PMC-NE

# (1.08.09.13)

## U.S. DEPARTMENT OF ENERGY OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY NEPA DETERMINATION



RECIPIENT: The Lew Partnership, Inc.

STATE: NY

PROJECT TITLE: Integrated Design: A High Performance Solution for Affordable Housing

Funding Opportunity Announcement Number DE-FOA-0001117

Procurement Instrument Number DE-EE0007055

NEPA Control Number GFO-0007055-001

CID Number GO7055

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Order 451.1A), I have made the following determination:

#### CX, EA, EIS APPENDIX AND NUMBER:

Description:

A9 Information gathering, analysis, and dissemination

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and class room training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

A11 Technical advice and assistance to organizations

Technical advice and planning assistance to international, national, state, and local organizations.

B3.1 Site and environmental monitoring

Site characterization and environmental monitoring (including, but not limited to, siting, construction, characterization modification, operation, and dismantlement and removal or otherwise proper closure (such as of a well) of characterization and monitoring devices, and siting, construction, and associated operation of a smallscale laboratory building or renovation of a room in an existing building for sample analysis). Such activities would be designed in conformance with applicable requirements and use best management practices to limit the potential effects of any resultant ground disturbance. Covered activities include, but are not limited to, site characterization and environmental monitoring under CERCLA and RCRA (This class of actions excludes activities in aquatic environments. See B3.16 of this appendix for such activities.) Specific activities include, but are not limited to: (a) Geological, geophysical (such as gravity, magnetic, electrical, seismic, radar, and temperature gradient), geochemical, and engineering surveys and mapping, and the establishment of survey marks. Seismic techniques would not include large-scale reflection or refraction testing; (b) Installation and operation of field instruments (such as stream-gauging stations or flow-measuring devices, telemetry systems, geochemical monitoring tools, and geophysical exploration tools); (c) Drilling of wells for sampling or monitoring of groundwater or the vadose (unsaturated) zone, well logging, and installation of water-level recording devices in wells; (d) Aquifer and underground reservoir response testing; (e) Installation and operation of ambient air monitoring equipment; (f) Sampling and characterization of water, soil, rock, or contaminants (such as drilling using truck- or mobile-scale equipment, and modification, use, and plugging of boreholes); (g) Sampling and characterization of water effluents, air emissions, or solid waste streams; (h) Installation and operation of meteorological towers and associated activities (such as assessment of potential wind energy resources); (i) Sampling of flora or fauna; and (j) Archeological, historic, and cultural resource identification in compliance with 36 CFR part 800 and 43 CFR part 7.

**B5.1 Actions to** conserve energy or water

(a) Actions to conserve energy or water, demonstrate potential energy or water conservation, and promote energy efficiency that would not have the potential to cause significant changes in the indoor or outdoor concentrations of potentially harmful substances. These actions may involve financial and technical assistance to individuals (such as builders, owners, consultants, manufacturers, and designers), organizations (such as utilities), and governments (such as state, local, and tribal). Covered actions include, but are not limited to weatherization (such as insulation and replacing windows and doors); programmed lowering of thermostat settings; placement of timers on hot water heaters; installation or replacement of energy efficient lighting, low-flow plumbing fixtures (such as faucets, toilets, and showerheads), heating, ventilation, and air conditioning systems, and appliances; installation of dripirrigation systems; improvements in generator efficiency and appliance efficiency ratings; efficiency improvements for vehicles and transportation (such as fleet changeout); power storage (such as flywheels and batteries, generally less than 10 megawatt equivalent); transportation management systems (such as traffic signal control systems, car navigation, speed cameras, and automatic plate number recognition); development of energy-efficient manufacturing, industrial, or building practices; and small-scale energy efficiency and conservation research and development and small-scale pilot projects. Covered actions include building renovations or new structures, provided that they occur in a previously disturbed or developed area. Covered actions could involve commercial, residential, agricultural, academic, institutional, or industrial sectors. Covered actions do not include rulemakings, standardsettings, or proposed DOE legislation, except for those actions listed in B5.1(b) of this appendix. (b) Covered actions include rulemakings that establish energy conservation standards for consumer products and industrial equipment, provided that the actions would not: (1) have the potential to cause a significant change in manufacturing infrastructure (such as construction of new manufacturing plants with considerable associated ground disturbance); (2) involve significant unresolved conflicts concerning alternative uses of available resources (such as rare or limited raw materials); (3) have the potential to result in a significant increase in the disposal of materials posing significant risks to human health and the environment (such as RCRA hazardous wastes); or (4) have the potential to cause a significant increase in energy consumption in a state or region.

#### Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to The Lew Partnership, Inc. to provide a fundamentally new method of residential building design based on a systematic approach to combining envelope components with the HVAC system so that the whole system routinely achieves levels of energy use 50% less than 2009 IECC requirements.

Proposed project activities include development and testing of computer simulations (energy models); construction of an unoccupied test home; installation of energy-efficient building envelope components and HVAC equipment in the unoccupied test home; installation of energy-efficient building envelope components and HVAC equipment in three occupied homes; conducting energy efficiency tests and monitoring home operation in all four homes using sensors and data loggers; and developing associated publications. Project administration, analysis, design, computer modeling, reporting, and project coordination activities would occur at The Lewy Partnership, Inc. offices in New York, NY and at the Mountain Energy Partnership offices in Longmont, CO.

Tasks 1 through 6 would involve administrative activities, computer modeling, and data analysis.

Task 7 would involve constructing a test home, installing the energy efficiency measures described above, and completing performance evaluations post-construction. The location of the test home has not been identified at this time and site specific construction details are lacking; therefore, Task 7 is prohibited until construction details and site location have been identified and an additional NEPA review has been completed.

Tasks 8 and 9 would involve installation of the energy efficiency measures described above in three, currently unidentified, occupied homes. The homes are being constructed independently of the DOE award, and the proposed project would only be adding energy efficient building materials and equipment during construction as well as completing performance evaluations after construction is complete; therefore, it is not expected that Task 9 activities would result in adverse effects to the environment.

Tasks 10 and 11 would involve marketing activities and publishing guidance materials.

Based on a review of the project information and the above analysis, DOE has determined Tasks 1-6 and Tasks 8-11 would not have a significant individual or cumulative impact to human health and/or environment. DOE has determined that this project is consistent with actions outlined in DOE categorical exclusion A9 "Information gathering", A11 "Technical Advice", B3.1 "Site evaluation" and B5.1 "Actions to conserve energy" and is categorically excluded from further NEPA review.

### NEPA PROVISION

DOE has made a conditional NEPA determination for this award, and funding for certain tasks under this award is contingent upon the final NEPA determination.

Insert the following language in the award:

You are restricted from taking any action using federal funds, which would have an adverse affect on the environment or limit

the choice of reasonable alternatives prior to DOE/NNSA providing either a NEPA clearance or a final NEPA decision regarding the project.

Prohibited actions include:

Task 7: Conduct Test Plan evaluating ID concepts in unoccupied home

This restriction does not preclude you from:

Task 1: Project management

Task 2: Develop a Test Plan for model development and preliminary validation

Task 3: Develop and calibrate model

Task 4: Design and evaluate alternative distribution and ventilation systems

Task 5: Develop preliminary ID design guidelines

Task 6: Develop Test Plan for testing ID concepts in unoccupied home

Task 8: Develop Test Plan for testing ID concepts in occupied homes

Task 9: Conduct Test Plan evaluating ID concepts in occupied homes

Task 10: Complete ID Guidelines and other technology supporting tools

Task 11: Support of BA operations

If you move forward with activities that are not authorized for federal funding by the DOE Contracting Officer in advance of the final NEPA decision, you are doing so at risk of not receiving federal funding and such costs may not be recognized as allowable cost share.

Note to Specialist:

Buildings Technologies Office

This NEPA Determination requires a tailored NEPA provision. Please see above.

NEPA review completed by Logan Sholar, 6/15/15

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.	
NEPA Compliance Officer Signature:  NEPA Compliance Officer	Date: 6(22/2015
FIELD OFFICE MANAGER DETERMINATION	
☐ Field Office Manager review required	
NCO REQUESTS THE FIELD OFFICE MANAGER REVIEW FOR THE FOLLOWING REASON:	
Proposed action fits within a categorical exclusion but involves a high profile or controversial issue that vattention.	warrants Field Office Manager's
☐ Proposed action falls within an EA or EIS category and therefore requires Field Office Manager's review	and determination.
BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO:	
Field Office Manager's Signature:	Date:
Field Office Manager	