



U.S. DEPARTMENT OF
ENERGY

Energy Efficiency &
Renewable Energy



Emerging Technologies (ET)

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Emerging Technologies (ET) Mission

The Emerging Technologies (ET) Program's Mission:

Supports applied research to accelerate the development and initial commercialization of technologies and systems capable of substantially reducing primary energy use through improved:

- Solid-State Lighting (**Jim Brodrick**)
- HVAC, Water Heating and Appliances (**Tony Bouza**)
- Windows & Envelope (**Karma Sawyer**)
- Sensors and Controls (**Joe Hagerman**)
- Building Energy Modeling (**Amir Roth**)

Tech-to-Market & SBIR programs are managed by **Bahman Habibzadeh**.

George Hernandez serves as the Chief Engineer.

Leon Fabick and **Jim Payne** are Technical Project Officers based in Golden, CO.

ET Mid-term and Long-term Goals

Goals: Emerging Technologies	Mid term (2020)	Long term (2030)	Potential Energy Savings in 2030 (TBTU Saved)
Lighting	33%	65%	4,318
HVAC	12%	24%	2,934
Water Heating	19%	37%	1,484
Appliances	14%	29%	2,571
Envelope (Opaque)	12%	25%	3,048
Windows	4%	9%	1,102
Sensors and Controls	9%	18%	2,214

Goals: Emerging Technologies	Mid term (2020)
Building Energy Modeling	Double gross square footage designed with the help of building energy models.

The BTO Prioritization Tool (P-tool) was used to generate these energy savings potential numbers which assume maximum adoption potential.

ET Barriers & Strategies

Key Barriers

High cost or limited performance of commercially available technologies.

Inadequately funded private research.

Lack of efficiency test protocols or reliable information on performance of new technologies.

Market barriers to introduction of new technologies.

Strategies

Engage industry stakeholders in sector and technology analyses to select cost and performance targets and identify emerging opportunities.

Fund competitively selected research and development efforts to achieve key targets of technology roadmaps/MYPPs and fund “off roadmap” technologies & approaches.

Dedicated support to develop design tools and standardized test methods, and to support technology commercialization.

Competitively selected and merit reviewed research to fill gaps in MYPP and to support early stage, exploratory R&D.

ET Multi-Year Program Plan: Representative Performance Targets

Tech. Area	Activity	Emerging Technologies - Innovating High Impact Technologies								Outcomes
		2014	2015	2016	2017	2018	2019	2020		
Solid-State Lighting	Research and Development		128 lm/\$			190 lm/\$		217 lm/\$	1500 TBTU Energy Saved	
	Market Based Technology Development									
	Market Engagement									
HVAC/WH/ Appliances	HVAC R&D		Advanced Vapor Compression Technologies with Primary Seasonal COP: 2.20		Advanced Vapor Compression Technologies with Primary Seasonal COP: 2.30				Technologies Available Enabling 14% Energy Savings	
	Water Heating R&D									
	Appliances R&D									
Envelope (Opaque)	Insulation R&D		Building Envelope Materials for Retrofit Applications with R-value/in of 6					Building Envelope Materials for Retrofit Applications with R-value/in of 12	Technologies Available Enabling 12% Energy Savings	
	Roofing R&D									
Windows	Windows R&D		Highly Insulating Windows: R-5 & VT >0.4					Highly Insulating Windows: R-16 & VT >0.4	Technologies Available Enabling 4% Energy Savings	
	Dynamic Window & Window Film R&D									
	Visible Light Reduction									
Sensors & Controls	Low-cost Self-powered Wireless Sensor Platform		Low-Cost Self-Powered Sensors with 24 hrs. between recharges		Low-Cost Self-Powered Sensors with 48 hrs. between recharges			Low-Cost Self-Powered Sensors with 72 hrs. between recharges	Technologies Available Enabling 9% Energy Savings	
	Self-configuring, Self-commissioning, Self-optimizing Controls									
Bldg Energy Modeling*	World-class Open-source Simulation Software							20 3rd party programs using EnergyPlus	Double Commercial GSF Designed with Building Energy Modeling	
	Characterization & Validation									
	Vendor Partnerships									
		2014	2015	2016	2017	2018	2019	2020		

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*Building Energy Modeling is inclusive of some of the work executed under the Commercial Building Integration Program.

■ Commercial Bldg. Milestone
 ■ Residential and Commercial Milestone

ET: HVAC R&D

Emerging Technology Performance Metrics (2014-2020)

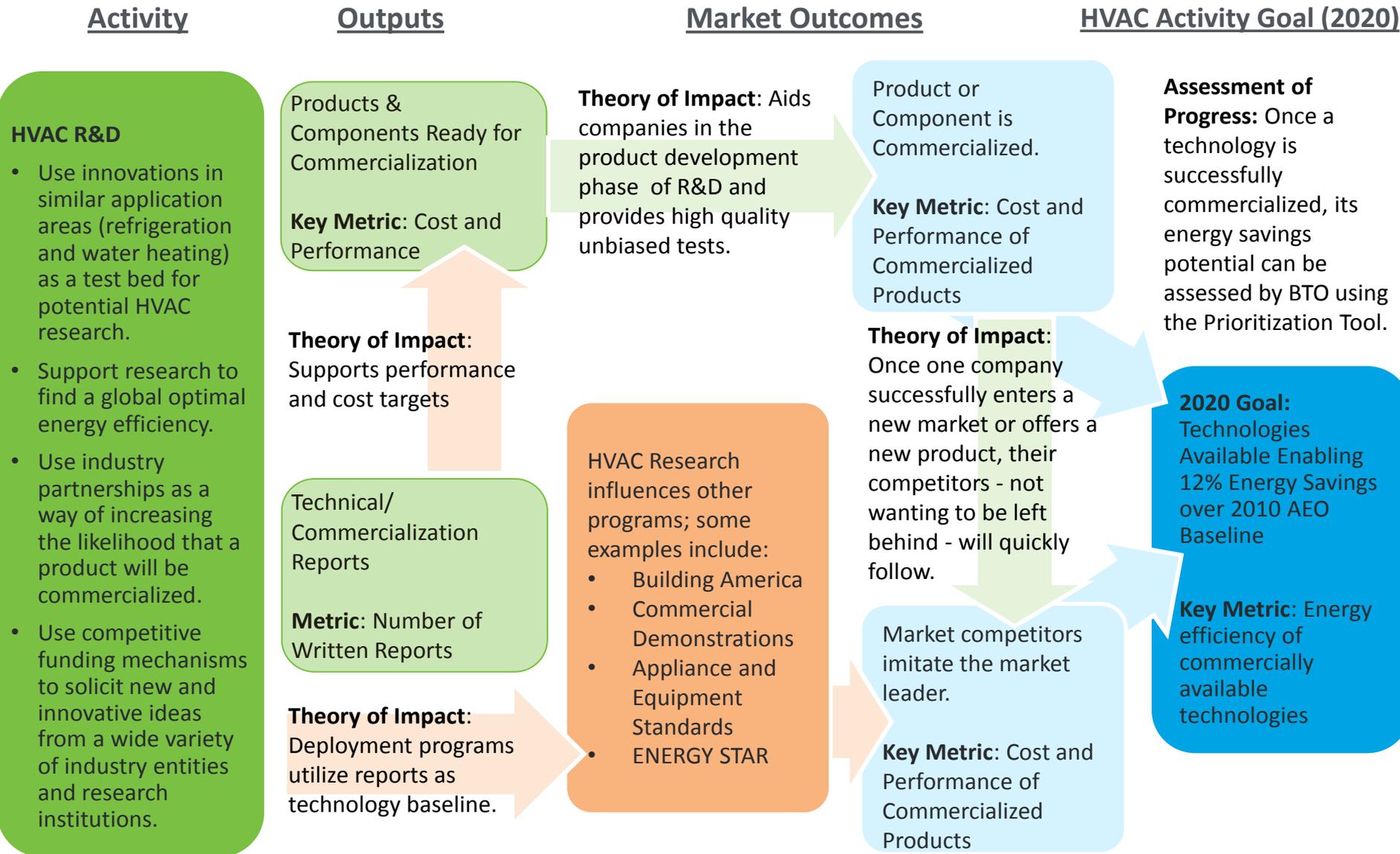
DRAFT

Tech. Area	Activity	Project Area	Metric	2014	2015	2016	2017	2018	2019	2020	
HVAC R&D	HVAC R&D	Advanced Vapor Compression Technologies	Primary Seasonal COP; Installed Cost per kBtu/hr. In 2013 \$		2.20; \$103.80		2.30; \$93.32			2.01; \$82.61	
		Non-vapor Compression HVAC Systems	Primary Seasonal COP; Installed Cost per kBtu/hr. In 2013 \$		2.30; \$98.90		2.28; \$89.59			2.28; \$80.07	
		Natural Gas Driven Heat Pumps	Primary Seasonal COP; Installed Cost per kBtu/hr. In 2013 \$		1.20; \$77.60		1.40; \$66.68			1.38; \$55.52	
		Cold Climate HP	Primary Seasonal COP; Installed Cost per kBtu/hr. In 2013 \$		0.90; \$147.72		1.00; \$136.29			1.07; \$124.61	
		Air Source (AS) - Integrated Heat Pump	Primary Energy Savings; Installed Cost Premium per sq.ft.	40%; \$3.00			45%; \$200			49%; \$1.53	
		Multifunction Natural Gas-driven HP	Primary Energy Savings; Installed Cost Premium per sq.ft.	38%; \$3.00			42%; \$1.50			44%; \$1.07	
	Water Heating R&D	Water Heating R&D	Non-CO2 Vapor-compression HPWH	Primary Energy Factor; Installed Cost Premium per First Hour Rating (\$/gal)		0.71; \$12.20					0.81; \$8.13
			CO2 Vapor-compression HPWH		0.89; \$14.93				0.94; \$11.94		
			Non-vapor compression HPWH		0.36; \$3.00				0.52; \$4.00		
			Gas-fired Adsorption/ Adsorption HPWH		1.00; \$11.54				1.20; \$7.14		
Appliances R&D	Appliances R&D	Advanced Compressor Technologies	% Energy Savings; cost premiums per unit for 5 year simple payback in 2030							25%; \$225	
		Advanced Refrigerators								15%; \$1000	
		Heat Pump Dryer								47%; \$420	28%; \$3000
		Low Emission Refrigeration								50%; \$565	
		Non-vapor Compression Refrigeration Tech.								55%; \$285	32%; \$2100

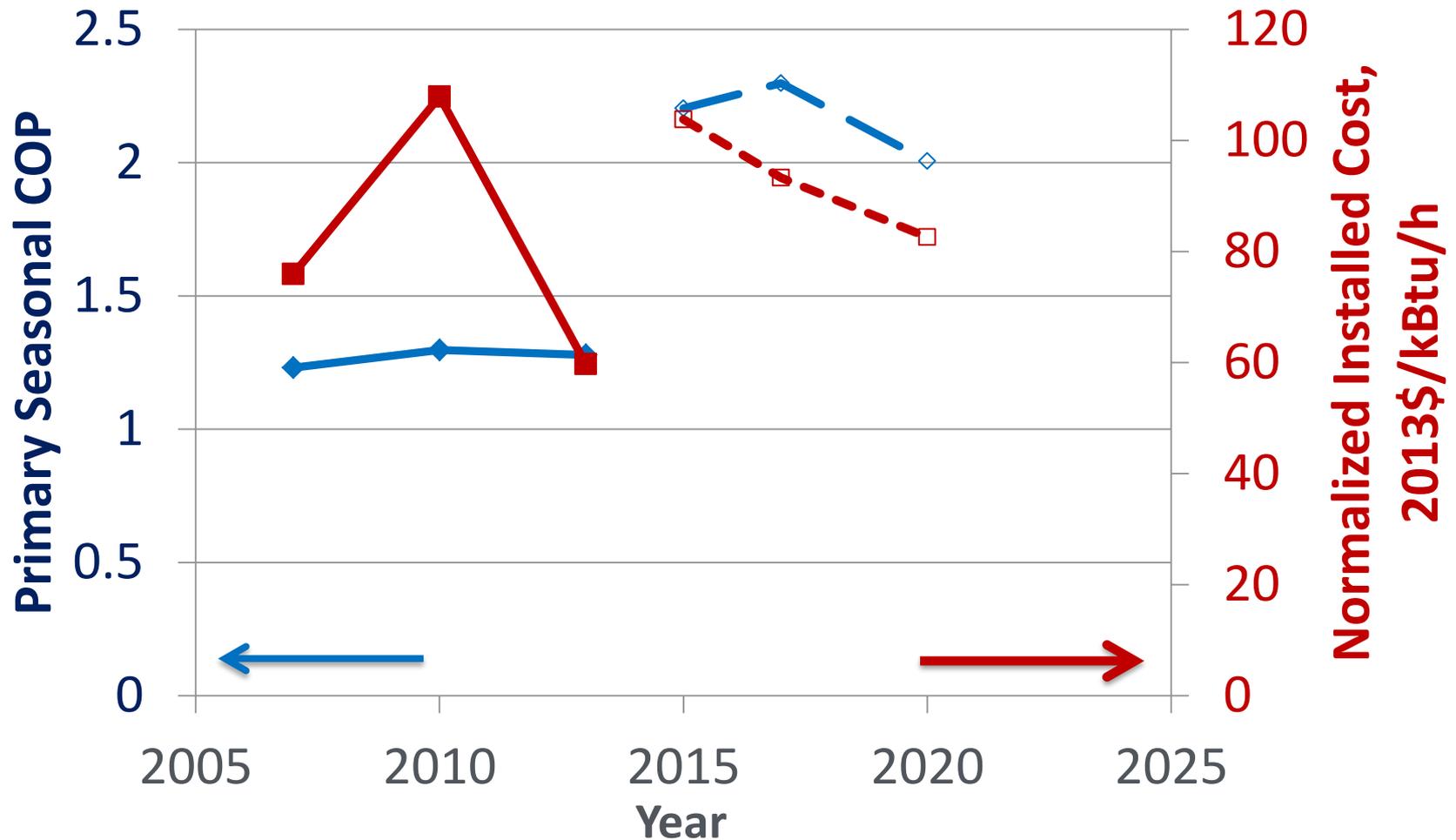
2014	2015	2016	2017	2018	2019	2020
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Residential Building Milestone
 Commercial Building Milestone
 Residential and Commercial Milestone

HVAC R&D: Outputs, Outcomes and Metrics

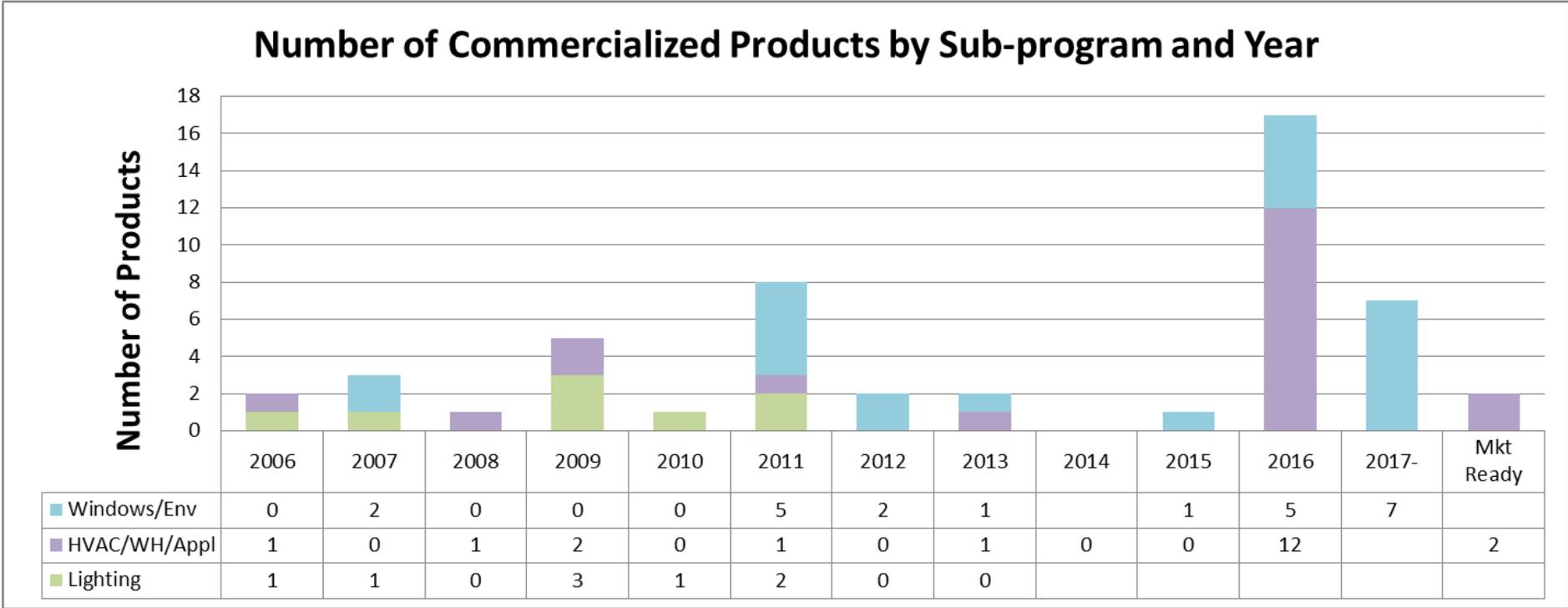


Example: Tracking Progress on Advanced Vapor Compression Technologies



- Solid symbols are typical values for residential central air conditioning units; open symbols are targets.
- The additional cost associated with historical and projected technologies is due to up front incremental cost of significant efficiency improvements. This cost would be expected to decrease over time as indicated by the graph.

ET: Commercialization Track Record



Historic Information on Product Commercialization from PNNL Technology Tracker, April 2012
 "Commercialized" is defined as – available for purchase and has been sold to at least one party in the United States

Desired Outcomes from ET Projects:

- Commercialized, energy-efficient, cost-effective technologies
- Regular patents
- Refereed journal publications

ET Priorities for FY15 and Beyond

A number of funding mechanisms will be used:

Funding Opportunity Announcements:

- Topics formulated to achieve performance & cost targets in MYPP and roadmaps
- “Incubator” topics for early-stage, off-roadmap technologies & approaches

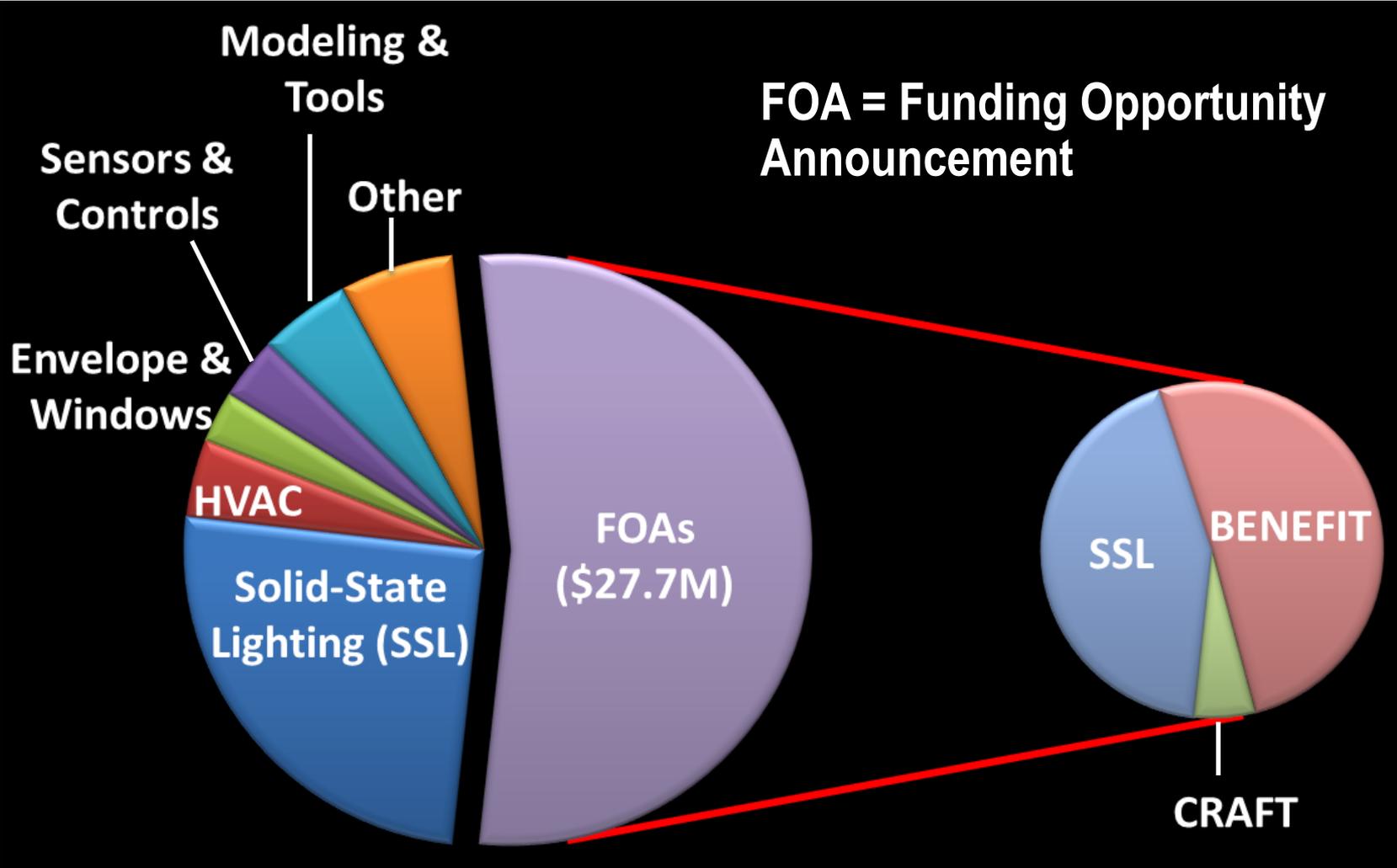
Lab “Enabling” Funding:

- Capitalize on lab capabilities
- Fill in gaps in the MYPP
- Provide facilities & expertise to industry, e.g., to overcome the “valley of death” to achieve successful commercialization
- Develop and maintain design tools
- Develop standard test methods for non-covered products
- Conduct early-stage R&D

Lab Calls (if funding available):

- Conduct R&D on solicited and unsolicited topics
- Conduct scoping studies and provide analytical assistance

FY14 Funding for BTO Emerging Technologies (ET)



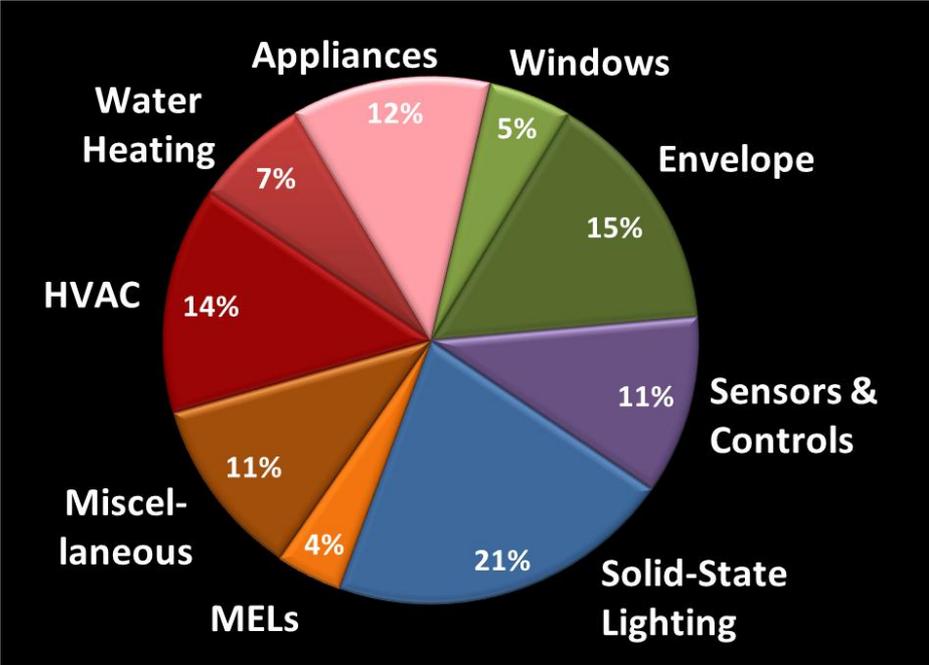
***ET FY14 Budget: \$51.9M**

BENEFIT = Building Energy Efficiency Frontiers and Incubator Technologies

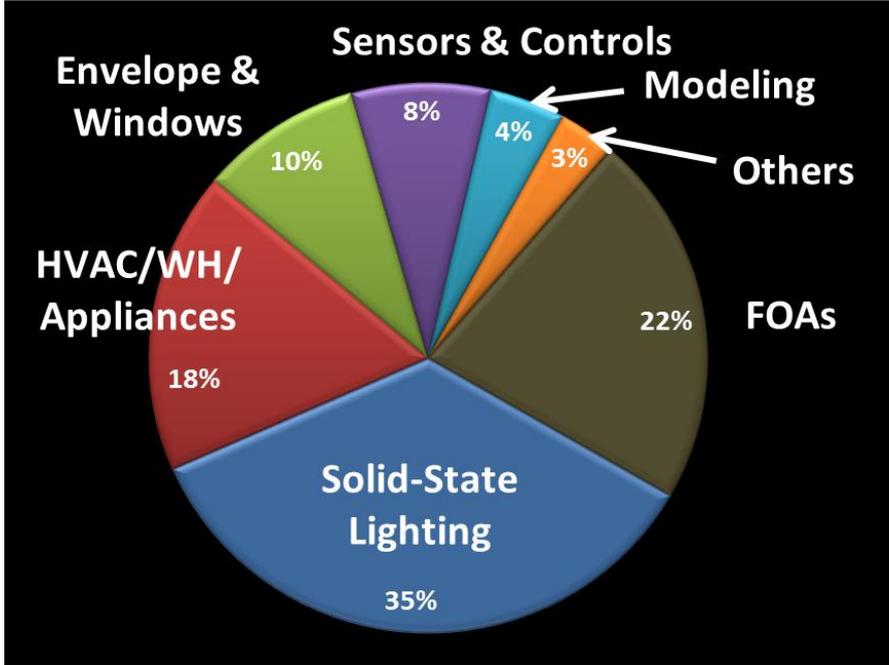
CRAFT = Certification and Rating of Attachments for Fenestration Technologies

ET Funding vs. Projected 2030 Primary Energy Savings

2030 ET-Enabled Primary Energy Savings



All ET Funding (FY14 + Carry-Over)



Future funding priorities will try to balance the portfolio to reflect the energy savings opportunities.

BTO Emerging Technologies

CREATING THE NEXT GENERATION OF ENERGY EFFICIENT TECHNOLOGY

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A new roadmap presents strategies for overcoming technical and market challenges.

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The Emerging Technologies team partners with national laboratories, industry, and universities to advance research, development, and commercialization of **energy efficient and cost effective building technologies**. These partnerships help foster American ingenuity to develop cutting-edge technologies that have less than 5 years to market readiness, and contribute to the goal to reduce energy consumption by at least 50%.

RESEARCH AND DEVELOPMENT

- Improve the energy efficiency of **appliances**, including refrigerators, washers, and dryers.
- Reduce the amount of energy lost through the **building envelope** and **windows, skylights, and doors** by developing innovative materials and equipment.
- Increase the cost effectiveness and energy efficiency of building **space heating and cooling** and water heating technologies.
- Target improvements in the efficiency, performance, lifetime, and quality of light from both organic and inorganic light emitting diodes through **solid state lighting research**.
- Develop **sensors and controls** to help building operators better adapt energy use to

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[Advanced Energy Retrofit Guides: Healthcare Facilities](#)

April 17, 1:00-2:30 PM EST

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April 22-24, 2014