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U.S. DEPARTMENT OF ENERGY OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY NEPA DETERMINATION



RECIPIENT:Carnegie Mellon University

STATE: PA

PROJECT TITLE:

Advanced PGM-free Cathode Engineering for High Power Density and Durability

Funding Opportunity Announcement Number DE-FOA-0001647

DE-EE0008076

Procurement Instrument Number NEPA Control Number CID Number

GFO-0008076-001

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Order 451.1A), I have made the following determination:

CX, EA, EIS APPENDIX AND NUMBER:

Description:

A9 Information gathering, analysis, and dissemination

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

B3.6 Small-scale research and development, and pilot projects

Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) laboratory operations, frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

B3.15 Small-scale indoor research and using nanoscale materials

Siting, construction, modification, operation, and decommissioning of facilities for indoor small-scale research and development projects and small-scale pilot projects using nanoscale materials in development projects accordance with applicable requirements (such as engineering, worker safety, procedural, and administrative regulations) necessary to ensure the containment of any hazardous materials. Construction and modification activities would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible).

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to Carnegie Mellon University to design and synthesize platinum group metal-free catalysts for polymer electrolyte fuel cells, fabricate electrodes from those catalysts, and the assemble fuel cells from those materials.

Activities would include material characterization, analysis of the catalysts and electrodes, and testing of their electrochemical performance. All testing would be done in the laboratory environment. Electrode fabrication and testing would occur primarily at Carnegie Mellon University (Pittsburgh, PA), as well as at the Giner Inc. test facility (Newton, MA). The catalyst synthesis and evaluation would occur primarily at the University at Buffalo (Buffalo, NY), as well as at the Giner test facility. Polymer electrolytes for the electrode fabrication would be provided by 3M (St. Paul, MN).

The project would involve the handling of hazardous materials for preparing catalysts and fuel cells including standard solvents, acids, bases, and nano-particle materials. All activities would be performed in dedicated laboratory facilities following established practices that meet relevant environmental, health, and safety requirements per each facility's environmental, health and safety office. Hazardous waste would be handled and disposed of in accordance with relevant regulations and practices. All personnel would take required hazardous material and laboratory safety training and follow proper hazardous waste handling and disposal processes. The nano-scale materials used would be metal organic framework based catalysts for fuel cells and their precursor ZIF-8. Due to their exposure risk they would be treated as a hazardous material.

Based on the review of the proposal, DOE has determined the proposal fits within the class of action(s) and the integral elements of Appendix B to Subpart D of 10 CFR 1021 outlined in the DOE categorical exclusion(s) selected above. DOE has also determined that: (1) there are no extraordinary circumstances (as defined by 10 CFR 1021.410 U.S. DOE: Office of Energy Efficiency and Renewable Energy - Environmental Question... Page 2 of 2

(2)) related to the proposal that may affect the significance of the environmental effects of the proposal; (2) the proposal has not been segmented to meet the definition of a categorical exclusion; and (3) the proposal is not connected to other actions with potentially significant impacts, related to other proposals with cumulatively significant actions, or an improper interim action. This proposal is categorically excluded from further NEPA review.

NEPA PROVISION

DOE has made a final NEPA determination for this award

Insert the following language in the award:

If the Recipient intends to make changes to the scope or objective of this project, the Recipient is required to contact the Project Officer, identified in Block 15 of the Assistance Agreement before proceeding. The Recipient must receive notification of approval from the DOE Contracting Officer prior to commencing with work beyond that currently approved. If the Recipient moves forward with activities that are not authorized for Federal funding by the DOE Contracting Officer in advance of a final NEPA decision, the Recipient is doing so at risk of not receiving Federal funding and such costs may not be recognized as allowable cost share.

Note to Specialist:

Fuel Cell Technologies Office This NEPA determination does not require a tailored NEPA provision. Review completed by Chris Rowe on 7/26/2017

NEPA Compliance Officer Signature:	Resident Casey Strickland	Date: 7/26/2017
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Manager's attention.	al exclusion but involves a high profile or EIS category and therefore requires Field	controversial issue that warrants Field Office Office Manager's review and determination.