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Energy Storage Monitoring System and In-Situ Impedance Measurement Modeling

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Overview

Timeline

- Ongoing Project
- Start – October 2007

Budget

- Total project funding:
 - DOE – 660k (through FY11)
- Funding received in FY11:
 - \$450k
- Funding for FY12:
 - \$400k

Barriers

- Cost
- Performance
- Abuse Tolerance and Reliability
- Life Estimation

Partners

- Montana Tech of the University of Montana
- Qualtech Systems, Inc.

Relevance

- **Objective:**

- Develop advanced in-situ diagnostic and prognostic tools for more accurate prediction of the state-of-health and remaining useful life of energy storage devices.

- **Benefits:**

- Safety and reliability: Rapid identification of failure thresholds
- Cost: Smarter replacement schedules
- Life Estimation: Improved sensor technology for state estimation
- Performance: Improved management systems based on battery condition using both energy and power.

- **Applications:**

- Automotive (EV, HEV, PHEV)
- Military (field radio operations, warehouses, vehicles, etc.)
- Other applications include NASA, electric utilities, telecommunications, aeronautics, consumer electronics, etc.

Relevance (cont.)

- **Mission Areas:**

- Rapid, in-situ impedance spectrum measurement techniques using hardware and control software – Impedance Measurement Box
- Modeling and prognostic tools that smartly combine impedance measurements with other observations to determine a more accurate definition of battery health.
- Hardware and software that directly interfaces with onboard battery technologies to smartly monitor and report health – Energy Storage Monitoring System.

- **FY-12 Objectives:**

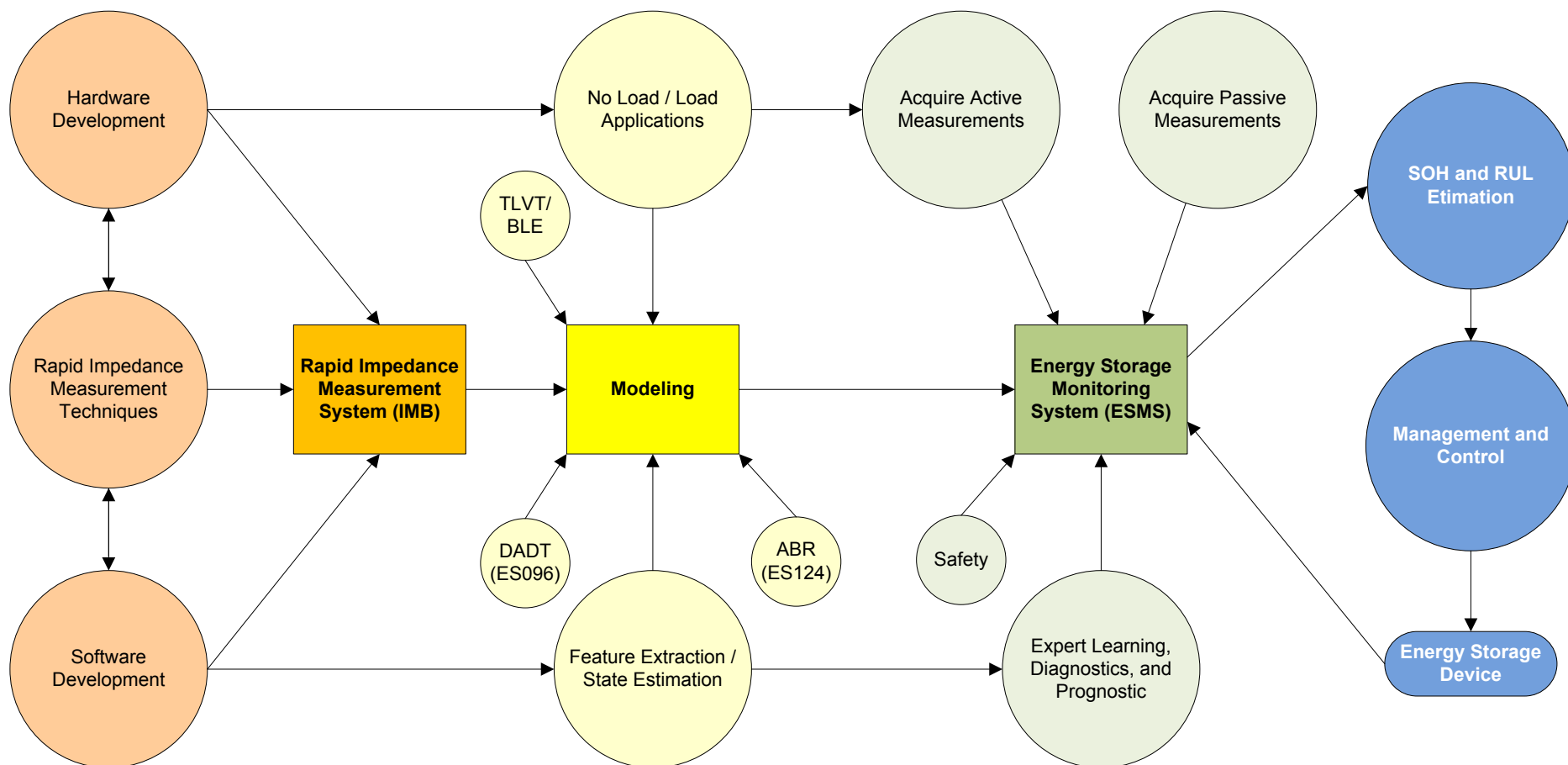
- Design and build a 50-V rapid impedance measurement system.
- Improve calibration system of rapid impedance measurement.
- Continue validation studies of rapid impedance measurement techniques using commercially available lithium-ion cells.
- Initiate incorporation of rapid impedance measurements into new and existing modeling and prognostic tools.

Approach

- **Impedance Measurement Box:**
 - Develop rapid impedance spectra measurement techniques
 - Design low-cost hardware and control software
 - Implement measurements under no-load and load conditions
- **Modeling:**
 - Equivalent circuit modeling of rapid impedance measurements
 - Feature extraction (e.g., effective charge transfer resistance, etc.)
 - Estimate pulse resistance and power capability from impedance measurements
 - Implement existing modeling capabilities (ES124) to develop prognostic tools for arbitrary aging conditions
- **Energy Storage Monitoring System:**
 - Passive measurements (voltage, current, temperature)
 - Active measurements (rapid impedance spectra)
 - Incorporate models to estimate overall state-of-health (SOH) and remaining useful life (RUL)

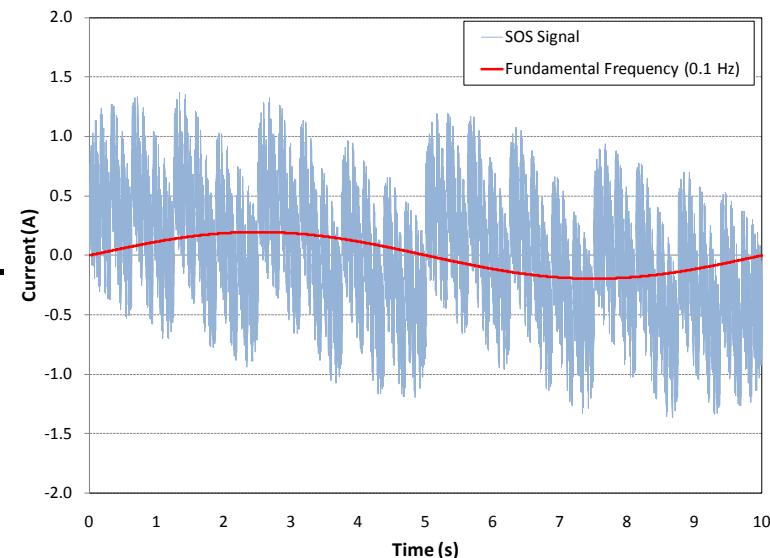
Approach (cont.)

- Flow diagram of the overall ESMS concept:



Technical Accomplishments and Progress

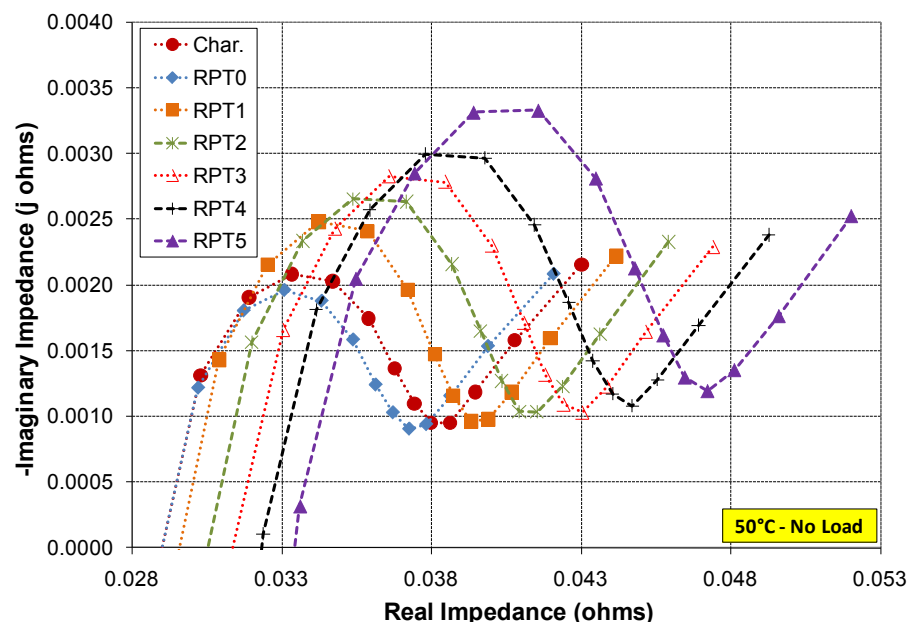
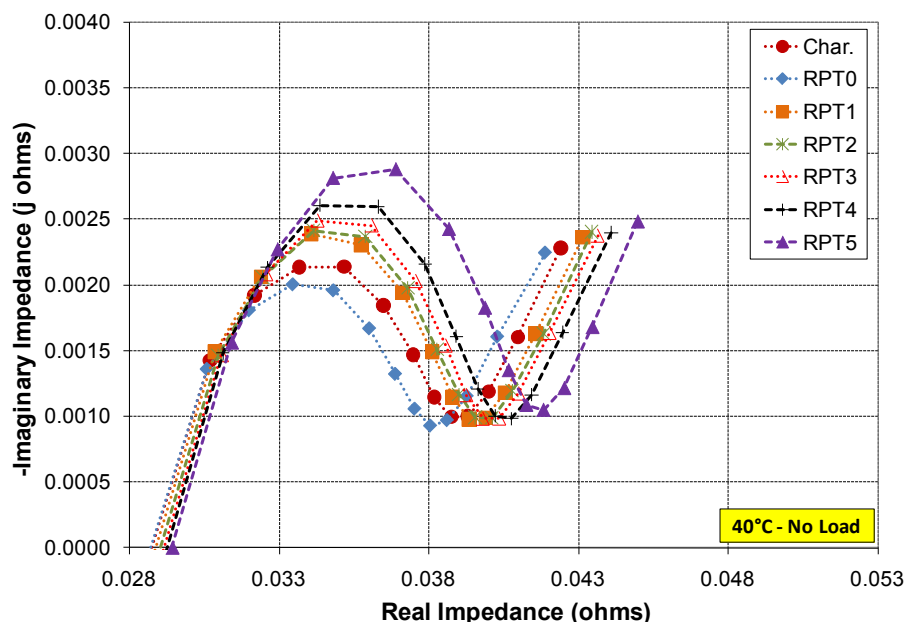
- **Novel techniques have been developed to acquire wideband impedance within seconds.**
 - Harmonic Compensated Synchronous Detection (HCSD)
 - Low resolution, computationally intensive, short test duration
- **Input signal is an octave harmonic sum-of-sines excitation current.**
 - The excitation signal has a duration of one period of the lowest frequency.
- **Synchronously detect the magnitude and phase of the captured voltage response time record.**
- **Long term validation testing was completed using Sanyo SA cells.**
 - Cells aged at 40 and 50°C with periodic HCSD measurements under both no-load and load conditions.



Accomplishments and Progress (cont.)

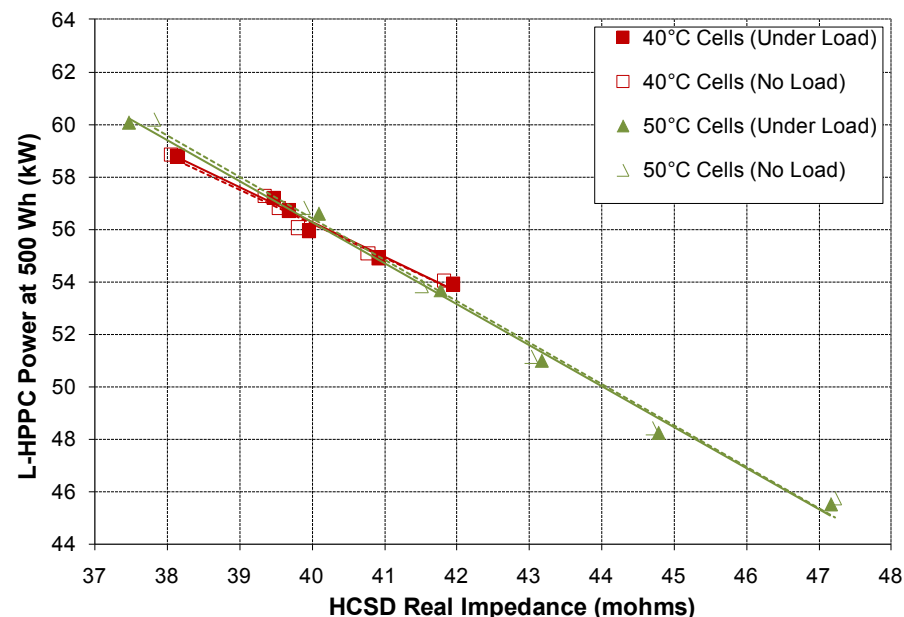
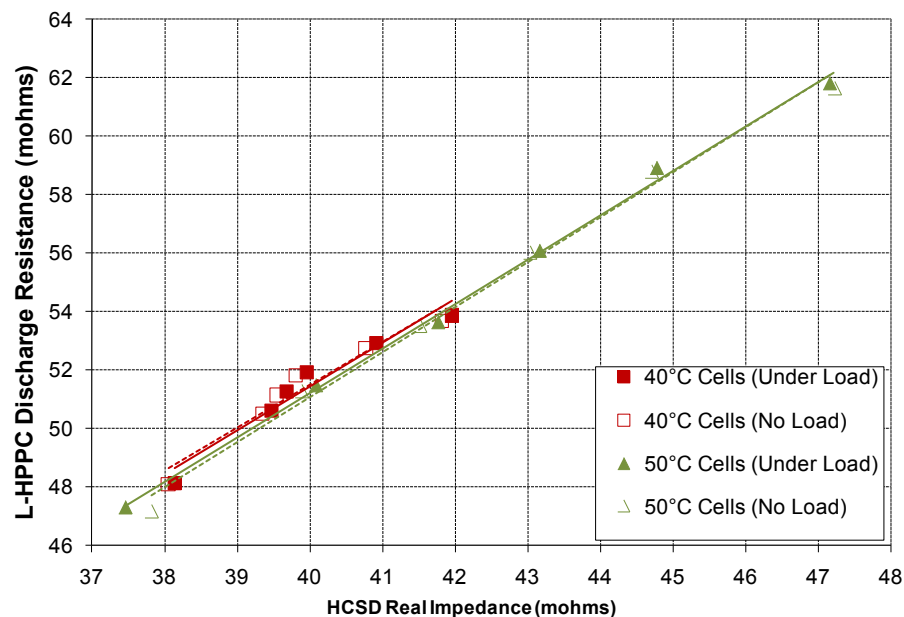
- HCSDs under no-load conditions:**

- HCSD impedance spectra grow in both ohmic and effective charge transfer resistance.
- The rate of impedance growth is higher at 50°C, as expected.



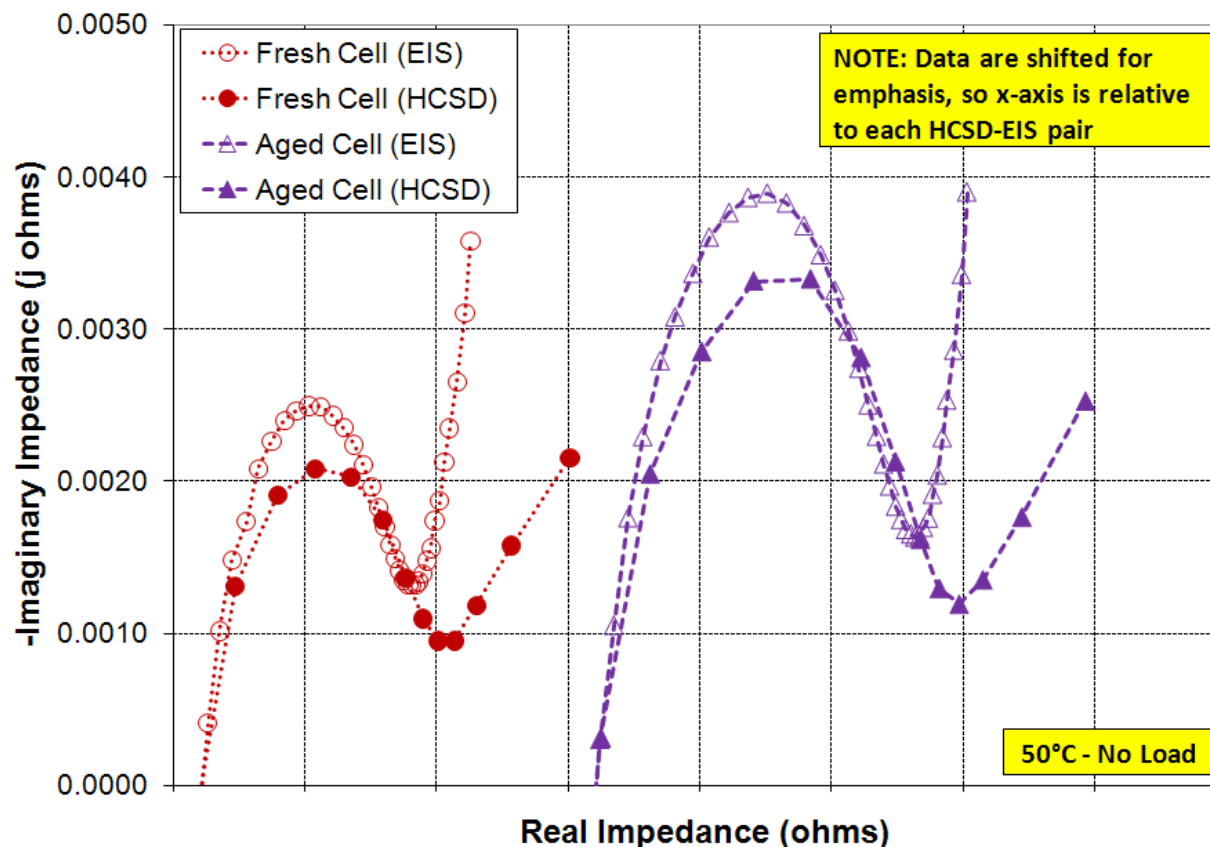
Accomplishments and Progress (cont.)

- **HCSDs under no-load conditions (cont.):**
 - The HCSD real impedance at the semicircle trough is highly correlated with both HPPC discharge resistance and available power.
 - $r^2 \geq 0.950$ in all cases, most fits are $r^2 \geq 0.980$.



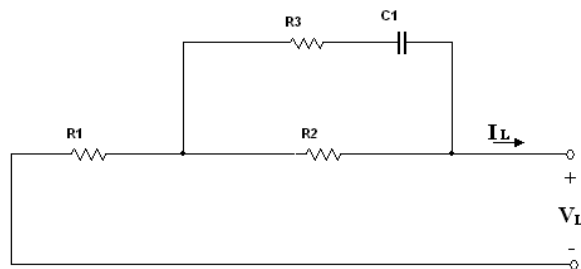
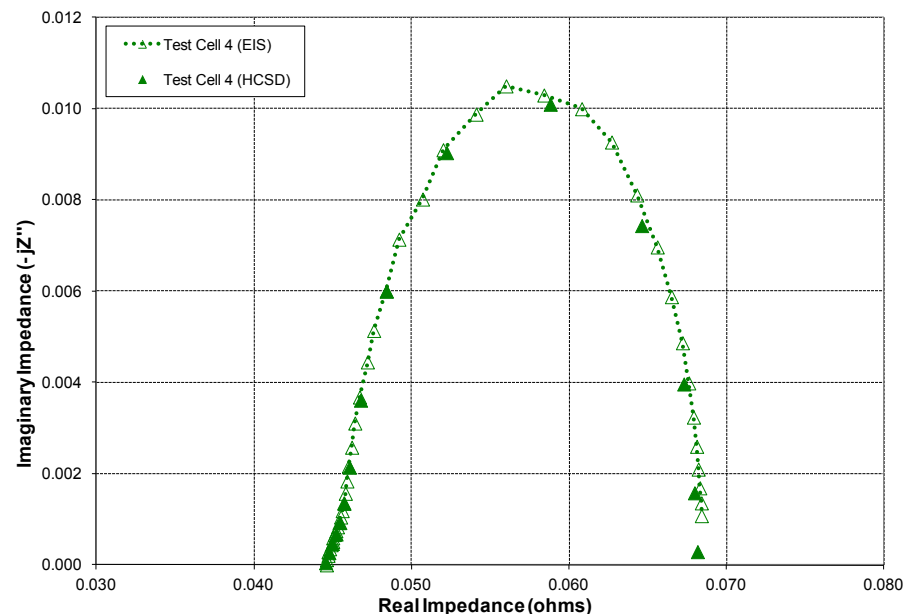
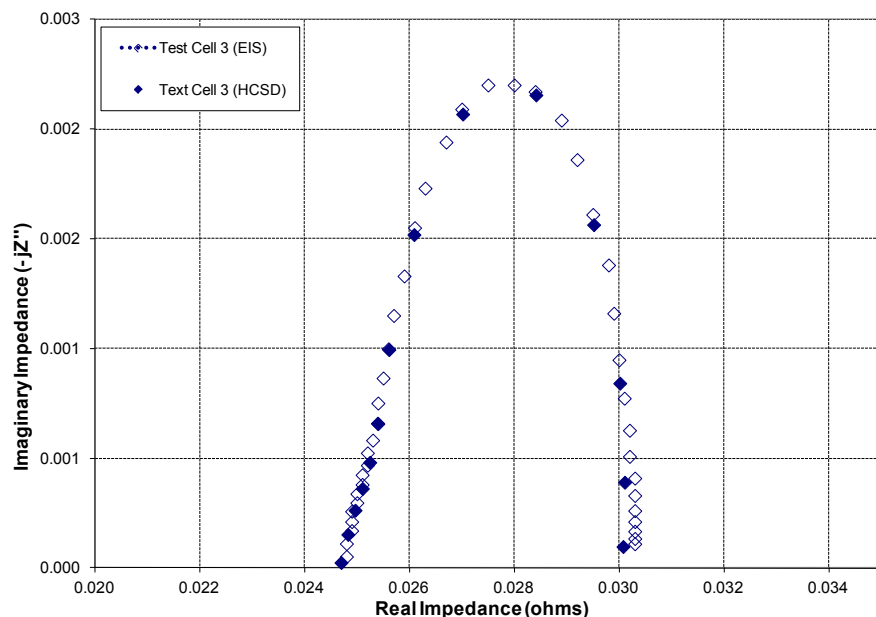
Accomplishments and Progress (cont.)

- **HCSDs under no-load conditions (cont.):**
 - HCSD results generally match standard EIS measurements in the key mid-frequency range.



Accomplishments and Progress (cont.)

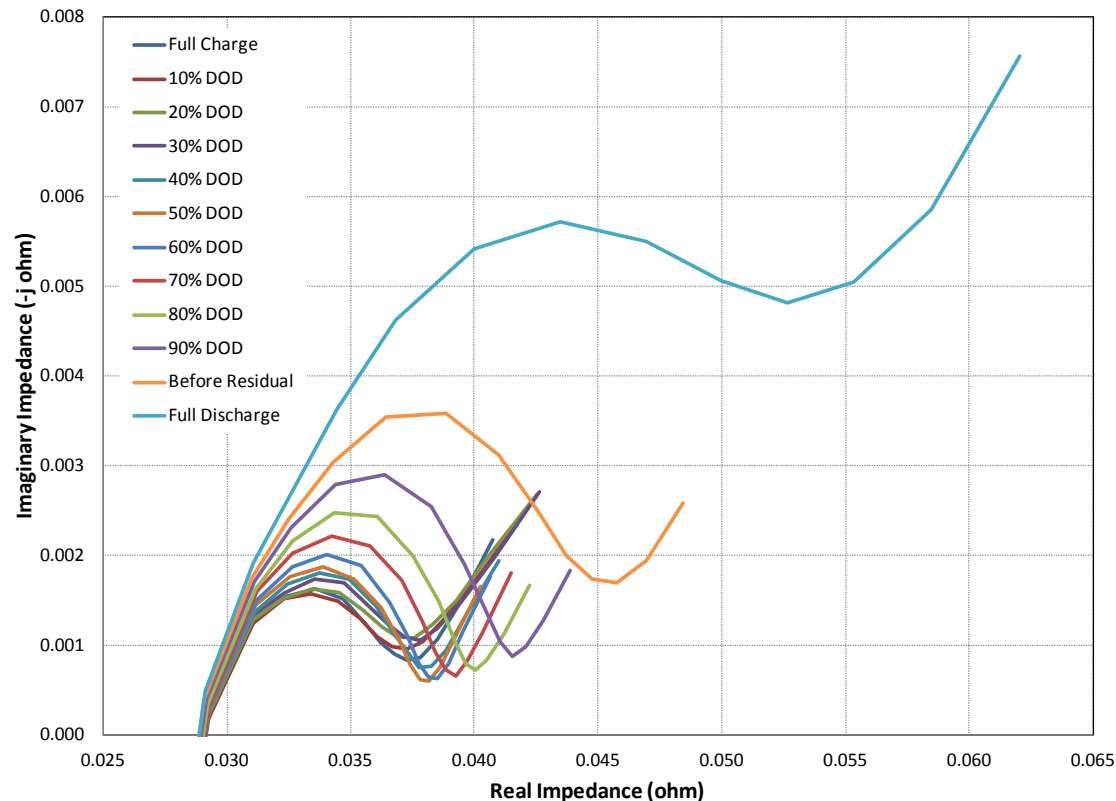
- **HCSDs under no-load conditions (cont.):**
 - The calibration issue has been resolved and the EIS and HCSD measurements now match very well using test cell circuits.



Accomplishments and Progress (cont.)

- **HCSDs under no-load conditions (cont.):**

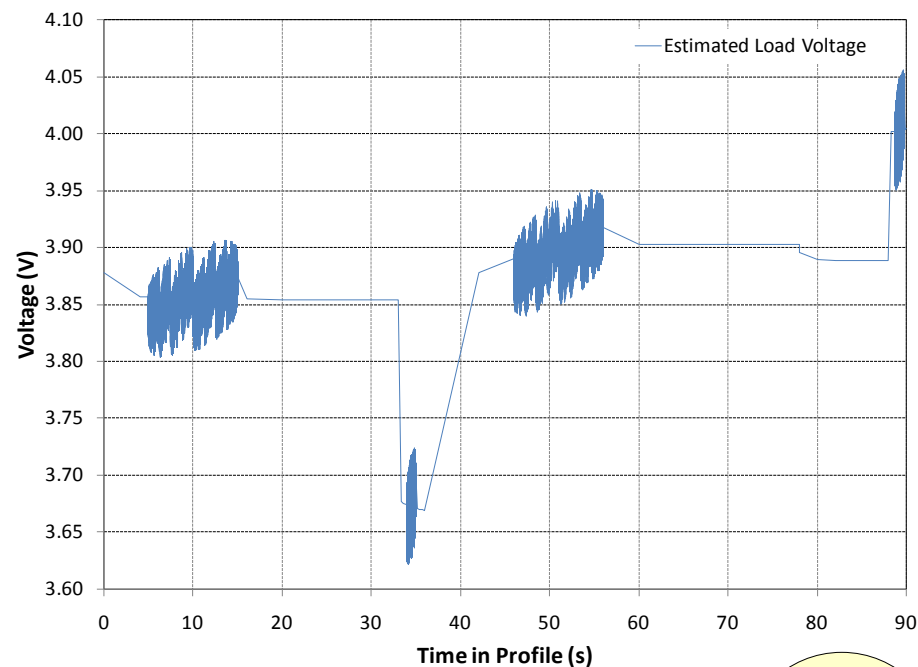
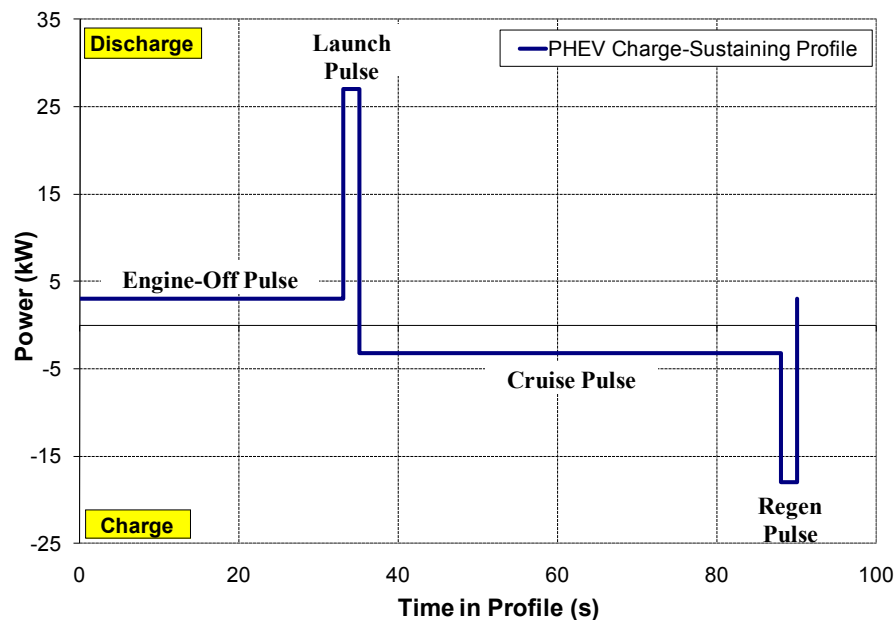
- A new validation study is now underway with Sanyo SA cells to measure HCSD at multiple DODs.
- At RPT0, the mid-frequency semicircle generally increases in both height and width with increasing DOD.



Accomplishments and Progress (cont.)

- **HCSDs under load conditions :**

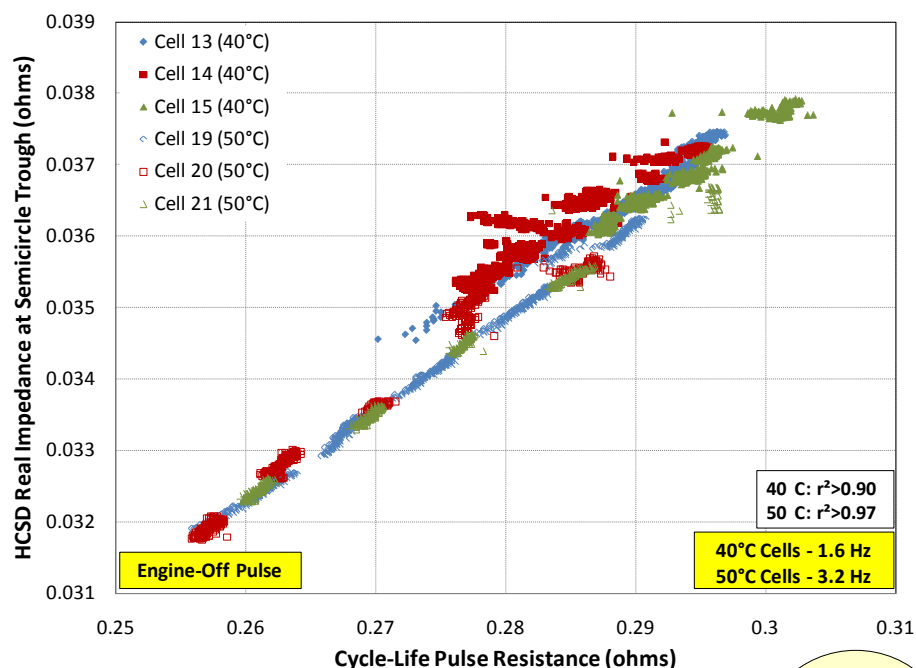
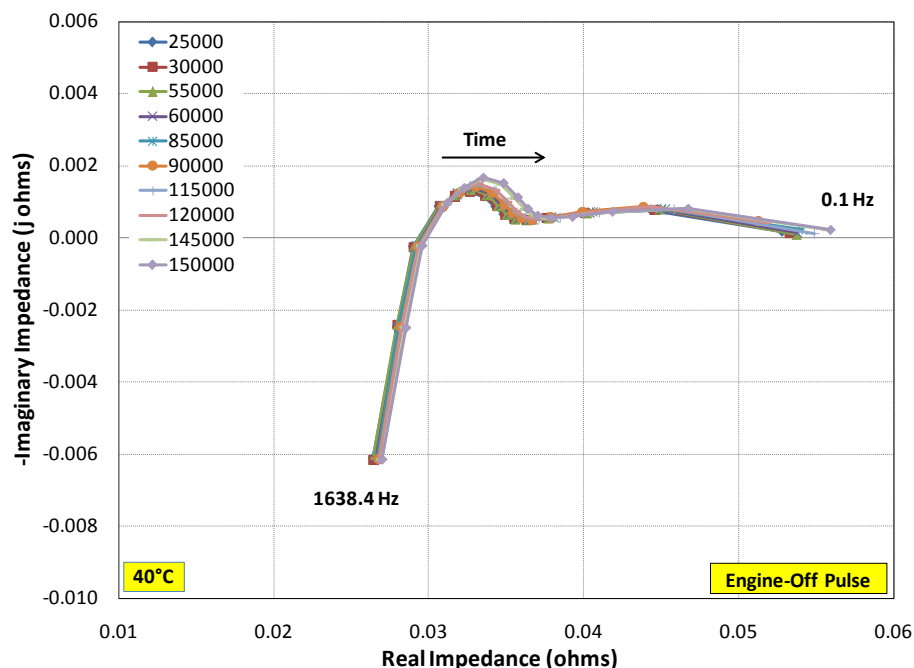
- Cells were cycled at elevated temperatures with HCSD measurements triggered every 50 cycles.
- Under-load measurements were affected by a non-constant DC bias voltage.



Accomplishments and Progress (cont.)

• HCSDs under load conditions :

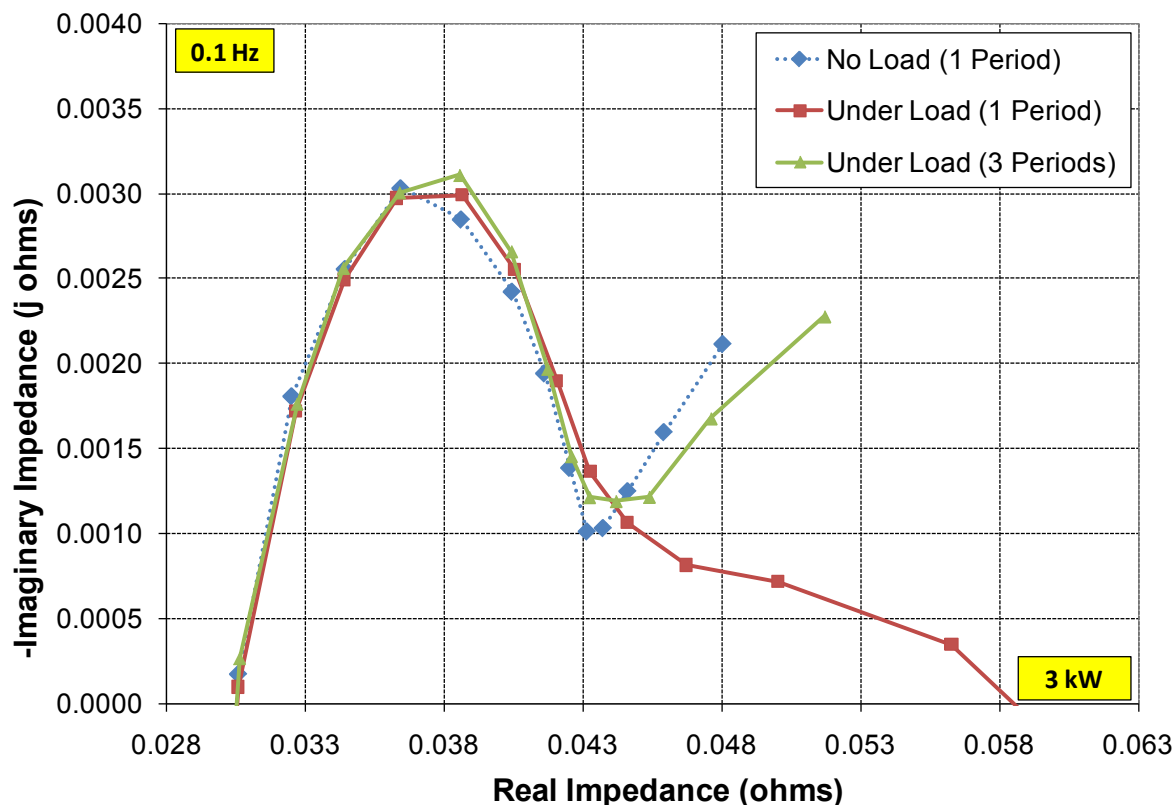
- The Engine-Off impedance measurement under load still shows a mid-frequency arc, but the Warburg tail has flattened out.
- The growth in effective charge transfer resistance is linearly correlated to the corresponding cycle-life pulse resistance.



Accomplishments and Progress (cont.)

- **HCSDs under load conditions :**

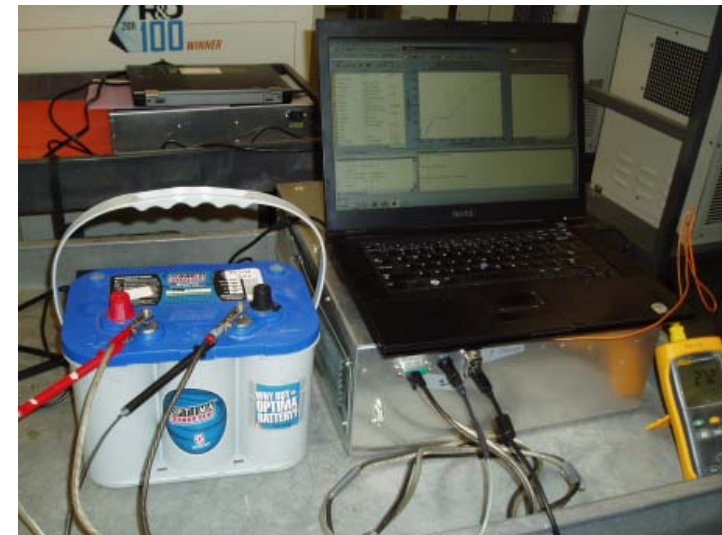
- Despite the low-frequency effects, the height and width of the effective charge transfer resistance semicircle is similar under both no load and load conditions.



Accomplishments and Progress (cont.)

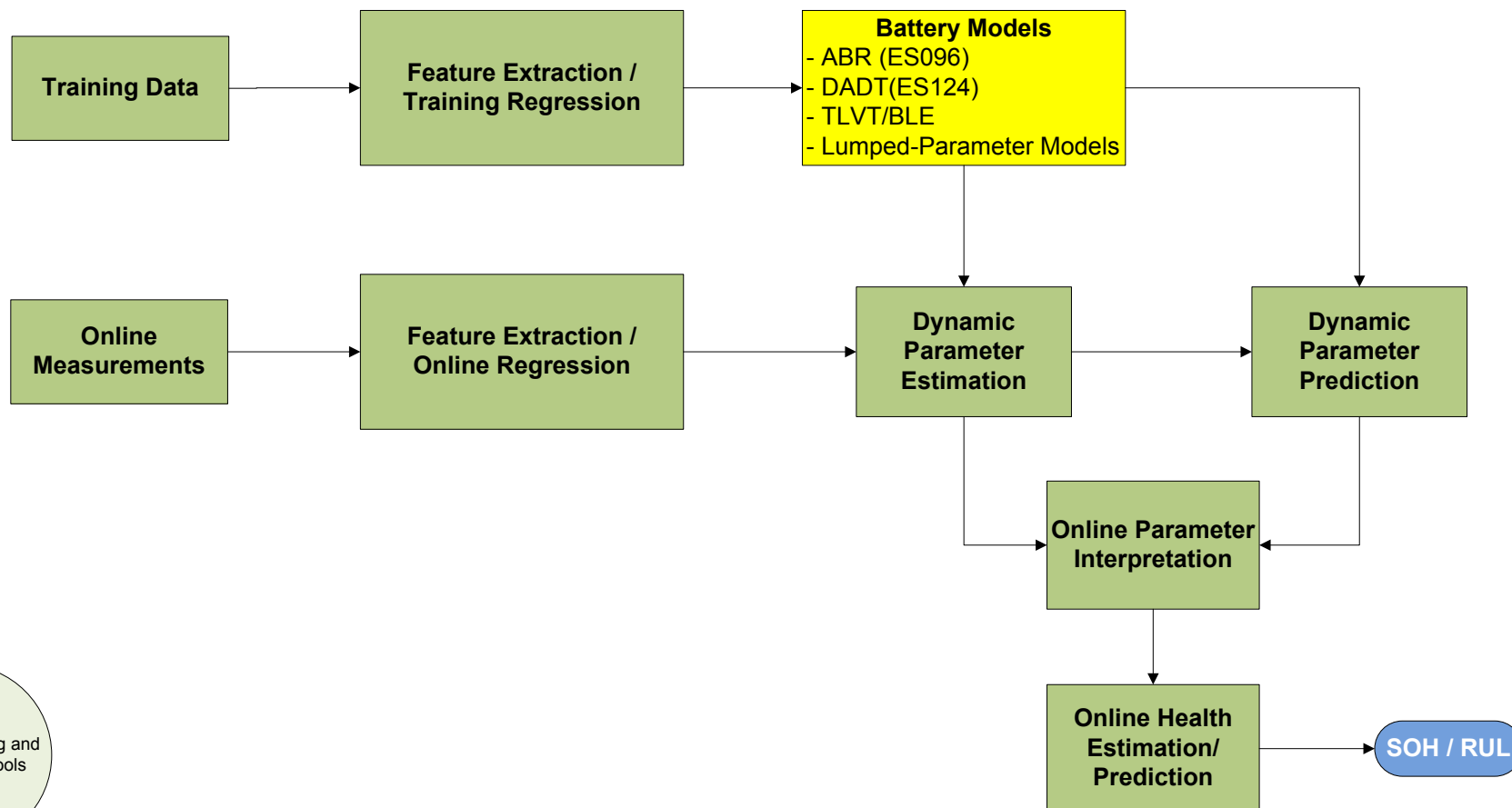
- **Hardware Development:**

- The 50-V Impedance Measurement Box, including prototype hardware and upgraded control software, has now been completed.
- Upgraded features for this hardware system include:
 - Applied dynamic voltage range between 0 and 50 V (i.e., system can test 5-V cells and 50-V modules)
 - Significantly reduced noise crosstalk in voltage feedback loop when removing the DC bias (up to 50 V)
 - Modular design for easier debugging and future upgrades
 - Voltage and current probe protection
 - Improved calibration technique



Accomplishments and Progress (cont.)

- **Modeling and State-of-Health Estimation:**
 - Preliminary SOH assessment tool under development using results from the HCSD validation studies.



Collaborations

- **Montana Tech of the University of Montana:**
 - Expertise in signal processing, hardware design
 - A professor and several graduate students have been involved in this effort.
- **Qualtech Systems, Inc.:**
 - Expertise in software development
- **Other (future) collaborators:**
 - Agreement for a developmental collaboration between INL and the Army (CERDEC) is being drafted.
 - Various discussions with private industry about IMB/ESMS applications are also underway.

Proposed Future Work

- **Impedance Measurement Box:**

- Investigate possibility of lower frequency measurements while still retaining fast measurement speeds.
- Mitigate observed transient effects and bias voltage error for under-load measurements.

- **Modeling:**

- Develop dynamic parameter estimation and prediction tools for online prognostics at arbitrary aging conditions.
 - Feature extraction from impedance spectra
 - New and existing modeling tools (e.g., ES124)
- Continue validation testing for enhanced modeling capability.

- **Energy Storage Monitoring System:**

- Explore low-cost hardware solutions for embedded system applications.
- Seek collaborative opportunities with other groups involved in battery prognostics and control.

Summary

- **The Impedance Measurement Box (IMB) enables low-cost, rapid, in-situ impedance spectra measurements.**
 - The IMB addresses cost, safety, performance, and life estimation barriers for energy storage devices.
- **Significant IMB accomplishments:**
 - The 50-V Gen 3 IMB hardware design has been completed.
 - Improved calibration techniques have been implemented for more accurate impedance measurements.
 - Initial validation study is complete and a new study is underway with rapid impedance measurements at multiple DODs.
- **A preliminary state-of-health assessment architecture design has been developed.**
 - Dynamic parameter estimation and prediction rely extensively on battery modeling capability and training regression tools

TECHNICAL BACK-UP SLIDES

Impedance Measurement Box

- **Novel techniques have been developed to acquire wideband impedance within seconds:**
 - Impedance Noise Identification (INI)
 - Very high resolution, computationally very intensive, very long test duration
 - Compensated Synchronous Detection (CSD)
 - Lower resolution, computationally intensive, long test duration
 - **Harmonic Compensated Synchronous Detection (HCSD)**
 - Low resolution, computationally intensive, short test duration
 - Fast Summation Transformation (FST)
 - Low resolution, computationally simple, short test duration
 - Cross-Talk Compensation (CTC)
 - High resolution, computationally intensive, short test duration
- **INL, Montana Tech, and Qualtech Systems Inc. collaborated on the development of these techniques .**

HCSD Validation Testing

- **Purpose of the initial HCSD validation tests:**
 - Demonstrate the effectiveness of the rapid impedance spectra measurement technique under both no-load and load conditions using Sanyo SA cells.
- **Cells aged at 40 and 50°C for 150,000 cycles with periodic reference performance tests (RPTs) to gauge degradation.**
- **HCSD measurements under no-load conditions conducted on all cells at each RPT.**
- **HCSD measurements under load conditions conducted on some cells during cycling.**
 - Designated cells were subjected to a total of 10,000 HCSD measurements under load with no obvious signs of additional degradation.

Sanyo SA Cells

- **Chemistry:**
 - $\text{Li(Mn, Co, Ni)O}_2 + \text{Li-Mn-O spinel} / \text{Graphite}$
- **Rated Capacity:**
 - 1.2 Ah (C/1 Rate)
- **Battery Size Factor:**
 - 1400
- **HPPC Voltage Range:**
 - $V_{\text{max}} = 4.1 \text{ V}$
 - $V_{\text{min}} = 3.0 \text{ V}$
- **USABC Application:**
 - Minimum PHEV

Voltage Bias (Under Load)

- The voltage bias profile for HCSD measurements under load can be observed with simulations.
- A bias voltage remains once the DC term is removed and it affects the measured spectra, especially at low frequencies.

