

2. AMENDMENT/MODIFICATION NO. P00232	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)
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6. ISSUED BY NNSA M&O Contracting Branch NA-PAS-211 Albuquerque Complex P.O. Box 5400 Albuquerque NM 87185-5400	CODE 05115	7. ADMINISTERED BY (If other than Item 6) NNSA Los Alamos Field OFC NA-LA 3748 West Jemez Road Los Alamos NM 87544	CODE 05003
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8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Triad National Security, LLC Attn: H. Rich Heitman 505 KING AVE COLUMBUS OH 43201	(x)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
	x	10A. MODIFICATION OF CONTRACT/ORDER NO. 89233218CNA000001
		10B. DATED (SEE ITEM 13) 06/08/2018

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended. is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or electronic communication which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by letter or electronic communication, provided each letter or electronic communication makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)
See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) 52.219-9 Small Business Subcontract Plan

E. IMPORTANT: Contractor is not is required to sign this document and return _____ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

UEI: X7WUS5LRBQ3
The purpose of this modification is to replace in its entirety Section J, Appendix E - Small Business Subcontract Plan.
Payment:
Period of Performance: 11/01/2018 to 10/31/2028

Except as provided herein, all terms and conditions of the document referenced in Item 9 A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Wendy L. Bauer
15B. CONTRACTOR/OFFEROR <i>(Signature of person authorized to sign)</i>	15C. DATE SIGNED
	16B. UNITED STATES OF AMERICA <i>(Signature of Contracting Officer)</i>
	16C. DATE SIGNED

PART III – SECTION J

APPENDIX E

SMALL BUSINESS SUBCONTRACTING PLAN

MODIFIED BY MODIFICATION No. P00100, P00120, P00155, P00187, P00232

SOLICITATION or CONTRACT NUMBER: 89233218CNA000001

Contractor Name and address: Triad National Security, LLC (Triad)
 335 Central Park Square, TA-00, Building 767, MS A187
 Los Alamos, NM 87545-0001

Approximate Value of Contract: \$2,500,000,000 per year

Contract Period of Performance (if applicable): October 1, 2018 – September 30, 2028

Individual Subcontracting Plan Master Subcontracting Plan Commercial Plan

(1) GOALS

Based on LANL’s FY15-17 3-year average of 57.7% for small business participation, Triad commits to increase that baseline by 2%/year, starting in FY19 to reach 67.7% by the end of year 5.

Base Contract Year/Period FY2019

Approximate Contract Period Value: \$2,500,000,000 Total

Value of all planned subcontracting: \$760,000,000

Base Contract Period	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	59.7%	18.1%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	7.5%	2.3%	
c. SDVOSB:	3.7%	1.1%	
d. HUBZone:	4.95%	1.5%	
e. SDB:	25.5%	7.8%	(Includes ANCs and Indian tribes)
f. WOSB:	17.5%	5.3%	

Base Contract Year/Period FY2020

Approximate Contract Period Value: \$2,500,000,000

Total Value of all planned subcontracting: \$760,000,000

Base Contract Year Year 2 FY2020	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	61.7%	18.8%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	8.5%	2.6%	
c. SDVOSB:	4.7%	1.4%	
d. HUBZone:	4.95%	1.5%	
e. SDB:	27.5%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	19.5%	5.9%	

Base Contract Year/Period FY2021

Approximate Contract Period Value: \$2,500,000,000

Total Value of all planned subcontracting: \$760,000,000

Base Contract Year Year 3 FY2021	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	63.7%	19.4%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	8.5%	2.6%	
c. SDVOSB:	4.7%	1.4%	
d. HUBZone:	4.95%	1.5%	
e. SDB:	27.5%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	19.5%	5.9%	

Base Contract Year/Period FY2022

Approximate Contract Period Value: \$2,500,000,000

Total Value of all planned subcontracting: \$760,000,000

Base Contract Year Year 4 FY2022	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	64.5%	20.0%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	6.25%	2.6%	
c. SDVOSB:	3.72%	1.4%	
d. HUBZone:	4.00%	1.5%	
e. SDB:	24.00%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	14.00%	5.9%	

Base Contract Year/Period FY2023

Approximate Contract Period Value: \$2,500,000,000

Total Value of all planned subcontracting: \$2,100,000,000

Base Contract Year Year 5 FY2023	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	52%	43.68%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	4%	3.36%	
c. SDVOSB:	3%	2.52%	
d. HUBZone:	6%	5.04%	
e. SDB:	10%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	8%	6.72%	

Option Year 1 – FY2024

Approximate Contract Period Value: \$2,500,000,000

Total Value of all planned subcontracting: \$2,100,000,000

Option Year 1	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	52%	43.68%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	4%	3.36%	
c. SDVOSB:	3%	2.52%	
d. HUBZone:	6%	5.04%	
e. SDB:	10%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	8%	6.72%	

Option Year 2 – FY2025

Approximate Contract Period Value: \$2,500,000,000

Total value of all planned Subcontracting: \$1,800,000,000

Option Year 2	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	52%	37.44%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	4%	2.88%	
c. SDVOSB:	3%	2.16%	
d. HUBZone:	4%	2.88%	
e. SDB:	8%	5.76%	(Includes ANCs and Indian tribes)
f. WOSB:	6%	4.32%	

Option Year 3 – FY2026

Approximate Contract Period Value: \$2,500,000,000

Total value of all planned Subcontracting: \$2,200,000,000

Option Year 3	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	52%	45.76%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	4%	3.52%	
c. SDVOSB:	3%	2.64%	
d. HUBZone:	4%	3.52%	
e. SDB:	8%	7.04%	(Includes ANCs and Indian tribes)
f. WOSB:	6%	5.28%	

Option Year 4 – FY2027

Approximate Contract Period Value: \$2,500,000,000

Total value of all planned Subcontracting: \$760,000,000

Option Year 4	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	67.7%	20.6%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	8.5%	2.6%	
c. SDVOSB:	4.7%	1.4%	
d. HUBZone:	4.95%	1.5%	
e. SDB:	27.5%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	19.5%	5.9%	

Option Year 5 – FY2028

Approximate Contract Period Value: \$2,500,000,000

Total value of all planned Subcontracting: \$760,000,000

Option Year 5	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	67.7%	20.6%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	8.5%	2.6%	
c. SDVOSB:	4.7%	1.4%	
d. HUBZone:	4.95%	1.5%	
e. SDB:	27.5%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	19.5%	5.9%	

FY2029 – As Requested in Section 15 e of Request for Proposal

Approximate Contract Period Value: \$2,500,000,000

Total value of all planned Subcontracting: \$760,000,000

Option Year 5	% Total Planned Subcontracting Dollars	% Total Contract Dollars	Notes
a. SB:	67.7%	20.6%	(Includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB, ANCs, and Indian tribes when applicable.)
b. VOSB:	8.5%	2.6%	
c. SDVOSB:	4.7%	1.4%	
d. HUBZone:	4.95%	1.5%	
e. SDB:	27.5%	8.4%	(Includes ANCs and Indian tribes)
f. WOSB:	19.5%	5.9%	

(2) STATEMENT OF DOLLARS

The following dollar values correspond to the percentage goals in (1).

Base Contract Year (Dollar value): **FY2019**

Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$453,720,000
b. Dollars planned to be subcontracted to VOSB:	\$ 57,000,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 28,120,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 37,620,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$193,800,000
f. Dollars planned to be subcontracted to WOSB:	\$133,000,000

Base Contract Year (Dollar value): **FY2020**

Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$468,920,000
b. Dollars planned to be subcontracted to VOSB:	\$ 64,600,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 35,720,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 37,620,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$209,000,000
f. Dollars planned to be subcontracted to WOSB:	\$148,200,000

Base Contract Year (Dollar value): **FY2021**
 Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$484,120,000
b. Dollars planned to be subcontracted to VOSB:	\$ 64,600,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 35,720,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 37,620,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$209,000,000
f. Dollars planned to be subcontracted to WOSB:	\$148,200,000

Base Contract Year (Dollar value): **FY2022**
 Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$490,200,000
b. Dollars planned to be subcontracted to VOSB:	\$ 47,500,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 28,272,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 30,400,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$182,400,000
f. Dollars planned to be subcontracted to WOSB:	\$106,400,000

Base Contract Year (Dollar value): **FY2023**
 Total planned subcontracting dollars: \$2,100,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$1,092,000
b. Dollars planned to be subcontracted to VOSB:	\$ 84,000,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 63,000,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 126,000,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$210,000,000
f. Dollars planned to be subcontracted to WOSB:	\$168,000,000

Option Year 1 (Dollar value): **FY2024**
 Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$1,092,000,000
b. Dollars planned to be subcontracted to VOSB:	\$ 84,000,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 63,000,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 126,000,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$210,000,000
f. Dollars planned to be subcontracted to WOSB:	\$168,000,000

Option Year 2 (Dollar value): **FY2025**

Total planned subcontracting dollars: \$1,800,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$936,000,000
b. Dollars planned to be subcontracted to VOSB:	\$ 72,000,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 54,000,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 72,000,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$144,000,000
f. Dollars planned to be subcontracted to WOSB:	\$108,000,000

Option Year 3 (Dollar value): **FY2026**

Total planned subcontracting dollars: \$2,200,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$1,144,000,000
b. Dollars planned to be subcontracted to VOSB:	\$ 88,000,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 66,000,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 88,000,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$176,000,000
f. Dollars planned to be subcontracted to WOSB:	\$132,000,000

Option Year 4 (Dollar value): **FY2027**

Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$514,520,000
b. Dollars planned to be subcontracted to VOSB:	\$ 64,600,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 35,720,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 37,620,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$209,000,000
f. Dollars planned to be subcontracted to WOSB:	\$148,200,000

Option Year 5 (Dollar value): **FY2028**

Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$514,520,000
b. Dollars planned to be subcontracted to VOSB:	\$ 64,600,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 35,720,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 37,620,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$209,000,000
f. Dollars planned to be subcontracted to WOSB:	\$148,200,000

FY2029 – As requested in Section 15e of Request for Proposal

Total planned subcontracting dollars: \$760,000,000

a. Dollars planned to be subcontracted to SB (includes HUBZone SB, SDB, WOSB, VOSB, SDVOSB):	\$514,520,000
b. Dollars planned to be subcontracted to VOSB:	\$ 64,600,000
c. Dollars planned to be subcontracted to SDVOSB:	\$ 35,720,000
d. Dollars planned to be subcontracted to HUBZone SB:	\$ 37,620,000
e. Dollars planned to be subcontracted to SDB (includes ANCs and Indian tribes):	\$209,000,000
f. Dollars planned to be subcontracted to WOSB:	\$148,200,000

(3) DESCRIPTION OF PRINCIPAL TYPES OF SUPPLIES AND SERVICES TO BE SUBCONTRACTED AND TYPES OF BUSINESSES SUPPLYING THEM

PRINCIPAL SUPPLY/SERVICE	SB	VOSB	SDVOSB	HUBZone	SDB	WOSB	Other than SB
A&E services	✓	✓			✓	✓	✓
Chemical supplies	✓	✓	✓	✓	✓		✓
Construction & construction-related services	✓	✓	✓	✓	✓	✓	✓
Database services	✓	✓	✓	✓	✓	✓	✓
Design/fabrication	✓				✓		✓
Environmental services	✓					✓	✓
Equipment maintenance, repair and calibration	✓	✓	✓		✓		✓
General services	✓	✓	✓	✓	✓	✓	✓
General supplies and commodities	✓	✓	✓	✓	✓	✓	✓
Industrial supplies	✓					✓	✓
Legal services	✓						✓
Maintenance, roads and ground services	✓	✓			✓		✓
Professional services	✓	✓	✓	✓	✓	✓	✓
Research & development	✓					✓	✓
Software engineering, support, maintenance and license	✓	✓	✓	✓	✓	✓	✓
Specialized technical support	✓	✓	✓		✓	✓	✓
Subcontract financing	✓					✓	✓
Training	✓	✓	✓	✓	✓	✓	✓

(4) METHOD USED TO DEVELOP GOALS

Subcontract goals have been established based on LANL’s small business performance history, funding projections, availability of small businesses in the region, and Triad’s commitment to execute the aggressive proposed subcontracting strategy embodied by Criterion 3 Small Business Participation and Small Business Subcontracting Plan.

Small business goals are established using guidelines provided by SBA and NNSA. The guidelines allow for the exclusion of foreign, government, integrated contractor, interagency government transfers, and affiliates procurements.

(5) METHOD USED TO IDENTIFY POTENTIAL SUBCONTRACTING SOURCES

Triad will continually seek new SB sources of services and supplies utilizing the following resources, and others as they become available:

- Company Source Lists derived from its vendor database
- System for Award Management (SAM) database and SBA’s Dynamic Small Business Search (DSBS)

- Veteran Service Organization
- National Minority Supplier Development Council
- U.S. Department of Commerce Minority Business Development Agency
- Small Business, HUBZone, Women-owned Small Business, and Small Disadvantaged Business (SDB) Trade Associations
- Small Business Administration's (SBA) DSBS and SBA Certified Business Directories (8(a), HUBZone, WOSB)
- Small Business and Minority Business Trade Fairs and Conferences
- Other: MOSRC database of small business subcontracts
- Other: Battelle and DOE national laboratory procurement officials network

(6) INDIRECT COSTS

X Indirect costs **have not** been included in establishing subcontracting goals.

— Indirect costs **have** been included in establishing subcontracting goals.

(7) ADMINISTRATION OF SUBCONTRACTING PROGRAM

The following individual employed by the offeror will administer this subcontracting plan: Name:

Mark Davis or designee*

Address: TA-03, Bldg 1400, MS A100, Los Alamos, NM 87545-0001

Telephone: 505-606-2222

Title: Deputy Director Operations (DDO)

* DDO will designate a Subcontracting Plan Administrator at commencement of Contract Performance Period

Description of Duties: The DDO is responsible for the overall success of the small business program and results for LANL, and for ensuring an appropriate level of SB engagement by laboratory leadership. Triad will name a Small Business Manager (SBM) who will serve as the Subcontracting Plan Administrator and will report to the Business Management ALD. The SBM has responsibility for the day-to-day implementation of this Plan. Responsibilities include, but are not limited to:

- Serving as LANL's primary contact person for small businesses of all types which are current or potential suppliers;
- Assisting procurement personnel in identifying opportunities for small business participation as competitive procurements are developed;
- Ensuring that larger contract subcontracting plans comply with PL 95-507 and evaluate the actual performance of such plans;
- Representing LANL at national, local, tribal, regional, state and federal procurement conferences, small and minority business associations, DOE OSDBU-sponsored events, industry-sponsored trade fairs, and local affiliations to identify and counsel SB, SDB, WOSB, HBCU, HUBZone, VOSB, and SDVOSB firms capable of competing for LANL procurement opportunities;
- Planning and conducting small business outreach forums and workshops in Northern New Mexico and nationally;

- Coordinating and cooperating with the Contracting Officer, cognizant agencies, DOE/NNSA OSDBU, and the Small Business Administration in relation to reporting, SB subcontracting compliance reviews, and other requirements;
- Assisting small businesses in resolving issues that complicate or preclude doing business with LANL;
- Conducting internal training necessary to maintain small business subcontracting program compliance and effectiveness; and
- Providing monthly reports to LANL senior leadership on subcontracting goal achievement, outreach activities, and compliance status.

(8) EQUITABLE OPPORTUNITY TO COMPETE

Triad's organization structure and procurement practices are designed to elevate the internal awareness and accountability for increasing SB participation. As a foundation of our procurement practices, Triad commits that small businesses of all types will have an equitable opportunity to compete for subcontracts.

Key tenets of Triad's plan for equitable opportunity, in addition to those reflected in Section 5 above, include:

Outreach Efforts to Obtain SB Sources:

- Sustaining a general awareness of SB subcontracting opportunities by participation in, and sponsorship of, public conferences, forums, and events involving small business.
- Posting specific solicitations and forecasted procurement opportunities to the extent practicable through a link to LANL's Ariba Discovery/SAP Business Network Discovery on LANL's Procurement Opportunities website, SAM.gov Contracting, and other public forums, as appropriate.
- Proactively identifying candidate SB suppliers for specific opportunities based on unbiased mechanisms like NAICS code matching.
- Developing and implementing support and educational programs for candidate small business suppliers through DOE Mentor-Protégé Programs, DOE OSDBU initiative, and national laboratory mentoring programs.
- Applying the NNM pricing preference to incentivize regional small businesses to compete.

Internal Efforts to Guide and Encourage Purchasing and Other LANL Personnel:

- Review and sign-off by our SBM on all source lists for solicitations that do not include SBs. Rationale for lack of inclusion of SBs must be included.
- Require training of laboratory personnel involved in requisitioning and evaluating procurements for supplies and services on an annual basis.
- Involve procurement personnel in strategic planning and development of requirements to ensure equitable consideration of SB possibilities in upstream make-buy decisions and subcontract RFP development.
- Perform objective reviews of past performance to ensure the portfolio of identified and characterized SB candidates continues to perform to required standards.

(9) INCLUSION OF FAR CLAUSE 52.219-8, "UTILIZATION OF SMALL BUSINESS CONCERNS," IN SUBCONTRACTS

Place a check by each statement as assurance that the following will be done (Proposed subcontracting plans with unchecked boxes are considered unacceptable):

- I agree to include clause at FAR 52.219-8, "Utilization of Small Business Concerns," in all subcontracts that offer further subcontracting opportunities.
- I will require all subcontractors (except SB concerns) that receive subcontracts in excess of \$700,000 (\$1.5 million for construction) to adopt a subcontracting plan that complies with the clause at FAR 52.2199, Small Business Subcontracting Plan.

(10) REQUIREMENT TO COOPERATE IN STUDIES AND SUBMISSION OF REPORTS

Place a check by each statement as assurance that the following will be done (Proposed subcontracting plans with unchecked boxes are considered unacceptable):

- (i) I agree to cooperate in any studies or surveys as may be required.
- (ii) I agree to submit periodic reports so the government can determine the extent of compliance with the subcontracting plan.
- (iii) I agree, after November 30, 2017, to include subcontracting data for each order when reporting subcontracting achievements for indefinite-delivery, indefinite-quantity contracts intended for use by multiple agencies.
- (iv) I agree to:
- a. Submit the Individual Subcontract Report (ISR) and/or the Summary Subcontract Report (SSR), in accordance with paragraph I of the clause at FAR 52.219-9 using the Electronic Subcontracting Reporting System (eSRS) at <http://www.esrs.gov>. The reports shall provide information on subcontract awards to SB concerns (including ANCs and Indian tribes that are not SBs), VOSB concerns, SDVOSB concerns, HUBZone SB concerns, SDB concerns (including ANCs and Indian tribes that have not been certified by SBA as SDBs), WOSB concerns, HICUs, and MIs. Reporting will be in accordance with the clause at FAR 52.219-9, or as provided in agency regulations.
 - b. Submit the ISR semi-annually during contract performance for the periods ending March 31 and September 30, and to submit a report within 30 days of contract completion. I shall submit the reports within 30 days after the close of each reporting period, unless otherwise directed by the contracting officer. Reports are required when due, regardless of whether there has been any subcontracting activity since the inception of the contract or the previous reporting period. When the contracting officer rejects an ISR, I agree to submit a revised ISR within 30 days of receiving the notice of the ISR rejection.
 - c. Submit the SSR annually by October 30 for the twelve-month period ending September 30. When an SSR is rejected, I agree to submit a revised SSR within 30 days of receiving the notice of SSR rejection.
- (v) I agree to ensure that my subcontractors with subcontracting plans agree to submit the ISR and/or the SSR using eSRS.
- (vi) I agree to provide this prime contract number, its DUNS number, and the email address of our company official responsible for acknowledging receipt of or rejecting the ISRs, to all first-tier subcontractors with subcontracting plans so they can enter this information into the eSRS when submitting their ISRs.

- (vii) I agree to require that each subcontractor with a subcontracting plan provide the prime contract number, its own DUNS number, and the email address of the subcontractor's official responsible for acknowledging receipt of or rejecting the ISRs, it its subcontractors with subcontracting plans.
X

(11) DESCRIPTION OF TYPES OF RECORDS TO BE MAINTAINED

(Check if in agreement. Proposed subcontracting plans with unchecked boxes are considered unacceptable):

X I agree to maintain the following records to show compliance with this subcontracting plan:

- a. Source lists, guides, and other data that identify SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB concerns.
- b. Records on organizations contacted to locate SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB sources.
- c. Records on each subcontract solicitation resulting in an award of more than \$150,000, indicating:
 - (1) Whether SB concerns were solicited and, if not, why not
 - (2) Whether VOSB concerns were solicited and, if not, why not
 - (3) Whether SDVOSB concerns were solicited and, if not, why not
 - (4) Whether HUBZone SB concerns were solicited and, if not, why not
 - (5) Whether SDB concerns were solicited and, if not, why not
 - (6) Whether WOSB concerns were solicited and, if not, why not
 - (7) If applicable, the reason award was not made to a SB, SDVOSB, VOSB, HUBZone SB, SDB, or WOSB concern
- d. Records of outreach efforts to contact:
 - (1) Trade associations
 - (2) Business development organizations
 - (3) Conferences and trade fairs to locate SB, HUBZone SB, SDB, SDVOSB, and WOSB sources
- e. Records of internal guidance and encouragement provided to buyers through:
 - (1) Workshops, seminars, training, etc.
 - (2) Monitoring performance to evaluate compliance with the program's requirements.
- f. On a contract-by-contract basis, records to support award data submitted to the government, including the name, address, and business size of each subcontractor (does not apply to commercial plans).

(12-15) Other Assurances

- (12) I agree to make a good faith effort to acquire articles, equipment, supplies, services, or materials, or obtain the performance of construction work from the small business concerns that I used in preparing the bid or proposal, in the same or greater scope, amount, and quality used in preparing and submitting the bid or proposal. X

The small business concerns used in preparing the bid or proposal include:

- a. Any small business concern identified as a subcontractor in the bid or proposal or associated small business subcontracting plan, to furnish certain supplies or perform a portion of the contract; or
 - b. Any small business concern whose pricing or cost information or technical expertise was used in preparing the bid or proposal, where there is written evidence of an intent or understanding that the small business concern will be awarded a subcontract for the related work if I am awarded the contract.
- (13) I agree to provide the contracting officer with a written explanation if I fail to acquire articles, equipment, supplies, services or materials or obtain the performance of construction work as described in the preceding statement of this section. I will submit this explanation to the contracting officer within 30 days of contract completion. X
- (14) I agree to not prohibit a subcontractor from discussing with the contracting officer any material matter pertaining to payment to or utilization of a subcontractor. X
- (15) I agree to pay my small business subcontractors on time and in accordance with the terms and conditions of the subcontract, and to notify the contracting officer if I pay a reduced or an untimely payment to a small business subcontractor. X