U.S. Department of Energy 2008 Annual FOIA Report

I. Basic Information Regarding Report.

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- B. An electronic copy of the Freedom of Information Act (FOIA) report can be obtained at http://management.energy.gov/documents/annual_reports.htm. The report can then be accessed by clicking FOIA Annual Reports.
- C. A paper copy of the report can be obtained at the Headquarters Freedom of Information Public Reading Room located in the Forrestal Building, 1000 Independence Avenue, S.W., Washington, DC 20585, or by submitting a request to the FOIA/Privacy Act Group. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

II. Making a FOIA Request.

A. Names, addresses and telephone numbers of all individual agency components that receive FOIA requests.

For the names, addresses and telephone numbers, visit our website at http://management.energy.gov/contact_us/foia-pa.htm.

B. Brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

The DOE's mission is to advance the national, economic, and energy security of the United States; to promote scientific and technological innovation in support of that mission; and to ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by the DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. The DOE invokes several of the FOIA's exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under the FOIA to protect national security, proprietary interests of submitters; personal privacy of individuals, and open and frank discussion.

III. Definitions of Terms, Acronyms, and Exemptions Used in the Report.

A. Agency specific acronyms or other terms are as follows:

DOE Department of Energy

Field Offices DOE offices not located in Washington

metropolitan area

HQ DOE Headquarters

NNSA/AL National Nuclear Security Administration

Service Center Albuquerque

BPA Bonneville Power Administration

CBFO Carlsbad Field Office

CH Chicago Operations Office

EMCBC Environmental Management Consolidated Business Center

GFO Golden Field Office

ID Idaho Operations Office

NETL National Energy Technology Laboratory

NR Office of Naval Reactors

ORO Oak Ridge Office

PNSO Pacific Northwest Site Office

RL Richland Operations Office

SROO Savannah River Operations Office

OSTI Office of Scientific and Technical Information

SEPA Southeastern Power Administration

SWPA Southwestern Power Administration

SPR Strategic Petroleum Reserve

WAPA Western Area Power Administration

- B. Basic terms expressed in common terminology.
 - 1. **Administrative Appeal --** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - 2. **Average number --** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - 3. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - 4. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
 - 5. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes the review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
 - 6. **Exemption 3 statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
 - 7. **FOIA Request** A FOIA request is generally a request to a federal agency for access to records concerning another person, (i.e., a "third-party" request), or

concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- 8. **Full Grant --** an agency decision to disclose all records under the FOIA.
- 9. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- 10. **Median Number -** the middle, not average number. For example, of 3, 7, and 14, the median number is 7.
- 11. **Multi-track processing** a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests in each track are processed on a first-in/first out basis.
 - a. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - b. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - c. **Complex Request** a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- 12. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt

- under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- 13. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken the final action in all respects.
- 14. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- 15. **Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken a final action in all respects.
- 16. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- 17. **Time limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a perfected FOIA request.)
- C. Descriptions of the nine FOIA exemptions.
 - 1. Exemption 1: classified national defense and foreign relations information
 - 2. Exemption 2: internal agency rules and practices
 - 3. Exemption 3: information that is prohibited from disclosure by another federal law
 - 4. Exemption 4: trade secrets and other confidential business information
 - 5. Exemption 5: inter-agency or intra agency communications that are protected by legal privileges
 - 6. Exemption 6: information involving matters of personal privacy
 - 7. Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
 - 8. Exemption 8: information relating to the supervision of financial institutions

9. Exemption 9: geological information on wells

IV. Exemption 3 Statutes.

A. The Exemption 3 statutes relied on by DOE during the current fiscal year and a brief description of type (s) of information withheld under each statute are, as follows:

Statute	Type of Info	Case Citation	Number of Tim	es Relied	Total Number
	Withheld		Upon per Comp	onent	of Times
					Relied Upon
					by Agency
National	Contractor	Hornbostel vs. DOI,	NNSA/AL	4	13
Defense	Proposals	3503.Supp2d 21	BPA	0	
Authorization		(DDC 2003)	CBFO	0	
Act, 41			СН	0	
U.S.C. 253			GFO	5	
			ID	0	
			NETL	2	
			NR	0	
			ORO	0	
			EMCBC	1	
			RL	0	
			PNSO	0	
			SRO	0	
			OSTI	0	
			SEPA	0	
			SWPA	0	
			SPR	0	
			WAPA	0	
			HQ	1	
Atomic	Information		NNSA/AL	1	8
Energy Act of	classified as		BPA	0	
1954 as	Restricted		CBFO	0	
amended, 42	Data,		СН	0	
U.S.C. 2011	Formerly		GFO	0	
et. seq.	Restricted		ID	0	
_	Data, or		NETL	0	
	Unclassified		NR	0	
	Controlled		ORO	0	
	Nuclear		EMCBC	0	
	Information		RL	0	
			PNSO	0	
			SRO	0	
			OSTI	0	
			SEPA	0	
			SWPA	0	

		SPR	0	
		WAPA	0	
		HQ	7	
10 U.S.C.	Contractor	NNSA/AL	0	5
2371	proposals,	BPA	0	
	proposal	CBFO	0	
	abstract and	CH	0	
	supporting	GFO	5	
	documents	ID	0	
		NETL	0	
		NR	0	
		ORO	0	
		EMCBC	0	
		RL	0	
		PNSO	0	
		SRO	0	
		OSTI	0	
		SEPA	0	
		SWPA	0	
		SPR	0	
		WAPA	0	
		HQ	0	

V. FOIA Requests.

A. Received, Processed and Pending FOIA Requests.

	Number of	Number of	Number of	Number of
	requests pending	requests	requests	requests
	as of start of	received in	processed in	pending as of
	Fiscal Year	Fiscal Year	Fiscal Year	End of Fiscal
				Year
NNSA/AL	102 (a)	354	321	135
BPA	5	60	62	3
CBFO	0	12	11	1
СН	2	47	47	2
GFO	2	48	43	7
ID	3	39	40	2
NETL	5 (b)	48	50	3
NR	2	9	7	4
ORO	60 (c)	277	323	14
OH/EMCBC	4 (d)	33	28	9
RL	9 (e)	90	98	1
PNSO	2	8	10	0
SROO	12	37	47	2

OSTI	0 (f)	6	6	0
SEPA	0	2	2	0
SWPA	0	7	7	0
SPR	0	10	8	2
WAPA	2	14	15	1
HQ	210 (g)	504	589	125
TOTALS	420	1605	1714	311

- (a) This number excludes Privacy Act cases that were counted last year, but are no longer included in this report.
- (b) After reviewing its database the NETL adjusted this number.
- (c) This number excludes Privacy Act cases that were counted last year, but are no longer included in this report.
- (d) This number excludes Privacy Act cases that were counted last year, but are no longer included in this report.
- (e) This number excludes Privacy Act cases that were counted last year, but are no longer included in this report.
- (f) OSTI reported 1 case pending at end of FY07 that was received after FY08 began.
- (g) This number excludes Privacy Act cases that were counted last year, but are no longer included in this report.

B.(1) Disposition of FOIA requests – All Processed Requests.

	Num- ber of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemp- tions	No rec- ords	Referred to another compo- nent or agency	With- drawn	Fee related reason	Not reason- ably described	Improper FOIA request for other reason	Not an agency record	Dupli- cate	Other *Ex- plain in Chart Below	Total
NNSA/AL	153	60	11	53	7	10	1	1	1	11	13	0	321
BPA	28	21	0	6	2	5	0	0	0	0	0	0	62
CBFO	2	3	0	3	1	2	0	0	0	0	0	0	11
СН	21	5	0	6	1	14	0	0	0	0	0	0	47
GFO	7	16	9	2	1	7	1	0	0	0	0	0	43
ID	27	3	1	4	1	3	0	0	1	0	0	0	40
NETL	8	21	0	7	0	6	5	0	3	0	0	0	50
NR	4	0	0	2	1	0	0	0	0	0	0	0	7
ORO	237	17	0	23	17	5	0	1	5	15	3	0	323
EMCBC	7	3	1	3	3	4	3	0	3	0	0	1	28
RL	47	19	11	10	4	5	2	0	0	0	0	0	98
PNSO	6	0	0	2	0	2	0	0	0	0	0	0	10
SRO	18	8	1	7	7	4	1	0	0	1	0	0	47
OSTI	3	1	0	2	0	0	0	0	0	0	0	0	6
SEPA	1	0	0	1	0	0	0	0	0	0	0	0	2
SWPA	4	0	0	1	0	2	0	0	0	0	0	0	7

SPR	7	1	0	0	0	0	0	0	0	0	0	0	8
WAPA	5	6	0	3	0	1	0	0	0	0	0	0	15
HQ	139	111	10	72	198	55	0	0	0	0	4	0	589
TOTALS	724	295	44	207	243	125	13	2	13	27	20	1	1714

B.(2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section $V,\,B(1)$ Chart.

Component	Description of "Other"	Total
	Reasons for Denials from	
	Chart B(1) & Number of	
	Times Those Reasons	
	Were Relied Upon	
NNSA/AL		0
BPA		0
CBFO		0
СН		0
GFO		0
ID		0
NETL		0
NR		0
ORO		0
EMCBC	GLOMAR #1	1
	(Neither confirmed nor	
	denied)	
RL		0
PNSO		0
SRO		0
OSTI		0
SEPA		0
SWPA		0
SPR		0
WAPA		0
HQ		0
TOTAL		1

B.(3) Disposition of FOIA Requests – Number of Times Exemptions Applied.

FOIA Exemptions

		FOIA Exemptions												
	1	2	3	4	5	6	7A	7B	7C	7D	7E	7 F	8	9
NNSA/AL	4	25	5	1	20	26	0	0	0	0	0	0	0	0
BPA	0	0	0	1	11	16	0	0	0	0	0	0	0	0
CBFO	0	0	0	2	0	3	0	0	0	0	0	0	0	0

СН	0	0	0	3	0	4	0	0	0	0	0	0	0	0
GFO	0	0	10	15	4	0	0	0	0	0	0	0	0	1
ID	0	0	0	3	0	1	0	0	0	0	0	0	0	0
NETL	0	0	2	18	1	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	1	0	1	0	15	0	0	0	0	0	0	0	0
EMCBC	0	0	1	2	0	1	0	0	0	0	0	0	0	0
RL	0	1	0	1	3	29	0	0	1	0	0	0	0	0
PNSO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	4	0	0	2	3	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	1	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	1	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	6	0	0	0	0	0	0	0	0	0	0
HQ	6	6	8	35	32	60	1	0	19	0	0	0	0	0
TOTALS	10	37	26	89	73	159	1	0	20	0	0	0	0	1

VI. Administrative Appeals of Initial Determinations of FOIA Requests.

A. Received, Processed, and Pending Administrative Appeals.

Number of Appeals	Number of Appeals	Number of Appeals	Number of Appeals
Pending as of Start	Received in Fiscal	Processed in Fiscal	Pending as of End
of Fiscal Year	Year	Year	of Fiscal Year
9	55	51	13

B. Disposition of Administrative Appeals – All Processed Appeals.

Number Affirmed	Number Partially	Number Completely	Number of	Total
on Appeal	Affirmed & Partially	Reversed/Remanded	Appeals Closed	
	Reversed/Remanded	on Appeal	for Other Reasons	
	on Appeal			
23	7	7	14	51

C.(1) Reasons for Denial on Appeal – Number of times Exemptions Applied.

Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex.	Ex.	Ex.	Ex.	Ex.	Ex.	Ex. 8	Ex. 9
						7(A)	7(B)	7(C)	7(D)	7(E)	7(F)		

Ī	0	2	0	2	7	6	0	0	0	0	0	0	0	0

C.(2) Reasons for Denial on Appeal – Reasons Other than Exemptions.

No Records	Records Referred at Initial Request	Request With- drawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request In Litigation	Appeal Based On Denial of Request for Expedited	Other
	Level								Processing	
3	0	11	1	0	3	4	0	0	0	15*

C.(3) Reasons for Denial on Appeal – "Other" Reasons from Section VI, C(2) Chart

Description of "Other" Reasons for Denial on	Total
Appeal from Chart C (2) & Number of Times	
Those Reasons Were Relied Upon	
Search Adequate #15	15

C.(4) Response Time for Administrative Appeals.

Median Number of	Average Number of	Lowest Number of	Highest Number of
Days	Days	Days	Days
20	24	1	135

C.(5) Ten Oldest Pending Administrative Appeals.

	10 th Oldest	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest
Date of	9/19/08	9/11/08	9/11/08	9/9/08	8/1/08	6/12/08	1/31/08	10/17/07	8/15/07	6/20/07
Receipt										
Number of	7	13	13	15	35	76	169	239	283	322
Days										
Pending										

VII. FOIA Requests: Response Time for Processed and Pending Requests.

A. Processed Requests – Response Time for All Processed Perfected Requests.

	Simple	!			Complex				Expedited	d Processin	ng	
	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest
	Number	Number	Number of	Number of	Number of							
	of Days	of Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days
NNSA/AL	35	59	1	950	0	0	0	0	0	0	0	0
(a)												
BPA (b)	15	22	1	164	0	0	0	0	0	0	0	0
CBFO (b)	3	5	1	15	23	18	6	24	7	7	6	7
CH (b)	3	6	1	24	28	31	16	55	16	16	16	16
GFO (b)	8	13	1	28	41	51	31	91	0	0	0	0
ID (a)	1	10	1	26	23	40	11	85	0	0	0	0
NETL (a)	33	23	1	120	0	0	0	0	0	0	0	0
NR (b)	20	45	7	120	0	0	0	0	0	0	0	0
ORO (a)	39	50	1	284	81	117	1	375	0	0	0	0
EMCBC (a)	23	42	1	196	0	0	0	0	0	0	0	0
RL (a)	18	15	1	64	0	0	0	0	0	0	0	0
PNSO (b)	16	14	3	36	0	0	0	0	0	0	0	0
SRO (a)	21	156	1	867	0	0	0	0	0	0	0	0
OSTI (b)	17	16	7	25	0	0	0	0	0	0	0	0
SEPA (b)	5	5	2	7	0	0	0	0	0	0	0	0
SWPA (b)	1	11	1	20	0	0	0	0	0	0	0	0
SPR (b)	25	12	3	35	0	0	0	0	0	0	0	0
WAPA (b)	16	11	5	22	119	119	119	119	0	0	0	0
HQ (b)	29	113	1	3239	0	0	0	0	0	0	0	0
TOTALS	23	70	1	3239	51	86	1	375	7	10	6	16

⁽a) Certain components' systems could not separate perfected from non-perfected requests.

⁽b) Component did not have any non perfected requests to report.

B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted.

	Simple				Complex				Expedited	d Processin	ıg	
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
NNSA/AL	36	46	1	341	0	0	0	0	0	0	0	0
BPA	15	15	1	38	0	0	0	0	0	0	0	0
CBFO	6	6	6	6	6	6	6	6	0	0	0	0
СН	10	11	1	24	25	30	16	55	16	16	16	16
GFO	17	11	4	24	41	40	37	41	0	0	0	0
ID	1	9	1	20	44	47	19	85	0	0	0	0
NETL	8	14	1	35	0	0	0	0	0	0	0	0
NR	17	41	11	120	0	0	0	0	0	0	0	0
ORO	46	55	1	224	110	131	30	372	0	0	0	0
EMCBC	97	57	14	110	0	0	0	0	0	0	0	0
RL	23	15	1	38	0	0	0	0	0	0	0	0
PNSO	5	14	3	36	0	0	0	0	0	0	0	0
SRO	58	127	14	867	0	0	0	0	0	0	0	0
OSTI	12	14	7	22	0	0	0	0	0	0	0	0
SEPA	8	8	8	8	0	0	0	0	0	0	0	0
SWPA	6	13	1	20	0	0	0	0	0	0	0	0
SPR	14	12	3	35	0	0	0	0	0	0	0	0
WAPA	6	10	5	18	0	0	0	0	0	0	0	0
HQ	47	94	1	1636	0	0	0	0	0	0	0	0
TOTALS	32	54	1	1636	74	95	16	372	16	16	16	16

C. Processed Requests – Response Time in Day Increments (Breakdown of total processed in Section 5(A))

(SIMPLE)

	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
NNSA/AL	4	10	12	17	22	19	17	11	17	18	33	125	16	321
BPA	43	13	2	0	1	2	0	0	1	0	0	0	0	62
CBFO	6	0	0	0	0	0	0	0	0	0	0	0	0	6
СН	35	3	0	0	0	0	0	0	0	0	0	0	0	38
GFO	22	4	0	0	0	0	0	0	0	0	0	0	0	26
ID	22	1	0	0	0	0	0	0	0	0	0	0	0	23
NETL	35	6	6	1	1	1	0	0	0	0	0	0	0	50
NR	4	0	0	2	0	1	0	0	0	0	0	0	0	7
ORO	74	56	44	35	18	9	11	2	3	2	4	0	0	258
EMCBC	12	7	3	0	4	1	0	0	1	0	0	0	0	28
RL	73	22	2	1	0	0	0	0	0	0	0	0	0	98
PNSO	7	3	0	0	0	0	0	0	0	0	0	0	0	10
SRO	10	9	5	2	2	1	1	1	2	0	6	3	5	47
OSTI	4	2	0	0	0	0	0	0	0	0	0	0	0	6
SEPA	2	0	0	0	0	0	0	0	0	0	0	0	0	2
SWPA	7	0	0	0	0	0	0	0	0	0	0	0	0	7
SPR	7	1	0	0	0	0	0	0	0	0	0	0	0	8
WAPA	13	1	0	0	0	0	0	0	0	0	0	0	0	14
HQ	259	78	48	31	27	25	17	13	14	10	23	4	40	589
TOTALS	639	216	122	89	75	59	46	27	38	30	66	132	61	1600

(COMPLEX)

	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
NNSA/AL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	1	2	0	0	0	0	0	0	0	0	0	0	0	3
СН	2	3	3	0	0	0	0	0	0	0	0	0	0	8

GFO	0	4	9	3	1	0	0	0	0	0	0	0	0	17
ID	4	6	2	3	2	0	0	0	0	0	0	0	0	17
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	16	5	5	6	5	3	4	1	1	1	11	7	0	65
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PNSO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	1	0	0	0	0	0	0	0	1
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTALS	23	20	19	12	8	4	4	1	1	1	11	7	0	111

(REQUESTS GRANTED EXPEDITED PROCESSING)

	1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
NNSA/AL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	2	0	0	0	0	0	0	0	0	0	0	0	0	2
СН	1	0	0	0	0	0	0	0	0	0	0	0	0	1
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PNSO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0

SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTALS	3	0	0	0	0	0	0	0	0	0	0	0	0	3

D. Pending Requests – All Pending Perfected Requests

	Simple			Complex			Expedited P	rocessing	
	Number	Median	Average	Number	Median	Average	Number	Median	Average
	Pending	Number	Number	Pending	Number	Number	Pending	Number of	Number of
		of Days	of Days		of Days	of Days		Days	Days
NNSA/AL	135	188	235	0	0	0	0	0	0
BPA	3	126	86	0	0	0	0	0	0
CBFO	1	1	1	0	0	0	0	0	0
СН	0	0	0	2	15	15	0	0	0
GFO	7	12	13	0	0	0	0	0	0
ID	1	1	1	1	35	35	0	0	0
NETL	3	24	40	0	0	0	0	0	0
NR	3	19	17	1	245	245	0	0	0
ORO	4	23	28	10	172	167	0	0	0
EMCBC	9	13	16	0	0	0	0	0	0
RL	1	14	14	0	0	0	0	0	0
PNSO	0	0	0	0	0	0	0	0	0
SRO	2	17	17	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0
SPR	1	7	7	1	27	27	0	0	0

WAPA	1	7	7	0	0	0	0	0	0
HQ	125	200	330	0	0	0	0	0	0
TOTALS	296	149	249	15	97	133	0	0	0

E. Pending Requests – Ten Oldest Pending Perfected Requests

	10 th Oldest	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest
	Request and									Request
	Number of									and
	Days									Number
	Pending									of Days
NNSA/AL	(11210)	(1010)	616106	4/10/07	4/11/07	4/11/07	1/15/07	1/11/07	2/5/01	Pending
NNSA/AL	6/12/06	6/8/06	6/6/06	4/18/06	4/11/06	4/11/06	1/17/06	1/11/06	3/5/01	11/16/99
	602	604	606	641	646	646	706	710	1977	2976
BPA	n/a	n/a	n/a	n/a	n/a	n/a	n/a	9/23/08	4/2/08	4/2/08
								_	40=	40=
								5	127	127
CBFO	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	9/30/08
										1
СН	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	8/28/08	9/19/08
									22	7
GFO	n/a	n/a	n/a	9/30/08	9/29/08	9/17/08	9/15/08	9/3/08	9/3/08	8/21/08
				1	2	10	12	20	20	28
ID	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	9/30/08	8/12/08
									1	35

NETL	n/a	9/22/08	8/27/08	5/12/08						
								7	24	99
NR	n/a	n/a	n/a	n/a	n/a	n/a	9/30/08	9/4/08	8/15/08	10/11/07
							1	19	32	245
ORO	9/23/08	9/19/08	9/22/08	6/25/08	6/23/08	1/30/08	1/7/08	1/2/08	11/26/07	10/19/07
	9	11	15	97	99	244	267	271	309	345
EMCBC	n/a	9/30/08	9/17/08	9/16/08	9/12/08	9/8/08	9/5/08	9/4/08	8/25/08	8/21/08
		1	10	11	13	17	18	19	26	28
RL	n/a	9/11/08								
										14
PNSO	n/a	n/a								
SRO	n/a	9/29/08	8/18/08							
									2	31
OSTI	n/a	n/a								
SEPA	n/a	n/a								
SWPA	n/a	n/a								
CDD									2.42.42.2	
SPR	n/a	9/22/08	8/22/08							
									7	27
WAPA	n/a	9/22/08								

										7
HQ	2/15/05	2/8/05	12/15/04	9/10/03	9/2/03	6/18/03	3/12/03	1/8/02	12/20/01	2/14/01
	947	952	991	1321	1327	1381	1451	1757	1770	1991

	10 th Oldest	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest
	Request and									Request
Agency	Number of									and
Overall	Days									Number
	Pending									of Days
										Pending
	12/15/04	9/10/03	9/2/03	6/18/03	3/12/03	1/8/02	12/20/01	3/5/01	2/14/01	11/16/99
	991	1321	1327	1381	1451	1757	1770	1977	1991	2976

VIII. Requests for Expedited Processing and Requests for Fee Waiver

A. Requests for Expedited Processing

	Number Granted	Number Denied	Median Number of Days to Adjudicated	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
NNSA/AL	0	0	0	0	0
BPA	0	0	0	0	0
CBFO	2	0	7	7	2

СН	1	0	1	1	1
GFO	0	0	0	0	0
ID	0	0	0	0	0
NETL	0	0	0	0	0
NR	0	0	0	0	0
ORO	0	0	0	0	0
EMCBC	0	1	17	17	0
RL	0	0	0	0	0
PNSO	0	0	0	0	0
SRO	0	0	0	0	0
OSTI	0	0	0	0	0
SEPA	0	0	0	0	0
SWPA	0	0	0	0	0
SPR	0	0	0	0	0
WAPA	0	0	0	0	0
HQ	0	15	3	4	15
TOTALS	3	16	3	5	18

B. Requests for Fee Waiver

	Number Granted	Number Denied	Median Number	Average Number
			of Days to	of Days to
			Adjudicated	Adjudicate
NNSA/AL	0	0	0	0
BPA	0	0	0	0
CBFO	0	0	0	0
СН	1	0	1	1
GFO	0	0	0	0
ID	2	0	51	51

NETL	0	0	0	0
NR	0	0	0	0
ORO	3	0	1	5
EMCBC	1	1	7	7
RL	0	0	0	0
PNSO	0	0	0	0
SRO	0	0	0	0
OSTI	0	0	0	0
SEPA	0	0	0	0
SWPA	0	0	0	0
SPR	0	0	0	0
WAPA	1	0	1	1
HQ	10	3	5	8
TOTALS	18	4	4	11

IX. FOIA Personnel and Costs

		Personnel		Costs				
	Number of	Number of	Total	FOIA	Litigation	Total Costs		
	Full-Time	"Equivalent	Number	Processing	Related Costs			
	FOIA	Full-Time	of Full-	Costs				
	Employees	FOIA	Time					
		Employees	FOIA					
			Staff					
NNSA/AL	7	3.00	10.00	\$447,393.00	\$48,540.00	\$495,933.00		
BPA	1	.35	1.35	\$90,200.00	0	\$90,200.00		
CBFO	0	.40	.40	\$49,300.00	0	\$49,300.00		
СН	0	.95	.95	\$100,759.00	0	\$100,759.00		
GFO	0	1.50	1.50	\$90,176.00	0	\$90,176.00		

ID	0	4.75	4.75	\$205,348.00	\$40,000.00	\$245,348.00
NETL	0	.49	.49	\$60,000.00	0	\$60,000.00
NR	0	.35	.35	\$12,500.00	0	\$12,500.00
ORO	3	7.00	10.00	\$505,000.00	0	\$505,000.00
EMCBC	1	1.00	2.00	\$123,436.00	0	\$123,436.00
RL	1	2.00	3.00	\$143,388.75	0	\$143,388.75
PNSO	0	.03	.03	\$3,030.00	0	\$3,030.00
SRO	0	.83	.83	\$77,392.00	0	\$77,392.00
OSTI	0	.02	.02	\$1,843.28	0	\$1,843.28
SEPA	0	.02	.02	\$1,500.00	0	\$1,500.00
SWPA	0	.14	.14	\$9,376.19	0	\$9,376.19
SPR	0	.07	.07	\$3,500.00	0	\$3,500.00
WAPA	0	.60	.60	\$48,000.00	0	\$48,000.00
HQ	7	31.51	38.51	\$2,489,572.61	\$45,474.00	\$2,535,046.61
TOTALS	20	55.01	75.01	\$4,461,714.83	\$134,014.00	\$4,595,728.83

X. Fees.

	Total Amount of Fees Collected	Percentage of Total Costs
NNSA/AL	0	0
BPA	\$2,071.75	.02
CBFO	0	0
СН	\$7,187.91	.07
GFO	\$815.35	.01
ID	0	0
NETL	\$790.00	.01
NR	\$2,488.10	.20
ORO	\$832.00	.001
EMCBC	\$854.46	.01

RL	\$192.18	.001
PNSO	0	0
SRO	0	0
OSTI	\$381.00	.20
SEPA	0	0
SWPA	0	0
SPR	0	0
WAPA	\$151.25	.003
HQ	\$5,860.88	.002
TOTALS	\$21,624.88	.004

XI. FOIA Regulations.

The DOE FOIA regulation can be found at http://www.access.gpo.gov/nara/cfr/waisidx_06/10cfr1004_06.html.

XII. Backlogs, Consultations, and Comparisons

A. Backlog of FOIA Requests and Administrative Appeals

	Number of Backlogged	Number of Backlogged
	Requests as of End of Fiscal	Appeals as of End of Fiscal
	Year	Year
NNSA/AL	116	0
BPA	2	0
CBFO	0	0
СН	1	0
GFO	1	0
ID	1	0
NETL	2	0
NR	2	0
ORO	10	0

EMCBC	2	0
RL	0	0
PNSO	0	0
SRO	1	0
OSTI	0	0
SEPA	0	0
SWPA	0	0
SPR	1	0
WAPA	0	0
HQ	108	6
		_
TOTALS	247	6

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

	Number of	Number of	Number of	Number of
	Consultations Received	Consultations Received	Consultations Received	Consultations Received
	from Other Agencies	from Other Agencies	from Other Agencies	from Other Agencies
	that Were Pending at	During the Fiscal Year	that Were Processed by	that Were Pending at
	your Agency as of Start		your Agency During the	Your Agency as of End
	of the Fiscal Year		Fiscal Year	of the Fiscal Year
NNSA/AL	1	7	3	5
BPA	0	2	2	0
CBFO	0	0	0	0
СН	0	0	0	0
GFO	0	0	0	0
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
ORO	2	0	2	0
EMCBC	0	0	0	0

RL	0	0	0	0
PNSO	0	0	0	0
SRO	0	1	1	0
OSTI	0	0	0	0
SEPA	0	0	0	0
SWPA	0	0	0	0
SPR	0	0	0	0
WAPA	0	0	0	0
HQ	150	145	171	124
TOTALS	153	155	179	129

C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency

	10 th Oldest Consultation and Number of Days Pending	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Consultation and Number of Days Pending
NNSA/AL	n/a	n/a	n/a	n/a	n/a	5/16/08 95	2/6/08 166	1/11/08	1/11/08	8/30/07 273
BPA	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
СВГО	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
СН	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
GFO	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a

| ID | n/a |
|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| NETL | n/a |
| NR | n/a |
| ORO | n/a |
| EMCBC | n/a |
| RL | n/a |
| PNSO | n/a |
| SRO | n/a |
| OSTI | n/a |
| SEPA | n/a |
| SWPA | n/a |
| SPR | n/a |
| WAPA | n/a |

HQ	7/20/04	7/20/04	9/16/03	6/13/03	9/7/01	8/29/01	6/14/01	1/26/01	1/25/01	6/11/98
	1534	1534	1842	1937	2581	2590	2666	2805	2806	3765

Agency Overall	10 th Oldest Consultation and Number of Days Pending	9 th	8 th	7 th	6 th	5 th	4 th	3 rd	2 nd	Oldest Consultation and Number of Days Pending
	7/20/04	7/20/04	9/16/03	6/13/03	9/7/01	8/29/01	6/14/01	1/26/01	1/25/01	6/11/98
	1534	1534	1842	1937	2581	2590	2666	2805	2806	3765

D. Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

	Number of Rec	juests Received	Number of Req	uests Processed
	Number Received	Number Received	Number Processed	Number Processed
	During Fiscal Year from			
	Last Fiscal Year's	Current Annual Report	Last Year's Annual	Current Annual Report
	Report		Report	
NNSA/AL	329	354	315	321
BPA	44	60	40	62
CBFO	22	12	22	11
СН	34	47	41	47
GFO	37	48	37	43
ID	61	39	60	40
NETL	31	48	27	50
NR	11	9	10	7

ORO	273	277	328	323
EMCBC	33	33	39	28
RL	85	90	80	98
PNSO	13	8	12	10
SRO	35	37	32	47
OSTI	8	6	8	6
SEPA	1	2	1	2
SWPA	2	7	2	7
SPR	11	10	11	8
WAPA	27	14	27	15
HQ	384	504	337	589
TOTALS	1441	1605	1429	1714

	Number of Backlogged Requests	Number of Backlogged Requests	
	as of End of the Fiscal Year as of End of the Fiscal Year		
	from Previous Annual Report	from Current Annual Report	
NNSA/AL	n/a	116	
BPA	n/a	2	
CBFO	n/a	0	
СН	n/a	1	
GFO	n/a	1	
ID	n/a	1	
NETL	n/a	2	
NR	n/a	2	
ORO	n/a	10	
EMCBC	n/a	2	
RL	n/a	0	
PNSO	n/a	0	
SRO	n/a	1	
OSTI	n/a	0	
SEPA	n/a	0	

SWPA	n/a	0
SPR	n/a	1
WAPA	n/a	0
HQ	n/a	108
TOTALS	n/a	247

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

	Number of Appeals Received		Number of Appeals Processed	
	Number Received	Number Received	Number Processed	Number Processed
	During Fiscal Year from	During Fiscal Year from	During Fiscal Year from	During Fiscal Year from
	Last Year's Annual	Current Annual Report	Last Year's Annual	Current Annual Report
	Report	_	Report	_
Agency Overall	46	55	51	51

	Number of Backlogged Appeals as of End of the Fiscal Year from	Number of Backlogged Appeals as of End of the Fiscal Year	
	Previous Annual Report	from Current Annual Report	
Agency Overall	2	6	