) 22 Post-Record of Decision (ROD) Change</i> , October 23, 1996). This change also will be documented in the Final Remedial Decision for SWMU 2. | Additional remedial alternatives for a CERCLA final remedial action are being evaluated in the SWMUs 2, 3, 7, and 30 feasibility study. Institutional controls and groundwater monitoring are ongoing pending final remedy selection. |

**Operable Unit Summary (Continued)**

| <b>WAGs/Media</b>   | <b>Response Type</b>             | <b>ROD/Action Memorandum</b> | <b>Response Description</b>   | <b>Status<sup>3</sup></b>  |
|---|----------------------------------|------------------------------|---|--|
| <b>SOILS OPERABLE UNIT (Continued)</b>  |                                  |                              |   |  |
| C-750-A, -B, and -C USTs  | N/A                              | N/A                          | Tank removal.   | Complete   |
| WAG 7   | IRA                              | N/A                          | Enhanced existing cap to reduce leachate migration from surface infiltration.   | Complete   |
| SWMU 8 (C-746-K Landfill)   |                                  |                              |   |  |
| AOC 124 WAG 17/Soil (Concrete Rubble Piles)   | Removal action                   | N/A                          | Excavated soil associated with AOC 124.   | Complete   |
| WAG 23/Soil   | Removal action                   | September 11, 1997           | Excavated PCB and dioxin-contaminated surface soils to reduce risks to plant industrial workers.  | Complete   |
| SWMU 193/Soil   | Time-critical removal action     | February 19, 2002            | Removed petroleum-contaminated soils.   | Complete   |
| SWMUs 76 and 519/Soil   | Time-critical removal action     | July 1, 2002                 | Removed empty sulfuric acid tanks, size reduced for containerization and dispositioned.   | Complete   |
| SWMU 19 [C-410-B Hydrogen Fluoride (HF) Neutralization Lagoon], SWMU 40 (C-403) and SWMU 181 (C-218 Firing Range) | Non-time-critical removal action | May 11, 2009                 | Removal of lead-contaminated soil at the C-218 Firing Range (SWMU 181). Removal of contamination within the respective SWMU boundaries of C-410-B (SWMU 19). Removal of contamination within the respective SWMU boundaries of C-403 (SWMU 40). | SWMU 19 and SWMU 181 are complete.<br><br>SWMU 40 removal will be implemented in the post-GDP shutdown phase. This schedule change has been documented in the Administrative Record. |

**Operable Unit Summary (Continued)**

| <b>WAGs/Media</b>  | <b>Response Type</b>             | <b>ROD/Action Memorandum</b> | <b>Response Description</b>  | <b>Status<sup>3</sup></b>   |
|--|----------------------------------|------------------------------|--|---|
| <b>D&amp;D OPERABLE UNIT</b>   |                                  |                              |  |   |
| SWMU 478/Infrastructure (C-410)  | Non-time-critical removal action | August 3, 2002               | Remove process equipment and piping.   | Completed December 2013.  |
| SWMU 478/Infrastructure (C-410)  | Non-time-critical removal action | November 23, 2009            | Addendum to document a change in scope of the removal action to 1) expand the scope of the existing NTCRA to include facility structure demolition to the slabs and disposition of demolition debris and 2) allow the non-process systems to remain in place and to remove these systems at the same time the building is demolished using heavy equipment such as excavators with shears. | Fieldwork in progress.  |
| SWMU 477/Infrastructure (C-340 Metals Plant) and SWMU 137 (C-746-A East End Smelter)             | Non-time-critical removal action | May 18, 2010                 | Decommissioning of the C-340 Metals Plant and C-746-A East End Smelter, which entails the demolition of C-340-A, -B, and -C structures as well as the C-746-A East End Smelter. The slabs and soils underlying these structures will be addressed in future CERCLA response actions.   | Fieldwork for C-746-A East End Smelter completed in FY 2010; Removal Action Report approved in November 2011. |
| SWMU 480 (C-402 Lime House); SWMU 55 (C-405 Incinerator); and SWMU 464 (C-746A West End Smelter) | Non-time-critical removal action | December 5, 2005             | Removed, characterized, and disposed of building structure and contents.   | Fieldwork for C-340 completed in September 2013. Removal Action Report approved in May 2014.<br>Complete      |

AOC = area of concern; BGOU = Burial Grounds Operable Unit; ESD = explanation of significant differences; FY = fiscal year; IRA = interim remedial action; KPDES = Kentucky Pollutant Discharge Elimination System; LUCs = land use controls; N/A = not applicable; NSDD = North-South Diversion Ditch; NTCRA = non-time-critical removal action; PGDP = Paducah Gaseous Diffusion Plant; PCB = polychlorinated biphenyl; RDSI = remedial design/support investigation; RGA = Regional Gravel Aquifer; ROD = Record of Decision; SWMU = solid waste management unit; Te-99 = technetium-99; TCE = trichloroethene; UCERS = Upper Continental Recharge System; UST = underground storage tank; WAG = waste area group

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**APPENDIX 2**

**CERTIFICATION OF LUCIPS**

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## CERTIFICATION OF LUCIPS

In accordance with Section 2.9 of the *Land Use Control Assurance Plan for the Paducah Gaseous Diffusion Plant, Paducah, Kentucky*, DOE/OR/07-1799&D2, the U.S. Department of Energy (DOE) certifies that requirements of the *Land Use Control Implementation Plan for the North-South Diversion Ditch at the Paducah Gaseous Diffusion Plant, Paducah, Kentucky*, DOE/OR/07-1949&D2, and the *Land Use Control Implementation Plan for Interim Remedial Action for the Groundwater Operable Unit for the Volatile Organic Compound Contamination at the C-400 Cleaning Building at the Paducah Gaseous Diffusion Plant, Paducah, Kentucky*, DOE/OR/07-2151&D2/R2, are being implemented by DOE at Paducah Gaseous Diffusion Plant.

There have been no changes in the designated officials identified under the Land Use Control Implementation Plan/Land Use Control Assurance Plan. There have been no major or “nonmajor” changes of land use.

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## **APPENDIX 3**

### **OPERABLE UNIT SCOPE DESCRIPTIONS AND KEY PROJECT ASSUMPTIONS**

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# **OPERABLE UNIT SCOPE DESCRIPTIONS AND KEY DOE PLANNING ASSUMPTIONS FROM LIFE CYCLE BASELINE**

## **INTRODUCTION**

Pursuant to Section XVIII of the Federal Facility Agreement (FFA), the following operable unit-specific descriptions document the FFA Managers' common understanding of the expected scope of work for each of the operable units (OUs) as well as U.S. Department of Energy's (DOE) key planning assumptions. The FFA Managers acknowledge that both the scope and associated assumptions may change as each project progresses; however, this appendix represents the best understanding given existing information. The milestone dates associated with executing the scope of work are defined in Appendix 5 (Enforceable Timetables and Deadlines; Planning Dates with Long-Term Targets). The milestone dates are based on the scope and associated assumptions described in the following sections. Schedules are based on standard Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) documentation and review/comment time frames established in the FFA.

Scope and Key DOE Planning Assumptions from Life Cycle Baseline have been established based on the current understanding of site conditions and to achieve compliance with CERCLA, the National Contingency Plan (NCP), and the FFA. The actual scope of any given remedy will be developed with the U.S. Environmental Protection Agency (EPA) and the Commonwealth of Kentucky (KY) in compliance with the CERCLA process and documented in the appropriate decision document, each of which is subject to public participation in accordance with the FFA, CERCLA, and the NCP. Goals have been established for each OU to guide the development of project-specific remedial action objectives (RAOs).

Assumptions included herein are for DOE's planning purposes. While EPA and KY find the assumptions to be reasonable for bounding cost and schedule forecasts based on existing information, regulatory approval of the Site Management Plan (SMP) does not constitute approval of assumptions. In the event there is a conflict between an assumption in this SMP and an OU primary document, the OU primary document shall govern.

## **GROUNDWATER OPERABLE UNIT**

The Groundwater Operable Unit (GWOU) is being implemented in a phased approach consisting of sequenced response actions designed to accomplish the following goals:

- (1) Prevent human exposure to contaminated groundwater;
- (2) Prevent or minimize further migration of contaminant plumes;
- (3) Prevent, reduce, or control contaminant sources contributing to groundwater contamination; and
- (4) Restore the groundwater to its beneficial uses wherever practicable.

A series of actions already have been completed toward meeting these goals, as depicted in Figure 3.1. These previous actions are summarized in Appendix 1 (Actions Taken to Date).

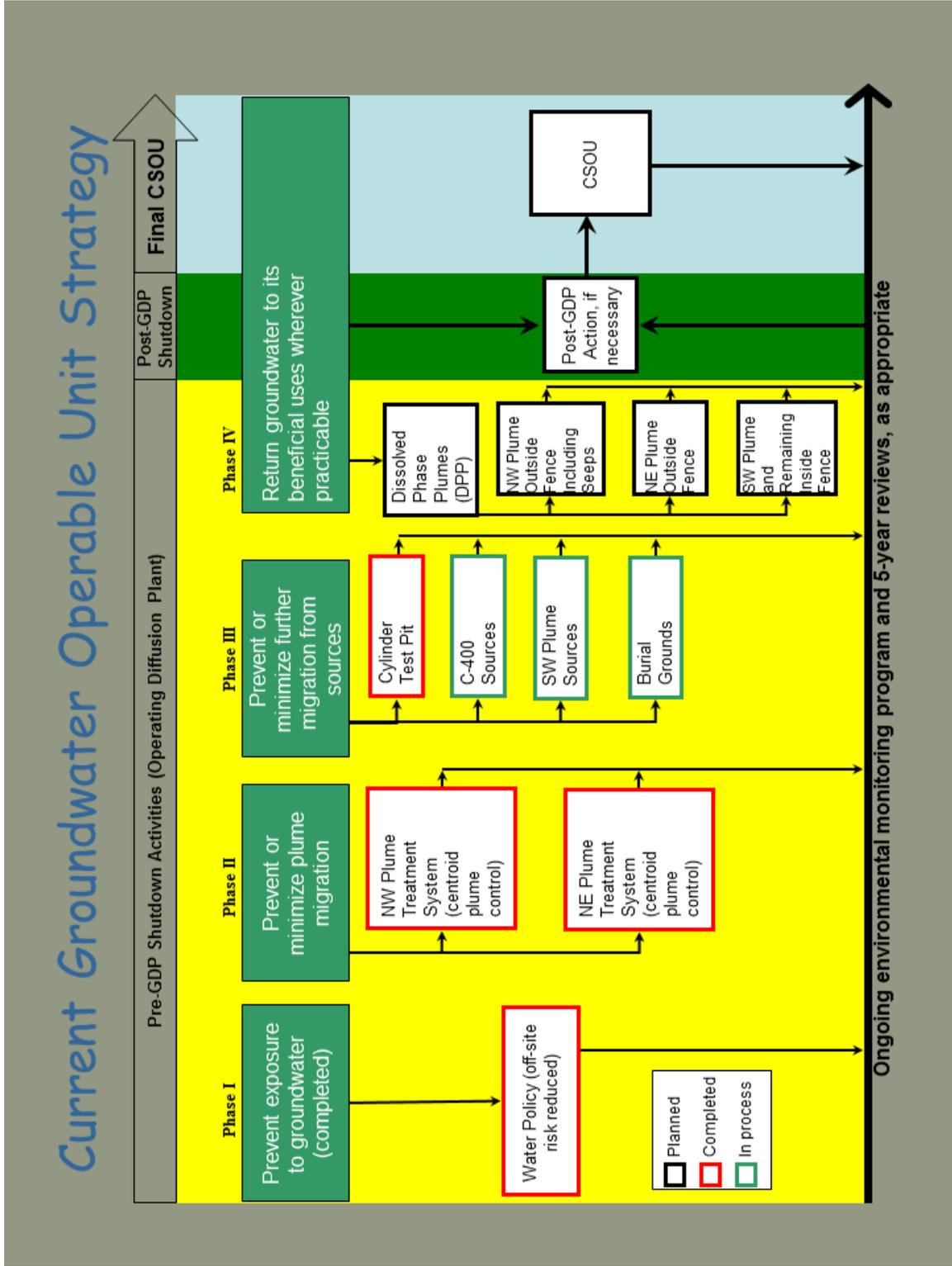


Figure 3.1. Current Groundwater Operable Unit Strategy

The scope of the GWOU consists of potential sources [e.g., dense nonaqueous-phase liquid (DNAPL) or buried wastes] that are contributing to groundwater contamination and the dissolved-phase groundwater plumes. The dissolved-phase groundwater consists of contaminated groundwater primarily in the Regional Gravel Aquifer (RGA), but also includes limited areas in the Upper Continental Recharge System (UCRS) that typically are associated with source areas. An interim remedy had been selected and was implemented for the C-400 source areas to address volatile organic compound (VOC) contamination, and a Focused Feasibility Study (FFS) has been developed for the Southwest Plume VOC source areas. The original FFS for the Southwest Plume source areas was revised to expand the evaluation of alternatives, which served as a basis for the Proposed Plan and Record of Decision. The dissolved-phase plumes will be addressed as a future response action through the remedial process.

### **C-400 Interim Remedial Action**

#### **Scope**

This project addresses releases emanating near the southeast [Solid Waste Management Unit (SWMU) 11] and southwest (SWMU 533) areas of the C-400 Cleaning Building. These areas have been identified as the major sources of groundwater contamination at Paducah Gaseous Diffusion Plant (PGDP). The RAOs for this project are to do the following:

- Reduce exposure to contaminated groundwater by reducing the source concentrations of TCE and other volatile organic compounds (VOCs) in the RGA in the C-400 Cleaning Building area, thereby reducing the migration of these contaminants to off-site points of exposure (POE);
- Prevent exposure to contaminated groundwater by on-site industrial workers through institutional controls (e.g., excavation/penetration permit program); and
- Reduce contamination comprised of trichloroethene (TCE and other VOCs found in Upper Continental Recharge System (UCRS) soil in the C-400 Cleaning Building area to minimize the migration of these contaminants to RGA groundwater and to off-site POE.

A major component of the selected remedy is the reduction of the concentration of TCE and other VOCs in the soils in the C-400 Cleaning Building area through removal and treatment in both the UCRS and the RGA. Additional characterization to refine the mass estimate has been performed in the Phase II area and DOE proposed a modification to the remedy in response to the Phase I results. Key lessons learned and observations from Phase I indicate that, while the remedial action objectives were met in the UCRS and upper RGA using electrical resistance heating (ERH), target temperatures for ERH were not met in the lower RGA despite implementation of contingency measures intended to assist in attaining temperature goals. Since ERH was not found to be effective, DOE is evaluating technologies that are better suited for the RGA. As a result, Phase II of the remedy (southeast corner of C-400) has been divided into two phases. Phase IIa has addressed the UCRS and upper RGA. Phase IIb will address lower RGA.

Final remedial action decisions addressing sources below building C-400 and any residual contamination will be addressed as part of the post-gaseous diffusion plant (GDP) shutdown for GDP Groundwater Sources, as discussed in Section 3.

#### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) Installation and operation of a three-phase ERH system. The ERH system will be operated until monitoring indicates heating has stabilized in the subsurface and recovery of TCE, as measured in the

recovered vapor, diminishes to a point at which further recovery is at a constant rate (recovery is asymptotic).

- (2) Installation of a groundwater and vapor treatment system to remove VOCs from extracted vapor/groundwater.
- (3) Collection of baseline and post operational soil and groundwater samples to analyze Interim Remedial Action effectiveness. Additional groundwater monitoring will be conducted through the Environmental Monitoring Program.
- (4) Implementation of a two-phased deployment of ERH will be conducted. Phase I will involve treatment areas to the southwest and the east of the C-400 Cleaning Building, and Phase II will address a large treatment area to the southeast of the building. Phase II has been divided into two phases. Phase IIa will address the UCRS and upper RGA. Phase IIb will address the lower RGA.
- (5) Based on the evaluation of the lessons learned from the Phase I operations and performance, it has been determined that ERH cannot be implemented effectively for Phase IIb. As a result, ERH will be utilized to remove contaminants in the UCRS and upper RGA (Phase IIa), and a treatability study for steam-enhanced extraction will be conducted prior to remedy selection for the lower RGA (Phase IIb).

### **Southwest Plume Sources Remedial Action**

#### **Scope**

This project will address the following three areas in the Southwest Plume: the C-747-C Oil Landfarm (SWMU 1), the areas near the southeast and northeast (SWMU 211) areas of the C-720 Building, and part of the storm sewer between the south side of the C-400 Building and Outfall 008 (SWMU 102). An FFA dispute resolution agreement specifies that a primary RAO of this action is to address these source areas, including treatment and/or removal of principal threat wastes consistent with CERCLA, the NCP (including the Preamble), and any pertinent EPA guidance. Contamination emanating from these sources will be addressed consistent with the FFA dispute resolution agreements. TCE and its breakdown products [*cis*-1,2-dichloroethene (DCE), *trans*-1,2-DCE, and vinyl chloride] and 1,1-DCE are the primary contaminants of concern (COCs) associated with these sources. Evaluation of a final remedial action for additional COCs associated with direct contact exposure risks will be addressed as part of the Soils OU (see Appendix 4).

#### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) A remedy will be implemented in source areas [i.e., Oil Land Farm (SWMU 1) and Northeast and Southeast of the C-720 Building (SWMU 211 A & B)]. The SWMU 1 remedy is soil mixing with interim LUCs, and the remedy for SWMU 211-A and 211-B is *in situ* bioremediation with interim LUCs or long-term monitoring with interim LUCs.
- (2) Membrane Interface Probe (MIP), correlated with soil bore samples, will be conducted prior to design and remedy implementation.
- (3) As part of the design process, an RDSI will be performed for SWMU 1 and SWMU 211-A and 211-B.
- (4) No further action will be required for SWMU 102 (Plant Storm Sewer).

- (5) Additional sampling for SWMU 1 will be performed in the southern and eastern areas to address uncertainty. The investigation will include the drilling of four soil borings to a target depth of approximately 60 to 62 ft and the collection of soil samples from each 5-ft depth increment for analysis of VOCs. A memorandum to file will be placed in the Soils OU Administrative Record documenting the basis for the additional investigation, as well as the manner in which the work will differ from the standard Soils OU sampling protocol. Characterization data from this investigation for the 0-ft to 10-ft depth soils in the four deep soil borings will be reported and evaluated as part of the Soils OU. Characterization data for soils deeper than 10 ft will be reported in the Remedial Action Completion Report for the Southwest Plume Groundwater SWMU 1 action. If an additional source action is required as a result of this sampling, it will be conducted as part of the GWOU.

### **Dissolved-Phase Plumes Remedial Action**<sup>4</sup>

#### **Scope**

This project includes conducting an RI (including a baseline risk assessment), FS, and remedy selection and implementation of any necessary response actions for the dissolved-phase groundwater contamination. The RI/Feasibility Study (FS) will evaluate dissolved-phase groundwater contamination, including, but not limited to, the Northwest Plume (NW) (SWMU 201), Northeast Plume (NE) (SWMU 202), and Southwest Plume (SWMU 210), and the groundwater contamination contributing to the Little Bayou Creek seeps. The RI/FS also may determine whether any follow-up actions or modifications to response actions for the GWOU are necessary. The primary RAO for this project is based on the resolution of dispute for the Southwest Plume dated March 24, 2008, as follows:

- Return contaminated groundwaters to their beneficial use(s) and attain chemical-specific applicable or relevant and appropriate requirements [e.g., maximum contaminant levels (MCLs)] and/or risk-based concentrations for all identified COCs throughout the plume (or at the edge of the waste management area depending on whether the waste source is removed), consistent with CERCLA, the NCP (including the Preamble), and any pertinent EPA guidance.

#### **Key DOE Planning Assumptions from Life Cycle Baseline**

The following elements summarize DOE's key planning assumptions and are illustrated in Figure 3.2.

- (1) TCE and Tc-99 are expected to be the primary COCs that will drive the remediation approach.
- (2) Implement the following actions for the pump-and-treat systems: (a) focus groundwater extraction for the NW Plume at the south well field to maximize removal of the higher TCE concentrations, thereby reducing mass flux contributing to the off-site NW dissolved-phase plume and (b) implement optimization of the NE plume extraction system, similar to the optimization of the NW Plume extraction system to improve reductions in contaminant mass migrating downgradient of PGDP.
- (3) Conduct a technology demonstration/treatability study at Little Bayou Creek seeps to address the TCE concentrations in surface water contamination resulting from groundwater discharge. The treatability study may include testing technologies that will have broader application to other areas of the dissolved-phase plumes.

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<sup>4</sup> The scope and planning assumptions are consistent with the March 24, 2008, and May 20, 2010, SW Plume Dispute Resolutions.

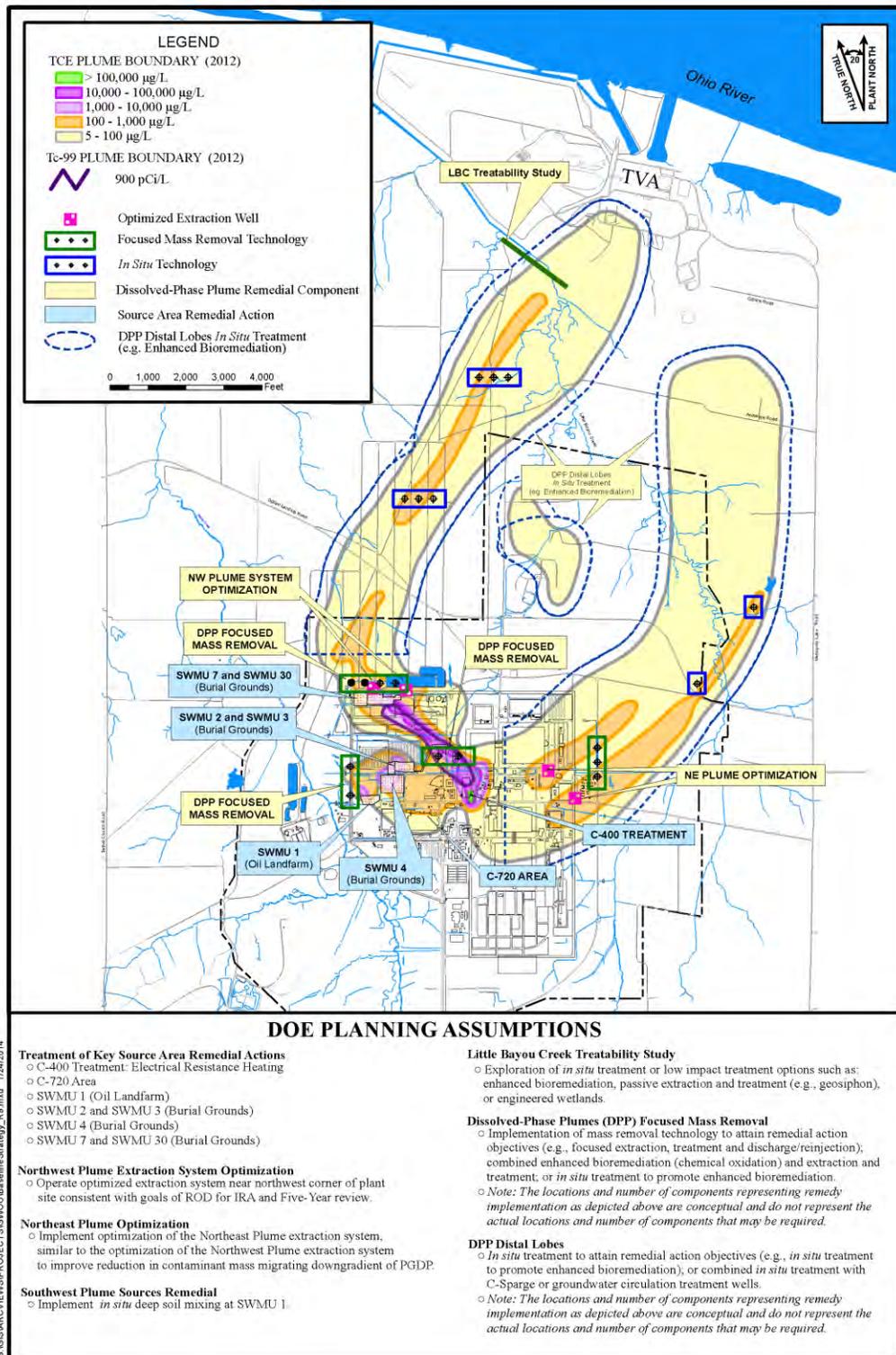


Figure 3.2. GWOU Baseline Strategy

- (4) Data collected from the NW plume extraction system optimization; the NE plume extraction system optimization; the treatability study at the Little Bayou Creek seeps; TCE degradation study; and the groundwater flow/transport model will be used to support the RI/FS process and will be documented accordingly.
- (5) The remedial action for the dissolved-phase plumes will include the following: (a) focused mass removal technology to address “high” mass residual VOCs and Tc-99 in the RGA near source areas in the plant vicinity; (b) operation of groundwater extraction system(s) until they meet shut-down criteria established in the final dissolved-phase plume Record of Decision (ROD); and (c) *in situ* treatment (e.g., enhanced bioremediation or alternative technology) for distal lobes of dissolved-phase plumes.
- (6) The extent of dissolved-phase plume groundwater contamination is expected to be limited to those areas already defined, consisting of the Northeast Plume, Northwest Plume, and Southwest Plume.
- (7) A single RI/FS Work Plan will be developed, encompassing all components of the Dissolved-Phase Plume remedial action; however, the remedial investigations may be conducted separately and the results may be reported in three separate RI Reports—(1) Northwest Plume Outside Fence Including Seeps, (2) Northeast Plume Outside Fence, and (3) Southwest Plume and Remaining Inside Fence.
- (8) In addition to the development and submittal of three separate RI Reports, three separate Feasibility Studies, Proposed Plans, Record of Decisions, Remedial Design Work Plans, Remedial Design Reports, Remedial Action Work Plans, and Remedial Action Completion Reports also may be developed and submitted for each subproject—(1) Northwest Plume Outside Fence Including Seeps, (2) Northeast Plume Outside Fence, and (3) Southwest Plume and Remaining Inside Fence.
- (9) Investigation and remediation of the seep areas along Little Bayou Creek will be addressed as part of the Dissolved-Phase Plume remedial action.

## **BURIAL GROUNDS OPERABLE UNIT**

In order to facilitate the development of subsequent documents, the FFA parties have agreed to group the Burial Grounds OU (BGOU) SWMUs into more manageable remedial action subprojects.

The BGOU will employ the CERCLA remedial process to accomplish the following goals (based on February 10, 2012, BGOU dispute resolution):

- Contribute to protection of groundwater by eliminating, reducing, or controlling sources of groundwater contamination;
- Prevent exposure to waste and contaminated soils that present an unacceptable risk from direct contact; and
- Treat or remove principal threat wastes wherever practicable, consistent with 40 *CFR* § 300.430(a)(1)(iii)(A).

The SWMU-specific RAOs for SWMUs 5 and 6 are as follows:

- Contribute to the protection of groundwater by eliminating, reducing, or controlling sources of groundwater contamination that will result in an exceedance of the MCL or risk-based concentration for residential use of groundwater in the absence of an MCL in RGA groundwater.
- Prevent exposure to waste or waste-related contaminated soils that exceed target cumulative excess lifetime cancer risks (ELCRs) and cumulative noncancer hazard indices (HIs) for the future industrial and future outdoor worker receptors. The acceptable cumulative risk levels for this RAO are defined as follows:
  - Surface Soil: cumulative ELCR < 1E-05 and cumulative HI ≤ 1 for a future industrial worker
  - Subsurface Soil: cumulative ELCR < 1E-04 and cumulative HI ≤ 1 for an future outdoor worker

The SWMU-specific RAOs for SWMUs 2, 3, 7, and 30 have not been finalized.

### Scope

The BGOU consists of the following 10 SWMUs.

- C-749: Uranium Burial Ground (SWMU 2)
- C-404: Low-Level Radioactive Waste Burial Ground (SWMU 3)
- C-747/748-B: Contaminated Burial Ground (SWMU 4)
- C-746-F: Burial Ground (SWMU 5)
- C-747-B: Burial Area (SWMU 6)
- C-747-A: Burial Ground and Burn Area (SWMUs 7 and 30)
- Residential/Inert Borrow Area/Old North-South Diversion Ditch (NSDD) Disposal Trench (SWMU 145)
- C-746-S: Residential Landfill (SWMU 9)<sup>5</sup>
- C-746-T: Inert Landfill (SWMU 10)<sup>5</sup>

The burial grounds contain materials such as sanitary waste, hazardous waste, radioactive waste, and pyrophoric uranium. Some of the burial grounds contain principal threat waste that have released or may in the future release to soils and groundwater. Surface soil within BGOU SWMUs is being addressed by BGOU rather than Soils OU.

An RI Report has been approved and the feasibility study for SWMUs 5 and 6 has been approved and the remaining feasibility studies are being developed to support the selection of appropriate remedial actions for the burial grounds. The four feasibility studies are grouped as follows: (1) SWMUs 5 and 6; (2) SWMUs 2, 3, 7, and 30; (3) SWMU 4; and (4) SWMUs 9, 10, and 145. To facilitate phased implementation of remedial action, SWMUs 2, 3, 7, and 30 will be divided further and separate CERCLA documents (i.e., proposed plan, ROD, remedial design work plan, remedial design report, remedial action work plan, and remedial action completion report) will be developed for SWMUs 2 and 3 and SWMUs 7

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<sup>5</sup> Previously closed under solid waste regulations (C-746-T closed on 2/9/95; C-746-S closed on 8/4/95).

and 30. The reason for the division of SWMUs 2, 3, 7, and 30 at the proposed plan stage is that SWMUs 2 and 3 are anticipated to require EPA and DOE remedy review boards. In addition, there is the likelihood that SWMUs 2 and 3 will require extensive time to reach a decision.

### **Key DOE Planning Assumptions**

- (1) A supplemental remedial investigation for optimizing the alternatives analysis and the associated RI Report Addendum will precede the SWMU 4 Feasibility Study.
- (2) A supplemental remedial investigation and the associated RI Report Addendum will precede the SWMUs 9, 10, and 145 Feasibility Study.
- (3) SWMU 2, SWMU 3, SWMU 4, and SWMU 7 contain principal threat waste.
- (4) Soil cover (18-inch) is expected to be included in the remedy selected for SWMU 145.
- (5) SWMUs 5 and 6 are expected to implement a Kentucky Subtitle D cap if containment is selected as the final remedy.
- (6) SWMUs 7 and 30 are expected to implement a Kentucky Subtitle D cap if containment is selected as the final remedy.
- (7) SWMUs 9 and 10 will be evaluated as part of the CERCLA process. Currently only limited actions (e.g., LUC evaluation) are assumed to be required in the baseline for SWMUs 9 and 10.
- (8) Post-closure monitoring data are assumed to substantiate that capping remedies will provide long-term effectiveness, and supplemental remedial actions will not be required.
- (9) An integrated groundwater monitoring system at each SWMU (e.g., upgradient and downgradient) will be employed to provide indication of future unanticipated releases and collect data on the effectiveness of the caps and *in situ* actions.

### **SURFACE WATER OPERABLE UNIT**

The Surface Water Operable Unit (SWOU) is being implemented in a phased approach consisting of a series of sequenced remedial and removal actions designed to accomplish the following goals:

- (1) Prevent human exposure to contaminated sediments presenting an unacceptable risk to on-site workers and off-site recreational users of surface water;
- (2) Prevent or minimize further off-site migration of contaminated sediments and surface water;
- (3) Reduce, control, or minimize contaminant sources contributing to sediment and surface water contamination; and
- (4) Evaluate and select long-term solutions for off-site surface water contamination to protect recreational users and ecological receptors.

A series of actions already have been completed toward meeting these goals, as depicted in Figure 3.3. The previous actions are summarized in Appendix 1 (Actions Taken to Date).

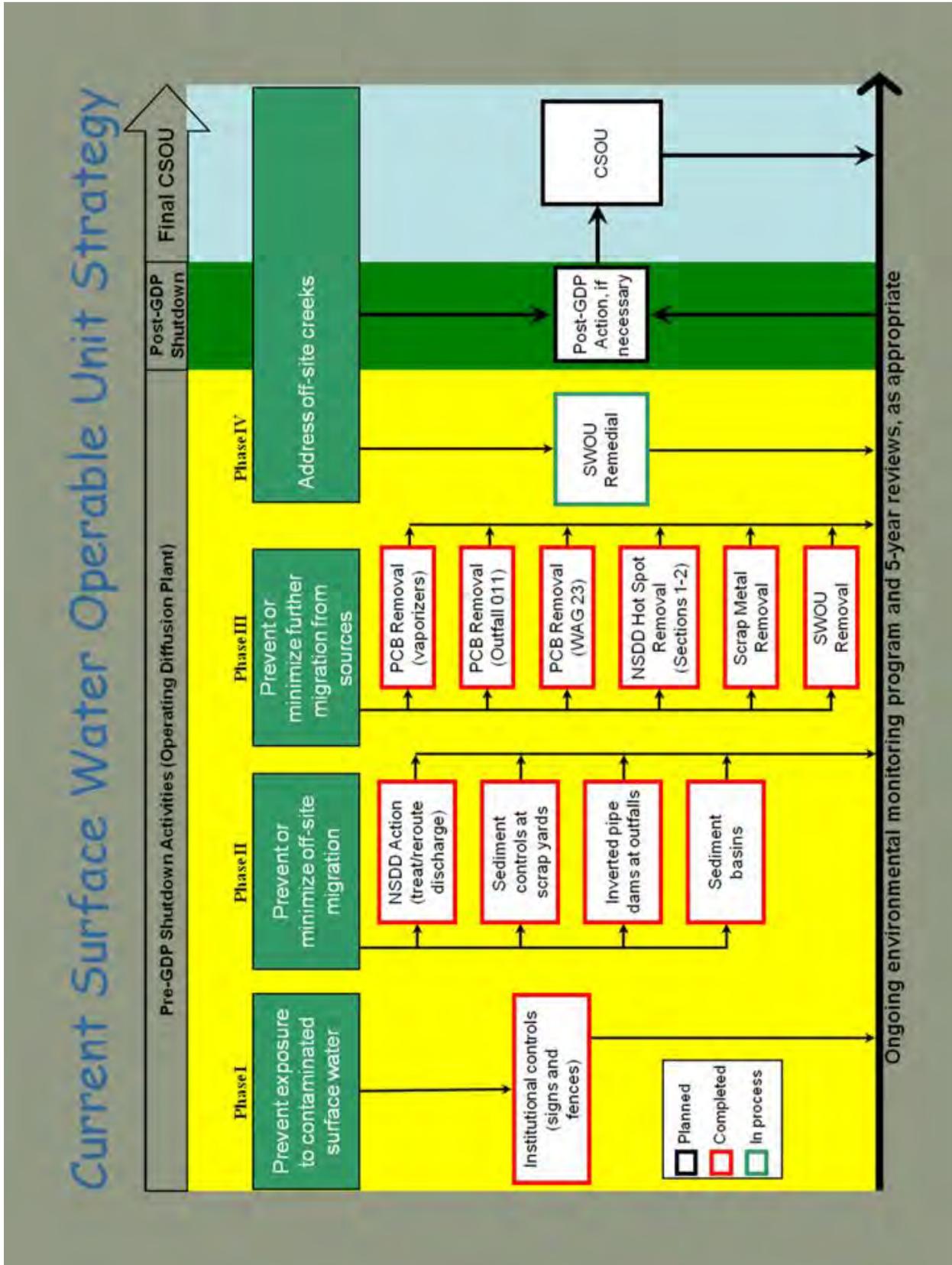


Figure 3.3. Current Surface Water Operable Unit Strategy

The SWOU consists of the specific SWMUs and Areas of Concern (AOCs) identified in Appendix 4 (Source Area By Operable Unit), and includes the soils/sediments and storm water corresponding with the points of discharge from facility piping to ditches, outfalls and Bayou and Little Bayou Creeks. Metals, radionuclides, and PCBs are the likely contaminants of interest for the SWOU. Remediation of Outfalls 005, 006, 017, and 019 and their associated ditches is planned to occur during post-GDP shutdown for the GDP Lagoons and Ditches OU unless the parties agree early action is warranted.

### **Surface Water Remedial Action**

#### **Scope**

The scope of this project includes an RI/FS [baseline risk assessment (BRA)], remedy selection, and implementation of any necessary response actions for on- and off-site areas, including Bayou Creek, Little Bayou Creek, and Outfalls 001, 002, 008, 009, 010, 011, 012, 013, and 015, as well as scoping for and completion of a baseline ecological risk assessment for PGDP. The Surface Water Remedial Action includes evaluation of all areas located inside the limited area draining to Bayou and Little Bayou Creeks to the Ohio River, including those areas previously addressed in the SWOU Removal Action. The timing and sequence of any remedial actions will require coordination with ongoing plant operations to prevent recontamination and consideration of ongoing permitted discharges. The SWOU will address contaminated media (e.g., surface water and sediments) associated with ditches and creeks as part of the RI/FS consistent with the NCP and EPA guidance. Even though remediation of Outfalls, 005, 006, 017, and 019 and their associated ditches is not planned until after GDP shutdown, data associated with them [e.g., creek data upstream and downstream of the point of discharge, Kentucky Pollutant Discharge Elimination System (KPDES) monitoring data, and information on ecological receptors] will be included in the RI/FS and sitewide baseline ecological risk assessment associated with the SWOU during the pre-shutdown phase.

A final remedial action decision for the lagoons will be addressed as part of the post-GDP shutdown for the GDP Lagoons and Ditches OU, as discussed in Section 3.

#### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) RI characterization will be conducted in a phased approach with uranium-238, cesium-137, and Total PCBs being used as indicator parameters during the first phase, followed by a more comprehensive list of analyte sampling (i.e., PCBs, metals, radionuclides, and volatile organic analytes during the second phase).
- (2) Existing information for internal ditches will be used for characterization. Additional sampling will focus primarily on areas between the KPDES compliance points and drainage into Little Bayou Creek and Bayou Creek<sup>6</sup>.
- (3) Little and Bayou Creeks will be investigated to the confluence with the Ohio River.
- (4) Biota sampling will be required to support an ecological risk assessment for off-site portions of the SWOU.
- (5) The assumed remedial action is excavation of contaminated sediments in outfalls and creeks and

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<sup>6</sup> DOE's current baseline and budget assume that the use of existing data will be sufficient for final characterization; however, EPA has raised concerns, based upon the extended time frame for implementation of the RI/FS and the potential for changing site conditions as a result of plant activities, that the collection of additional samples is warranted. The FFA parties agree to revisit the scope of characterizing the internal ditches prior to implementation of the RI/FS Work Plan.

will involve coordination with the U.S. Army Corps of Engineers. No O&M period is assumed to be needed to achieve RAOs.

- (6) The RI/FS Work Plan is comprehensive, encompassing all components of the SWOU remedial action; however, the document is divided by watershed (Little Bayou Creek and Bayou Creek) to support independent execution of sampling and documentation of results by watershed.
- (7) An ecological risk assessment will be completed for both watersheds and included within the RI/FS Report. Upon shutdown of the GDP and coordination with decontamination and decommissioning (D&D) activities, a sitewide ecological risk assessment may be necessary to determine the risk to ecological receptors from potential operational releases that might have occurred between the initial watershed-specific ecological risk assessments and shutdown of the GDP.
- (8) Individual Feasibility Studies, Proposed Plans, Record of Decisions, Remedial Design Work Plans, Remedial Design Reports, Remedial Action Work Plans, and Remedial Action Completion Reports may be developed and submitted per watershed.
- (9) Investigation and remediation of the seep areas along Little Bayou Creek will be addressed as part of the Groundwater Operable Unit.

### **SOILS OPERABLE UNIT**

The Soils OU is being implemented in a phased approach (i.e., pre-GDP shutdown and post-GDP shutdown) consisting of remedial and removal actions to accomplish the following goals:

- Prevent human exposure to contamination presenting an unacceptable risk;
- Prevent or minimize further off-site migration; and
- Reduce, control, or minimize contaminated soil hot spots contributing to off-site contamination.

The original scope of the Soils OU consisted of 86 SWMUs/AOCs; three inactive facilities (SWMUs 181, SWMU 40, and SWMU 19); and the soil/rubble areas that have been identified to date. The scope of the removal action for two of the three inactive facilities has been completed, the exception being the excavation of contaminated soil at the C-403 Neutralization Tank (SWMU 40). This activity will occur after GDP shutdown as previously agreed to by the FFA Parties. The scope for the soil/rubble areas also has been completed. During the development of the RI/FS Work Plan/Report, it was determined that only 63 of the 86 SWMUs/AOCs included within the original scope will be addressed under this OU prior to GDP shutdown based upon accessibility. Those SWMUs/AOCs identified as inaccessible will be addressed as part of the post-GDP activities. Specific details about how the individual SWMUs/AOCs will be addressed is discussed further in the Soils OU Remedial Action (Pre-GDP Shutdown) section.

Prior to GDP shutdown, the Soils OU will focus on accessible plant surface soils (ground surface to 10 ft below ground surface and 16 ft below ground surface in the vicinity of pipelines) not associated with PGDP operations. Following GDP shutdown, slabs and underlying soils associated with facilities that have undergone D&D or SWMUs/AOCs that have been determined to be inaccessible during the development of the RI/FS Work Plan/Report will be addressed as part of a subsequent action (e.g., post-GDP shutdown for the Soils and Slabs OU). Sequencing of the work will be determined based on OU-specific circumstances, as mutually agreed by the FFA parties.

A series of Soils OU actions have been completed to date (See Figure 3.4). These previous actions are summarized in Appendix 1 (Actions Taken to Date).

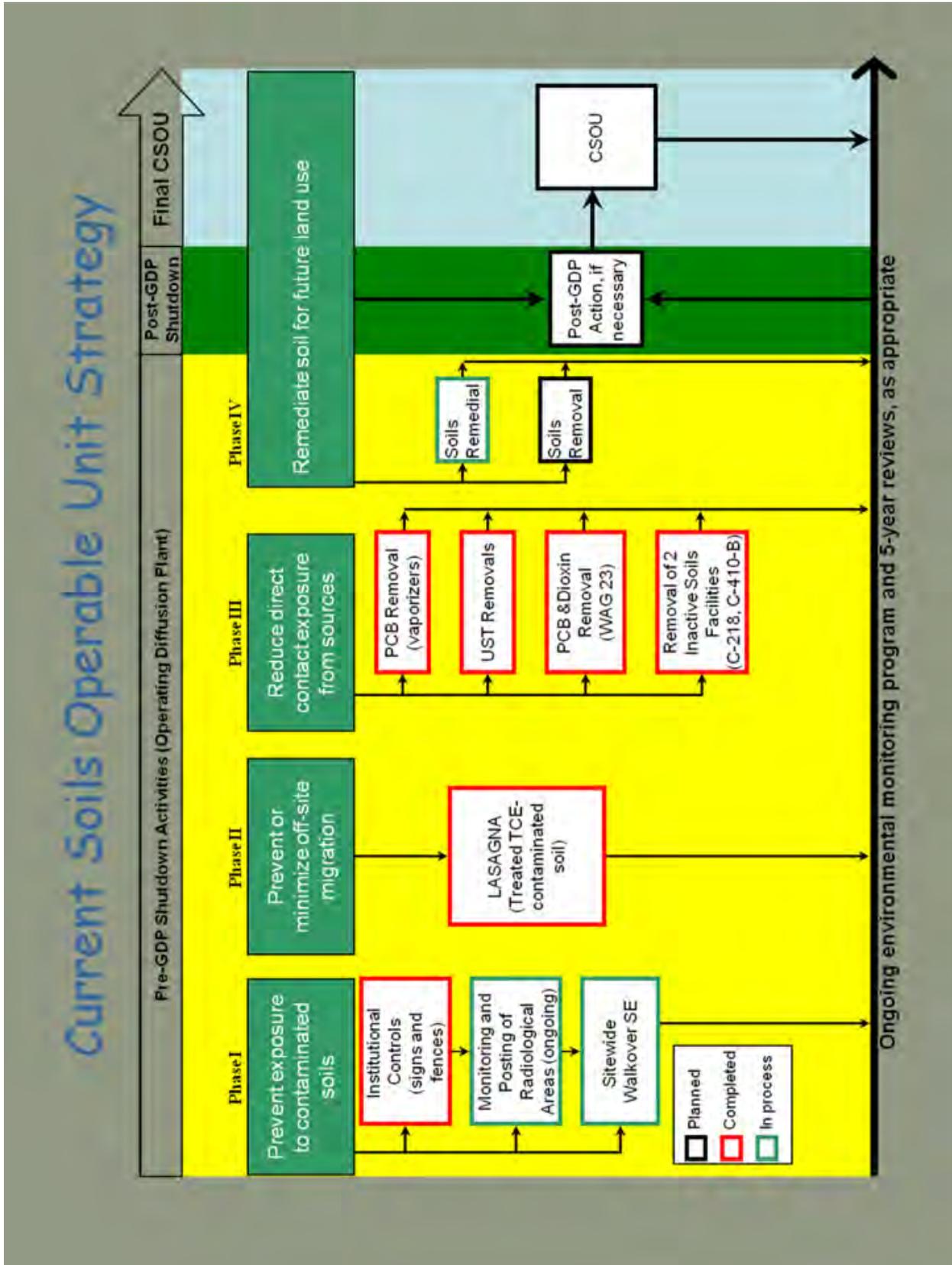


Figure 3.4. Current Soils Operable Unit Strategy

## **Soils OU Remedial Action (Pre-GDP Shutdown)**

### **Scope**

The scope of this project includes an RI/FS BRA, remedy selection, and implementation of any necessary response actions for the 63 SWMUs/AOCs listed in Appendix 4. Sites are included in this OU based on the expectation that they primarily pose a direct contact threat to on-site industrial workers and likely are not a migration threat to groundwater or surface water. The project has incorporated results from previous actions and sitewide evaluations/surveys. Results of the Soils OU RI will be used in scoping for and completion of the baseline ecological risk assessment conducted under the SWOU. SWMUs/AOCs that have been determined to be inaccessible during the development of the RI/FS Work Plan/Report will be addressed as part of a subsequent action (e.g., post-GDP shutdown for the Soils and Slabs OU). As of this date, 25 SWMUs/AOCs will be addressed as part of post-GDP activities. These 25 SWMUs/AOCs are listed in Appendix 4 under the Soils and Slab OU. It should be noted that SWMU 99 and SWMU 225 have been subdivided in two separate SWMUs. SWMU 99A (C-745 Kellogg Building Site—Cylinder Yard) will be addressed as part of the Soils and Slab OU. SWMU 99B (C-745 Kellogg Building. Site—Septic Tank/Leach Field), SWMU 225-A (OS-14), and SWMU 225-B (Contaminated Soil Area near C-533-1 DMSA OS-14) will be addressed as part of the Soils OU. It also should be noted that SWMU 12 (C-747-A UF<sub>4</sub> Drum Yard) has been placed in the No Further Action section of Appendix 4.

### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) Radionuclides, metals, and PCBs are the primary COCs for pre-GDP shutdown. Other COCs will be considered on a case-by-case basis prior to GDP shutdown.
- (2) SWMUs requiring action will be evaluated in multiple feasibility studies that will focus on the following likely response actions: no action, institutional controls, and excavation.
- (3) Of the 63 SWMUs/AOCs, 50 will be addressed as part of the Soils OU FS, including SWMU 99B (C-745 Kellogg Building Site—Septic Leach Field). The remaining 13 SWMUs/AOCs will be further evaluated under Soils OU RI 2 and addressed by a subsequent Soils OU feasibility study. Original Soils OU RI Report comments relating to the remaining 13 SWMUs/AOCs will be addressed during the scoping of the Soils OU RI 2.
- (4) The 25 remaining SWMUs/AOCs, including SWMU 99A (C-745 Kellogg Building Site—Cylinder Yard) will be addressed as part of post-GDP activities.
- (5) During scoping and site walkdown of the Soils OU RI 2, 4 of the 16 SWMUs/AOCs were identified to be addressed as part of post-GDP activities. The remaining SWMUs/AOCs, including SWMU 225-A (OS-14) and SWMU 225-B (Contaminated Soil Area near C-533-1 DMSA OS-14) will be addressed by the Soils OU RI 2.
- (6) Portions of SWMU 1 treated as part of the Southwest Plume GWOU will be disturbed by soil mixing activities. As a result, reassessment and recharacterization of this area will be required prior to remedial selection.
- (7) SWMU 12 (C-747-A UF<sub>4</sub> Drum Yard) has been removed from the Soils OU and has been placed in the No Further Action section in Appendix 4.
- (8) SWMU 13 has been removed from the BGOU scope and SWMU 13 will be addressed in its entirety (both surface and subsurface) as part of the Soils OU. SWMU 13 is one of the 16

remaining SWMUs/AOCs that will be evaluated further under a Soils OU RI 2. Comments and associated dataset on the SWMU 13 SER will be addressed during scoping, considered part of work plan development, and discussed within the Soils OU RI Report 2.

- (9) The future disposition of SWMU 27 will be based upon the findings of a visual examination. Further actions may include the following options: (1) alternative development in the FS, (2) further sampling as part of Soils RI 2, or (3) no further action.
- (10) Individual Proposed Plans, Record of Decisions, Remedial Design Work Plans, Remedial Design Reports, Remedial Action Work Plans, and Remedial Action Completion Reports may be developed and submitted per grouping. It is currently anticipated that the Soils Remedial Action may be divided into a maximum of seven groupings based upon investigation results. Once the RI data are evaluated, the proposed grouping may be adjusted.

### **Soils OU Removal Action**

This project is contingent upon historical or new sampling results of the RI for the Soils OU Remedial Action. Scope will include addressing any of the Soils OU SWMUs/AOCs which have contaminant concentrations above early action criteria.

### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) A single EE/CA and Action Memorandum will be developed and submitted for those SWMUs requiring removal action.
- (2) SWMUs will be grouped into (1) outside-of-fence and (2) inside-the-fence and a Removal Action Work Plan will be developed and submitted for each grouping.
- (3) Separate Removal Action Reports may be developed and submitted for each of the two grouping.

### **Sitewide Evaluation**

#### **Scope**

The scope of the project includes a survey of the DOE-owned property outside the limited/controlled area. A sitewide evaluation will be performed to identify any unknown contaminated areas requiring further CERCLA evaluation and to develop information usable when completing the Resource Conservation and Recovery Act Corrective Action (RCRA) Environmental Indicators process.

### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) A flyover rad survey has been conducted for a 25 square mile area.
- (2) A visual walkover survey covered DOE owned property that is outside the controlled area and not currently a SWMU/AOC (approximately 2,676 acres). DOE property licensed to West Kentucky Wildlife Management Area (WKWMA) and areas owned by WKWMA identified as anomalies in the flyover also will be surveyed.
- (3) Visual observation was used to identify piles, spills, buried materials, and other anomalies.

- (4) A radiological walkover survey using MARSSIM approach covered at least 10% of the property identified above (approximately 240 acres). All anomalies identified have been scanned regardless of what percentage of land they cover.
- (5) All anomalies have been documented on a map and in a database including location, description, photos, and data.
- (6) Analytical sampling has been conducted if the RAD scan indicates contamination (i.e., 2X background) or a release is visually identified.
- (7) Information will be documented in a SER. SWMU Assessment Reports will be attached to the SER for any new SWMUs/AOCs identified during this evaluation.
- (8) A Sitewide Evaluation Work Plan will be developed to incorporate discussion among the FFA parties.<sup>7</sup> Characterization activities required, based upon these discussions, will be conducted, results of the characterization activities will be discussed with the FFA parties, and the appropriate path forward will be incorporated into the D1 SER.

## **D&D OPERABLE UNIT**

The D&D OU consists of two phases (See Figure 3.5): 1) the DOE facilities that are currently inactive and scheduled for D&D, and 2) the facilities previously leased to USEC and/or other DOE facilities planned for D&D after shutdown of the GDP. The D&D OU will employ the CERCLA removal action process to administer decommissioning activities of excess buildings (i.e., inactive with no reuse potential) that have a known or potential release of contamination to the environment. Consistent with the 1995 DOE and EPA Memorandum: *Policy on Decommissioning DOE Facilities under CERCLA*, DOE will employ the CERCLA Non-Time-Critical Removal Action framework unless the circumstances at the facilities make it inappropriate.

As part of future planning and execution of post-GDP shutdown activities, the FFA parties plan to evaluate and consider incorporation of CERCLA documentation strategies developed in 2009 to streamline the decision making process. In instances, where appropriate, DOE may decommission the facility following deactivation activities that are completed as non-CERCLA actions in accordance with applicable regulations. The primary objective for this OU is to minimize or eliminate the potential threats to health and the environment caused by the potential uncontrolled release of hazardous substances from contaminated structures and to reduce long-term surveillance and maintenance costs.

In May 2013, USEC ceased uranium enrichment operations at the PGDP and issued a formal two-year notification in August 2013 for the return of the PGDP to DOE. DOE and USEC worked together to develop turnover plans that resulted in a safe, secure, and successful transfer of PGDP on October 21, 2014. DOE has identified a deactivation contractor to support the deactivation and to accept the PGDP for utility operations, surveillance and maintenance, and to prepare PGDP for D&D.

### **Scope**

The remaining pre-GDP shutdown scope of the D&D OU consists of the following inactive DOE facilities:

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<sup>7</sup> During scoping of the work plan, the FFA parties developed and used an integrated ranking method that considered both the maximum reported radiation measurements and the percent difference between the reported maximum and average to select the 25 anomalies with 3 contingency anomalies.

# Current D&D Operable Unit Strategy

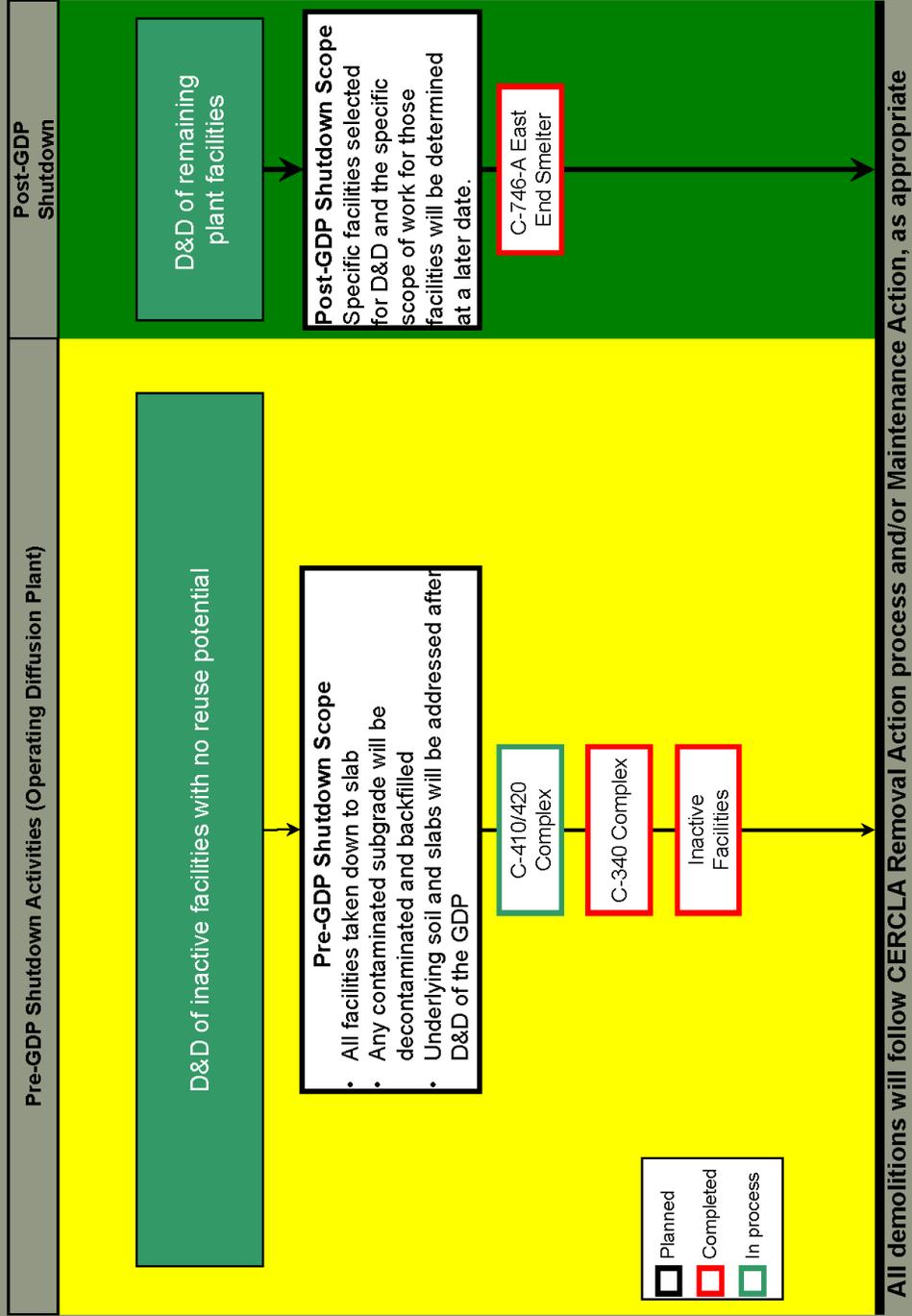


Figure 3.5. Current D&D Operable Unit Strategy

- C-410/420 Complex
  - Infrastructure removal at the C-410/420 Complex is currently ongoing as a CERCLA Non-Time-Critical Removal Action. Development of an Action Memorandum Addendum and Removal Action Work Plan Addendum has been completed to modify and expand the scope of the existing CERCLA action to accelerate decommissioning of the facility structure.

Decommissioning of CERCLA facilities completed to date is summarized in Appendix 1 (Actions Taken to Date). There is potential for additional facilities to come under DOE control prior to GDP shutdown and, as indicated in Figure 3.5, additional decommissioning will be accomplished during the post-GDP shutdown phase.

The decommissioning process includes the following activities:

- Remove facility infrastructure to the extent necessary to allow for safe and efficient demolition of the facility structure.
- Demolish facility to slab. Waste generated from the demolition will be disposed at the appropriate disposal facility based on the waste streams created.
- Decontaminate or apply fixative to stabilize any removable contamination remaining on the slabs to ensure slab is in a protective state. The “as left” conditions will be protective of the industrial worker.
- Basements and below grade structures will be filled with flowable fill or similar material to prevent water accumulation and eliminate fall hazards. The fill material will be non-permanent to facilitate decommissioning, while not inhibiting future subsurface actions.

### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) Slabs, subsurface structures, and underlying soils will be left and will be addressed as part of the post-GDP shutdown for the Soils and Slabs OU. No sampling of slab or sub-slab soils is planned within the scope of D&D.
- (2) Loose material, asbestos abatement, and removal of certain infrastructure and equipment typically will be conducted as part of deactivation activities as a DOE maintenance action using National Environmental Policy Act documentation and all applicable laws and regulations.
- (3) Any RCRA-required closure actions associated with permitted facilities would be accomplished prior to D&D.

### **OTHER PROJECTS**

#### **CERCLA Waste Disposal Alternative Evaluation**

##### **Scope**

The scope of this project is to evaluate disposal options for the CERCLA waste that will be generated as a result of implementing removal and remedial actions for all of the OUs. The evaluation of disposal options will be conducted using the CERCLA remedial decision-making process. Accordingly, the scope of the RI/FS will be focused and tailored to the nature of this project (i.e., this is not a typical project

where potential releases are investigated, evaluated, and remediated). Additionally, due to significant public interest in the project, frequent interactions with the public are expected throughout the project life cycle. The decision about whether to implement an on-site disposal facility will be documented in a ROD.

### **Key DOE Planning Assumptions from Life Cycle Baseline**

- (1) Existing information on waste types and volumes is sufficient for the RI/FS Report, and measurements of waste characteristics will not be needed for evaluation of alternatives. Assumed waste types include the following categories: low-level waste (LLW), RCRA, Toxic Substances Control Act (TSCA), LLW/RCRA, LLW/TSCA, LLW/RCRA/TSCA, classified wastes, asbestos containing materials, and non-hazardous solid (U-Landfill waste).
- (2) A potential on-site CERCLA disposal facility will not accept transuranic waste.
- (3) Sufficient information about the design, cost, and Waste Acceptance Criteria for the existing disposal facilities (e.g., Nevada Test Site, EnergySolutions, C-746-U Landfill) is available; no additional data collection is needed for the RI/FS Report.
- (4) 12 potential locations on the Paducah Site were evaluated in a site screening process, and five candidate sites were deemed to be viable sites in the RI/FS Report.
- (5) Implementation of the ROD<sup>8</sup> may require resequencing of other site work.
- (6) Final Waste Acceptance Criteria will be defined during the post-ROD design phase.

### **FINAL COMPREHENSIVE SITE OPERABLE UNIT<sup>9</sup>**

The final Comprehensive Site Operable Unit (CSOU) evaluation will occur following completion of D&D of the GDP, D&D of the DUF<sub>6</sub> Conversion Plant, and completion of cleanup of each of the specific OUs (e.g., GDP Groundwater Sources, Soils and Slabs). As final actions for SWMUs are completed, those SWMUs will be placed in the CSOU section of Appendix 4 of the SMP to ensure that the results of the completed action are accounted for in the overall CSOU evaluation. The final CSOU will maximize use of the relevant data from previous cleanup activities and document the residual contamination and risk. Circumstances may dictate additional field activities as a result of evaluating existing information; however, it is the assumption of the FFA parties that any SWMUs entered into the CSOU will not require any additional response action. A work plan will compile and evaluate the existing information to determine if any data gaps related to conducting a sitewide evaluation exist. The RI will include a sitewide baseline human health and ecological risk assessment to evaluate residual risks and ensure all actions taken to date, when considered collectively, are protective of human health and the environment from a sitewide perspective. If the results of the final CSOU BRA conclude that overall protection of human health and the environment has been achieved, a final Proposed Plan and no further action ROD will be developed. If the BRA concludes that residual contamination still poses an unacceptable risk that exceeds the criteria established in Section XII of the FFA, a final feasibility study will be developed, followed by a final Proposed Plan, ROD, and implementation of the final remedy. DOE intends to conduct necessary long-term monitoring to evaluate progress toward achieving RAOs. When no further

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<sup>8</sup> Regulatory expectations are that sufficient design and waste acceptance criteria information will be available to support the ROD.

<sup>9</sup> The FFA, as currently written, contemplated multiple CSOUs, consisting of those associated with integrator units (i.e., groundwater, surface water) and a final CSOU completed after issuance of all final RODs for the site. The FFA parties acknowledge that the above scope description is intended to reflect the final CSOU, and a future FFA modification will address any inconsistencies between the FFA and SMP strategy.

response is appropriate, and all the RAOs for all remedies have been achieved, PGDP will be eligible for deletion from the National Priorities List (NPL). It should be noted that partial NPL delisting may be pursued for eligible areas prior to the CSOU.

**APPENDIX 4**

**SOURCE AREA BY OPERABLE UNIT**

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**Solid Waste Management Units/Areas of Concern by Operable Unit**

| <b>GROUNDWATER</b>         |                                       |                 |   |  |     |  |
|----------------------------|---------------------------------------|-----------------|---|--|-----|--|
| <b>Operable Unit</b>       | <b>Subproject</b>                     | <b>SWMU No.</b> | <b>Description</b>  |  |     |  |
| GWOU                       | C-400 Action                          | 11              | C-400 Leak Site   |  |     |  |
|                            |                                       | 533             | TCE Spill Site from TCE Unloading Operations at C-400             |  |     |  |
|                            | Southwest Plume Sources               | 1               | C-747-C Oil Land Farm   |  |     |  |
|                            |                                       | 211 A           | C-720 TCE Spill Site Northeast                                    |  |     |  |
|                            |                                       | 211 B           | C-720 TCE Spill Site Southeast                                    |  |     |  |
|                            | Dissolved-Phase Plumes                | 201             | Northwest Groundwater Plume                                       |  |     |  |
|                            |                                       | 202             | Northeast Groundwater Plume                                       |  |     |  |
|                            |                                       | 210             | Southwest Groundwater Plume                                       |  |     |  |
| GDP Groundwater Sources OU | C-400 Residuals and Remaining Sources | 11              | C-400 TCE Leak Site   |  |     |  |
|                            |                                       | 533             | TCE Spill Site from TCE Unloading Operations at C-400             |  |     |  |
| <b>SURFACE WATER</b>       |                                       |                 |   |  |     |  |
|                            | NSDD                                  | 59              | NSDD (Inside)   |  |     |  |
| SWOU                       | SWOU Remedial Action                  | Removal Action  | 58  | NSDD (Outside) (includes KPDES 003)  |     |  |
|                            |                                       |                 | 60  | C-375-E2 Effluent Ditch (KPDES 002) <sup>1</sup>   |     |  |
|                            |                                       |                 | 61  | C-375-E5 Effluent Ditch (KPDES 013) <sup>1</sup>   |     |  |
|                            |                                       |                 | 62  | C-375-S6 SW Ditch (KPDES 009) <sup>1</sup>   |     |  |
|                            |                                       |                 | 63  | C-375-W7 Oil Skimmer Ditch (KPDES 008 and KPDES 004)                                     |     |  |
|                            |                                       |                 | 66  | C-375-E3 Effluent Ditch (KPDES 010)  |     |  |
|                            |                                       |                 | 67  | C-375-E4 Effluent Ditch (C-340 Ditch) (KPDES 011)  |     |  |
|                            |                                       |                 | 68  | C-375-W8 Effluent Ditch (KPDES 015)  |     |  |
|                            |                                       |                 | 69  | C-375-W9 Effluent Ditch (KPDES 001)  |     |  |
|                            |                                       |                 | 92  | Fill area for dirt from the C-420 PCB Spill Site   |     |  |
|                            |                                       |                 | 97  | C-601 Diesel Spill   |     |  |
|                            |                                       |                 | 102B  | Plant Storm Sewer associated with C-333-A, C-337-A, C-340, C-535, and C-537 <sup>1</sup> |     |  |
|                            |                                       |                 | 168   | KPDES Outfall Ditch 012 <sup>1</sup>   |     |  |
|                            |                                       | 526             | Internal Plant Drainage Ditches (includes KPDES 016) <sup>2</sup> |  |     |  |
|                            |                                       |                 |   |  | 64  | Little Bayou Creek                                 |
|                            |                                       |                 |   |  | 65  | Bayou Creek  |
|                            |                                       |                 |   |  | 93  | Concrete Disposal Area East of Plant Security Area |
|                            |                                       |                 |   |  | 105 | Concrete Rubble Pile (3)                           |
| 106                        | Concrete Rubble Pile (4)              |                 |   |  |     |  |
| 107                        | Concrete Rubble Pile (5)              |                 |   |  |     |  |
|                            |                                       |                 | 108   | Concrete Rubble Pile (6)   |     |  |
|                            |                                       |                 | 109   | Concrete Rubble Pile (7)   |     |  |
|                            |                                       |                 | 113   | Concrete Rubble Pile (11)  |     |  |

<sup>1</sup> The results of the SWOU (On-Site) Site Investigation determined that there were no unacceptable levels of risk to current and anticipated future receptors that warranted inclusion of SWMU 60 (Outfall 002), SWMU 168 (Outfall 012), or SWMU 102 (PGDP storm sewer systems associated with C-333-A, C-337-A, C-340, C-535, and C-537). As a result, no action will be taken for these SWMUs as originally planned under the SWOU removal action. These SWMUs will be evaluated further as part of the SWOU remedial action. It also should be noted that during development of the Sampling and Analysis Plan (SAP) for SWOU (On-Site) Removal Action, Outfall 009 and Outfall 013 were evaluated. This assessment of the outfalls, which included a review of historical data, indicated that Outfall 009 and Outfall 013 did not require an early action, and further assessment of Outfall 009 and Outfall 013 would be addressed during the Comprehensive Site Operable Unit (CSOU). Based upon current site strategy, Outfall 009 and Outfall 013 also will be addressed as part of the SWOU remedial action.

<sup>2</sup> KPDES Outfall 016, in its entirety, will be addressed as part of the SWOU Remedial Investigation.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SURFACE WATER (CONTINUED)</b>    |                                |                 |  |
|-------------------------------------|--------------------------------|-----------------|--|
| <b>Operable Unit</b>                | <b>Subproject</b>              | <b>SWMU No.</b> | <b>Description</b>   |
| SWOU                                | SWOU Remedial Action           | 129             | Concrete Rubble Pile (27)  |
|                                     |                                | 175             | Concrete Rubble Pile (28)  |
|                                     |                                | 185             | C-611-4 Horseshoe Lagoon (includes KPDES 014)  |
|                                     |                                | 199             | Bayou Creek Monitoring Station   |
|                                     |                                | 205             | Eastern Portion of Yellow Water Line   |
|                                     |                                | 549             | Dirt/Concrete Rubble Pile near Outfall 008   |
|                                     |                                | 550             | Concrete Culvert Sections Located on the West Bank of the Ditch Leading to Outfall 001 |
| GDP Lagoons and Ditches OU          |                                | 17              | C-616-E Sludge Lagoon  |
|                                     |                                | 18              | C-616-F Full-Flow Lagoon   |
|                                     |                                | 21              | C-611-W Sludge Lagoon  |
|                                     |                                | 22              | C-611-Y Overflow Lagoon (includes KPDES 006)   |
|                                     |                                | 23              | C-611-V Lagoon (includes KPDES 005)  |
|                                     |                                | 171             | C-617-A Lagoons  |
|                                     |                                | Others          | Outfalls 017, 018, 019/020, and 526 and associated ditches                             |
| <b>BURIAL GROUNDS</b>               |                                |                 |  |
| BGOU                                | BGOU Remedial                  | 2               | C-749 Uranium Burial Ground  |
|                                     |                                | 3               | C-404 Low-Level Radioactive Waste Burial Ground  |
|                                     |                                | 4               | C-747 Contaminated Burial Ground   |
|                                     |                                | 5               | C-746-F Classified Burial Ground   |
|                                     |                                | 6               | C-747-B Burial Area  |
|                                     |                                | 7               | C-747-A Burial Ground  |
|                                     |                                | 9               | C-746-S Residential Landfill   |
|                                     |                                | 10              | C-746-T Inert Landfill   |
|                                     |                                | 30              | C-747-A Burn Area  |
| Additional Burial Ground Sources OU |                                | 145             | Residential/Inert Landfill Borrow Area (P-Landfill)                                    |
|                                     |                                | 472             | C-746-B Pad  |
| 520                                 | Scrap Material West of C-746-A |                 |  |
| <b>SOILS</b>                        |                                |                 |  |
| Soils OU                            | Soils Remedial                 | 1               | C-747-C Oil Land Farm  |
|                                     |                                | 13              | C-746-P Clean Scrap Yard <sup>3</sup>  |
|                                     |                                | 14              | C-746-E Contaminated Scrap Yard  |
|                                     |                                | 15              | C-746-C Scrap Yard <sup>3</sup>  |
|                                     |                                | 19              | C-410-B HF Neutralization Lagoon   |
|                                     |                                | 26              | C-400 to C-404 Underground Transfer Line <sup>3</sup>                                  |
|                                     |                                | 27              | C-722 Acid Neutralization Tank   |
|                                     |                                | 56              | C-540-A PCB Waste Staging Area <sup>3,4</sup>  |
|                                     |                                | 57              | C-541-A PCB Waste Staging Area <sup>4</sup>  |
|                                     |                                | 76              | C-632-B Sulfuric Acid Storage Tank   |
|                                     |                                | 77              | C-634-B Sulfuric Acid Storage Tank <sup>3,5</sup>                                      |
|                                     |                                | 80              | C-540-A PCB Spill Site <sup>3</sup>  |

<sup>3</sup> These SWMUs/AOCs will be evaluated further under a Soils OU RI 2 and addressed by a subsequent Soils OU feasibility study.

<sup>4</sup> SWMUs 56 and 57 are located within, and will be addressed as part of, SWMUs 80 and 81, respectively.

<sup>5</sup> This SWMU was evaluated as part of the Soils Operable Unit. The soils and underlying slabs associated with this SWMU will be addressed under the Soils and Slabs OU as part of post-GDP shutdown activities.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b> |  |                 |   |
|--------------------------|--|-----------------|---|
| <b>Operable Unit</b>     | <b>Subproject</b>  | <b>SWMU No.</b> | <b>Description</b>  |
| Soils OU<br>(Cont.)      | Soils<br>Remedial<br>(Cont.)                                     | 81              | C-541-A PCB Spill Site                                      |
|                          |  | 99 B            | C-745 Kellogg Bldg. Site—Septic Tank/Leach Field            |
|                          |  | 138             | C-100 Southside Berm  |
|                          |  | 153             | C-331 PCB Soil Contamination (West)                         |
|                          |  | 156             | C-310 PCB Soil Contamination (West Side)                    |
|                          |  | 158             | Chilled-Water System Leak Site                              |
|                          |  | 160             | C-745 Cylinder Yard Spoils (PCB Soils)                      |
|                          |  | 163             | C-304 Bldg./HVAC Piping System (Soil Backfill)              |
|                          |  | 165             | C-616-L Pipeline & Vault Soil Contamination                 |
|                          |  | 169             | C-410-E HF Vent Surge Protection Tank                       |
|                          |  | 170             | C-729 Acetylene Bldg. Drain Pits                            |
|                          |  | 180             | Outdoor Firing Range (WKWMA)                                |
|                          |  | 181             | Outdoor Firing Range (PGDP)                                 |
|                          |  | 194             | McGraw Construction Facilities (Southside)                  |
|                          |  | 195             | Curlee Road Contaminated Soil Mounds                        |
|                          |  | 196             | C-746-A Septic System                                       |
|                          |  | 200             | Soil Contamination South of TSCA Waste Storage Facility     |
|                          |  | 204             | Dykes Road Historical Staging Area <sup>3</sup>             |
|                          |  | 211 A           | C-720 TCE Spill Site Northeast <sup>3</sup>                 |
|                          |  | 212             | C-745-A Radiological Contamination Area                     |
|                          |  | 213             | OS-02   |
|                          |  | 214             | OS-03   |
|                          |  | 215             | OS-04   |
|                          |  | 216             | OS-05   |
|                          |  | 217             | OS-06   |
|                          |  | 219             | OS-08   |
|                          |  | 221             | OS-10   |
|                          |  | 222             | OS-11   |
|                          |  | 224             | OS-13 <sup>3</sup>  |
|                          |  | 225A            | OS-14 <sup>3</sup>  |
|                          |  | 225 B           | Contaminated Soil Area near C-533-1 DMSA OS-14 <sup>3</sup> |
|                          |  | 227             | OS-16   |
|                          |  | 228             | OS-17   |
|                          |  | 229             | OS-18 <sup>3</sup>  |
|                          |  | 486             | Rubble Pile WKWMA   |
|                          |  | 487             | Rubble Pile WKWMA   |
|                          |  | 488             | PCB Contamination Area by the C-410 Trailer Complex         |
|                          |  | 489             | Septic Tank North of C-710 Laboratory                       |
|                          |  | 492             | Contaminated Soil Area Near Outfall 010                     |
|                          |  | 493             | Concrete Rubble Piles Near Outfall 001                      |
| 517                      | Rubble and Debris Erosion Control Fill Area                      |                 |   |
| 518                      | Field South of C-746-P1 Clean Scrap Yard                         |                 |   |
| 520                      | Scrap Material West of C-746-A                                   |                 |   |
| 531                      | Aluminum Slag Reacting Area (C-746-H4) near the C-746-A Facility |                 |   |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b>           |   |                 |  |
|------------------------------------|---|-----------------|--|
| <b>Operable Unit</b>               | <b>Subproject</b>   | <b>SWMU No.</b> | <b>Description</b>   |
| Soils OU<br>(Cont.)                | Soils<br>Remedial<br>(Cont.)                                | 541             | Contaminated Soil Area South of Outfall 011  |
|                                    |   | 561             | Soil Pile I  |
|                                    |   | 562             | Soil Piles C, D, E, F, G, H, J, K, and P in subunit 1 north of Soil Pile I on the west bank of Little Bayou Creek.                                   |
|                                    |   | 563             | Soil Piles 20, CC, and BW in subunit 4 north of outfall 012 west of Little Bayou Creek   |
|                                    |   | 564             | Soil Pile AT in subunit 5 that consists of three soil areas on the east side of the North-South Diversion Ditch north of the P-, S-, and T-Landfills |
|                                    |   | 565             | Rubble Area KY-19 (along Bayou Creek north of C-611 Water Treatment Plant) <sup>3</sup>  |
|                                    |   | 567             | Soil Pile K013 near Outfall 013, West of Little Bayou  |
| Soils and Slabs<br>OU <sup>6</sup> |   | 11              | C-400 TCE Leak Site  |
|                                    |   | 16              | C-746-D Classified Scrap Yard  |
|                                    |   | 20              | C-410-E Emergency Holding Pond slab and underlying soils   |
|                                    |   | 28              | C-712 Laboratory Equalization Tank slab and underlying soils   |
|                                    |   | 31              | C-720 Compressor Pit Water Storage Tank slab and underlying soils  |
|                                    |   | 32              | C-728 Clean Waste Oil Tanks slab and underlying soils  |
|                                    |   | 33              | C-728 Motor Cleaning Facility slab and underlying soils  |
|                                    |   | 38              | C-615 Sewage Treatment Plant slab and underlying soils   |
|                                    |   | 40              | C-403 Neutralization Tank slab and underlying soils  |
|                                    |   | 41              | C-410-C Neutralization Tank slab and underlying soils  |
|                                    |   | 42              | C-616 Chromate Reduction Facility slab and underlying soils  |
|                                    |   | 47              | C-400 Technetium Storage Tank Area   |
|                                    |   | 55              | C-405 Incinerator building slab and underlying soils   |
|                                    |   | 70              | C-333-A Vaporizer slab and underlying soils  |
|                                    |   | 71              | C-337-A Vaporizer slab and underlying soils  |
|                                    |   | 74              | C-340 PCB Transformer Spill Site   |
|                                    |   | 75              | C-633 PCB Spill Site   |
|                                    |   | 77              | C-634-B-Sulfuric Acid Storage Tank slab and underlying soils   |
|                                    |   | 78              | C-420 PCB Spill Site   |
|                                    |   | 79              | C-611 PCB Spill Site   |
|                                    |   | 82              | C-531 Electric Switchyard slab and underlying soils  |
|                                    |   | 83              | C-533 Electric Switchyard slab and underlying soils  |
|                                    |   | 84              | C-535 Electric Switchyard slab and underlying soils  |
|                                    |   | 85              | C-537 Electric Switchyard slab and underlying soils  |
|                                    |   | 86              | C-631 Pumphouse and Cooling Tower slab and underlying soils  |
|                                    |   | 87              | C-633 Pumphouse and Cooling Tower slab and underlying soils  |
|                                    |   | 88              | C-635 Pumphouse and Cooling Tower slab and underlying soils  |
| 89                                 | C-637 Pumphouse and Cooling Tower slab and underlying soils |                 |  |
| 98                                 | C-400 Basement Sump slab and underlying soils               |                 |  |
| 99 A                               | C-745 Kellogg Bldg. Site – Cylinder Yard                    |                 |  |
| 135                                | C-333 PCB Soil Contamination (North Side)                   |                 |  |
| 137                                | C-746-A Inactive PCB Transformer Sump Area <sup>7</sup>     |                 |  |

<sup>6</sup> SWMUs contained in facilities located on the ground floor of the building slabs have been identified as part of this scope. Those SWMUs located on the upper floors, that are expected to be totally removed as part of the decommissioning, have not been included.

<sup>7</sup> SWMU 137 was evaluated as part of the American Recovery and Reinvestment Act (ARRA), and the Soils Operable Unit. SWMU 137 will be addressed as part of GDP D&D OU.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b>         |  |                 |   |
|----------------------------------|--|-----------------|---|
| <b>Operable Unit</b>             | <b>Subproject</b>  | <b>SWMU No.</b> | <b>Description</b>  |
| Soils and Slabs<br>OU<br>(Cont.) |  | 154             | C-331 PCB Soil Contamination (Southeast)                                |
|                                  |  | 155             | C-333 PCB Soil Contamination (West)                                     |
|                                  |  | 159             | C-746-H3 Storage Pad slab and underlying soils                          |
|                                  |  | 161             | C-743-T-01 Trailer Site (Soil Backfill)                                 |
|                                  |  | 162             | C-617-A Sanitary Water Line (Soil Backfill)                             |
|                                  |  | 166             | C-100 Trailer Complex Soil Contamination (East Side)                    |
|                                  |  | 167             | C-720 White Room Sump slab and underlying soils                         |
|                                  |  | 172             | C-726 Sandblasting Facility slab and underlying soils                   |
|                                  |  | 176             | C-331 RCW Leak Northwest Side   |
|                                  |  | 177             | C-331 RCW Leak East Side  |
|                                  |  | 178             | C-724-A Paint Spray Booth slab and underlying soils                     |
|                                  |  | 179             | Plant Sanitary Sewer System   |
|                                  |  | 192             | C-710 Acid Interceptor Pit slab and underlying soils                    |
|                                  |  | 198             | C-410-D Area Soil Contamination slab and underlying soils               |
|                                  |  | 203             | C-400 Discard Waste System slab and underlying soils                    |
|                                  |  | 209             | C-720 Compressor Shop Pit Sump slab and underlying soils                |
|                                  |  | 211 B           | C-720 TCE Spill Site Southeast  |
|                                  |  | 218             | OS-07 slab and underlying soils   |
|                                  |  | 220             | OS-09 slab and underlying soils   |
|                                  |  | 223             | OS-12 slab and underlying soils   |
|                                  |  | 226             | OS-15   |
|                                  |  | 463             | C-746-A East End Smelter slab and underlying soils                      |
|                                  |  | 464             | C-746-A West End Smelter building slab and underlying soils             |
|                                  |  | 469             | C-745-J Yard  |
|                                  |  | 470             | C-746-V Yard  |
|                                  |  | 474             | West of Vortec Site   |
|                                  |  | 477             | C-340 Metals Plant building slab and underlying soils                   |
|                                  |  | 478             | C-410/420 Feed Plant building slab and underlying soils                 |
|                                  |  | 480             | C-402 Lime House building slab and underlying soils                     |
|                                  |  | 482             | C-415 Feed Plant Storage Building slab and underlying soils             |
|                                  |  | 483             | Nitrogen Generating Facilities slab and underlying soils                |
|                                  |  | 494             | Ash Receiver Area in C-410/420 slab and underlying soils                |
|                                  |  | 495             | C-410-I Ash Receiver Shed building slab and underlying soils            |
|                                  |  | 497             | C-410/420 F2 Cell Neutralization Room Vats slab and underlying soils    |
|                                  |  | 498             | C-410/420 Sump at Column D & E-1&2 slab and underlying soils            |
|                                  |  | 499             | C-410/420 Sump at Column H-9&10 slab and underlying soils               |
|                                  |  | 500             | C-410/420 Sump at Column U-10&11 slab and underlying soils              |
|                                  |  | 501             | C-410/420 UF <sub>6</sub> Scale Pit Sumps A&B slab and underlying soils |
|                                  |  | 502             | C-410/420 Sump at Column U-9 slab and underlying soils                  |
|                                  |  | 503             | C-410/420 Sump at Column G-1 slab and underlying soils                  |
|                                  |  | 504             | C-410/420 Sump at Column L-10 slab and underlying soils                 |
|                                  |  | 505             | C-410/420 Sump at Column A-3N slab and underlying soils                 |
| 506                              | C-410/420 Sump at Column Wa-9 slab and underlying soils  |                 |   |
| 507                              | C-410/420 Condensate Tank Pit slab and underlying soils  |                 |   |
| 508                              | C-410/420 Settling Basin slab and underlying soils       |                 |   |
| 509                              | C-410/420 Drain pit slab and underlying soils            |                 |   |
| 510                              | C-410/420 Sump at Column P&Q-2 slab and underlying soils |                 |   |
| 511                              | C-410/420 Sump at Column Q&R-2 slab and underlying soils |                 |   |
| 512                              | C-410/420 Sump at Column R-2 slab and underlying soils   |                 |   |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>SOILS (CONTINUED)</b>                   |  |                 |  |
|--|--|-----------------|--|
| <b>Operable Unit</b>                       | <b>Subproject</b>                        | <b>SWMU No.</b> | <b>Description</b>   |
| Soils and Slabs<br>OU<br>(Cont.)           |  | 513             | C-411 Cell Maintenance Room Sump slab and underlying soils                     |
|  |  | 522             | C-340 Work Pit at Ground Floor Level (B-7—B-9) slab and underlying soils       |
|  |  | 523             | C-340 Metals Plant Pit at Ground Floor (F-6 to F-11) slab and underlying soils |
|  |  | 524             | C-340 Pickling System Sump (B-10 to B-11) slab and underlying soils            |
|  |  | 529             | C-340 Powder Plant Sump at Ground Floor Level slab and underlying soils        |
| <b>DECONTAMINATION AND DECOMMISSIONING</b> |  |                 |  |
| D&D OU                                     | Inactive<br>Facilities<br>(C-410<br>D&D) | 41              | C-410-C Neutralization Tank  |
|  |  | 478             | C-410/420 Feed Plant   |
|  |  | 494             | Ash Receiver Area in C-410/420   |
|  |  | 495             | C-410-I Ash Receiver Shed  |
|  |  | 496             | C-410 Fluorine/Hydrogen Filters (Northeast Mezzanine)                          |
|  |  | 497             | C-410/420 F <sub>2</sub> Cell Neutralization Room Vats                         |
|  |  | 498             | C-410/420 Sump at Column D&E-1&2   |
|  |  | 499             | C-410/420 Sump at Column H-9&10  |
|  |  | 500             | C-410/420 Sump at Column U-10&11   |
|  |  | 501             | C-410/420 UF <sub>6</sub> Scale Pit Sumps A&B                                  |
|  |  | 502             | C-410/420 Sump at Column U-9   |
|  |  | 503             | C-410/420 Sump at Column G-1   |
|  |  | 504             | C-410/420 Sump at Column L-10  |
|  |  | 505             | C-410/420 Sump at Column A-3N  |
|  |  | 506             | C-410/420 Sump at Column Wa-9  |
|  |  | 507             | C-410/420 Condensate Tank Pit  |
|  |  | 508             | C-410/420 Settling Basin   |
|  |  | 509             | C-410/420 Drain pit  |
|  |  | 510             | C-410/420 Sump at Column P&Q-2   |
|  |  | 511             | C-410/420 Sump at Column Q&R-2   |
| 512  | C-410/420 Sump at Column R-2             |                 |  |
| 513  | C-411 Cell Maintenance Room Sump Pit     |                 |  |
| <b>DECONTAMINATION AND DECOMMISSIONING</b> |  |                 |  |
| <b>Operable Unit</b>                       | <b>Subproject</b>                        | <b>SWMU No.</b> | <b>Description</b>   |
| GDP D&D OU                                 | GDP D&D                                  | 28              | C-712 Laboratory Equalization Tank   |
|  |  | 33              | C-728 Motor Cleaning Facility  |
|  |  | 38              | C-615 Sewage Treatment Plant   |
|  |  | 42              | C-616 Chromate Reduction Facility  |
|  |  | 70              | C-333-A Vaporizer  |
|  |  | 71              | C-337-A Vaporizer  |
|  |  | 82              | C-531 Electric Switchyard  |
|  |  | 83              | C-533 Electric Switchyard  |
|  |  | 84              | C-535 Electric Switchyard  |
|  |  | 85              | C-537 Electric Switchyard  |
|  |  | 86              | C-631 Pumphouse and Cooling Tower  |
|  |  | 87              | C-633 Pumphouse and Cooling Tower  |
|  |  | 88              | C-635 Pumphouse and Cooling Tower  |
|  |  | 89              | C-637 Pumphouse and Cooling Tower  |
|  |  | 98              | C-400 Basement Sump  |
|  |  | 137             | C-746-A Inactive PCB Transformer Sump Area                                     |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>DECONTAMINATION AND DECOMMISSIONING</b>    |                      |   |   |
|---|----------------------|---|---|
| <b>Operable Unit</b>                          | <b>Subproject</b>    | <b>SWMU No.</b>   | <b>Description</b>  |
| GDP D&D OU                                    | GDP D&D              | 159   | C-746-H3 Storage Pad                                      |
|   |                      | 164   | KPDES Outfall Ditch 017 Flume - Soil Backfill             |
|   |                      | 167   | C-720 White Room Sump                                     |
|   |                      | 172   | C-726 Sandblasting Facility                               |
|   |                      | 178   | C-724-A Paint Spray Booth                                 |
|   |                      | 179   | Plant Sanitary Sewer System                               |
|   |                      | 192   | C-710 Acid Interceptor Pit                                |
|   |                      | 203   | C-400 Discard Waste System                                |
|   |                      | 209   | C-720 Compressor Shop Pit Sump                            |
|   |                      | 463   | C-746-A East End Smelter                                  |
|   | 482                  | C-415 Feed Plant Storage Building                             |   |
|   | DUF <sub>6</sub> D&D | 183   | McGraw UST  |
|   |                      | 193   | McGraw Construction Facilities (Southside Cylinder Yards) |
|   |                      | 194   | McGraw Construction Facilities (Southside)                |
| 536   |                      | Concrete Truck Washout Area                                   |   |
| <b>FINAL COMPREHENSIVE SITE OPERABLE UNIT</b> |                      |   |   |
| CSOU <sup>8,9</sup>                           | <b>SWMU No.</b>      | <b>Description</b>  |   |
|   | 8                    | C-746-K Inactive Sanitary Landfill                            |   |
|   | 91                   | UF <sub>6</sub> Cylinder Drop Test Area                       |   |
|   | 100                  | Fire Training Area  |   |
| <b>PERMITTED</b>                              |                      |   |   |
| Permitted                                     | 3                    | C-404 Low-Level Radioactive Waste Burial Ground <sup>10</sup> |   |
|   | 9                    | C-746-S Residential Landfill                                  |   |
|   | 10                   | C-746-T Inert Landfill  |   |
|   | 44                   | C-733 Hazardous Waste Storage Area                            |   |
|   | 46A                  | C-746-Q Hazardous and Low-Level Mixed Waste Storage Facility  |   |
|   | 207                  | C-752-A ER Waste Storage Bldg.                                |   |
|   | 208                  | C-746-U Solid Waste Contained Landfill                        |   |

<sup>8</sup> The FFA, as currently written, contemplated multiple CSOUs, consisting of those associated with integrator units (i.e., groundwater, surface water), and a final CSOU completed after issuance of all final RODs for the site. The FFA parties acknowledge that the above scope description is intended to reflect the final CSOU, and a future FFA modification will be conducted to resolve any inconsistencies between the FFA and SMP strategy.

<sup>9</sup> Historically, once an action has been completed for a particular SWMU whereby no additional active response actions are expected, such SWMUs have been placed in the CSOU for further evaluation; however, the FFA parties recognized the need to reach consensus on the criteria for assigning units to the CSOU. As a result, placement of SWMUs 8, 91, and 100 in the CSOU is provisional pending the FFA parties reaching consensus on such criteria.

<sup>10</sup> SWMU 3 was issued only a post-closure permit, was not permitted for construction and operation, and was not an engineered hazardous waste landfill.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION<sup>11</sup></b> |  |
|---------------------------------------|--|
| <b>SWMU No.</b>                       | <b>Description</b>   |
| 12                                    | C-747-A UF <sub>4</sub> Drum Yard  |
| 24                                    | C-750-D UST  |
| 25                                    | C-750 1,000-gal Waste Oil Tank (UST)   |
| 29                                    | C-746-B TRU Storage Area   |
| 34                                    | C-746-M PCB Waste Storage Area   |
| 35                                    | C-337 PCB Waste Storage Area   |
| 36                                    | C-337 PCB Waste Staging Area   |
| 37                                    | C-333 PCB Waste Staging Area   |
| 39                                    | C-746-B PCB Waste Storage Area   |
| 43                                    | C-746-B Waste Chemical Storage Area  |
| 45                                    | C-746-R Waste Solvent Storage Area   |
| 46                                    | C-409 Hazardous Waste Pilot Plant  |
| 48                                    | Gold Dissolver Storage Tank (DMSA C400-03)                                     |
| 49                                    | C-400-B Waste Solution Storage Tank  |
| 50                                    | C-400-C Nickel Stripper Evaporation Tank                                       |
| 51                                    | C-400-D Lime Precipitation Tank  |
| 52                                    | C-400 Waste Decontamination Solution Storage Tanks                             |
| 53                                    | C-400 NaOH Precipitation Unit  |
| 54                                    | C-400 Degreaser Solvent Recovery Unit  |
| 72                                    | C-200 Underground Gasoline Tanks   |
| 73                                    | C-710 Underground Gasoline Tanks   |
| 90                                    | C-720 Petroleum Naphtha Pipe   |
| 96                                    | C-333 Cooling Tower Scrap Wood Pile  |
| 101                                   | C-340 Hydraulic System   |
| 102A                                  | Plant Storm Sewer—between the south side of the C-400 Building and Outfall 008 |
| 103                                   | Concrete Rubble Pile (1)   |
| 104                                   | Concrete Rubble Pile (2)   |
| 110                                   | Concrete Rubble Pile (8)   |
| 111                                   | Concrete Rubble Pile (9)   |
| 112                                   | Concrete Rubble Pile (10)  |
| 114                                   | Concrete Rubble Pile (12)  |
| 115                                   | Concrete Rubble Pile (13)  |
| 116                                   | Concrete Rubble Pile (14)  |
| 117                                   | Concrete Rubble Pile (15)  |
| 118                                   | Concrete Rubble Pile (16)  |
| 119                                   | Concrete Rubble Pile (17)  |
| 120                                   | Concrete Rubble Pile (18)  |
| 121                                   | Concrete Rubble Pile (19)  |
| 122                                   | Concrete Rubble Pile (20)  |
| 123                                   | Concrete Rubble Pile (21)  |
| 124                                   | Concrete Rubble Pile (22)  |
| 125                                   | Concrete Rubble Pile (23)  |
| 126                                   | Concrete Rubble Pile (24)  |
| 127                                   | Concrete Rubble Pile (25)  |
| 128                                   | Concrete Rubble Pile (26)  |
| 130                                   | C-611 550-gal Gasoline UST   |
| 131                                   | C-611 50-gal Gasoline UST  |
| 132                                   | C-611 2,000-gal Oil UST  |
| 133                                   | C-611 (unknown size) Grouted UST   |

<sup>11</sup> A portion of the SWMUs/areas of concerns listed may not qualify as NFAs per CERCLA and may require additional characterization for radionuclides under the appropriate post-GDP shutdown OU.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION</b> |  |
|--------------------------|--|
| <b>SWMU No.</b>          | <b>Description</b>                                       |
| 134                      | C-611 1,000-gal Diesel/Gasoline Tank                     |
| 136                      | C-740 TCE Spill Site                                     |
| 139                      | C-746-A1 UST   |
| 140                      | C-746-A2 UST   |
| 141                      | C-720 Inactive TCE Degreaser                             |
| 142                      | C-750-A 10,000-gal Gasoline Tank (UST)                   |
| 143                      | C-750-B 10,000-gal Diesel Tank (UST)                     |
| 144                      | C-746-A Hazardous and Mixed Waste Storage Facility       |
| 146                      | Concrete Rubble Pile (40)                                |
| 147                      | Concrete Rubble Pile (41)                                |
| 148                      | Concrete Rubble Pile (42)                                |
| 149                      | Concrete Rubble Pile (43)                                |
| 150                      | Concrete Rubble Pile (44)                                |
| 151                      | Concrete Rubble Pile (45)                                |
| 152                      | Concrete Rubble Pile (46)                                |
| 173                      | C-746-A Trash-Sorting Facility                           |
| 174                      | C-745-K Low-Level Storage Area                           |
| 184                      | Concrete Rubble Pile (29)                                |
| 186                      | C-751 Fuel Facility                                      |
| 187                      | C-611 Septic System                                      |
| 188                      | C-633 Septic System                                      |
| 189                      | C-637 Septic System                                      |
| 190                      | C-337A Sewage Treatment Aeration Tank                    |
| 191                      | C-333-A Sewage Treatment Aeration Tank                   |
| 197                      | Concrete Rubble Pile (30)                                |
| 206                      | C-755-A Toxic Substances Control Act Waste Storage Bldg. |
| 208                      | C-746-U Solid Waste Contained Landfill                   |
| 360                      | C-535  |
| 361                      | C-727-90 day   |
| 362                      | G-310-04   |
| 363                      | G-331-03   |
| 364                      | G-331-05   |
| 365                      | G-333-02   |
| 366                      | G-333-03   |
| 367                      | G-333-04   |
| 368                      | G-333-08   |
| 369                      | G-333-10   |
| 370                      | G-333-20   |
| 371                      | G-335-01   |
| 372                      | G-337-02   |
| 373                      | G-337-03   |
| 374                      | G-337-13   |
| 375                      | G-337-14   |
| 376                      | G-337-15   |
| 377                      | C-337-22   |
| 378                      | G-340-01   |
| 379                      | G-340-03   |
| 380                      | G-340-04   |
| 381                      | G-340-05   |
| 382                      | G-340-06   |
| 383                      | G-400-01   |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION (CONTINUED)</b> |                           |
|--------------------------------------|---------------------------|
| <b>SWMU No.</b>                      | <b>Description</b>        |
| 384                                  | G-400-02                  |
| 385                                  | G-409-25                  |
| 386                                  | G-410-01                  |
| 387                                  | C-416-01                  |
| 388                                  | C-416 Decontamination Pad |
| 389                                  | G-533-01                  |
| 390                                  | G-535-02                  |
| 391                                  | G-537-01                  |
| 392                                  | G-540-A-01                |
| 393                                  | G-540-A-1-02              |
| 394                                  | G-541-A-01                |
| 395                                  | G-600-01                  |
| 396                                  | C-611-U-01                |
| 397                                  | G-612-01                  |
| 398                                  | G-612-02                  |
| 399                                  | G-612-A-01                |
| 400                                  | G-635-01                  |
| 401                                  | G-710                     |
| 402                                  | G-710-04                  |
| 403                                  | G-710-20                  |
| 404                                  | G-710-24                  |
| 405                                  | G-720-22                  |
| 406                                  | G-743-T-17-01             |
| 407                                  | G-743-T-17-02             |
| 408                                  | G-745-B-01                |
| 409                                  | G-745-T-01                |
| 410                                  | G-746-G-01                |
| 411                                  | G-746-G-1-01              |
| 412                                  | G-746-G-2-01              |
| 413                                  | G-746-G-3-01              |
| 414                                  | G-746-F-01                |
| 415                                  | G-746-S-01                |
| 416                                  | G-746-X-01 (PCBs)         |
| 417                                  | G-746-X-01 (Asbestos)     |
| 418                                  | G-748-B-01                |
| 419                                  | G-752-C-01                |
| 420                                  | G-752-C-02                |
| 421                                  | G-754-01                  |
| 422                                  | G-755-A-01                |
| 423                                  | G-755-C-01                |
| 424                                  | G-755-T-07-01             |
| 425                                  | G-755-T-08                |
| 426                                  | G-755-T-2-3-01            |
| 427                                  | G-755-T-3-1-01            |
| 428                                  | G-755-T-3-2-01            |
| 429                                  | S-310-04                  |
| 430                                  | S-331-02                  |
| 431                                  | S-333-12                  |
| 432                                  | S-335-09                  |
| 433                                  | S-337-11                  |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION (CONTINUED)</b> |   |
|--------------------------------------|---|
| <b>SWMU No.</b>                      | <b>Description</b>  |
| 434                                  | S-340-01  |
| 435                                  | S-409-100   |
| 436                                  | S-409-20  |
| 437                                  | S-409-40  |
| 438                                  | S-409-60  |
| 439                                  | S-409-80  |
| 440                                  | S-410-05  |
| 441                                  | S-540-A-2-01  |
| 442                                  | S-612-01  |
| 443                                  | S-709-01  |
| 444                                  | S-709-02  |
| 445                                  | S-710-05  |
| 446                                  | S-710-06  |
| 447                                  | S-710-09  |
| 448                                  | S-710-16  |
| 449                                  | S-710-18  |
| 450                                  | S-710-32  |
| 451                                  | S-710-41  |
| 452                                  | S-710-44  |
| 453                                  | S-710-46  |
| 454                                  | S-743-T-17-01   |
| 455                                  | S-755-T-16-01   |
| 456                                  | S-755-T-16-02   |
| 457                                  | S-755-T-16-03   |
| 458                                  | S-755-T-2-3-01  |
| 459                                  | S-755-T-3-1-01  |
| 460                                  | S-755-T-3-2-01  |
| 461                                  | S-755-T-3-2-02  |
| 462                                  | S-755-T-3-2-03  |
| 465                                  | Yard Rubble Pile and Crushate Storage Area (G-Yard)                                 |
| 466                                  | South of Dyke Road, Pond Area   |
| 467                                  | Concrete Cylinder Holders Storage Area on Western Kentucky Wildlife Management Area |
| 468                                  | Area Northwest of Outfall 015   |
| 471                                  | Outside C-746-B South Storage Area  |
| 473                                  | C-746-B Pad, West   |
| 475                                  | C-745-G5-01 (Paint Enclosure)   |
| 476                                  | Concrete Crusher  |
| 479                                  | C-204 Disintegrator Building  |
| 481                                  | C-410-A Hydrogen Holder   |
| 484                                  | C-611-M Storage Tank  |
| 485                                  | C-611-N Sanitary Water Storage  |
| 490                                  | McGraw Fuel Facility Waste Oil Storage Tank   |
| 491                                  | Mercury Spill at the C-611 Water Treatment Plant Vault                              |
| 514                                  | C-340 Magnesium Fluoride Reject Silo  |
| 515                                  | C-340 "Dirty" Dust Collection System  |
| 516                                  | C-340 Derby Preparation Area Sludge Collection System                               |
| 519                                  | C-410 Sulfuric Acid Tank (C-634-B)  |
| 521                                  | C-340 Saw System Degreaser  |

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

| <b>NO FURTHER ACTION (CONTINUED)</b>   |  |
|--|--|
| <b>SWMU No.</b>  | <b>Description</b>   |
| 525  | Concrete Water Tower Supports (KOW)  |
| 527  | C-410 GSA/SAA at Column J-6  |
| 528  | GSA/SAA at the Northwest corner of C-745-G3 Paint Enclosure                          |
| 530  | Soil and Debris Storage Area by C-745-T Yard   |
| 532  | Photographic Solution Treatment Area in the C-102 Building                           |
| 534  | UST #18, within SWMU 193   |
| 535  | S-755-T08-01 (Satellite Accumulation Area at C-755, Trailer 8)                       |
| 537  | S-400-001 (SAA Located Outside at the Southeast Corner of the C-400 Building)        |
| 538  | S-MST-01-01 & S-MST-01-02 (Mobile Trailer 01)  |
| 539  | S-MST-02-01 & S-MST-02-02 (Mobile Trailer 02)  |
| 540  | S-MST-03-01 & S-MST-03-02 (Mobile Trailer 03)  |
| 542 a  | G-746-B-01; S-746-B-01; S-746-B-02 (GSA/SAA's located outside C-746-A)               |
| 542 b  | G-746-A-01; S-746-A-01; S-746-A-02 (GSA/SAA's located outside C-746-A)               |
| 543  | T-746-S-01 (90 Day Storage Area)   |
| 544  | T-752-C-01 (90 Day Storage Area)   |
| 545  | C-755-T-22-01 and G-755-T-22   |
| 546  | PGDP Post 67 Diesel Fuel Spill Area  |
| 547  | PGDP Post 38 Diesel Spill Area   |
| 548  | Staging Area for Concrete Piers, Wood and Rubble North Side of C-745-B Cylinder Yard |
| 551  | C-755-GSA-23 Located at C-755 near the East Fence Line                               |
| 552  | C-760 90-Day Accumulation Area   |
| 566  | H-340-01   |
| 568  | C-340 ST-90 Boxes  |
| 569  | C-743-T-17 Sample Return Refrigerator  |
| 570  | Sample Return Sealand  |
| <b>PENDING NO FURTHER ACTION DECISION</b>  |  |
|  | TBD  |
| <b>SWMUs THAT WILL BE INVESTIGATED AND REMEDIATED BY THE U.S. ARMY CORPS OF ENGINEERS<sup>12</sup></b> |  |
| 94   | KOW Trickling Filter and Leach Field   |
| 95   | KOW Burn Area  |
| 157  | KOW Toluene Spill Area   |
| 182  | Western Portion of Yellow Water Line   |

CERCLA = Comprehensive Environmental Response, Compensation, and Liability Act

CSOU = Comprehensive Site Operable Unit

D&D = decontamination and decommissioning

ER = environmental remediation

FY = fiscal year

GDP = gaseous diffusion plant

GSA = generator staging area

HVAC = heating, ventilating, and air-conditioning

KOW = Kentucky Ordinance Works

KPDES = Kentucky Pollutant Discharge Elimination System

NFA = no further action

NSDD = North-South Diversion Ditch

OU = operable unit

PCB = polychlorinated biphenyl

PGDP = Paducah Gaseous Diffusion Plant

RCW = recirculating cooling water

SAA = satellite accumulation area

SAP = Sampling and Analysis Plan

<sup>12</sup> EPA review/approval of the CERCLA documentation associated with these SWMUs has not occurred.

**Solid Waste Management Units/Areas of Concern by Operable Unit (Continued)**

SWMU = solid waste management unit

SWOU = Surface Water Operable Unit

TBD = to be determined

TCE = trichloroethene

TSCA = Toxic Substances Control Act

UST = underground storage tank

WKWMA = West Kentucky Wildlife Management Area

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## **APPENDIX 5**

### **ENFORCEABLE TIMETABLES AND DEADLINES; PLANNING DATES WITH LONG-TERM TARGETS**

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**Enforceable Timetables and Deadlines; Planning Dates with Long-Term Targets**

| <b>Operable Unit</b>  | <b>Subproject</b>                            | <b>Deliverable</b>                   | <b>Enforceable timetable and deadlines<sup>1</sup></b> |   | <b>Planning dates with long-term targets for decision documents<sup>2</sup></b> | <b>Comments</b>  |   |
|-----------------------|--|--------------------------------------|--|---|---|--|---|
|                       |  |                                      | <b>FY 2015–FY 2017</b>                                 | <b>Out-year</b>   |   |  |   |
| GWOU                  | Southwest Plume Sources—SWMU 1 (Soil Mixing) | D1 Remedial Action Completion Report | 3/31/16  |   |   | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |   |
|                       |  | D1 Remedial Design Report            |  |   | 1 <sup>st</sup> Quarter 2020  | If long-term monitoring is the remedy selected, the milestone date will be modified to 8/4/16.             |   |
|                       |  | D1 Remedial Action Work Plan         |  |   | 1 <sup>st</sup> Quarter 2020  | If long-term monitoring is the remedy selected, the milestone date will be modified to 9/3/16.             |   |
|                       | C-400—Phase IIb Treatability Study           | D1 Remedial Action Completion Report |  |   |   | 2 <sup>nd</sup> Quarter 2021 <sup>3</sup>  | If long-term monitoring is the remedy selected, the planning date will be modified to 2 <sup>nd</sup> Quarter 2018. |
|                       |  | Treatability Construction Start      |  | 1/10/15   |   |  |   |
|                       |  | D1 Treatability Study Report         |  | 181 calendar days from completion of treatability study data collection |   |  |   |
|                       |  | D1 Revised Proposed Plan             |  | 110 days after approval of the Treatability Study Report                |   |  |   |
| D1 Record of Decision |  | TBD                                  |  |   |   | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |   |

**Enforceable Timetables and Deadlines; Planning Dates with Long-Term Targets (Continued)**

| <b>Operable Unit</b>      | <b>Subproject</b>                     | <b>Deliverable</b>                   | <b>Enforceable timetable and deadlines<sup>1</sup></b> |                 | <b>Planning dates with long-term targets for decision documents<sup>2</sup></b> | <b>Comments</b>  |
|---------------------------|---------------------------------------|--------------------------------------|--|-----------------|---|--|
|                           |                                       |                                      | <b>FY 2015–FY 20167</b>                                | <b>Out-year</b> |   |  |
| GWOU                      | C-400—Phase IIb<br>Treatability Study | D1 Remedial Design Work Plan         | TBD  |                 |   |  |
|                           |                                       | D1 Remedial Design Report            |  |                 | TBD   |  |
|                           |                                       | D1 Remedial Action Work Plan         |  |                 | TBD   |  |
|                           |                                       | D1 Remedial Action Completion Report |  |                 | TBD   |  |
|                           |                                       | D1 RI/FS Work Plan                   |  |                 | 4 <sup>th</sup> Quarter 2026  | Project scoping will consider the available information from ongoing projects including the TCE degradation study results, NW Plume Optimization, SW Plume Sources action, NE Plume Optimization, and C-400 Source action. |
| Dissolved-Phase<br>Plumes |                                       | D1 Remedial Investigation Report     |  |                 | 1 <sup>st</sup> Quarter 2028  |  |
|                           |                                       | D1 Feasibility Study Report          |  |                 | 4 <sup>th</sup> Quarter 2028  | D1 Feasibility Study is submitted 60 days after EPA and KY approve the RI Report. <sup>4</sup>   |
|                           |                                       | D1 Proposed Plan                     |  |                 | 2 <sup>nd</sup> Quarter 2029  | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup>   |

**Enforceable Timetables and Deadlines; Planning Dates with Long-Term Targets (Continued)**

| Operable Unit          | Subproject                                 | Deliverable                                      | Enforceable timetable and deadlines <sup>1</sup> |          | Planning dates with long-term targets for decision documents <sup>2</sup> | Comments  |
|------------------------|--|--|--|----------|---|---|
|                        |  |  | FY 2015–FY 2017                                  | Out-year |   |   |
| GWOU                   | Dissolved-Phase Plumes                     | D1 ROD   |  |          | 4 <sup>th</sup> Quarter 2029  | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D).  |
|                        |  | D1 Remedial Design Work Plan                     |  |          | 4 <sup>th</sup> Quarter 2029  |   |
|                        |  | D1 Remedial Design Report                        |  |          | 4 <sup>th</sup> Quarter 2030  |   |
|                        |  | D1 Remedial Action Work Plan                     |  |          | 4 <sup>th</sup> Quarter 2030  |   |
| GWOU                   | GWOU                                       | D1 Interim Remedial Action Completion Report     |  | 9/30/32  |   | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.  |
| D&D OU                 | Disposition of Inactive Facilities at PGDP | D&D OU D1 Completion Notification Letter (C-410) | 6/30/15  |          |   | D1 Removal Action Completion Letter will be submitted after the final removal action for the D&D OU facilities is completed for pre-GDP shutdown scope.                                   |
| Waste Disposal Options | Waste Disposal Options                     | D1 Proposed Plan                                 | <del>8/2/14</del>                                |          |   | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup> New enforceable milestone dates will be established as part of dispute resolution. |

**Enforceable Timetables and Deadlines; Planning Dates with Long-Term Targets (Continued)**

| Operable Unit          | Subproject  | Deliverable                      | Enforceable timetable and deadlines <sup>1</sup> |          | Planning dates with long-term targets for decision documents <sup>2</sup> | Comments  |
|------------------------|---|----------------------------------|--|----------|---|---|
|                        |   |                                  | FY 2015-FY 2017                                  | Out-year |   |   |
| Waste Disposal Options | D1 ROD  |                                  | FY 2015-FY 2017                                  | 3/15/15  |   | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). New enforceable milestone dates will be established as part of dispute resolution. |
|                        |   |                                  |  | 6/16/15  |   | New enforceable milestone dates will be established as part of dispute resolution   |
|                        |   |                                  |  |          | 2 <sup>nd</sup> Quarter 2017  | New enforceable milestone dates will be established as part of dispute resolution   |
|                        |   |                                  |  |          | 2 <sup>nd</sup> Quarter 2017  | New enforceable milestone dates will be established as part of dispute resolution   |
|                        |   |                                  |  |          | 1 <sup>st</sup> Quarter 2029  |   |
| SWOU                   | Remedial Action (Little Bayou and Bayou Creek Watersheds) | D1 Remedial Investigation Report |  |          | 3 <sup>rd</sup> Quarter 2029  | D1 Feasibility Study is submitted 60 days after EPA and KY approve the RI Report. <sup>4</sup>  |
|                        |   |                                  |  |          | 1 <sup>st</sup> Quarter 2030  | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup>  |
|                        |   |                                  |  |          |   |   |

| Operable Unit | Subproject  | Deliverable                          | Enforceable timetable and deadlines <sup>1</sup> |          | Planning dates with long-term targets for decision documents <sup>2</sup> | Comments   |
|---------------|---|--------------------------------------|--|----------|---|--|
|               |   |                                      | FY 2015-FY 2017                                  | Out-year |   |  |
| SWOU          | Remedial Action (Little Bayou and Bayou Creek Watersheds) | D1 ROD                               |  |          | 3 <sup>rd</sup> Quarter 2030  | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |
|               |   | D1 Remedial Design Work Plan         |  |          | 3 <sup>rd</sup> Quarter 2030  |  |
|               |   | D1 Remedial Design Report            |  |          | 3 <sup>rd</sup> Quarter 2031  |  |
|               |   | D1 Remedial Action Work Plan         |  |          | 3 <sup>rd</sup> Quarter 2031  |  |
|               |   | D1 Remedial Action Completion Report |  |          |   |  |
| SWOU          | SWOU  | D1 Feasibility Study <sup>5</sup>    |  |          | 3 <sup>rd</sup> Quarter 2025  |  |
| Soils OU      | Remedial Action 1 (Pre-GDP Shutdown)                      | D1 Proposed Plan                     |  |          | 1 <sup>st</sup> Quarter 2026  | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup>     |
|               |   | D1 ROD                               |  |          | 3 <sup>rd</sup> Quarter 2026  | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |
|               |   | D1 Remedial Design Work Plan         |  |          | 4 <sup>th</sup> Quarter 2026  |  |
|               |   | D1 Remedial Design Report            |  |          | 4 <sup>th</sup> Quarter 2027  |  |
|               |   | D1 Remedial Action Work Plan         |  |          | 4 <sup>th</sup> Quarter 2027  |  |

| Operable Unit | Subproject                           | Deliverable                          | Enforceable timetable and deadlines <sup>1</sup> |          | Planning dates with long-term targets for decision documents <sup>2</sup> | Comments   |
|---------------|--------------------------------------|--------------------------------------|--|----------|---|--|
|               |                                      |                                      | FY 2015–FY 2017                                  | Out-year |   |  |
| Soils OU      | Remedial Action 2 (Pre-GDP Shutdown) | Remedial Investigation Report        | 8/31/15  |          |   |  |
|               |                                      | D1 Feasibility Study                 |  |          | 3 <sup>rd</sup> Quarter 2025  |  |
|               |                                      | D1 Proposed Plan                     |  |          | 1 <sup>st</sup> Quarter 2026  | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup>     |
|               |                                      | D1 ROD                               |  |          | 3 <sup>rd</sup> Quarter 2026  | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |
|               |                                      | D1 Remedial Design Work Plan         |  |          | 4 <sup>th</sup> Quarter 2026  |  |
|               |                                      | D1 Remedial Design Report            |  |          | 4 <sup>th</sup> Quarter 2027  |  |
|               |                                      | D1 Remedial Action Work Plan         |  |          | 4 <sup>th</sup> Quarter 2027  |  |
|               |                                      | D1 Remedial Action Completion Report |  |          | 9/30/30   | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |
|               |                                      | Site Evaluation Report               |  |          |   | 3/5/18   |
| BGOU          | SWMUs 5 and 6 Remedial Action        | D1 ROD                               | 5/22/15  |          |   | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |
|               |                                      | D1 Remedial Design Work Plan         | 6/21/15  |          |   |  |

| Operable Unit                  | Subproject                    | Deliverable                          | Enforceable timetable and deadlines <sup>1</sup> |                              | Planning dates with long-term targets for decision documents <sup>2</sup>                              | Comments   |
|--------------------------------|-------------------------------|--------------------------------------|--|------------------------------|--|--|
|                                |                               |                                      | FY 2015–FY 2017                                  | Out-year                     |  |  |
| BGOU                           | SWMUs 5 and 6 Remedial Action | D1 Remedial Design Report            | 6/21/16  |                              |  |  |
|                                |                               | D1 Remedial Action Work Plan         | 7/21/16  |                              |  |  |
|                                |                               | Field Start                          | 1/30/17  |                              |  |  |
|                                | SWMUs 2 and 3 Remedial Action | D1 Remedial Action Completion Report |  |                              | 3 <sup>rd</sup> Quarter 2018   | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |
|                                |                               | D1 Proposed Plan                     |  |                              | 2 <sup>nd</sup> Quarter 2022   | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup>     |
|                                |                               | D1 ROD                               |  |                              | 4 <sup>th</sup> Quarter 2022   | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |
|                                |                               | D1 Remedial Design Work Plan         |  |                              | 4 <sup>th</sup> Quarter 2022   |  |
|                                |                               | D1 Remedial Design Report            |  |                              | 4 <sup>th</sup> Quarter 2023   |  |
|                                |                               | D1 Remedial Action Work Plan         |  |                              | 4 <sup>th</sup> Quarter 2023   |  |
|                                |                               | D1 Remedial Action Completion Report |  |                              | 4 <sup>th</sup> Quarter 2026   | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |
| SWMUs 7 and 30 Remedial Action | D1 Proposed Plan              |                                      |  | 4 <sup>th</sup> Quarter 2023 | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup> |  |

| Operable Unit | Subproject                     | Deliverable                            | Enforceable timetable and deadlines <sup>1</sup> |          | Planning dates with long-term targets for decision documents <sup>2</sup> | Comments  |  |
|---------------|--------------------------------|--|--|----------|---|---|--|
|               |                                |  | FY 2015-FY 2017                                  | Out-year |   |   |  |
| BGOU          | SWMUs 7 and 30 Remedial Action | D1 ROD                                 |  |          | 2 <sup>nd</sup> Quarter 2024  | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D).  |  |
|               |                                | D1 Remedial Design Work Plan           |  |          | 2 <sup>nd</sup> Quarter 2024  |   |  |
|               |                                | D1 Remedial Design Report              |  |          | 2 <sup>nd</sup> Quarter 2025  |   |  |
|               |                                | D1 Remedial Action Work Plan           |  |          | 2 <sup>nd</sup> Quarter 2025  |   |  |
|               |                                | D1 Remedial Action Completion Report   |  |          | 4 <sup>th</sup> Quarter 2026  | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.  |  |
|               |                                | Remedial Investigation Report Addendum | 7/5/16   |          |   |   |  |
|               | SWMU 4 Remedial Action         | D1 Feasibility Study                   |  | 3/2/17   |   |   | D1 Feasibility Study is submitted 60 days after EPA and KY approve the RI Report. <sup>4</sup> |
|               |                                | D1 Proposed Plan                       |  |          | 1 <sup>st</sup> Quarter 2018  | D1 Proposed Plan is submitted 45 days after EPA and KY approve the Feasibility Study. <sup>4</sup>  |  |
|               |                                | D1 ROD                                 |  |          | 2 <sup>nd</sup> Quarter 2020  | To maintain alignment with WDA, normal FFA schedule logic has not been applied. The basis for linking the D1 ROD to the WDA schedule may be reevaluated by the FFA parties in the future. |  |
|               |                                | D1 Remedial Design Work Plan           |  |          | 2 <sup>nd</sup> Quarter 2020  |   |  |
|               |                                | D1 Remedial Design Report              |  |          | 2 <sup>nd</sup> Quarter 2021  |   |  |
|               |                                | D1 Remedial Action Work Plan           |  |          | 3 <sup>rd</sup> Quarter 2021  |   |  |
|               |                                |  |  |          |   |   |  |

| Operable Unit | Subproject             | Deliverable                               | Enforceable timetable and deadlines <sup>1</sup> |          | Planning dates with long-term targets for decision documents <sup>2</sup> | Comments   |
|---------------|------------------------|---|--|----------|---|--|
|               |                        |   | FY 2015-FY 2017                                  | Out-year |   |  |
| BGOU          | SWMU 4 Remedial Action | D1 Remedial Action Completion Report      |  |          | 1 <sup>st</sup> Quarter 2026  | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |
|               |                        | Remedial Investigation Work Plan Addendum |  |          | 2 <sup>nd</sup> Quarter 2026  |  |
|               |                        | Remedial Investigation Report Addendum    |  |          | 3 <sup>rd</sup> Quarter 2027  |  |
|               |                        | D1 Feasibility Study                      |  |          | 2 <sup>nd</sup> Quarter 2028  | D1 Feasibility Study is submitted 60 days after EPA and KY approve the RI Report. <sup>4</sup>             |
|               |                        | D1 Proposed Plan                          |  |          | 4 <sup>th</sup> Quarter 2028  | D1 Proposed Plan is submitted 45 days after EPA and KY approval of the Feasibility Study. <sup>4</sup>     |
|               |                        | D1 ROD                                    |  |          | 1 <sup>st</sup> Quarter 2029  | D1 ROD is submitted 30 days after close of public comment period on the Proposed Plan (FFA Section XIV.D). |
|               |                        | D1 Remedial Design Work Plan              |  |          | 2 <sup>nd</sup> Quarter 2029  |  |
|               |                        | D1 Remedial Design Report                 |  |          | 2 <sup>nd</sup> Quarter 2030  |  |
|               |                        | D1 Remedial Action Work Plan              |  |          | 2 <sup>nd</sup> Quarter 2030  |  |
|               |                        | D1 Remedial Action Completion Report      |  |          | 4 <sup>th</sup> Quarter 2031  | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |
| BGOU          | BGOU                   | D1 Remedial Action Completion Report      |  | 9/30/31  |   | D1 Remedial Action Completion Report is submitted 150 days after Remedial Action is completed.             |
| NA            | NA                     | D1 Five-Year Review                       |  |          | 4 <sup>th</sup> Quarter 2018  | This is a statutorily required document that must be approved by 6/4/19.                                   |

<sup>1</sup> Enforceable Timetables and Deadlines are based on the planning scope assumptions contained in Appendix 3 and funding levels. While the FFA parties find these assumptions to be reasonable for bounding cost and schedule forecasts based on existing information, approval of the assumptions does not constitute decision making for the response actions described in this table.

<sup>2</sup> Not enforceable dates. Used for planning purposes only. The parties further agree that DOE can adjust the planning dates as part of the annual SMP update without having to submit an official request or justify "good cause" in accordance with Section XXIX of the FFA.

<sup>3</sup> This date formerly has been associated with the out-year completion date for the GWOU. A new out-year completion date for the Dissolved-Phase Plume that replaces the Southwest Plumes Sources date has been established and represents the final completion date for the GWOU for pre-GDP shutdown groundwater scope.

<sup>4</sup> Assumes that final approval is received on the D2 document.

<sup>5</sup> The D1 Feasibility Study for Soils OU Remedial Action 1 will evaluate volatile organic compound contamination at SWMU 1 that did not undergo active treatment (e.g., deep soil mixing) associated with Southwest Plume.

BGOU = Burial Grounds Operable Unit  
DOE = U.S. Department of Energy  
D&D = decontamination and decommissioning  
EPA = U.S. Environmental Protection Agency  
FFA = Federal Facility Agreement  
FS = feasibility study  
FY = fiscal year  
GDP = gaseous diffusion plant  
GWOU = Groundwater Operable Unit  
NA = not applicable

OU = operable unit  
PGDP = Paducah Gaseous Diffusion Plant  
RI = remedial investigation  
ROD = record of decision  
SMP = Site Management Plan  
SWOU = Surface Water Operable Unit  
SWMU = solid waste management unit  
TBD = to be determined  
TCE = trichloroethene

**APPENDIX 6**  
**DATA MANAGEMENT PLAN**

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**DATE OF ISSUE: October 2, 1998**

**DOE/OR/07-1595&D2  
Primary Document**

**DATA AND DOCUMENTS MANAGEMENT AND  
QUALITY ASSURANCE PLAN FOR  
PADUCAH ENVIRONMENTAL MANAGEMENT  
AND ENRICHMENT FACILITIES**

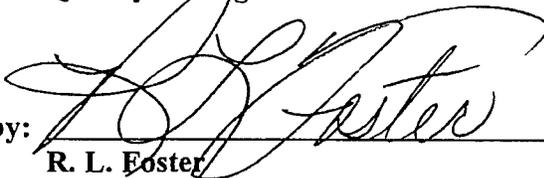
**J. R. Blewett  
T. L. Brindley  
L. K. Garner  
J. L. White**

**Prepared by  
Environmental Management and Enrichment Facilities  
Kevil, Kentucky 42053  
Managed by  
BECHTEL JACOBS COMPANY LLC  
for the  
U.S. DEPARTMENT OF ENERGY  
Under Contract No. DE-AC05-98OR22700**

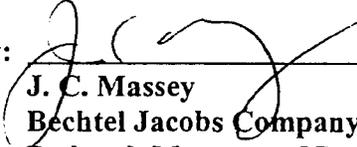
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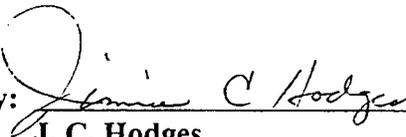
**DATA AND DOCUMENTS MANAGEMENT  
AND QUALITY ASSURANCE PLAN APPROVALS**

Approved by:  Date: 10/5/98  
D. L. Chumbler  
Bechtel Jacobs Company LLC  
Quality Manager

Approved by:  Date: 10/5/98  
R. L. Foster  
Bechtel Jacobs Company LLC  
Information Technology and Sample Management

Approved by:  Date: 10/5/98  
R. E. Scott  
Bechtel Jacobs Company LLC  
Engineering and Technical Services

Approved by:  Date: 10/5/98  
J. C. Massey  
Bechtel Jacobs Company LLC  
Paducah Manager of Projects

Approved by:  Date: 10-5-98  
J. C. Hodges  
DOE FFA Project Manager

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## PREFACE

This plan is generated to define the roles, responsibilities, and activities affecting data management, document management, and quality for data collection between the Department of Energy (DOE) and the regulatory agencies that govern the Paducah Gaseous Diffusion Plant (PGDP) Federal Facility Agreement (FFA). Pursuant to the FFA section titled "Quality Assurance/Sampling Availability/Data Management," all quality-assured data or summaries of all quality-assured data from all samples collected, analyzed, and reported shall be available no later than 30 days after the analyses have been received and validated. Further, DOE shall maintain one consolidated database for the Site which includes all data/studies generated pursuant to this agreement. To fulfill this requirement, Paducah DOE has an integrated "data system" made up of many databases managed by one organization. Electronic formats and/or hard copies of all data/studies and related documents are made available upon request.

In addition to the requirements in the Federal Facility Agreement (FFA), other agreements require a consolidated data management process:

- 1) Environmental Protection Agency (EPA) Hazardous and Solid Waste Amendment Permit states:

### **Condition I.D.9.d.—Monitoring and Records**

"All environmental monitoring data collected pursuant to Part II of this Permit shall be submitted to the Regional Administrator in a consistent format, with consistent parameters and concentration units. This will facilitate collection and recording of such data in a computer data file. Within one (1) year from the effective date of the Permit, this monitoring data shall also be routinely submitted electronically and on computer disc..."

### **Condition II.E.3.b.—Interim Measures (IM) Reports**

"...The IM Report shall contain the following information at a minimum, (e) copies of all relevant laboratory/monitoring data, etc., in accordance with Condition I.D.9."

- 2) Kentucky Division of Waste Management Hazardous and Solid Waste Permit states:

### **Condition III.E.9.a.—Monitoring and Records**

"...All environmental and monitoring data collected pursuant to Part II.J and Part IV of the Permit shall be submitted to the Division, both in written and electronic format. Sampling data shall be submitted in accordance with the schedules described in this Permit."

- 3) Agreement in Principle states:

"...DOE will promptly furnish to Kentucky environmental monitoring data in electronic format, if available, or paper copies. DOE data reports will be released to Kentucky within 90 days after receipt from the laboratory and completion of the appropriate level of review and quality assurance/quality control (QA/QC) validation..."

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## ACRONYMS

|                  |   |
|------------------|---|
| <b>AIP</b>       | <b>Agreement in Principle</b>   |
| <b>AR</b>        | <b>Administrative Record</b>  |
| <b>ASER</b>      | <b>Annual Site Environmental Report</b>   |
| <b>ASTM</b>      | <b>American Society for Testing and Materials</b>                                 |
| <b>CERCLA</b>    | <b>Comprehensive Environmental Response, Compensation, and Liability Act</b>      |
| <b>COC</b>       | <b>chain-of-custody</b>   |
| <b>DOE</b>       | <b>Department of Energy</b>   |
| <b>DMC</b>       | <b>Document Management Center</b>   |
| <b>DMP</b>       | <b>Data Management Plan</b>   |
| <b>DMS</b>       | <b>Data Management System</b>   |
| <b>DQO</b>       | <b>Data Quality Objectives</b>  |
| <b>EDD</b>       | <b>Electronic Data Deliverable</b>  |
| <b>EMEF</b>      | <b>Environmental Management &amp; Enrichment Facilities</b>                       |
| <b>EMP</b>       | <b>Environmental Monitoring Program</b>   |
| <b>EMP PEMS</b>  | <b>Environmental Monitoring Program Project Environmental Measurements System</b> |
| <b>EMP RTL</b>   | <b>Environmental Monitoring Program Ready-to-Load</b>                             |
| <b>EPA</b>       | <b>Environmental Protection Agency</b>  |
| <b>ER PEMS</b>   | <b>Environmental Restoration Project Environmental Measurements System</b>        |
| <b>ER RTL</b>    | <b>Environmental Restoration Ready-to-Load</b>                                    |
| <b>FFA</b>       | <b>Federal Facility Agreement</b>   |
| <b>GIS</b>       | <b>Geographic Information System</b>  |
| <b>GW PEMS</b>   | <b>Groundwater Project Environmental Measurements System</b>                      |
| <b>GW RTL</b>    | <b>Groundwater Ready-to-Load</b>  |
| <b>IM</b>        | <b>interim measures</b>   |
| <b>NENW PEMS</b> | <b>North East/North West Project Environmental Measurements System</b>            |
| <b>NENW RTL</b>  | <b>North East/North West Ready-to-Load</b>  |
| <b>OREIS</b>     | <b>Oak Ridge Environmental Information System</b>                                 |
| <b>PC</b>        | <b>personal computer</b>  |
| <b>PEMS</b>      | <b>Project Environmental Measurements System</b>                                  |
| <b>PGDP</b>      | <b>Paducah Gaseous Diffusion Plant</b>  |
| <b>QA</b>        | <b>quality assurance</b>  |
| <b>QAMS</b>      | <b>Quality Assurance Management Staff</b>   |
| <b>QC</b>        | <b>quality control</b>  |
| <b>RCRA</b>      | <b>Resource, Conservation, and Recovery Act</b>                                   |
| <b>SAP</b>       | <b>Sampling and Analysis Plan</b>   |
| <b>SMO</b>       | <b>Sample Management Office</b>   |
| <b>SOW</b>       | <b>Statement of Work</b>  |
| <b>SWMU</b>      | <b>Solid Waste Management Unit</b>  |
| <b>VOA</b>       | <b>volatile organic analysis</b>  |
| <b>VOC</b>       | <b>volatile organic compound</b>  |
| <b>WAG</b>       | <b>Waste Area Grouping</b>  |
| <b>WM PEMS</b>   | <b>Waste Management Project Environmental Measurements System</b>                 |
| <b>WM RTL</b>    | <b>Waste Management Ready-to-Load</b>   |

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## DEFINITIONS

**Administrative Record (AR)**—Official body of documents that forms the basis of the selection of a particular response action.

**Chain-of-Custody (COC)**—A process used to document the transfer of custody of samples from one individual to another from collection until final disposition. A sample is under custody if:

1. it is in the field personnel's possession;
2. it is in the field personnel's view after being in their physical possession;
3. it was in the field personnel's physical possession and then it was secured to prevent tampering; or
4. it is placed in a designated secure area.

**Data Backup**—The process by which computerized data is copied from one electronic medium to another to guard against the loss of data.

**Data Entry**—The manual keying of information using data entry screens for transfer into a database.

**Data Qualifiers**—A set of predefined alphabetic or numeric codes applied to analytical data to signify its usability. Qualifiers pertaining to data include laboratory qualifiers, verification qualifiers, validation qualifiers, and assessment qualifiers.

**Data Quality Checks**—A list of quality control (QC) elements associated with a data collection activity which are evaluated during data verification and/or data validation.

**Data Quality Objectives (DQO)**—A set of criteria established for the collection of data. The DQO process is based on the DQO process developed by the Environmental Protection Agency (EPA), Region IV and is a planning tool based on the scientific method that clearly identifies an environmental problem; the remedial decisions to be made to address the problem; and the type, quantity, and quality of data needed to support decision making. The DQO process may be applied in modified form to any data collection activity. The DQO process balances risks with cost, in selecting the most appropriate data collection plan.

**Paducah Department of Energy (DOE) Program Integrated Data System**—An integrated computer system for data storage and retrieval that organizes data into tables consisting of one or more rows of information, each containing the same set of data items. Data files are cross-referenced to one another to provide flexible access so that data collection is complete, consistent, sufficiently documented, and reusable to the maximum extent possible. The Paducah DOE Program Integrated Data System is compatible with the central Oak Ridge Environmental Information System (OREIS) to comply with the Oak Ridge Federal Facilities Agreement (FFA).

**Data Transfer**—The exchange of data from one electronic medium to another.

**Document**—Writings, drawings, graphs, charts, photographs, electronic tapes, diskettes, and data compilation from which information can be obtained.

## DEFINITIONS (Continued)

**Document Management Center (DMC)**—A location established for a targeted audience where individual documents are tracked and maintained for audit purposes. It also may be a center where collection of controlled documents is maintained. Paducah's established location is the document center at 761 Veterans Avenue, Kevil, Kentucky.

**Document Management System (DMS)**—A computerized system used by the DOE Program at the Paducah Gaseous Diffusion Plant (PGDP) to facilitate the electronic handling of bibliographic, file classification, and index information.

**Electronic Data Deliverable (EDD)**—Data that is received in electronic format either through transfer on physical media or direct communication between computerized data management systems. EDD contents must meet defined completeness, consistency, and format requirements. These criteria are defined in the Statement of Work (SOW) for each program or project.

**Electronic Media**—Data storage device such as diskette, disk drive, tape, or optical disk.

**Field Logbooks**—The primary record for field activities. They should include a description of any modifications to the protocols outlined in the work plan, field sampling plan, or health and safety plan with justifications for such modifications. They are intended to provide sufficient data and observations to enable participants to reconstruct events that occurred. All entries should be dated and signed by the data recorder and quality assured by another individual.

**Historical Data**—Data which was collected and managed prior to implementation of procedure PMSA-1001, "Quality Assured Data."

**Metadata**—Information about measurement data that helps to define data usability and associated context.

**Quality Assurance (QA) and Data Management Plan (DMP)**—A document written for each task that presents in specific terms the policies, organization, objectives, functional responsibilities, and specific QA/Quality Control (QC) activities designed to achieve the data quality goals.

**Quality Assurance (QA) Record**—A complete document that furnishes evidence of the quality of items, activities, or credentials and has been designated as a QA record. Such records are considered to be lifetime or nonpermanent records.

**Protocol**—A record or document utilized to provide guidance or work direction. Some examples of protocols would be procedures, SOWs, work guides, work instructions, sampling plans, etc.

**Records**—Books, papers, maps, photographs, machine-readable materials, or other documentary materials, regardless of physical form or characteristics, made or received by an agency of the U.S. Government under federal law or in connection with the transaction of public business. Virtually all recorded, informational materials in the custody of the government (including information held by contractors that is considered by contract to be government information), regardless of the medium (hard copy, machine-readable, microfilm, etc.), are considered government "records."

## DEFINITIONS (Continued)

**Sample Delivery Group**—A unit used to identify a group of samples for delivery. Each Sample Delivery Group is assigned a unique ID number.

**Sampling and Analysis Plan (SAP)**—A plan of action developed before implementation of field activities that describes the methods and protocols for obtaining representative portions of the environment being investigated. It also describes the methods for analysis and the required parameters.

**Statement of Work (SOW)**—The contractual agreement between the requesting organization and the service provider. The SOW defines the scope of work, including associated QA/QC, schedules, and deliverables.

**Task Files**—Files maintained at the PGDP Site Office pertaining to the site mission. A Task File is required for each task and usually pertains to a single task.

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# 1. INTRODUCTION

## 1.1 PURPOSE

This plan will be used for the Paducah Department of Energy (DOE) tasks that are involved in the collection of data. Each section of the plan was written to meet the data quality requirements set forth by the Paducah DOE Program and defined in 10 CFR 830.120 and provides a description of the programmatic elements which should occur for each task. Appendix A provides additional information concerning the quality assurance (QA) and data management aspects which are specific to the task and cannot be defined at this level. Appendix A should be completed once the task has been planned or has documented the Data Quality Objectives (DQOs). This plan, along with a completed Appendix A, will serve as the "Quality Assurance and Data Management Plan" for the task, will be provided to appropriate personnel, and will be maintained as a project record.

For the purpose of this document, Appendix A is not completed but shows the information to be completed for each task involved in the collection of data. Each task will issue the task-specific "Quality Assurance and Data Management Plan" through the designated channels.

## 1.2 APPLICABILITY

The requirements of this plan apply to the collection and generation of data by Paducah DOE. This plan applies to screening and definitive analytical data as defined in Section 3.2, historical data, and locationally descriptive data which includes the Geographic Information System (GIS), lithology, geophysical data, etc. Implementation for tasks is based on data collection needs and final use of the data. The requirements of this plan do not apply to data collected by the Health and Safety Program or personnel and financial data.

# 2. PROGRAM ORGANIZATION, RESPONSIBILITY, AND TRAINING

This information describes the basic organization, responsibility, and training requirements for tasks. Specific task plans should be developed using Appendix A as a guide to define individuals and matrix responsibilities. The task will further define training needs based on activities performed in the field.

## 2.1 ORGANIZATION

The DOE Project Manager and the DOE Performance Management contractor establish task scope and prioritize work to ensure the Paducah DOE Program strategic plans are accomplished. Furthermore, they serve as the primary interface to ensure that task, regulatory agency, stakeholder, and other involved organizations objectives are met. They will ensure that requirements in this plan are incorporated into various protocols and other Statements of Work (SOWs). In addition, they will ensure adequate technical support is in place for the task and that QA and safety are first priorities throughout the task life cycle.

## 2.2 ROLES AND RESPONSIBILITIES

The functional responsibilities of task staff members shown below relate to their involvement with the data collection and the output process. This section identifies task activities with staff members performing the work. While the descriptions are identified by title, they indicate functional responsibilities that task staff perform rather than individual staff positions.

### 2.2.1 Stakeholders

- **DOE Project Manager**

The DOE Project Manager has direct communication with the DOE Performance Management contractor Project Manager and is responsible for task oversight, overall compliance for the task, and submitting various reports to, and interfacing with, the Environmental Protection Agency (EPA) and the Commonwealth of Kentucky.

- **Commonwealth of Kentucky**

The Commonwealth of Kentucky is the state regulatory stakeholder for the site. Activities including remedial action, enrichment facilities, and waste management of the Paducah DOE Program are reviewed, commented upon, and approved by the Commonwealth of Kentucky.

- **EPA, Region IV**

EPA is the federal regulatory stakeholder for the Site. Activities, including remedial action, enrichment facilities, and waste management of the Paducah DOE Program are reviewed, commented upon, and approved by EPA.

- **Kentucky Agreement in Principle (AIP)**

The Kentucky AIP reflects the understanding and commitments between DOE and the Commonwealth of Kentucky regarding DOE's provision to provide to the Commonwealth technical and financial support for the Commonwealth's activities in environmental oversight, surveillance, remediation, and emergency-response activities. The AIP is intended to support nonregulatory activities. Its goal is to maintain an independent, impartial, and qualified assessment of the potential environmental impacts of present and future DOE activities at the Paducah Gaseous Diffusion Plant (PGDP).

- **Federal Facility Agreement (FFA)**

The FFA reflects the understanding and commitments between DOE, EPA, and the Kentucky Division of Waste Management regarding the comprehensive remediation of PGDP. The purpose of the FFA is to provide a set of comprehensive requirements for remediation that coordinates the cleanup provisions of both Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) and Resource, Conservation, and Recovery Act (RCRA).

### 2.2.2 DOE Managing and Integrating Contractor

Bechtel Jacobs Company LLC as the managing and integrating contractor is responsible for ensuring the following functions are performed either by their staff or a subcontractor.

- **Data Manager**

The Data Manager is responsible for long-term electronic storage of data, loading Electronic Data Deliverables (EDDs), electronic verification of data, and ensuring compliance to policies and protocols relating to data management. The Data Manager has overall responsibility for the design, operations, and maintenance of the databases; ensures compatibility with central Oak Ridge Environmental Information System (OREIS); serves on the OREIS Steering Committee; reviews the system performance; determines the need for changes; authorizes changes; and oversees the electronic transfer of electronic data to external agencies. The Data Manager interfaces with the Sample Manager and the Project Data Coordinator to set up the Project Environmental Measurements System (PEMS) for each task. The Data Manager oversees the completion of task-specific Data Management Plans.

- **Data Requestor**

The requestor may be a task lead or his designated representative, such as a technical lead, risk assessor, waste management coordinator, compliance coordinator, or other individual who determines the need for data to support decision making. The requestor is responsible for coordinating sample collection, sample analysis, data assessment, and decision making. If the requestor is a designated representative, the task lead has ultimate responsibility.

- **Network Administrator**

The Network Administrator is responsible for implementing the system design for the Paducah DOE Program Integrated Data System platform; coordinating necessary network and personal computer (PC) maintenance; establishing user accounts to the network; and performing daily backups to system data.

- **Project Data Coordinator/Data Management Team**

The Project Data Coordinator/Data Management Team is responsible for ensuring that the requirements relating to data management are met for the task. This includes accumulation of historical data, control of data generated by field activities or as a result of lab analyses, and storage of data as part of the task. The Project Data Coordinator ensures that all data are entered into PEMS. The Project Data Coordinator works with the Data Manager and the Sample Manager to ensure consistency throughout the task data, with other task's data, and the data systems in place. The Project Data Coordinator is responsible for data entry verification; assisting with the data evaluation and review process; data updates and deletions, as authorized by the Data Manager; and performing electronic transfer of data files from electronic data laboratory deliverables to the Paducah DOE Program Integrated Data System.

- **Project Manager**

The Project Manager has direct responsibility for the overall task oversight, including budget, schedule, and milestones. This responsibility includes the management of strategic planning, safety, quality, task activities, and for the successful completion of task assignments within budget and on schedule. The Project Manager ensures that implementation of the QA and Data Management Programs is consistent with guidelines and ensures requirements are adhered to, as stated in this plan. The Project Manager reports to the Bechtel Jacobs Company Manager of Projects and interfaces with DOE and the task team.

- **Task Team**

The Task Team is made up of personnel (i.e., Project Manager, Task Manager, Task Lead, Quality Engineer, Sample Manager, Data Manager, Technical Manager, Field Team Leader, and other field personnel) responsible for a specific task. The team is responsible for the data collection planning; fieldwork; sampling and analysis; data review; and decision making for a set task.

- **Quality Engineer**

The Quality Engineer is responsible for the overall QA concerns of the data and system functions relating to a task. The Quality Engineer is involved in the planning and review of data to ensure that data quality requirements are met. The Quality Engineer is also responsible for helping prepare QA plans, work agreements, protocols, and documents to establish and implement requirements, performing assessments, providing guidance/assistance in resolving quality problems, and ensuring that corrective action is taken and appropriately documented.

- **Records Clerk**

The Records Clerk is responsible for entering records; indexing data into Data Management System (DMS) records; indexing tables; assisting with the records storage and retrieval process; and performing data updates and deletions as authorized by the Records Manager.

- **Records Manager**

The Records Manager is responsible for maintaining all pertinent and required records associated with operating the DMS and preserving the data; determining which records must be stored and the storage requirements; establishing a records classification, inventory, and indexing system; maintaining the DMS records indexing tables; implementing a records storage and retrieval system; and coordinating with the Data Manager and Sample Manager to establish pointers to data processing records and associated metadata (e.g., laboratory data packages, regulatory documents, QA requirements, and program plans).

- **Project Records Coordinator**

The Project Records Coordinator is responsible for the task records. Duties include all activities relating to identification, acquisition, classification, indexing, and storage of task records related to field activities. The task records include data documentation materials; plans and protocols; and all task file requirements. Upon completion of the task, the Project Records Coordinator transmits all task files to the Paducah Document Management Center (DMC).

- **Release Requestor**

The Release Requestor is identified as the person who requests the release of data to an external agency. This responsibility could be filled by several different roles including, but not limited to, the Task Lead or the Technical Manager.

- **Sample Manager**

The Sample Manager is responsible for working with the Task Lead to develop specific analytical requirements for the task, interfacing with the Oak Ridge Sample Management Office (SMO) for procurement of laboratory services, contracting validation services, and coordinating contractual screening. The Sample Manager works with the task team to resolve issues identified during contractual screening or electronic data review of the data with the laboratory. The Sample Manager interfaces with the Data Manager, the Project Data Coordinator, and the task team.

- **Task Lead**

The Task Lead is responsible for direct task coordination, issuing technical reports, and maintaining the task is on schedule and within the budget. The Task Lead coordinates all team personnel working on the task and communicates regularly with the Task Team personnel on the status of task budgets and schedules; assuring all protocols are followed; deliverables are met; and that any issues or concerns associated with the task are properly addressed. The Task Lead ensures that implementation of the QA and Data Management Programs is consistent with guidelines and ensures requirements are adhered to as stated in this plan. The Task Lead reports to the Task Manager and interfaces with the task team.

- **Task Manager**

The Task Manager is responsible for ensuring that the proper resources are available and that personnel are appropriately trained for the assigned task. The Task Manager ensures that all requirements and protocols for the task are followed and that they are consistent with the overall mission of the Environmental Management and Enrichment Facilities (EMEF) Program. The Task Manager also ensures that implementation of the QA and Data Management Programs is consistent with guidelines and ensures requirements are adhered to as stated in this plan. The Task Manager reports to the Project Manager and interfaces with the Task Lead.

- **Technical Manager/Subcontractor Technical Representative**

The Technical Manager/Subcontractor Technical Representative is responsible for providing technical support and guidance to the task. This includes field observations and oversight of subcontractors, generating reports/documents, and making decisions regarding technical issues (i.e., sample locations, analytical methods, etc.).

## 2.3 TRAINING

Personnel assigned to the task, including field personnel and subcontractors, will be trained to perform the tasks to which they are assigned. Training requirements are defined in the task-specific SOWs and plans.

## 3. QA OBJECTIVES FOR MEASUREMENT DATA

QA objectives, for the purposes of this plan, apply to measurement data only. Other data (such as locationally descriptive information) is discussed in Section 8.

### 3.1 DQOs

DQOs are statements developed by data users to specify the quality of data from field and laboratory data collection activities to support specific decisions or regulatory actions. DQOs are qualitative and quantitative specifications that are used to design a study that will limit uncertainty to an acceptable level. The DQOs describe what data is needed, why the data is needed, and how the data will be used to address the problems being investigated. DQOs also establish numeric limits to ensure that data collected is of sufficient quality and quantity for user applications.

The DQO process is a planning tool based on the scientific method that clearly identifies a problem; the decisions to be made to address the problem; and the type, quantity, and quality of data needed to support the decision making. The DQO process may be applied in modified form to any data collection activity. The DQO process balances risks with costs in selecting the most appropriate data collection plan. When applicable, various regulatory agencies (i.e., EPA, Kentucky Department for Environmental Protection, etc.) may participate in the DQO sessions.

Specific DQOs and Sampling and Analysis Plans (SAPs) for tasks are developed per PMSA-1001 and will be documented in Appendix A.

### 3.2 ANALYTICAL DATA CATEGORIES

Two descriptive data categories have been specified by EPA in the *Data Quality Objectives Process for Superfund, Interim Final Guidance*, EPA/540/G-93/071 (EPA, 1993). These two data categories supersede the five quality control (QC) levels (Levels I, II, III, IV, and V). The two new data categories are associated with specific QA/QC elements and may be generated using a wide range of analytical methods. The type of data generated will be based on the qualitative and quantitative DQOs. The two data categories are:

**Screening data**—Measurements generated through the use of field- or fixed-laboratory methods in which the level of certainty in the data cannot be determined given physical evidence documenting the acquisition and analysis of the sample. Analytical methods producing field measurements or screening data include those that indicate the presence or absence of an analyte, or class of analytes, or provide a semiquantitative (estimated) result. Field measurement and other screening data include, but are not limited to, Draeger tubes; organic vapor analyses; soil gas surveys; and radiation and contamination monitoring. Screening data results must be confirmed by collecting a specified percentage of definitive data. Screening data should be used conservatively and not rule out the presence of a contaminant without some percentage of the data being confirmed by definitive data.

**Definitive data**—Analytical measurements for which the presence and corresponding concentration of the target analyte(s) can be determined with a known degree of certainty. The measurements are supported with appropriate physical evidence documenting the acquisition and analysis. Definitive data, in electronic form, must be supported with retrievable, but not necessarily retrieved, physical evidence in the laboratory. This evidence can include analytical results, QA/QC results, chains-of-custody (COCs), logbooks, standards information, etc.

Definitive data, or a combination of screening data, definitive confirmation, and definitive data, will be collected when the task is implemented. A minimum of ten percent of the screening samples will also be analyzed by a fixed-base laboratory using EPA SW-846 Methods (1986) to provide the required definitive data. When not available, other nationally recognized methods such as those of the American Society for Testing and Materials (ASTM), DOE, and EPA, will be used.

Applicable task documents summarize the data uses, data users, data categories, and data deliverable QC levels for each of the media and sample types that will be collected during the investigation.

#### **4. APPLICABLE PROTOCOLS AND DOCUMENTS**

Company protocols, sampling methods, administrative procedures, etc., utilize hierarchy documents that relate to data quality. Hierarchy documents such as EPA Quality Assurance Management Staff (QAMS) 005/80, *Interim Guidelines and Specifications for Preparing Quality Assurance Project Plans*, *EPA Region 4 Environmental Investigations Standard Operating Procedures and Quality Assurance Manual*, and *Environmental Data Management Implementation Handbook for the Environmental Restoration Program (ES/ER/TM-88/R1)* are used as flow-down documents in writing company protocols. Deviations are documented as described in Section 16. Protocols and documents applicable to the processes described will be defined in completion of Appendix A.

#### **5. SAMPLE CUSTODY**

COC is a process used to document the transfer of custody of samples from one individual to another from sample collection until final disposition. COC records are handled in accordance with applicable protocols. COC requires signature transfer of samples from sampling personnel to the sample custodians, who then transfer samples to the appropriate analytical laboratory personnel. The transfer of samples between individuals in the same work group located in the same general location (sampling or analytical) does not require a signature transfer since the integrity of the sample is maintained at all times. If the individuals are not in the same general location, a COC is required. This is accomplished by the samples being locked in a refrigerator when laboratory personnel are not available. Sample residuals are disposed of only after notification by the Task Lead that they no longer need archiving or once holding times have been exceeded. Sample custody protocols are identified in Appendix A.

#### **6. CALIBRATION PROTOCOLS AND FREQUENCY**

##### **6.1 FIELD EQUIPMENT CALIBRATION PROTOCOLS AND FREQUENCIES**

The calibration of field instruments will be checked in the field in accordance with manufacturer's specifications. Field calibration records will be documented in logbooks and/or on field data sheets. Calibration frequencies for field instruments will be defined in Appendix A.

##### **6.2 LABORATORY CALIBRATION PROTOCOLS AND FREQUENCIES**

The laboratory(ies) will use written, standard protocols for equipment calibration and frequency. These protocols are based on EPA guidance or manufacturer's recommendations and are given in the EPA-approved analytical methods. Supplemental calibration details, such as documentation and reporting requirements, are given in the laboratory QA Plan. The laboratory QA Plan will be reviewed and approved as part of the contract review process. When available, standards used for calibration will

be traceable by the National Institute of Standards and Technology. Corrective action protocols for malfunctioning equipment will be addressed in the laboratory QA Plan. Calibration records, in accordance with the laboratory QA Plan, will be maintained for each piece of measuring and test equipment and each piece of reference equipment. The records will indicate that established calibration protocols have been followed. Records of equipment use will be kept in the laboratory files.

## **7. ANALYTICAL PROTOCOLS**

When available and appropriate for the sample matrix, SW-846 Methods will be used. When SW-846 Methods are not available or lower detection limits that are required cannot be achieved by SW-846 Methods, other nationally-recognized methods such as those of ASTM, DOE, and EPA will be used. Analytical methods, detection limits, sample preservation, holding times, and container requirements for field measurements and analytical parameters are defined during the DQO process and are incorporated in the analytical SOW for the task and applicable protocols and will be defined in Appendix A.

## **8. DETAILS OF DATA AND DOCUMENT FLOW**

The components of data management include planning, collection, review, archival, and transmittal. Task activities follow identical paths to meet data management requirements. A flow chart (Figure 1) and narrative (Sections 8 and 9) are provided for each component of data and document flow. The Paducah DOE Program Integrated Data System is discussed first. The data system is core to each of the data management components.

### **8.1 INTEGRATED DATA SYSTEM**

The Paducah DOE Program Integrated Data System provides a centralized system for management and storage of environmental information while allowing easy, yet controlled, access. The basis for the Paducah DOE Program Integrated Data System is to establish and maintain a program to provide the most efficient system of data collection, analysis, storage, and retrieval. DOE, as specified in the FFA, is to maintain one consolidated database for the Paducah Site. All data collected under this agreement (the FFA) is to be routinely submitted electronically in a consistent format to the stakeholders (see Section 9.2 and Appendix B). In addition, under the Kentucky AIP, AIP personnel require access to the electronic data that is maintained by the Paducah facility and its contractors. Consequently, the Paducah DOE Program Integrated Data System meets the regulatory requirements and provides Paducah EMEF with a platform to manage its data.

The Paducah DOE Program Integrated Data System is composed of integrated hardware and software to support the collection, management, analysis, and presentation of data associated with environmental restoration/remedial action, compliance, and monitoring activities at PGDP. All environmental measurements, analyses, and locationally descriptive information (e.g., GIS, lithology, and monitoring structure information), as applicable per this plan, is included. In addition, an extensive collection of descriptive and reference information about environmental tasks and permits is stored. A flow diagram for the Paducah DOE Program Integrated Data System is shown in Figure 2.

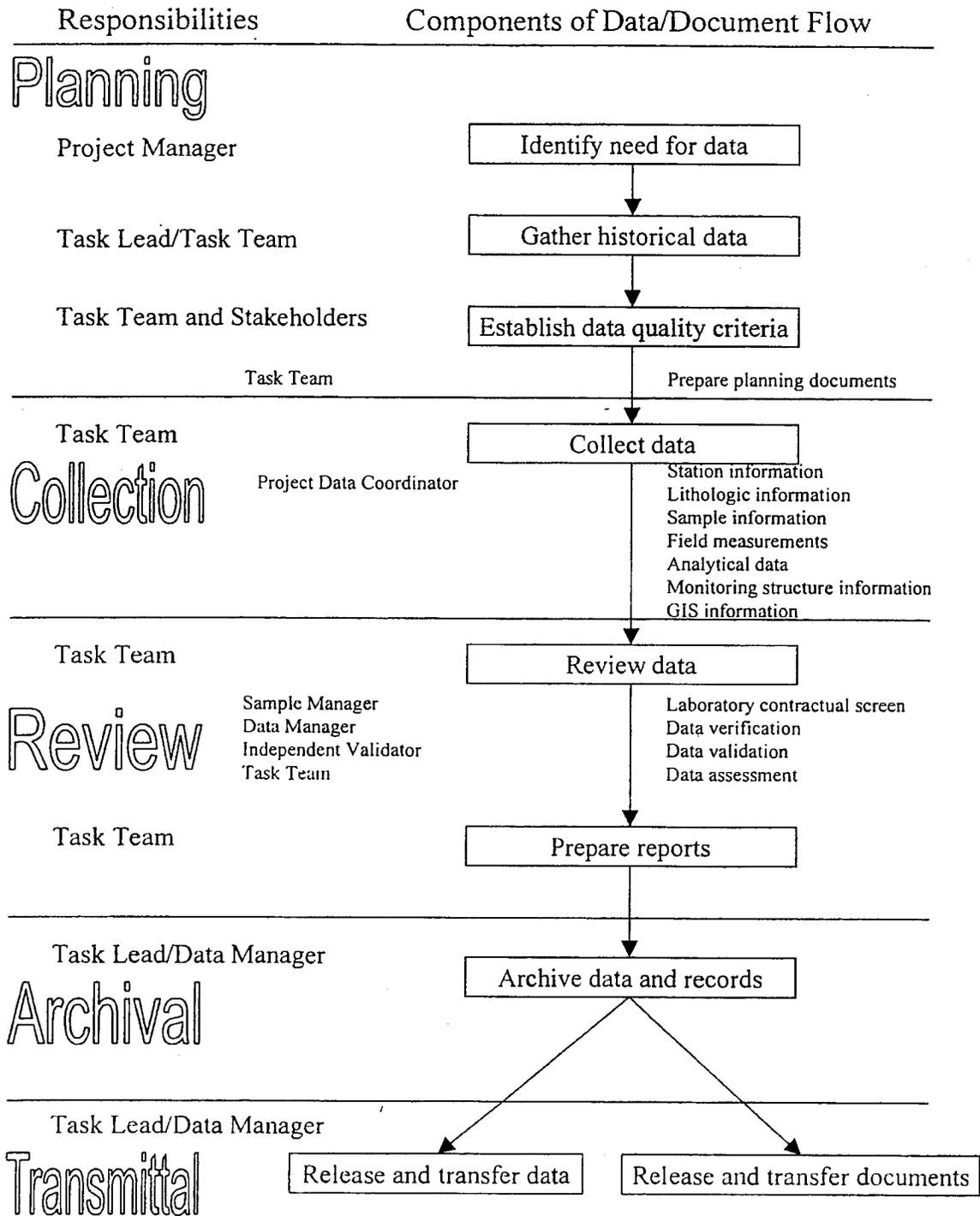
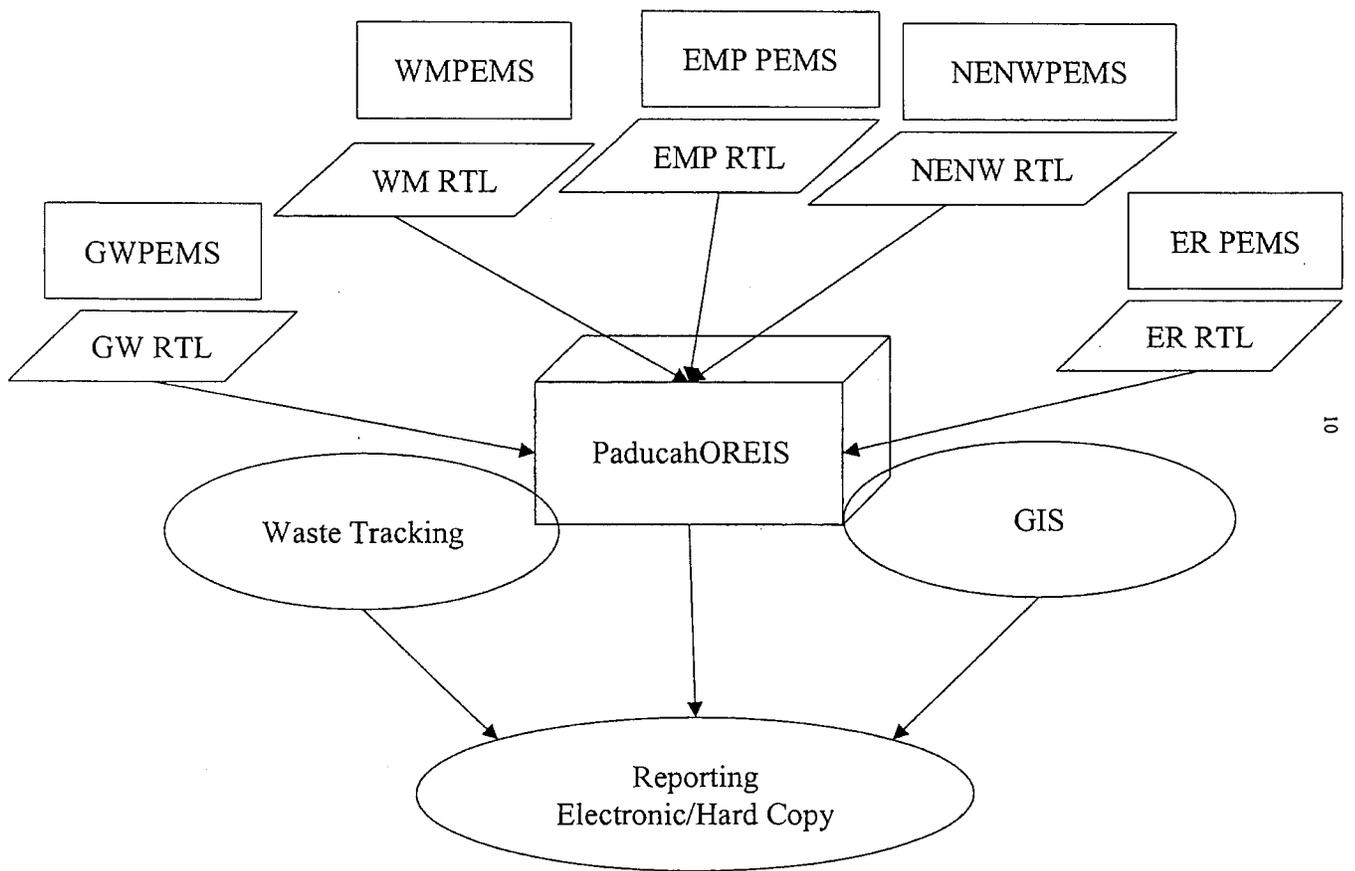


Figure 1. Detail of Data and Data Flow.



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Figure 2. Paducah DOE Integrated Data System.

As part of the Paducah DOE Program Integrated Data System, each project utilizes a PEMS for sample scheduling, collection, and tracking each sample and associated data from point of collection through final data reporting. Each PEMS is established on a project-specific basis. PEMS tracking includes field forms, COCs, hard copy data packages, and EDDs. Project data is entered as the project progresses. All field measurement data, analytical data, lithologic descriptions, monitoring structure information, sample stations, and corresponding coordinates (as appropriate) are entered into PEMS.

Upon completion of the project, or on a routine basis, data from each PEMS is reviewed as described in Section 8.4 and transferred to Paducah OREIS for permanent retention. All final data reporting is reported from Paducah OREIS. Additionally, PEMS data is archived on a specified frequency to ensure data traceability.

The Paducah DOE Program Integrated Data System is accessed by a computer network. The system is designed to allow the electronic transfer of information between all branches of EMEF. A central file server is used to maintain the software and database applications. This server may be accessed from several PC workstations within the computer network.

## **8.2 DATA PLANNING**

### **8.2.1 Initiation of Data Collection**

The need for data collection is determined by the Task Lead and Project Manager to satisfy applicable regulatory requirements and/or DOE Orders. The Task Lead identifies the need for collection of data to support the task and is responsible for the development of applicable documents that outline the specific objectives of the data collection activity.

### **8.2.2 Historical Data Gathering**

A substantial effort should be made by the data requestor (i.e., project manager/task lead) to acquire and analyze all historical data and documents relevant to the task (i.e., in numeric, spatial, attribute, and textual form) prior to the DQO process and/or data generation. For example, these documents and data might include prior work done for preliminary assessments, site characterization tasks, remedial investigations, annual monitoring reports, or data summaries provided by previous analysts. In addition, information specialists who would know of relevant documents, GIS information, and data sets should be consulted to acquire a comprehensive task background. In many cases, descriptive and qualitative information about the data (e.g., metadata) may be required. This is often the case with electronic files that may be received without the basic information provided through proper documentation. Some research may be required to prepare these metadata statements which are essential to the determination of data quality and usability.

If the data is in electronic form, the usable data and metadata should be entered into the Paducah DOE Program Integrated Data System for inclusion into Paducah OREIS. If the data is in document form, the usable data and metadata should be extracted and key-entered into the Paducah DOE Program Integrated Data System. If GIS information is required, themes/coverages should be updated as necessary.

### **8.2.3 Data Quality Criteria**

With the usable historical data now in the Paducah DOE Program Integrated Data System, the data, along with the documents and metadata, can be retrieved, analyzed (both statistically and spatially), modeled, and used in support of DQOs for the task. This data, along with elements from the DQO process such as, contaminants of concern, QA/QC requirements, "Identification of Project Data Quality Checks" checklist, data review options, and the sampling design is used to generate applicable plans.

Field SOWs, sampling plans, and analytical SOWs are developed in support of field preparation. A field SOW describes the field activities to be undertaken and subsequent work to be performed. A sampling plan may be used to further expand on details of field activities. An analytical SOW is prepared which includes analytical parameters, methods, and detection limits. A validation SOW is also prepared when validation services are required to ensure the analytical laboratory's performance is acceptable.

Information from each of the SOWs and sampling plans is used to initiate sampling logbooks, labels, and other required field documentation. Documentation generated by the data collection activity shall be forwarded electronically and/or in hard copy to the Task Lead and the DMC to be indexed and filed as specified per the SOW.

## **8.3 DATA COLLECTION**

Data collection information is recorded and maintained for all data collection activities. This information includes station information, lithologic information, sample information, field measurements, analytical data, monitoring structure information, and GIS information and is explained below.

### **8.3.1 Station Information**

Station information is data describing the location from which a sample is taken. Station information includes plant coordinates (surveyed or estimated, as appropriate), station description, and station type. This information is input directly into PEMS. Methods for determining coordinates and relevant information necessary to determine and document accuracy should be recorded.

### **8.3.2 Lithologic Information**

Lithologic information is data describing the lithology of a borehole. This information is input directly into PEMS.

### **8.3.3 Sample Information**

Sample information is environmental data describing the sampling event and consists of the following: station, date collected, time collected, and other sampling conditions collected for every sampling event. This information is recorded in logbooks and may be included on the COC or sample labels. This information is input directly into PEMS.

### **8.3.4 Field Measurements**

Field measurements are measurements of a parameter without physical collection of a sample which are collected real-time in the field. Field measurements may include water level measurements, pH, conductivity, flow rates, temperature, and dissolved oxygen. Field measurements are taken and recorded on appropriate field forms or in logbooks, and input into PEMS.

### **8.3.5 Analytical Data**

The Sample Manager tracks progress of analytical samples as fieldwork continues. COCs are reviewed and lab receipt of samples is verified. Once samples have entered the laboratory, the laboratory is responsible for sample analysis, data reduction, and data reporting. The analytical data will be checked for completeness and reasonableness. A system is set up within the Paducah DOE Program Integrated Data System to log shipment of samples and receipt of data packages.

All data packages received from the fixed-base and screening/field laboratories are tracked, reviewed, and maintained in a secure environment. The primary individual responsible for these tasks is the Sample Manager. The following information is tracked: sample delivery group number, date received, number of samples, sample analyses, receipt of EDD (if applicable), and comments. The Sample Manager compares the contents of the data package with the COC form and identifies discrepancies. Discrepancies are immediately reported to the laboratory and the data validators. All data packages are forwarded to the Bechtel Jacobs Company EMEF DMC for permanent storage.

### **8.3.6 Monitoring Structure Information**

Monitoring structure information is data describing the monitoring wells and boreholes installed during the combined tasks. Information includes well screen depth; borehole and well diameter; screened aquifer; and datum information. This information is input directly into PEMS.

### **8.3.7 GIS Information**

GIS information is metadata that is visually descriptive of the area around the location of a project. Information may include maps of roads, streams, underground utilities, etc. Projects creating new GIS information or causing required updates to existing GIS information supply the information to the Paducah DOE Program Integrated Data System.

## **8.4 DATA REVIEW**

### **8.4.1 Laboratory Contractual Screening**

Laboratory contractual screening is the process of evaluating a set of data against the requirements specified in the analytical SOW to ensure that all requested information is received. The contractual screening includes, but is not limited to, the COC, number of samples, analytes requested, total number of analyses, methods used, QC samples analyzed, EDDs, units, holding times, and reporting limits achieved.

The Sample Manager conducts the screening upon receipt of data from the analytical laboratory. To the extent possible, the contractual screening should be done electronically. The Sample Manager identifies and documents any exceptions to the SOW on a Laboratory Deliverable Contractual Screening Checklist.

### **8.4.2 Data Verification**

Data verification is the process for comparing a data set against a set standard or contractual requirement. Verification may be performed electronically, manually, or by a combination of both. Data verification includes contractual screening and can include other data quality checks established by the task team. Applicable task plans define the specific verification to be performed. Data is flagged as necessary.

Specific documentation associated with data verification is developed per PMSA-1001, Appendix G, entitled, "Identification of Project Data Quality Checks," and will be provided in Appendix A.

#### **8.4.3 Data Validation**

Data validation is the process for evaluating the laboratory adherence to analytical-method requirements. This is performed by a qualified individual for a data set, independent from sampling, laboratory, project management, or other decision-making personnel for the task. Data validation is performed according to PMSA-1001 and is coordinated by the Sample Manager. Validation qualifiers are stored in the Paducah DOE Program Integrated Data System. Documentation associated with data validation (the validation SOW, data validation reports, and exception reports, if applicable) is filed in the DMC. Specific documentation associated with data validation is identified in Appendix A.

#### **8.4.4 Data Assessment**

Data assessment is the process for assuring that DQOs are met, and that the type, quality, and quantity of data are appropriate for their intended use. It allows for the determination that a decision (or estimate) can be made with the desired level of confidence given the quality of the data set. Data assessment follows data verification and data validation and must be performed on 100 percent to ensure data is usable.

The data assessment is conducted by a designated task team member in conjunction with other task team members according to PMSA-1001. Assessment qualifiers are stored in the Paducah DOE Program Integrated Data System. Data is made available for reporting upon completion of the data assessment and associated documentation (Data Assessment Review Checklist) is filed with the task files.

#### **8.4.5 Report Preparation**

Personnel will utilize the official Paducah OREIS data tables for all official data reporting. Prior to the release of any data, the "Data Release" form shall be completed according to PMSA-1001, Appendix I. Release of all data shall be approved by DOE and the Managing and Integrating Contractor.

### **8.5 DATA AND RECORDS ARCHIVAL**

#### **8.5.1 Data Archival**

Data archival refers to the long-term storage of electronic data generated by a task in the Paducah DOE Program Integrated Data System. Long-term storage in a central repository assures maximum accessibility by the environmental engineering community. To ensure its future usability, sufficient documentation, including the associated metadata, must accompany archived data to describe the source, contents, and structure of the data. Paducah OREIS is the database that stores archived data for future use. In addition, the Paducah PEMS used for the task is archived both intact and as exported ASCII text with sufficient documentation to recreate task data. The archive of Paducah PEMS, as well as the back-ups for Paducah OREIS, are stored in the DMC.

### 8.5.2 Records Archival

The DMC is a repository for all EMEF documents and data. Each EMEF task transmits a copy of all task documentation to be filed in the DMC as the task file. This information is arranged by a file classification scheme and is filed on shelves in color-coded folders. The documents are shelved in mobile file cabinets which are located inside a two-hour-rated firewall vault. The vault is protected by a wet-pipe sprinkler system and intrusion alarm. The DMC staff utilizes the DMS, a database management system designed for management and retrieval of documents, to perform searches. DMS records contain bibliographic information (title; author; issue date; document type and number; etc.), file classification information (document location), and index information (subject keywords, document status, facility name/waste area grouping [WAG]/solid waste management unit [SWMU] number, cleared for public use flag, and administrative record [AR] codes).

By utilizing the DMC, all documents relevant to EMEF work will be located in a central repository and will be available to the EMEF organization as well as other identified users. The DMC will also provide controlled access to these documents.

Information that may be found in a task file include hard copies of all original field and analytical results; data reduction and summarization programs; data packages; logbooks; associated QA/QC forms; correspondence; contracts; meeting minutes; training records; plans; and reports. All contents of a task file are classified, indexed, and stored into appropriate file groups and record series within the task file.

Satellite document centers are sometimes established with routine transfer frequencies to the PGDP DMC. Task records are maintained by the Task Records Coordinator as record copy as specified in task data and document management plans and as required by protocol. Logbooks and field documentation are copied weekly unless maintained as record copies, which are kept in one-hour-rated, fire-resistant, locked file cabinets overnight. If the activities during logbook use could potentially damage the logbook or result in loss, then weekly copies are required. If copies are made, they are maintained separate from the original logbook and are forwarded to the task files and maintained as record copy until the originals are complete. At that time, the originals replace the copies as record copy. The record copy is transferred to the Paducah EMEF DMC. Upon completion of the task, all original logbooks (field and analytical), field documentation, and project deliverables will be forwarded to the DMC by the task manager or designee.

Documents will be selected for the AR from the task file. The AR files are duplicated and made available to the public at the Environmental Information Center. Documentation associated with data and records archival includes archive checklists; indexed and filed copies of all relevant hard copies; and lists of all items recommended for the AR file.

## **9. DOCUMENT AND DATA RELEASE AND TRANSFER**

### **9.1 DOCUMENT RELEASE AND TRANSFER**

A standard distribution list is maintained for regulatory documents submitted according to the FFA. Changes to this distribution list should be submitted through the DOE Site Office. Other documents generated for the EMEF Program may be specially requested through the DOE Site Office or their designee. Requested documents may be historical or newly generated and will be transmitted within a reasonable time frame.

### **9.2 ELECTRONIC DATA RELEASE AND TRANSFER**

Once data has undergone verification, validation, and data assessment, it may be released to external agencies. Routine data or data specially requested by external agencies is downloaded into a standard format (see Appendix B) and transmitted either electronically or by physical transfer on electronic media (diskettes, etc.). If data is transmitted electronically, data files will be placed on an externally-accessible file server that is password protected. The external agency has the responsibility to protect the data that has been provided. Passwords shall not be shared with anyone outside the external agency. External agencies will be notified of data availability via electronic mail.

The Task Lead/Release Requestor will complete the "Paducah EMEF Data Release to External Agencies" form and obtain all appropriate signatures. Field QC data is not routinely transmitted with the data; however, this information is available upon request. Electronic data formats will contain a "Read Me" file that will identify the electronic data package and the number of files associated with the package. The "Read Me" file will also indicate the appropriate data qualifiers along with their associated definitions and the appropriate data quality level. Hard copy data formats will contain a cover letter that will identify the contents of the data package. The cover letter will also indicate the appropriate data qualifiers along with their associated definitions and the appropriate data quality level.

#### **9.2.1 DOE Remedial Action Investigations**

DOE will provide electronically-transmitted data concurrent with the D1 Report/Characterization Report or when the Project Completion Report is issued (if formal D1 is not required) for remedial action investigations.

#### **9.2.2 DOE-Permitted Facilities/Routine Environmental Monitoring Reports**

Permitted and routine sampling is outlined in Table 8.1. Additionally, Table 8.1 includes reporting and transfer frequencies. DOE will provide electronic-transmitted data per the agreed schedule in this document.

#### **9.2.3 Special Requests**

Data will be transmitted routinely as specified in Sections 9.2.1 and 9.2.2. Any additional data generated from sampling activities that are available electronically may be transmitted upon receipt of a special request correspondence. Special requests shall be submitted through the DOE Site Office, or their designee, specifying the sampling event information required.

Table 8.1. Regulatory and routine sampling.

| PROGRAM   | FREQUENCIES/SCHEDULE                |  |   |
|---|-------------------------------------|--|---|
|   | SAMPLING                            | REPORTING  | TRANSFER  |
| <b>Permit-Associated Sampling</b>                                   |                                     |  |   |
| Kentucky Pollutant Discharge Elimination System Permit DOE Outfalls | Monthly and Quarterly               | Monthly<br>28 <sup>th</sup> of each month                                | Monthly<br>28 <sup>th</sup> of each month                   |
| Toxicity Monitoring   | Quarterly                           | Quarterly<br>Publication of the ASER                                     | Quarterly<br>Concurrent with ASER                           |
| Bioaccumulation Study   | Annually                            | Annually<br>Publication of the ASER                                      | Annually<br>Concurrent with ASER                            |
| Fish Community  | Semiannually                        | Annually<br>Publication of the ASER                                      | Annually<br>Concurrent with ASER                            |
| C-746-K Surface Water   | Quarterly                           | Semiannually<br>June 30, December 30                                     | Semiannually<br>June 30, December 30                        |
| C-746-S&T Surface Water   | Quarterly                           | Quarterly<br>January 15, April 15,<br>July 15, October 15                | Quarterly<br>January 15, April 15,<br>July 15, October 15   |
| C-746-U Surface Water   | Quarterly                           | Quarterly<br>January 15, April 15,<br>July 15, October 15                | Quarterly<br>January 15, April 15,<br>July 15, October 15   |
| C-746-K Groundwater   | Quarterly                           | Semiannually<br>June 30, December 30                                     | Semiannually<br>June 30, December 30                        |
| C-404 Landfill Groundwater  | Quarterly                           | Semiannually<br>May 30, November 30                                      | Semiannually<br>May 30, November 30                         |
| C-746-S&T Landfill Groundwater                                      | Quarterly                           | Quarterly<br>February 30, May 30,<br>August 30, November 30              | Quarterly<br>February 30, May 30,<br>August 30, November 30 |
| C-746-U Groundwater Monitoring                                      | Quarterly                           | Quarterly<br>February 30, May 30,<br>August 30, November 30              | Quarterly<br>February 30, May 30,<br>August 30, November 30 |
| <b>Environmental Monitoring Programs (EMP)</b>                      |                                     |  |   |
| EMP Surface Water Sampling  | Bimonthly                           | Annually<br>Publication of Annual Site<br>Environmental Report<br>(ASER) | Annually<br>Concurrent with ASER                            |
| EMP Annual Sediment Sampling  | Annually                            | Annually<br>Publication of ASER  | Annually<br>Concurrent with ASER                            |
| EMP Annual Deer Sampling  | Annually                            | Annually<br>Publication of ASER  | Annually<br>November  |
| Plume Groundwater Sampling  | Monthly and Quarterly               | Quarterly<br>January 30, April 30,<br>July 30, October 30                | Quarterly<br>January 30, April 30,<br>July 30, October 30   |
| Residential Groundwater Sampling                                    | Monthly, Quarterly,<br>and Annually | Annually<br>Publication of ASER  | Semiannually<br>April and October                           |
| Surveillance Groundwater Sampling                                   | Monthly, Quarterly,<br>and Annually | Annually<br>Publication of ASER  | Semiannually<br>January and July                            |

| PROGRAM   | FREQUENCIES/SCHEDULE    |  |   |
|---|-------------------------|--|---|
|   | SAMPLING                | REPORTING  | TRANSFER  |
| <b>Surveillance &amp; Maintenance or Operation &amp; Maintenance Activities</b> |                         |  |   |
| C-404 Leachate  | Per Permit<br>As needed | Per Permit<br>January 30, April 30,<br>July 30, October 15             | Annually *<br>October 15                                  |
| C-746-S&T Leachate  | Per Permit<br>As needed | Quarterly per permit   | Quarterly per permit                                      |
| C-746- U Leachate   | Per Permit<br>As needed | Quarterly per permit   | Quarterly per permit                                      |
| Northwest Plume/Northeast Plume   | Daily                   | Quarterly and Annually<br>January 30, April 30,<br>July 30, October 30 | Quarterly<br>January 30, April 30,<br>July 30, October 30 |

\* If leachate samples were collected.

## 10. INTERNAL QC CHECKS

### 10.1 FIELD QC SAMPLES

Standard operating protocols are used for all routine sampling operations. Field QC sampling will be conducted to check sampling and analytical accuracy and precision for both laboratory and field analyses of the original samples. All QC samples will be handled, shipped, and analyzed as stated in Sections 5 and 7. Field QC samples will have sample numbers which are unique and which identify them as QC samples.

A **filter blank** is a sample of ASTM Type II water passed through, or over, a filter before any samples are filtered. Filter blanks are used as a measure of filter contamination. Samples are analyzed for the same parameters as the filtered sample. Filter blanks can be collected at a rate of one per lot number.

**Field blanks** serve as a check on environmental contamination at the sample site. ASTM Type II water is transported to the site, opened in the field, transferred into each type of sample bottle, and returned to the laboratory for analysis of all parameters associated with that sampling event. It is also acceptable for field blanks to be filled in the lab, transported to the field, and then opened. Field blanks may be used as a reagent blank as needed. It is recommended that field blanks be collected at a rate of 1:20.

**Equipment blanks** (may also be referred to as equipment rinseates) are samples of ASTM Type II water passed through decontaminated sampling equipment. Equipment blanks are used as a measure of decontamination-process-effectiveness and are analyzed for the same parameters as the sample collected with the equipment. Equipment blanks may also be used as a reagent blank as needed. Equipment blanks are required only when nondisposable equipment is being used. It is recommended that equipment blanks be collected at a rate of 1:20.

A **trip blank** is a sample used to detect contamination by volatile organic compounds (VOCs) during sample shipping and handling. Trip blanks are 40 mL volatile organic analysis (VOA) vials of ASTM Type II water that are filled in the laboratory, transported to the sampling site, and returned to the laboratory with VOA samples. Trip blanks are not opened in the field. One trip blank is to accompany each cooler containing VOA samples. Each trip blank is to be stored at the laboratory with associated samples, and analyzed with those samples. Trip blanks are only analyzed for VOCs.

**Duplicates** are two separate samples taken from the same source during the same sampling event and are analyzed for the same parameters. Data generated by duplicate samples includes sampling and analytical variability (precision). It is recommended that duplicates be collected at a rate of 1:20.

## 10.2 ANALYTICAL LABORATORY QC SAMPLES

Fixed-based analytical laboratories that provide services will have an approved QA plan that describes the laboratory QC sample program and the laboratory control sample program. The analytical laboratory has an established internal QC program that is managed by the laboratory supervisors. Analytical laboratory QC samples will be analyzed as required by the analytical method for the parameters of interest and the results will be included in the analytical report. Blind samples are samples in which the laboratory has no information on the sample location and, subsequently, would have no indication of the possible analytical results. These samples will be analyzed for the parameters of interest and the results will be included in the analytical report. Acceptable completion of the blind samples provides an indication of the laboratory's performance. DOE laboratories participating in the blind sample program will follow blind submittal frequencies determined by the SMO.

# 11. AUDITS AND SURVEILLANCES

## 11.1 AUDITS

Audits are qualitative reviews of task activity to check that the overall QA program is functioning. Audits should be conducted early in the task so that problems can be corrected quickly. The audit involves the review of all available and relevant task and contract documents and includes an evaluation of QC measures for office and field. Audits will be performed as requested by management.

## 11.2 SURVEILLANCES

Surveillances follow the same general format as an audit but are less detailed and require a less formal report. A surveillance is designed to give task staff rapid feedback concerning QA compliance and to facilitate corrective action. Surveillances will be performed as requested by management.

## 12. PREVENTIVE MAINTENANCE

Equipment is an inclusive term for tools, gauges, instruments, and other items. The equipment discussed in this section requires that specific preventive maintenance is serviced as specified by the manufacturer's recommended schedule. All services are documented and performed by qualified and trained individuals. Out-of-service equipment is controlled to prevent inadvertent use and its maintenance is recorded. A list is maintained of the critical, spare parts that should be stocked to minimize equipment downtime. Specific field equipment preventive-maintenance practices, frequencies, and spare parts are described in the factory manual for each instrument.

Preventive-maintenance protocols for laboratory equipment and instruments are provided in laboratory QA plans. All maintenance activities will be recorded in maintenance logs. Laboratories will be required to maintain an adequate inventory of spare parts and consumables to prevent downtime as a result of minor problems.

## 13. SPECIFIC ROUTINE PROTOCOLS

The precision, accuracy, and completeness parameters are quantitative tools by which data sets can be evaluated. These parameters can help ensure that DQOs are met and are defined as follows:

- **Precision**—A quantitative measurement of the variability of a group of measurements as compared to their average. Usually expressed as a percentage or a standard deviation, it evaluates the reproducibility of the system. Sample duplicates measure the reproducibility of the sampling event, while lab replicates measure the precision of the analytical process. The acceptable precision may be defined by the laboratory method used.
- **Accuracy**—A quantitative measurement of the bias of the data. It represents how close the measurement data is to the true value. Analytical accuracy is measured by percent recoveries associated with the laboratory analytical control spikes (blank spikes), surrogate spikes, or matrix spikes. The acceptable accuracy may be defined by the laboratory method used. Sampling accuracy can be assessed by evaluating field and trip blanks.
- **Representativeness**—A qualitative measurement of the ability of a sample or group of data to adequately describe or define the conditions being measured. Precision, accuracy, and completeness all affect representativeness. Sampling strategy (location, method, and frequency) are critical to ensure that the samples statistically represent the population. Laboratory precision and accuracy reflect how representative the data is of the sample.
- **Completeness**—A quantitative measurement of the percentage of acceptable data as compared to the number planned. Both sampling and analytical completeness can be measured.
- **Comparability**—A qualitative measurement of the confidence with which one data set can be compared with another. Comparability is achieved by using standard techniques for collection and analysis.

Protocols for assessing the precision, accuracy, and completeness are provided in the following text. It should be noted that there are no standard guidelines available for representativeness and comparability.

### 13.1 PRECISION

To determine the precision of the laboratory analysis, a routine program of replicate analyses, in accordance with the analytical method requirements, is performed by the laboratory. The results of replicate analyses are used to calculate the relative percent difference which is used to assess laboratory precision.

For replicate results  $C_1$  and  $C_2$ :

$$\text{Relative percent difference} = \frac{|C_1 - C_2|}{\left(\frac{C_1 + C_2}{2}\right)} \times 100$$

Precision of the total sampling and analytical measurement process will be assessed from field duplicates. Although a quantitative goal cannot be set due to sample variability, the Task Lead will review relative percent difference values of field duplicates to estimate precision. Analytical precision can be measured separately from sampling precision through the use of laboratory duplicate and matrix spikes.

### 13.2 ACCURACY

To determine the accuracy of an analytical method and/or the laboratory analysis, a periodic program of sample spiking is conducted (minimum one spike and one spike duplicate per 20 samples). The results of sample spiking are used to calculate the QC parameter for accuracy evaluation, the percent recovery (% R).

For surrogate spikes and QC samples:

$$\%R = \frac{C_s}{C_t} \times 100$$

where--

$C_s$  = measured spiked sample concentration (or amount)

$C_t$  = true spiked concentration (or amount)

For matrix spikes:

$$\%R = \frac{|C_s - C_o|}{C_t} \times 100$$

where--

$C_s$  = measured, spiked sample concentration

$C_o$  = sample concentration (not spiked)

$C_t$  = true concentration of the spike

Accuracy of the total sampling and analytical measurement process will not be determined. This would require the addition of chemical-spiking compounds to the samples in the field.

### 13.3 COMPLETENESS

To determine the completeness of data, the percentage of valid, viable data obtained from a measurement system is compared with the number of total measurements. The goal of completeness is to generate a sufficient amount of valid data to satisfy task needs.

Completeness,  $C$ , is calculated as follows:

$$\% C = \frac{\text{Number of valid measurements}}{\text{Number of total measurements}} \times 100$$

## 14. NONCONFORMANCES AND CORRECTIVE ACTIONS

Nonconforming equipment, items, activities, conditions, and unusual incidents that could affect compliance with task requirements will be identified, controlled, and reported in a timely manner. Nonconforming equipment will immediately be labeled or tagged, and segregated, if possible. Specific protocols for controlling nonconforming items will be described in applicable documents. Nonconformance Reports issued as a result of an audit or surveillance will identify the root cause of the problem. Laboratories must notify the appropriate personnel of any nonconformance or problems with analytical samples. Laboratory corrective actions reports are completed by the analytical laboratory when a nonconformance is recognized by laboratory personnel. Handling of any nonconformance is described in appropriate plans and protocols.

Corrective actions to audit/surveillance findings and nonconformances are managed. The Task Manager is notified of a nonconformance and/or surveillance finding. These are documented and a copy is furnished to the Task Lead as soon as possible. Copies of audits, surveillances, and/or nonconformances and their dispositions will be forwarded to the appropriate management personnel and will be placed in the DMC.

## 15. QA REPORTS TO MANAGEMENT

Upon request, QA personnel will provide to management a report which summarizes QA activities for the task, system, and performance audits conducted (internal and external); quality problems found; corrective actions initiated; and other applicable items. Some reports that present measurement data generated during the work assignment may require a QA section addressing the quality and limitations of the data. This QA section will address results of audits or surveillance of the measurement work; quality problems found and corrective actions taken; and deviations from applicable documents.

## 16. FIELD CHANGES

Field changes will be governed by control measures commensurate with those applied to the documentation of the original protocol. The task team identifies, documents, and approves field changes. These changes are communicated to the team through the use of Change Notices and Change Orders.

## REFERENCES

- 10 CFR 830.120, "*Quality Assurance*," April 1994.
- Bechtel Jacobs Company LLC. Quality Assurance Program Plan, DRAFT, October 1998.
- Energy Systems. *Environmental Measurements Data Management Plan Implementation Handbook for the Environmental Restoration Program*, ES/ER/TM-88/R1, 1996.
- EPA. *Data Quality Objectives Process for Superfund*, Interim Final Guidance, EPA/540/G-93/071, 1993.
- EPA. Hazardous and Solid Waste Amendment Permit, Permit #KY8890008982, August, 19, 1991.
- EPA. *Interim Guidelines and Specifications for Preparing Quality Assurance Project Plans*, QAMS 005/80, December 20, 1980.
- EPA. *Test Methods for Evaluating Solid Waste, Physical/Chemical Methods*, SW-846, 1986.
- EPA. *EPA Region 4 Environmental Investigations Standard Operating Procedures and Quality Assurance Manual*, May 1996.
- Kentucky Division of Waste Management Hazardous Waste Management Permit, Permit No. KY8890008982, August 19, 1991.
- Kentucky Agreement in Principle, January 1, 1997.
- Quality Assured Data*, PMSA-1001, Bechtel Jacobs Company LLC Procedures Manual, April 1997.

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**APPENDIX A**  
**TASK-SPECIFIC INFORMATION FOR QUALITY AND DATA ELEMENTS**

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## **TASK-SPECIFIC INFORMATION FOR QUALITY AND DATA ELEMENTS**

### **Purpose and Introduction**

This plan can be used and implemented for the Paducah DOE tasks requiring the collection of analytical data. Each section of the FFA QA/DMP was written to meet data-quality requirements and provides a description of the programmatic elements which should occur for each task. This appendix provides additional information concerning the QA and Data Management aspects which are specific to the task and cannot be defined at the programmatic level. This appendix should be completed once the task has been planned or once the DQOs have been documented. This completed appendix, along with the "Data and Documents Management and Quality Assurance Plan for the Paducah Environmental Management and Enrichment Facilities Program," will serve as the "Quality Assurance and Data Management Plan" for the task, will be provided to appropriate personnel, and will be maintained as a task record.

For the purpose of this document, this appendix is not completed but shows the information to be completed for each task involved in the collection of analytical data. This appendix should be completed, printed with attachments compiled, combined with the "Data and Documents Management and Quality Assurance Plan for the Paducah Environmental Management and Enrichment Facilities Program," and distributed to the appropriate personnel for review, approval, and use.

## INSTRUCTIONS FOR COMPLETING THE QUALITY ASSURANCE/DATA MANAGEMENT PLAN (QA/DMP)

Use the following instructions to complete each section for the task-specific QA/DMP. Attachments may be used to serve as and/or supplement the information provided in the tables.

**TITLE PAGE:** Type over the task-specific information in the underlined/bolded/italicized portion of the text. Information needed is the issue date, document number, document title, and author(s). Document numbers must be obtained from the Records Manager.

**APPROVAL PAGE:** Type over the task-specific information in the underlined/bolded/italicized portion of the text. Information needed is the preparers' names and titles and the approvers' names and titles. Minimum approvals are the Task Lead, Project Manager, and QA Manager.

**TABLE OF CONTENTS AND ATTACHMENTS:** Include the appropriate page numbers to the table of contents and identify and document the attachments provided to supplement this QA/DMP.

**SECTION 1.0—TASK ORGANIZATION, RESPONSIBILITY, AND TRAINING:** Identify the task organizational chart listing additional roles and responsibilities, including those identified in Section 2.2 of the "Data and Documents Management and Quality Assurance Plan for the Paducah Environmental Management and Enrichment Facilities Program." Also, document in Table 1.1 the training requirements for key personnel. An organizational chart and/or training matrix may be attached to this QA/DMP.

**SECTION 2.0—DATA QUALITY OBJECTIVES (DQOs) AND SAMPLE PLANNING:** Refer to PMSA-1001, *Quality Assured Data*, Appendix C, for directions to complete DQOs for the project. Attach DQO documentation to this QA/DMP. Using the DQO documentation, with assistance from the task team, identify details of the SAP. The SAP is generated out of the data needs identified in the DQOs and will specify applicable samples (i.e., regular samples, QC samples, and waste characterization samples) to be collected. Complete Table 2.1 (if SAP is not available) and/or attach the task SAP for environmental data. Complete Table 2.2 for waste characterization.

**SECTION 3.0—APPLICABLE PROTOCOLS, DOCUMENTS, AND WORK INSTRUCTIONS:** Identify the applicable protocols and documents (to data quality activities) which will be followed for the data collection activity and document in Table 3.1. Work instructions may be required for task-specific tasks.

When available and appropriate for the sample matrix, SW-846 Methods will be used. When not available, other nationally-recognized methods such as those of ASTM, DOE, and EPA will be used. Analytical methods are listed in Table 2.0 and in analytical SOWs; therefore, an additional listing of analytical methods is not required in Table 3.1.

**SECTION 4.0—CALIBRATION PROTOCOLS AND FREQUENCIES:** This section addresses documentation of field equipment and field support laboratory equipment which is to be calibrated for the task. Fixed-base laboratory calibration protocols and frequencies are not required to be included in this plan but are covered in the laboratory QA plans and protocols. The SMO oversight/audit has ensured the laboratory has met the requirements of SW-846. Calibration protocols and frequency information may be attached to this QA/DMP.

Identify the field equipment and field support laboratory equipment to be used during the data collection activity and document in Table 4.1 or attach supplemental information concerning equipment calibrations, the protocols, and frequencies.

**SECTION 5.0—DATA REVIEW PROCESS:** For details on the data review process, refer to PMSA-1001, *Quality Assured Data*, Appendices E, F, G, and H. Complete verification and assessment.

For the purposes of this section, contractual screening, data verification, and data assessment frequencies are identified in Table 5.1, Table 5.2, and Table 5.4, respectively; however, responsible personnel for these tasks must be identified and documented in the appropriate tables. Complete and attach Appendix G, "Data Quality Checks," from PMSA-1001, *Quality Assured Data*, to better define verification and assessment criteria. Complete Table 5.3 to document the validation strategy defined by the task team.

**SECTION 6.0—DOCUMENT AND RECORDS CONTROL AND TRANSFER:** Identify the documents and records to be controlled during the task, the document or record name and type (i.e., a document such as a QA project plan or a record such as a logbook) and the frequency of transfer of the document or record to the EMEF DMC. Record this information in Table 6.1 for documents and Table 6.2 for records.

**SECTION 7.0—QUALITY ASSESSMENT SCHEDULE:** Identify and document in Table 7.1 the quality assessments to be performed for the task as requested by the Task Lead or other applicable managers.

**DISTRIBUTION LIST:** Identify and document the appropriate personnel to receive a copy of the QA/DMP.

**REVIEWING, APPROVING, AND ISSUING THE QA/DMP:** Upon completion of the above instructions, the QA/DMP should be printed, noticeably stamped "DRAFT," and provided to the appropriate personnel for review. Comments should be received and resolved in a timely manner. The revised QA/DMP should be printed, approved, and provided to the appropriate personnel as defined in the distribution list.

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DATE OF ISSUE: DATE \_\_\_\_\_

DOCUMENT NO., REV. NO.

PROJECT TITLE  
QUALITY ASSURANCE AND DATA MANAGEMENT PLAN

AUTHOR(S)

Prepared by  
Environmental Management and Enrichment Facilities  
Kevil, Kentucky 42053  
Managed by  
BECHTEL JACOBS COMPANY  
for the  
U. S. DEPARTMENT OF ENERGY  
Under Contract No. DE-AC05-98OR22700

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PROJECT TITLE  
QUALITY ASSURANCE AND DATA MANAGEMENT PLAN

APPROVALS

Prepared by: \_\_\_\_\_ Date: \_\_\_\_\_  
*Name*  
*Title*

Prepared by: \_\_\_\_\_ Date: \_\_\_\_\_  
*Name*  
*Title*

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_  
*Name*  
*Title*

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## 1.0 TASK ORGANIZATION, RESPONSIBILITY, AND TRAINING

Table 1.1. Task Organization, Responsibility, and Training.

| Job Title or Position        | Name  | Role, Responsibility, and Interface | Training* |
|------------------------------|---|-------------------------------------|-----------|
| <b>DOE Project Manager</b>   |   |                                     |           |
| <b>Data Clerk</b>            |   |                                     |           |
| <b>Data Manager</b>          | M&I Data Manager/<br>Subcontractor Personnel    |                                     |           |
| <b>Network Administrator</b> | M&I Network Administrator                       |                                     |           |
| <b>Project Manager</b>       |   |                                     |           |
| <b>Project Engineer</b>      |   |                                     |           |
| <b>QA Specialist</b>         |   |                                     |           |
| <b>Records Clerk</b>         |   |                                     |           |
| <b>Records Manager</b>       | M&I Records Manager/<br>Subcontractor Personnel |                                     |           |
| <b>Sample Manager</b>        | M&I Sample Manager/<br>Subcontractor Personnel  |                                     |           |
| <b>Task Lead</b>             |   |                                     |           |
| <b>Task Manager</b>          |   |                                     |           |
| <b>Field Team Leader</b>     | Subcontractor Personnel                         |                                     |           |
| <b>Samplers</b>              | Subcontractor Personnel                         |                                     |           |
| <b>Drillers</b>              | Subcontractor Personnel                         |                                     |           |
| <b>Other</b>                 | Subcontractor Personnel                         |                                     |           |
| <b>Other</b>                 | Subcontractor Personnel                         |                                     |           |

\*The required training (GET, GERT, RAD II, etc.) should be identified for Subcontractor Personnel for this project.

Identify Location of Training Records for Subcontractor Personnel: \_\_\_\_\_



Table 2.2. DQOs and sample planning for waste characterization data collection.

| Material/<br>Volume/<br>Container | Preliminary<br>Classification | Characterizat<br>ion Method | Future<br>Disposition | Analyte(s) | Analytical<br>Method | Detection<br>Limit(s) | Holding Time | Container | Preservative |
|-----------------------------------|-------------------------------|-----------------------------|-----------------------|------------|----------------------|-----------------------|--------------|-----------|--------------|
| <i>Regular Samples</i>            |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
| <i>QC Samples</i>                 |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |
|                                   |                               |                             |                       |            |                      |                       |              |           |              |

### 3.0 APPLICABLE DOCUMENTS, PROTOCOLS, AND WORK INSTRUCTIONS

Table 3.1. Applicable documents, protocols, and work instructions.

| Protocol Number | Protocol Name   | Applicability |    |
|-----------------|---|---------------|----|
|                 |   | Yes           | No |
|                 | <i>General</i>  |               |    |
|                 | List appropriate protocols for to be used for chain-of-custodies, logbooks, ensuring quality data, etc. |               |    |
|                 | <i>Sampling</i>   |               |    |
|                 | List appropriate sampling protocols to be used.   |               |    |
|                 | <i>Data Management</i>  |               |    |
|                 | List appropriate data management protocols to be used.  |               |    |
|                 | <i>Data Validation</i>  |               |    |
|                 | List appropriate data validation protocols to be used.  |               |    |

## 4.0 CALIBRATION PROTOCOLS AND FREQUENCIES

**Table 4.1. Field equipment and field support laboratory calibration protocols and frequencies.**

| Equipment & Serial No.                    | Field Usage | Calibration Check Frequency | Calibration Check Material | Calibration Check Protocol |
|---|-------------|-----------------------------|----------------------------|----------------------------|
| <i>Field Equipment</i>                    |             |                             |                            |                            |
|   |             |                             |                            |                            |
|   |             |                             |                            |                            |
|   |             |                             |                            |                            |
| <i>Field Support Laboratory Equipment</i> |             |                             |                            |                            |
|   |             |                             |                            |                            |
|   |             |                             |                            |                            |
|   |             |                             |                            |                            |

## 5.0 DATA REVIEW PROCESS

|   |                          |                             |                      |                     |
|---|--------------------------|-----------------------------|----------------------|---------------------|
| <b>Table 5.1. Contractual screening.</b>                  |                          |                             |                      |                     |
| Responsible Person: _____<br>Other: _____                 |                          |                             |                      |                     |
| <b>Table 5.2. Data verification.</b>                      |                          |                             |                      |                     |
| Responsible Person: _____<br>Other: _____                 |                          |                             |                      |                     |
| <b>Table 5.3. Details for performing data validation.</b> |                          |                             |                      |                     |
| <b>Frequency</b>  | <b>Data Package Type</b> | <b>Analytes &amp; Media</b> | <b>Protocol Used</b> | <b>Completed By</b> |
|   |                          |                             |                      |                     |
|   |                          |                             |                      |                     |
| Responsible Person: _____                                 |                          |                             |                      |                     |
| <b>Table 5.4. Data assessment.</b>                        |                          |                             |                      |                     |
| Responsible Person: _____                                 |                          |                             |                      |                     |

**6.0 DOCUMENT AND RECORDS CONTROL AND TRANSFER**

**Table 6.1. Identification of documents.**

| <b>Document Name and Type</b> | <b>Controlled Document (Yes* or No)</b> | <b>Storage Location</b> | <b>Frequency of Transfer</b> | <b>Comments</b> |
|-------------------------------|---|-------------------------|------------------------------|-----------------|
|                               |   |                         |                              |                 |
|                               |   |                         |                              |                 |
|                               |   |                         |                              |                 |
|                               |   |                         |                              |                 |

\* If a document is identified as a “controlled document”, then a distribution list must be created, maintained, and updated, as needed. The access control method for the “controlled document” must be defined and implemented.

**Table 6.2. Identification of records.**

| <b>Record Name and Type</b> | <b>Quality Record (Yes or No)</b> | <b>Storage Location</b> | <b>Frequency of Transfer</b> | <b>Comments</b> |
|-----------------------------|-----------------------------------|-------------------------|------------------------------|-----------------|
|                             |                                   |                         |                              |                 |
|                             |                                   |                         |                              |                 |
|                             |                                   |                         |                              |                 |
|                             |                                   |                         |                              |                 |

### 7.0 ASSESSMENT SCHEDULE

**Table 7.1. Assessment schedule.**

| <b>Audit/Surveillance/<br/>Self Assessment No.</b> | <b>Subject/Topic</b> | <b>Date</b> | <b>Completed By</b> |
|--|----------------------|-------------|---------------------|
|  |                      |             |                     |
|  |                      |             |                     |
|  |                      |             |                     |
|  |                      |             |                     |

**DISTRIBUTION**

(List appropriate names and associated organization, if needed, for distribution of document.)

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**APPENDIX B**

**DATA DICTIONARY AND FORMATS FOR PADUCAH OREIS TRANSMITTALS**

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## TRANSMITTAL FORMAT

Transmittal format for all data transmittals will be in exported database format (.dbf) and as a Microsoft Access table (version 97 or more recent). The file will be added to the password-protected external server under the base directory \\home\oreis\data\ in a zipped file named according to the structure outlined below that corresponds to Table 1 in addition to other applicable transmittals.

### **KPDES Permit DOE Outfalls, Toxicity Monitoring, Bioaccumulation Study, Fish Community**

...\data\permit\KPDES\KPDESTYYYY-MM

where T corresponds to the sample type (i.e., R=regular permitted sampling, T=toxicity sampling, B=bioaccumulation sampling, F=fish community sampling)  
 YYYY corresponds to the calendar year, and  
 MM corresponds to the month

### **C-746-K Surface Water, C-746-K Groundwater**

...\data\permit\C746K\KMYYYY-SA

where M corresponds to the media (i.e., S=Surface water, G=Groundwater)  
 YYYY corresponds to the calendar year, and  
 SA corresponds to the 1st or 2nd half of the year

### **C-746-S&T Surface Water, C-746-S&T Groundwater, C-746-S&T Leachate**

...\data\permit\C746S&T\S\_TMYYYY-QQ

where M corresponds to the media (i.e., S=Surface water, G=Groundwater, L=Leachate)  
 YYYY corresponds to the calendar year, and  
 QQ corresponds to the quarter

### **C-746-U Surface Water, C-746-U Groundwater, C-746-U Leachate**

...\data\permit\C746U\UMYYYY-QQ

where M corresponds to the media (i.e., S=Surface water, G=Groundwater, L=Leachate)  
 YYYY corresponds to the calendar year, and  
 QQ corresponds to the quarter

### **C-404 Groundwater, C-404 Leachate**

...\data\permit\C404\404MYYYY-SA

where M corresponds to the media (i.e., S=Surface water, G=Groundwater, L=Leachate)  
 YYYY corresponds to the calendar year, and  
 SA corresponds to the 1st or 2nd half of the year

### **Environmental Monitoring Surface Water Sampling**

...\data\envmon\SW-YYYY

where YYYY corresponds to the calendar year

### **Environmental Monitoring Sediment Sampling**

...\data\envmon\SD-YYYY

where YYYY corresponds to the calendar year

### **Environmental Monitoring Deer Sampling**

...\data\envmon\D-YYYY

where YYYY corresponds to the calendar year

**Environmental Monitoring Plume Groundwater Sampling**

...\data\envmon\PI-GW\PIGWYYYY-QQ  
where YYYY corresponds to the calendar year, and  
QQ corresponds to the quarter

**Environmental Monitoring Residential Groundwater Sampling**

...\data\envmon\Res-GW\ResGWYYYY-SA  
where YYYY corresponds to the calendar year, and  
SA corresponds to the 1st or 2nd half of the year

**Environmental Monitoring Surveillance Groundwater Sampling**

...\data\envmon\Sur-GW\SurGWYYYY-SA  
where YYYY corresponds to the calendar year, and  
SA corresponds to the 1st or 2nd half of the year

**S&M/O&M Northwest Plume Operations Sampling**

...\data\sm\_om\NWYYYY-QQ  
where YYYY corresponds to the calendar year, and  
QQ corresponds to the quarter

**S&M/O&M Northeast Plume Operations Sampling**

...\data\sm\_om\NEYYYY-QQ  
where YYYY corresponds to the calendar year, and  
QQ corresponds to the quarter

**DOE Remedial Action Investigations**

...\data\ra\PROJCODE  
where PROJCODE corresponds to the PROJ\_CODE in Paducah OREIS (e.g., ERI-WAG6,  
ERI98-698W22, etc.)

**Special Requests**

...\data\requests\YYYYMMDD-A  
where YYYY corresponds to the calendar year,  
MM corresponds to the month,  
DD corresponds to the day of the request, and  
A corresponds to the sequential number for the request.

**Lithology**

...\data\lith\PROJCODE  
where PROJCODE corresponds to the PROJ\_CODE in Paducah OREIS from which the lithology  
description was collected (e.g., ERI-WAG6, ERI-WAG 27, LASAGNA, etc.)

**GIS Themes/Coverages**

...\data\gis\  
Each file will be named to appropriately describe the theme/coverage. Updates to themes/coverages  
will be named identical to the previous version with a revision number immediately following (e.g., roads,  
roads1, roads2, etc).  
GIS Themes/coverages will be in a format compatible to be viewed in ArcView 2.0 or higher (i.e.,  
ArcInfo Coverages, AutoCAD drawings, etc.)

## DATA DICTIONARY INFORMATION

### CODE

The CODE table contains the codes used in Paducah OREIS tables and their descriptions.

|                         |  |
|-------------------------|--|
| <b>CODE</b>             | Code referenced in other Paducah OREIS tables.   |
| <b>CODE_DESCRIPTION</b> | Description of the coded value. This is the 'decoded' value.                               |
| <b>CODE_TYPE</b>        | Column name for the codes and descriptions. This value identifies the type of coded value. |

### PROJECT FLD SMP MEAS

The export of PROJECT FLD SMP MEAS table contains the measurement data taken in the field, which is associated with specific SAMPLEs collected during a STATION\_EVENT. Examples are flow rate, depth, and temperature. Only those field measurements directly associated with a SAMPLE will be stored in the FLD\_SMP\_MEAS table. Field measurement data collected, not directly associated with a SAMPLE (e.g., water level suites) will also be in this format.

|                              |  |
|------------------------------|--|
| <b>PROJ_CODE</b>             | Acronym assigned by the project (e.g., "ERI-WAG6" for the WAG 6 Environmental Restoration Field Investigation).  |
| <b>STA_NAME</b>              | Unique station name assigned by the individual projects (e.g., 400-212 or MW156).  |
| <b>PROJ_SAMPLE_ID</b>        | Unique sample identifier assigned by the project.  |
| <b>SAMPLE_COMMENTS</b>       | Comments about the sample.   |
| <b>SMP_STRT_LEVEL</b>        | For a measurement taken over a range of elevations or depths, the upper vertical distance in feet of the measurement from ground surface.  |
| <b>SMP_END_LEVEL</b>         | For a measurement taken over a range of elevations or depths, the lower vertical distance in feet of the measurement from ground surface.  |
| <b>MED_TYPE</b>              | Coded value that represents the part of the environment from which a sample is collected, or on which a field measurement or observation is made. See CODE table where CODE_TYPE = MED_TYPE for a list of valid values and their descriptions. |
| <b>SMP_TYPE</b>              | Coded value that represents the type of sample collected. See CODE table where CODE_TYPE = SMP_TYPE for a list of valid values and their descriptions.   |
| <b>D_COLLECTED</b>           | Date sample was collected.   |
| <b>CHEMICAL_NAME</b>         | Description of the chemical or measurement parameter. For CAS numbers, this is the preferred name defined by the Common Lab Practices Committee.   |
| <b>CAS_NUM</b>               | Chemical Abstract Services number with dashes, blank if no CAS number is available.  |
| <b>LAB_CODE</b>              | Coded value assigned by the project that represents the analytical laboratory that performed the analysis of the sample. See the CODE table where CODE_TYPE = LAB_CODE for a list of valid values and their descriptions.                      |
| <b>RESULTS</b>               | Measurement for a given parameter.   |
| <b>RSLT_PREFIX_QUALIFIER</b> | A qualifier indicating whether the result is below, within, or above range limits. See CODE table where CODE_TYPE = RSLT_PREFIX_QUALIFIER for a list of valid values and their descriptions.   |

|                        |   |
|------------------------|---|
| <b>RSLTQUAL</b>        | Coded value that documents any conditions associated with the results of the analysis. See CODE table where CODE_TYPE = RSLTQUAL for a list of valid values and their descriptions.   |
| <b>UNITS</b>           | Coded value that represents the units of measure used to report the parameter value. See CODE table where CODE_TYPE = UNITS for a list of valid values and their descriptions.  |
| <b>NON_COMPLI_CODE</b> | For Paducah OREIS, this field designates electronic verification qualifiers assigned during the Data Assessment process according to PMSA-1001. See CODE table where CODE_TYPE = NON_COMPLI_CODE for a list of valid values and their descriptions. A null field may indicate no criteria were established or may indicate verification was clear. Non-standard criteria are established on a project-by-project basis. |
| <b>VALIDATION</b>      | Coded value that represents the outcome of the data validation process. See CODE table where CODE_TYPE = VALIDATION for a list of valid values and their descriptions.  |
| <b>ASSESSMENT</b>      | Coded value describing assessment qualifiers added to data as a result of PMSA-1001. Applies only to data generated after effective date of procedure. See CODE table where CODE_TYPE = ASSESSMENT for a list of valid values and their descriptions.   |
| <b>FLD_COMMENTS</b>    | Comments about the measurement.   |
| <b>ANA_METHOD</b>      | Method number used to identify a standard analysis method.  |
| <b>ANA_TYPE</b>        | Coded value of the chemical group to which the analyte belongs. See CODE table where CODE_TYPE = ANA_TYPE for a list of valid values and descriptions.  |

#### PROJECT LAB MEAS

The export of PROJECT LAB MEAS table contains the measurement data analyzed by an analytical laboratory, which is associated with specific SAMPLEs collected during a STATION\_EVENT.

|                        |  |
|------------------------|--|
| <b>PROJ_CODE</b>       | Acronym assigned by the project (e.g., "ERI-WAG6A" for the WAG 6 Environmental Restoration Field Investigation).   |
| <b>STA_NAME</b>        | Unique station name assigned by the individual projects (e.g., 400-212 or MW156).  |
| <b>PROJ_SAMPLE_ID</b>  | Unique sample identifier assigned by the project.  |
| <b>SAMPLE_COMMENTS</b> | Comments about the sample.   |
| <b>SMP_STRT_LEVEL</b>  | For a measurement taken over a range of elevations or depths, the upper vertical distance in feet of the measurement from ground surface.  |
| <b>SMP_END_LEVEL</b>   | For a measurement taken over a range of elevations or depths, the lower vertical distance in feet of the measurement from ground surface.  |
| <b>MED_TYPE</b>        | Coded value that represents the part of the environment from which a sample is collected, or on which a field measurement or observation is made. See CODE table where CODE_TYPE = MED_TYPE for a list of valid values and their descriptions. |
| <b>SMP_TYPE</b>        | Coded value that represents the type of sample collected. See CODE table where CODE_TYPE = SMP_TYPE for a list of valid values and their descriptions.   |
| <b>D_COLLECTED</b>     | Date sample was collected.   |

|                              |   |
|------------------------------|---|
| <b>CHEMICAL_NAME</b>         | Description of the chemical or measurement parameter. For CAS numbers, this is the preferred name defined by the Common Lab Practices Committee.  |
| <b>CAS_NUM</b>               | Chemical Abstract Services number with dashes, blank if no CAS number is available.   |
| <b>LAB_CODE</b>              | Coded value assigned by the project that represents the analytical laboratory that performed the analysis of the sample. See the CODE table where CODE_TYPE = LAB_CODE for a list of valid values and their descriptions.   |
| <b>RESULTS</b>               | Measurement for a given parameter.  |
| <b>RSLT_PREFIX_QUALIFIER</b> | A qualifier indicating whether the result is below, within, or above range limits. See CODE table where CODE_TYPE = RSLT_PREFIX_QUALIFIER for a list of valid values and their descriptions.  |
| <b>RSLTQUAL</b>              | Coded value that documents any conditions associated with the results of the analysis. See CODE table where CODE_TYPE = RSLTQUAL for a list of valid values and their descriptions.   |
| <b>UNITS</b>                 | Coded value that represents the units of measure used to report the parameter value. See CODE table where CODE_TYPE = UNITS for a list of valid values and their descriptions.  |
| <b>RAD_ERR</b>               | The counting error for a specific radionuclide expressed as 2 standard deviations.  |
| <b>NON_COMPLI_CODE</b>       | For Paducah OREIS, this field designates electronic verification qualifiers assigned during the Data Assessment process according to PMSA-1001. See CODE table where CODE_TYPE = NON_COMPLI_CODE for a list of valid values and their descriptions. A null field may indicate no criteria were established or may indicate verification was clear. Non-standard criteria are established on a project-by-project basis. |
| <b>VALIDATION</b>            | Coded value that represents the outcome of the data validation process. See the CODE table where CODE_TYPE = VALIDATION for a list of valid values and their descriptions.  |
| <b>ASSESSMENT</b>            | Coded value describing assessment qualifiers added to data as a result of PMSA-1001. Applies only to data generated after effective date of procedure. See CODE table where CODE_TYPE = ASSESSMENT for a list of valid values and their descriptions.   |
| <b>LAB_COMMENTS</b>          | Comments about the individual sample.   |
| <b>ANA_METHOD</b>            | Method number used to identify a standard analysis method.  |
| <b>ANA_TYPE</b>              | Coded value of the chemical group to which the analyte belongs. See CODE table where CODE_TYPE = ANA_TYPE for a list of valid values and descriptions.  |

## STATION-LOCATION

The export of STATION-LOCATION table contains the data about sampling points associated with one or more projects. Each point has a distinct station name/station type within a project. Locational information contains coordinate and other information describing a point on the ground. Most location are points described by x,y coordinates, but a location could be a line or a polygon where measuring events occur. In those cases, a single point, such as the estimated center point, is used.

|                          |   |
|--------------------------|---|
| <b>STA_NAME</b>          | Unique station name assigned by the individual projects (e.g., GW101).  |
| <b>STA_TYPE</b>          | Coded value that represents the type of station (e.g., seep, spring, well). See CODE table where CODE_TYPE = STA_TYPE for a list of valid values and their descriptions.  |
| <b>STATION_COMMENTS</b>  | Comments about the station.   |
| <b>STA_DESC</b>          | Description of the specific sampling or measuring location.   |
| <b>GRND_ELV</b>          | Elevation of ground surface (for groundwater, soil, or sediment sampling) at a sampling or measuring location in feet above mean sea level (msl).   |
| <b>ADMIN_EAST</b>        | X-value of the distance in feet of a sampling or measuring location from the reference location based on the administrative coordinate grid system.   |
| <b>ADMIN_NORTH</b>       | Y-value of the distance in feet of a sampling or measuring location from the reference location based on the administrative coordinate grid system.   |
| <b>SWMU</b>              | Acronym for Solid Waste Management Unit, if applicable.   |
| <b>LOCATION_COMMENTS</b> | Comments about the location.  |
| <b>DATUM</b>             | Coded value that represents the method by which reference points were established (e.g., NAD27, NAD83). Datum should be associated with the state plane coordinate system. It is not valid for administrative grid. See CODE table where CODE_TYPE = DATUM for a list of valid values and their descriptions. |
| <b>SPLANE_EAST</b>       | X-value of the distance in meters of a sampling or measuring location from the reference location based on the state plane coordinate grid system.  |
| <b>SPLANE_NORTH</b>      | Y-value of the distance in meters of a sampling or measuring location from the reference location based on the state plane coordinate grid system.  |
| <b>LOC_ERROR</b>         | Station location error in feet.   |
| <b>LOC_METHOD</b>        | Coded value that represents the method used for locating the station. See CODE table where CODE_TYPE = LOC_METHOD for a list of valid values and their descriptions.  |

## LITHOLOGY

The LITHOLOGY export provides a description of a material (e.g., sand, gravel) encountered underground at a given location at a specific interval within a well, borehole, etc. and the discrete fixed top and bottom points of the interval where the sample was taken.

|                         |  |
|-------------------------|--|
| <b>CONSTR_DEPTH_VAL</b> | The total measurement from the ground surface of a hole downward to the bottom of the screening material in a well, expressed in feet. |
|-------------------------|--|

|                          |  |
|--------------------------|--|
| <b>HOLE_DIAM</b>         | Diameter in inches of the well. If more than one diameter is available, this column will contain the smallest diameter and the others will be listed in the COMMENTS column.   |
| <b>LOG_FLAG</b>          | A flag which indicates that reference source information (e.g., geophysical logs) exists.  |
| <b>LOG_TYPE</b>          | Coded value that represents a specific geophysical log. An example would be CL for Caliper Log, GRL for Gamma Ray Log. A name or abbreviation representing a type of LOG used in geologic work (e.g., driller, caliper, gamma). See CODE table where CODE_TYPE = LOG_TYPE for a list of valid values and their descriptions. |
| <b>TOT_DRILLED_DEPTH</b> | The total measurement from the ground surface to the bottom of a newly-constructed well after any plug back material has been added, expressed in feet.  |
| <b>INT_BOT_DEPTH_VAL</b> | The distance in feet, from the ground surface to the bottom of a monitored interval.   |
| <b>INT_TOP_DEPTH_VAL</b> | The distance in feet, from the ground surface to the top of a monitored interval.  |
| <b>MONIT_INT_NAME</b>    | The name (or number) assigned to a given monitored interval at a given location.   |
| <b>MONIT_ZONE_CODE</b>   | Coded value that represents the generic interval of a saturated zone that a hole monitors. A monitored interval can cut across multiple zones. See CODE table where CODE_TYPE = MONIT_ZONE_CODE for a list of valid values and their descriptions.   |
| <b>INT_MATL_CODE</b>     | Coded value that represents a specific characteristic or set of characteristics of the solid content found at a specific location. See CODE table where CODE_TYPE = INT_MAT_TYPE for a list of valid values and their descriptions.  |
| <b>STRAT_SEQ</b>         | Number assigned by the site geologist to each distinct lithologic layer at a site.   |
| <b>VISUAL_DESC</b>       | Textual and mineralogical description of the material comprising the layer to augment or qualify the lithtype code (e.g., grain sizes, color, secondary characteristics).  |

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## DISTRIBUTION

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