Enforcement Policy Statement – AHRI Third Party Submission of Certification Reports

Issued: February 27, 2018

The Air Conditioning, Heating, and Refrigeration Institute ("AHRI") is an authorized third party representative for certain manufacturers of central air conditioners and heat pumps, commercial HVAC equipment, consumer and commercial water heaters, commercial packaged boilers, automatic commercial ice makers, direct heating equipment, residential furnaces, and commercial warm air furnaces. In this capacity, AHRI submits required compliance certification reports to the Department on behalf of manufacturers of covered products and equipment. Manufacturers are not permitted to distribute models of such covered products and equipment unless the model is first validly certified to DOE as compliant with applicable standards.

On Monday, January 8, 2017, AHRI implemented a new system by which it compiles and submits the information required to be provided to DOE by its member manufacturers to certify covered products and equipment as compliant with applicable energy conservation standards.

Although AHRI has begun submitting certification reports under this new system for a subset of products, DOE understands that AHRI is still in the process of transitioning its certification reporting. DOE remains committed to working with AHRI through its transition to this new system and will consider the transition as a mitigating factor in any represented manufacturer's failure to submit a complete and timely certification report.

Further, DOE understands that the new system does not permit AHRI to submit fully compliant certification reports in some circumstances. DOE will continue to work with AHRI to address this issue.

This policy does not create or remove any rights or duties and does not affect any other aspect of EPCA or DOE regulations.