



U.S. DEPARTMENT OF
ENERGY



Periodic Material-Based Seismic Base Isolators for Small Modular Reactors

NEET-1 Annual Meeting
September 29, 2015

Research Team

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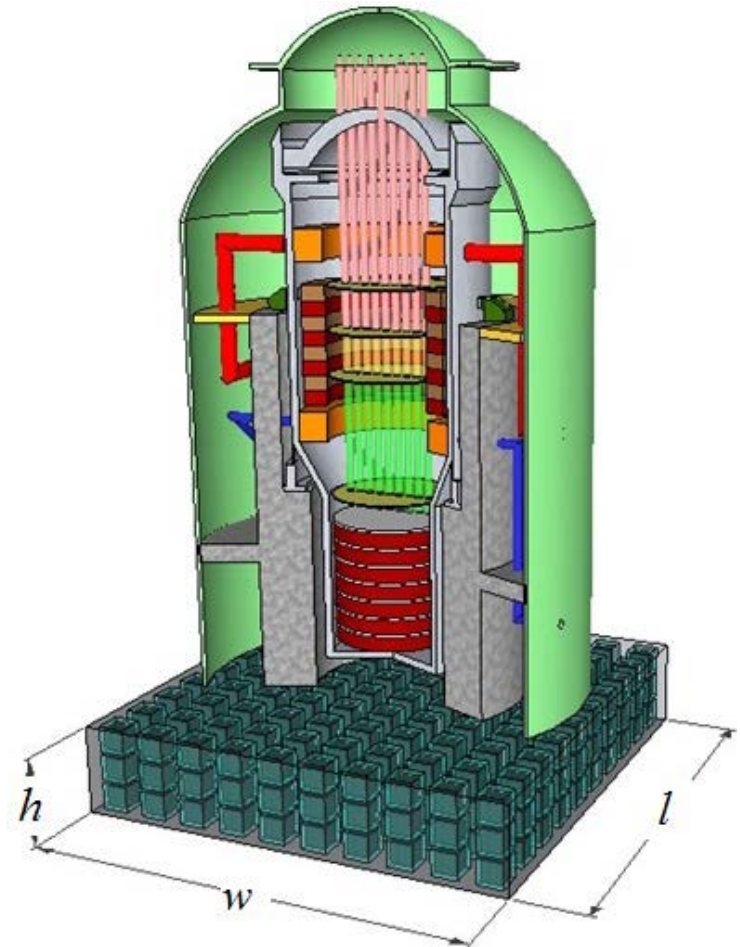
Project overview

Purpose:

To develop a periodic foundation that can completely obstruct or change the energy pattern of the earthquake before it reaches the structure of small modular reactors (SMR).

Scopes:

- (1) Perform comprehensive, analytical study on periodic foundations.
- (2) Design a SMR model with periodic foundations.
- (3) Verify the effectiveness of periodic foundations through shake table tests.
- (4) Perform finite element simulation of SMR supported by periodic foundations.



Project schedule



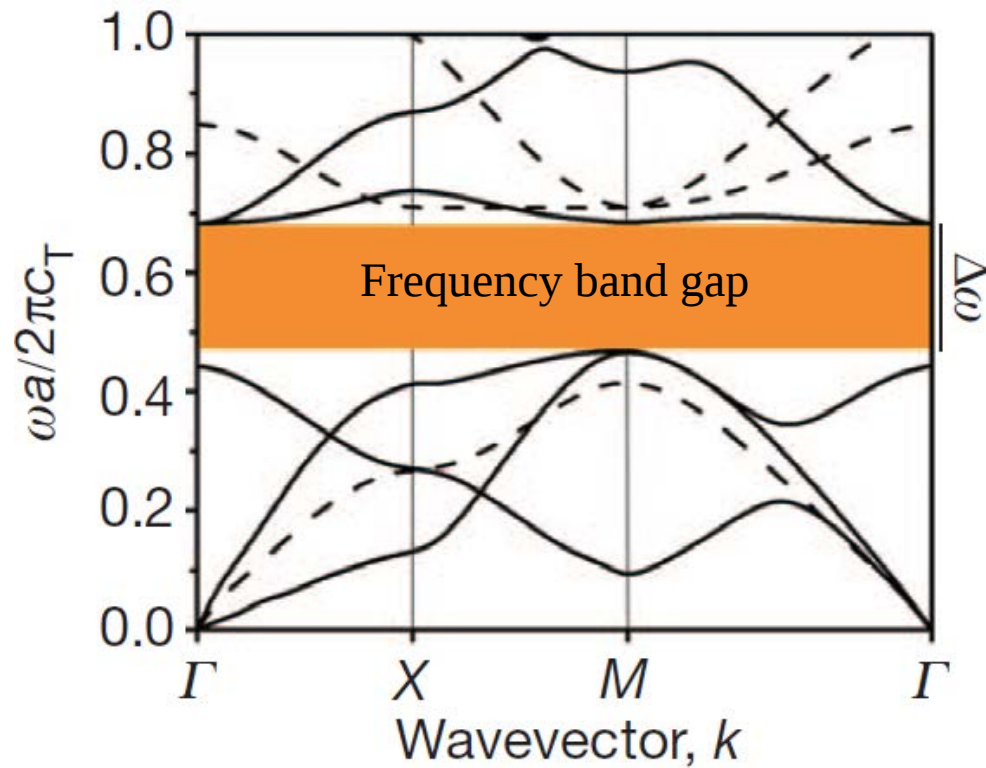
Task	2014	2015				2016				2017		
	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep
1	Review of previous work and literature											
2		Theoretical study on periodic foundations										
3			Design of 3D periodic foundation									
4					Experimental study of periodic foundations							
5							Experimental data analysis and numerical simulation of periodic foundations					
6											Preparation of final report	

Wave propagation in phononic crystal



Phononic crystal is a novel composite developed in solid-state-physics.

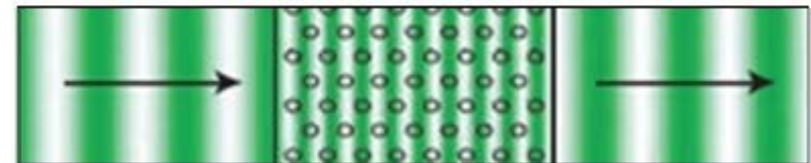
Typical dispersion curve ^[1]



Wave Propagation ^[2]



Wave propagation with frequency within the frequency band gap



Wave propagation with frequency outside of the frequency band gap

[1] Maldovan, M. (2013). Sound and heat revolutions in phononics. *Nature*, 503(7475), 209-217.

[2] Thomas, E. L., Gorishnyy, T., & Maldovan, M. (2006). Phononics: Colloidal crystals go hypersonic. *Nature materials*, 5(10), 773-774.

Calculating dispersion curve



Governing equation of motion for a continuum body with isotropic elastic material

$$\rho(\mathbf{r}) \frac{\partial^2 \mathbf{u}}{\partial t^2} = \nabla \{ [\lambda(\mathbf{r}) + 2\mu(\mathbf{r})] (\nabla \cdot \mathbf{u}) \} - \nabla \times [\mu(\mathbf{r}) \nabla \times \mathbf{u}] \quad \text{Eq.1}$$

Where: $\mathbf{r}$ is coordinate vector
 $\mathbf{u}(\mathbf{r})$ is displacement vector
 $\rho(\mathbf{r})$ is the density
 $\lambda(\mathbf{r})$ and $\mu(\mathbf{r})$ are the Lamé constant

Periodic boundary condition equation:

$$\mathbf{u}(\mathbf{r} + \mathbf{a}, t) = e^{i\mathbf{K} \cdot \mathbf{a}} \mathbf{u}(\mathbf{r}, t) \quad \text{Eq.2}$$

Where: $\mathbf{K}$ is the wave vector
 $\mathbf{a}$ is unit cell size

Calculating dispersion curve



Applying the periodic boundary condition (Eq.2) to the governing equation, (Eq.1), the wave equation can be transferred into eigen value problem as follow:

$$(\mathbf{\Omega}(\mathbf{K}) - \omega^2 \mathbf{M}) \cdot \mathbf{u} = 0 \quad \text{Eq.3}$$

Where: $\mathbf{\Omega}$ is the stiffness matrix
 $\mathbf{M}$ is the mass matrix

For each wave vector ($\mathbf{K}$) a series of corresponding frequencies (ω) can be obtained.

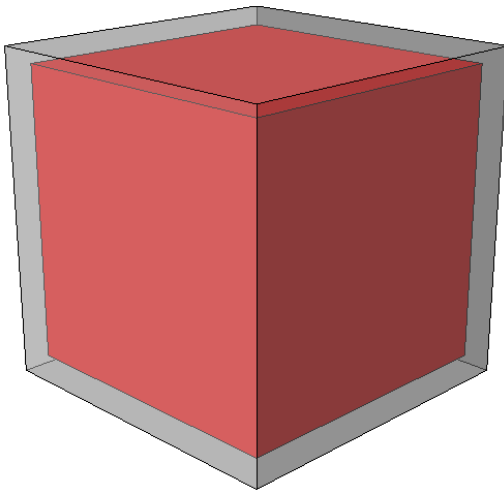
Calculating dispersion curve



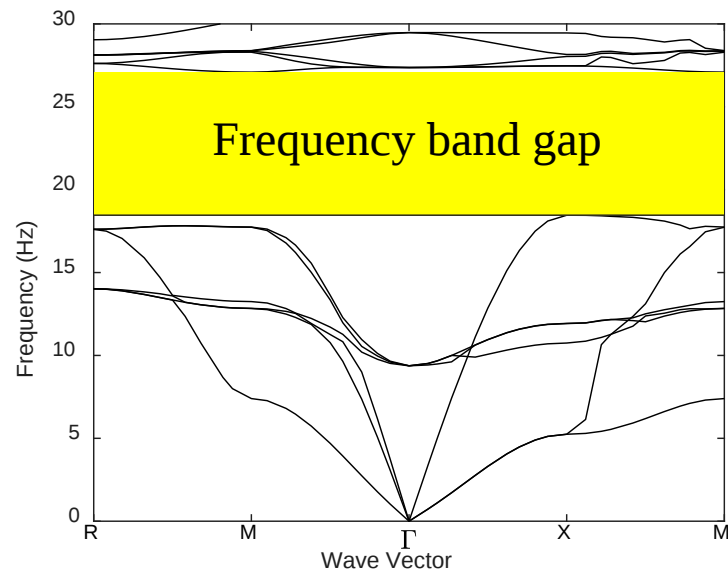
Eigen value problem:

$$(\mathbf{\Omega}(\mathbf{K}) - \omega^2 \mathbf{M}).\mathbf{u} = 0$$

Infinite number of unit cells condition



**Typical two-component 3D
periodic foundation**

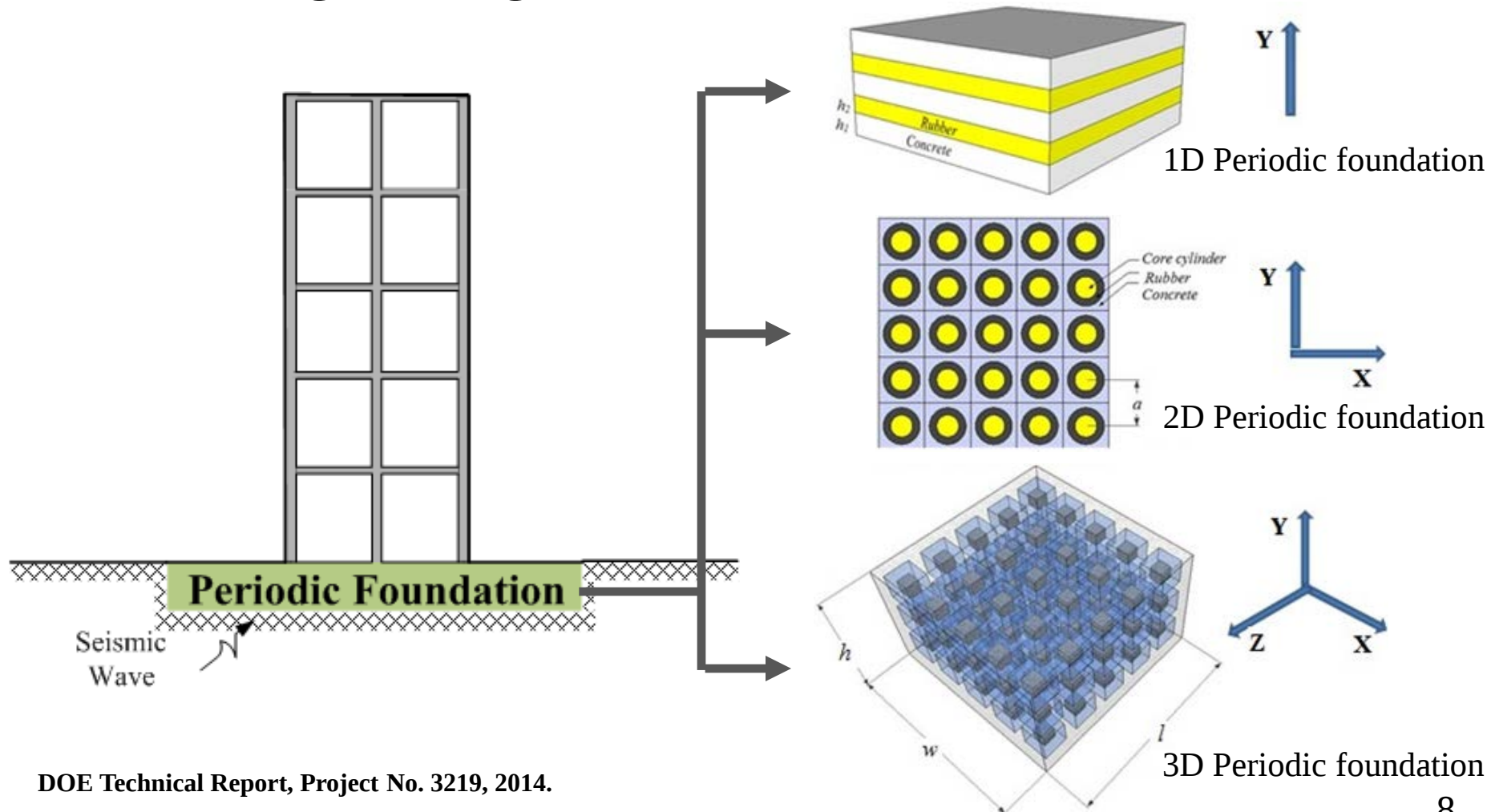


Typical dispersion curve

Application of phononic crystal



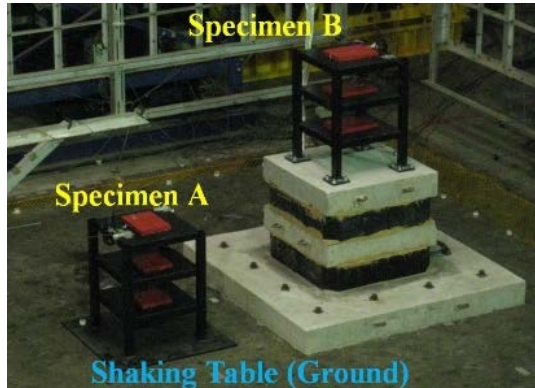
In civil engineering field



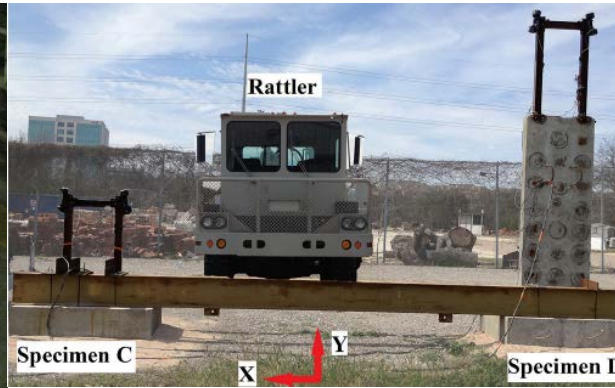
Experimental study on periodic foundation



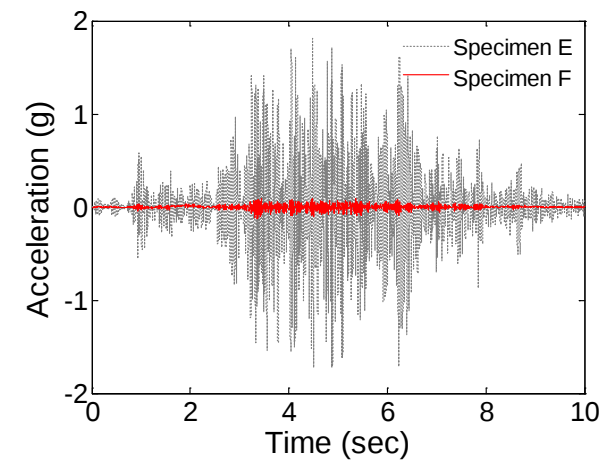
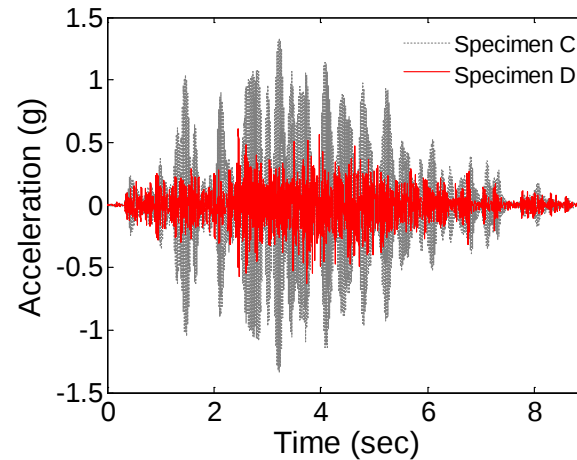
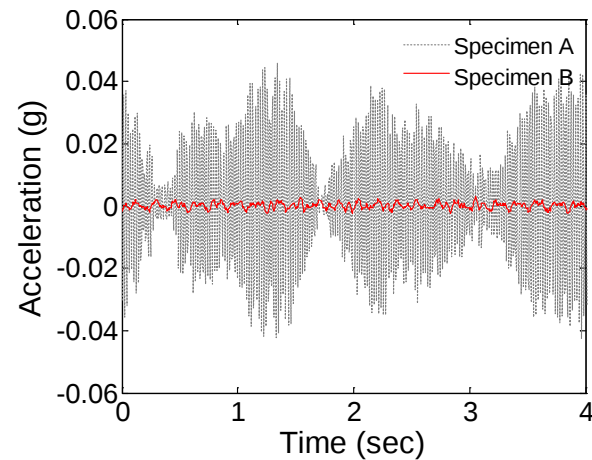
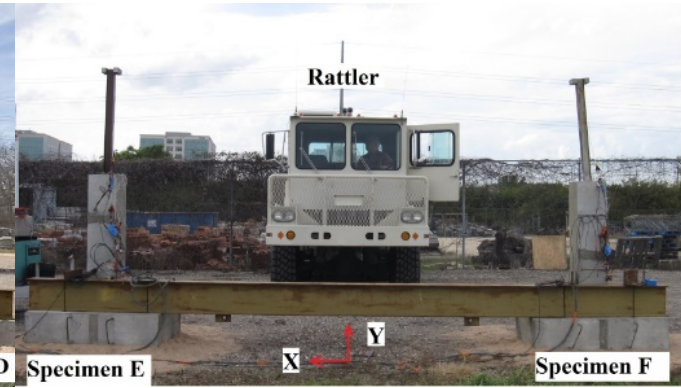
1D Periodic foundation



2D Periodic foundation

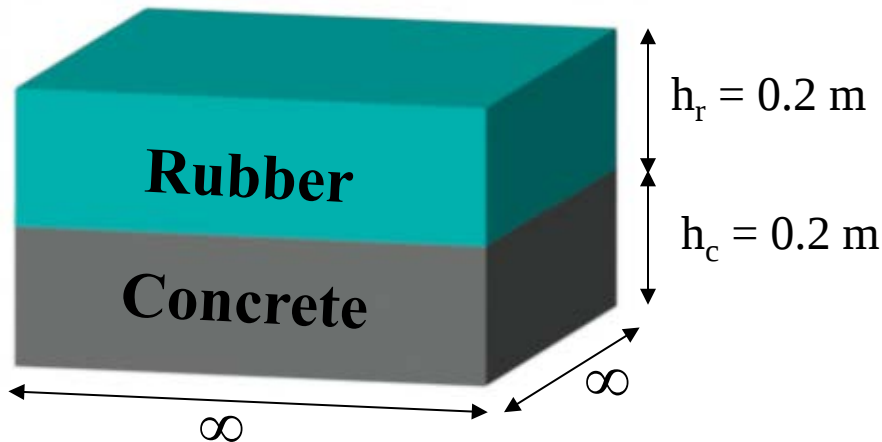


3D Periodic foundation

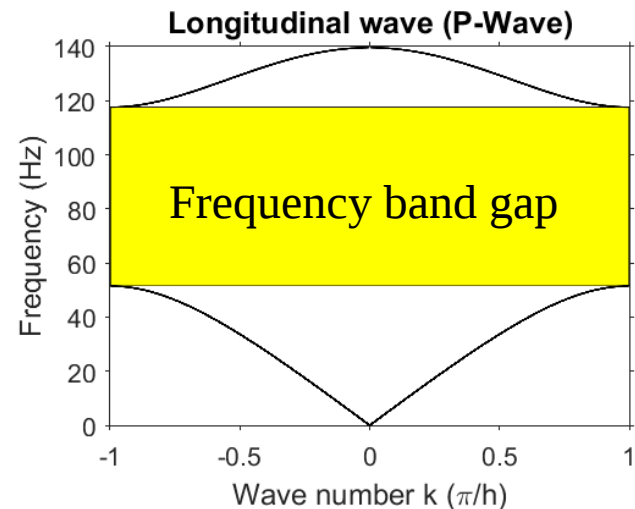
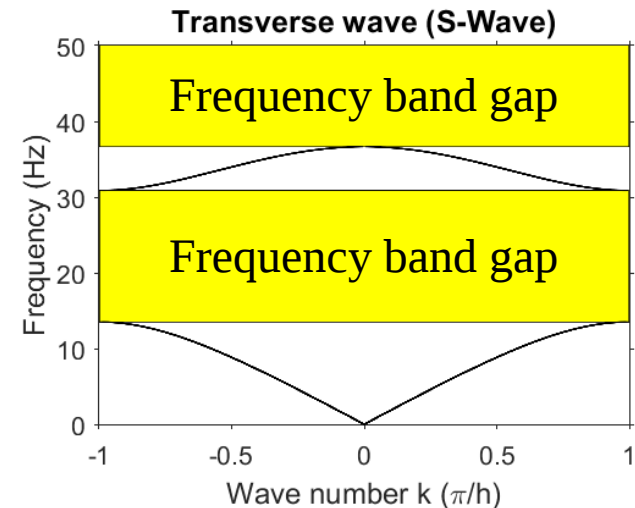


1D Periodic Foundations

One unit cell of 1D periodic foundation



Dispersion curve for infinite number of unit cells

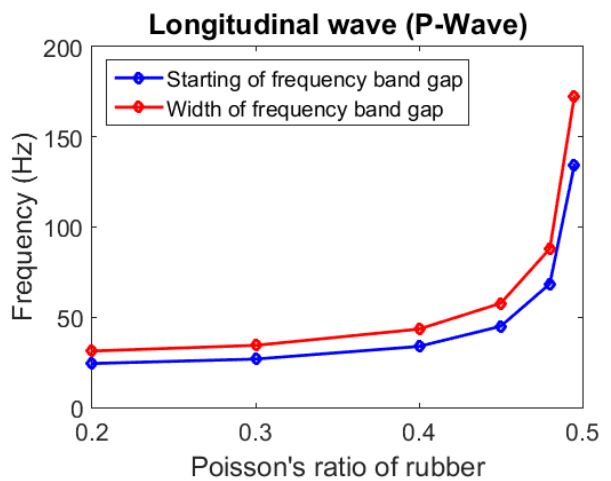
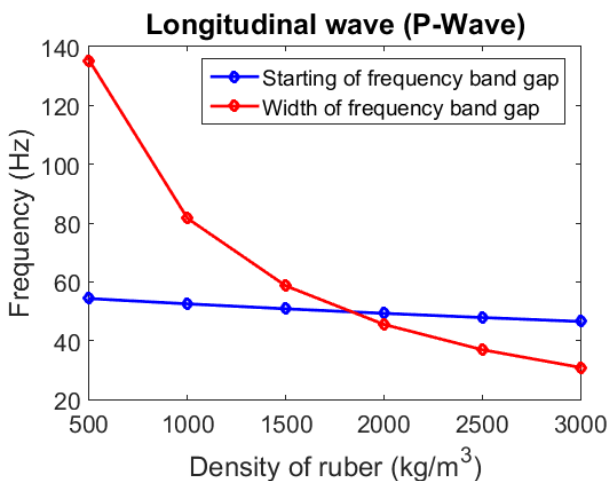
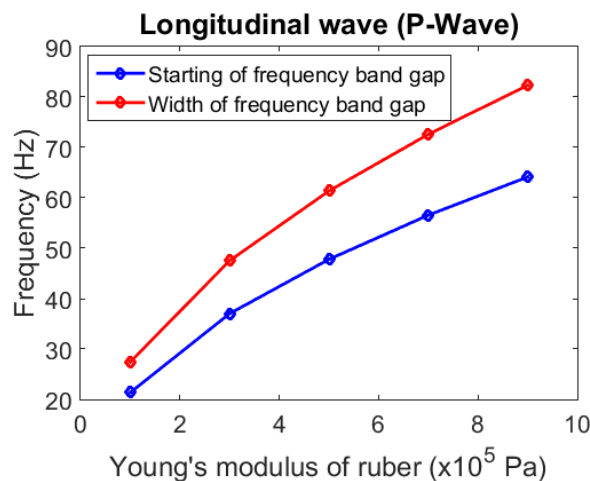
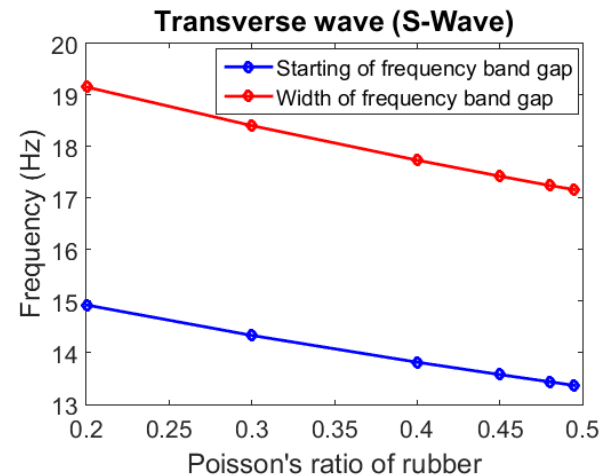
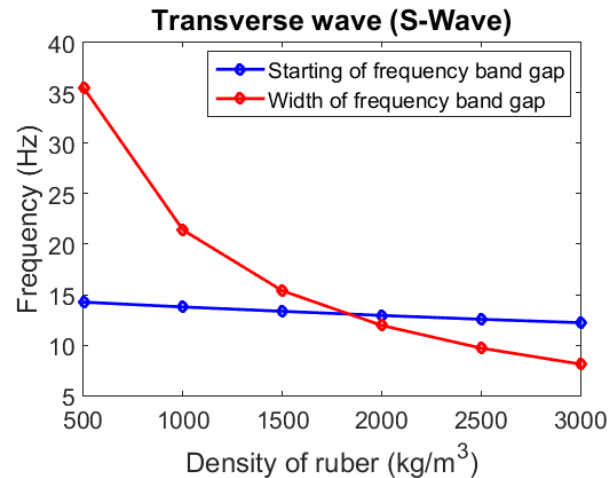
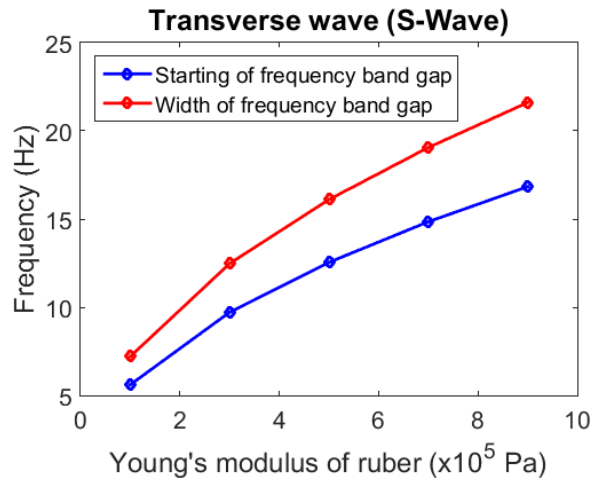


Fix material properties

Material	Young's Modulus (Pa)	Density (kg/m ³)	Poisson's Ratio
Concrete	3.14×10^{10}	2300	0.2
Rubber	5.8×10^5	1300	0.463

Parametric study of 1D periodic foundations

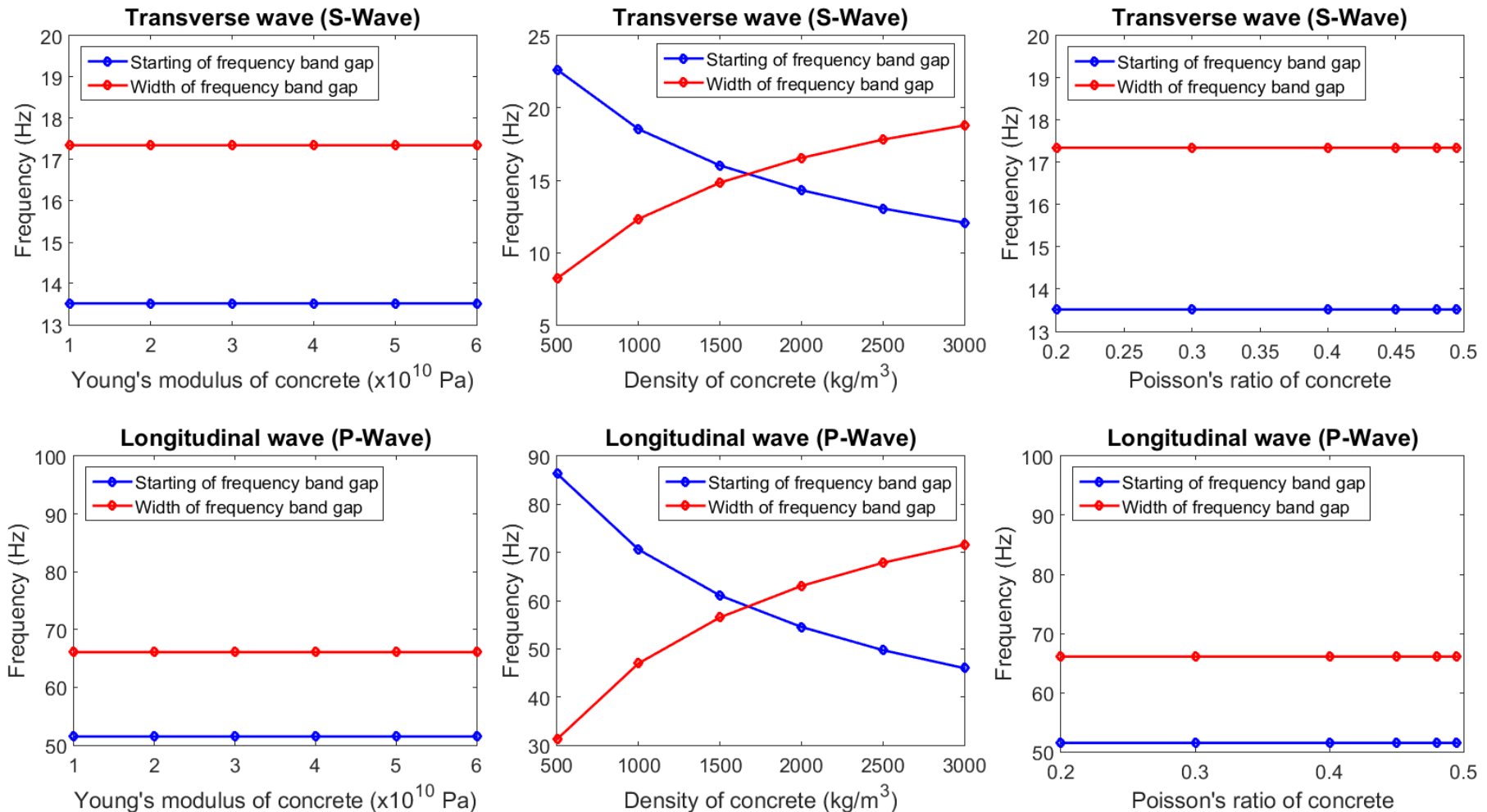
Effect of rubber material properties on the first frequency band gap



Parametric study of 1D periodic foundations



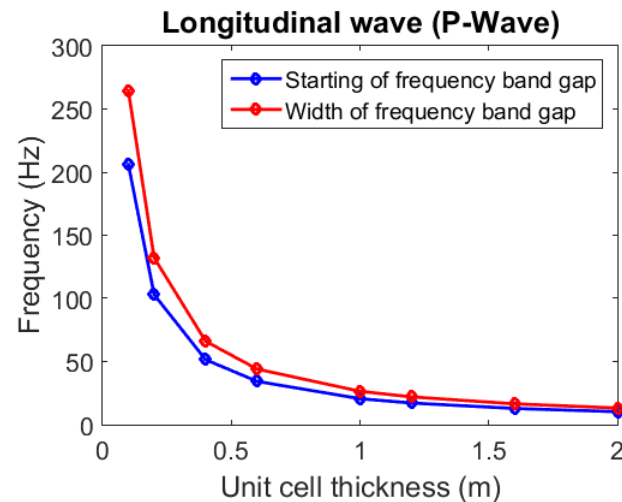
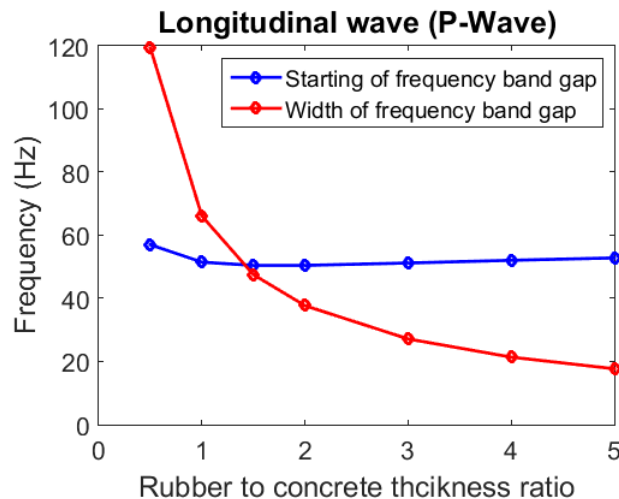
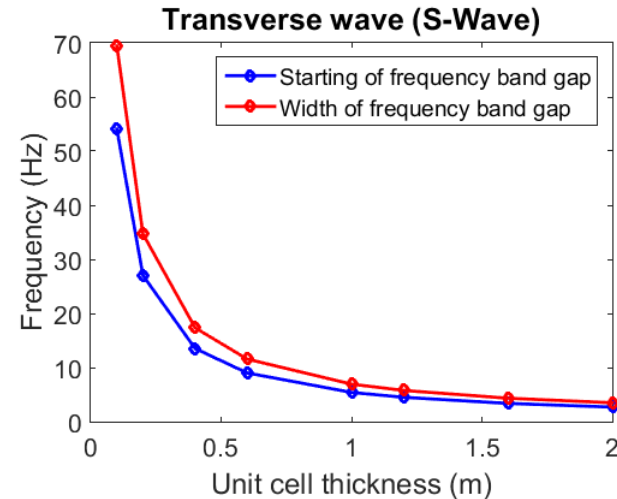
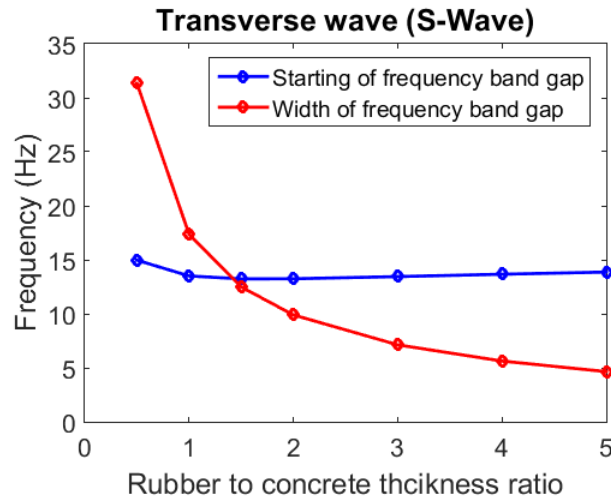
Effect of concrete material properties on the first frequency band gap



Parametric study of 1D periodic foundations



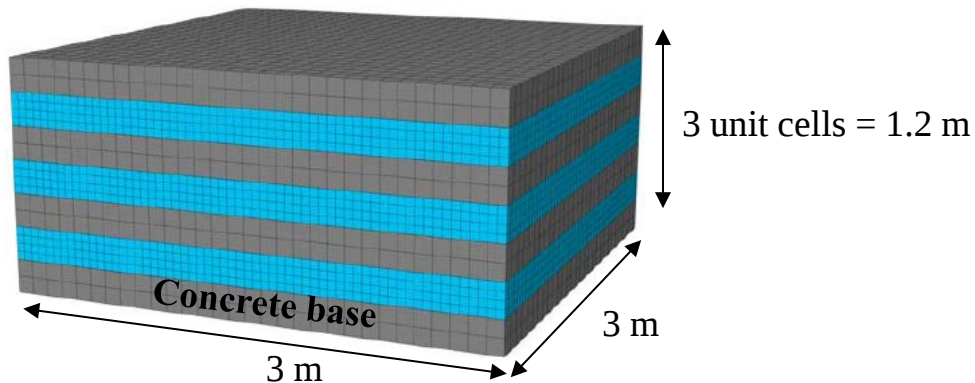
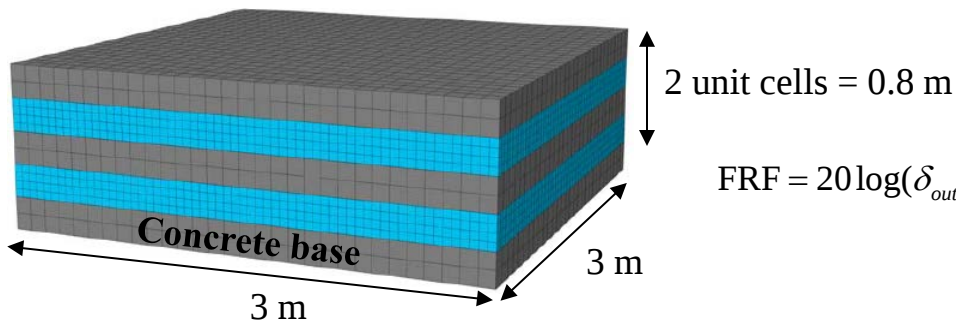
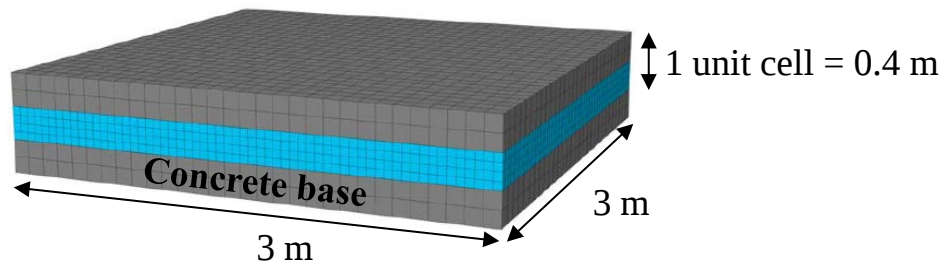
Effect of geometric properties on the first frequency band gap



Parametric study of 1D periodic foundations

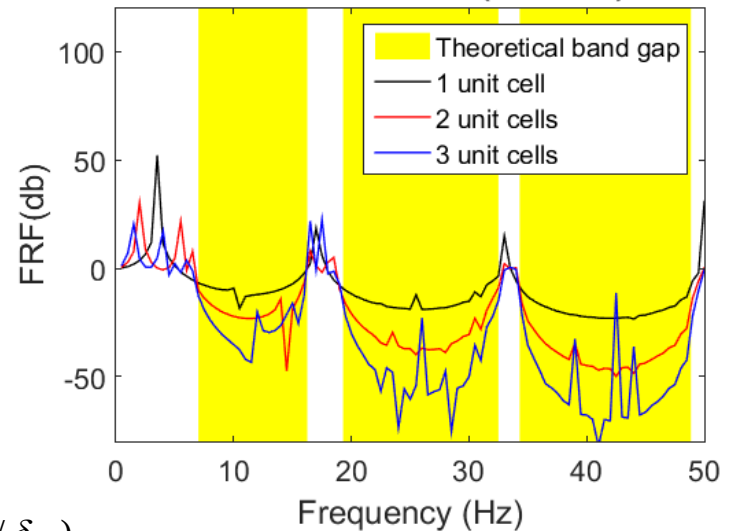


Effect of number of unit cells

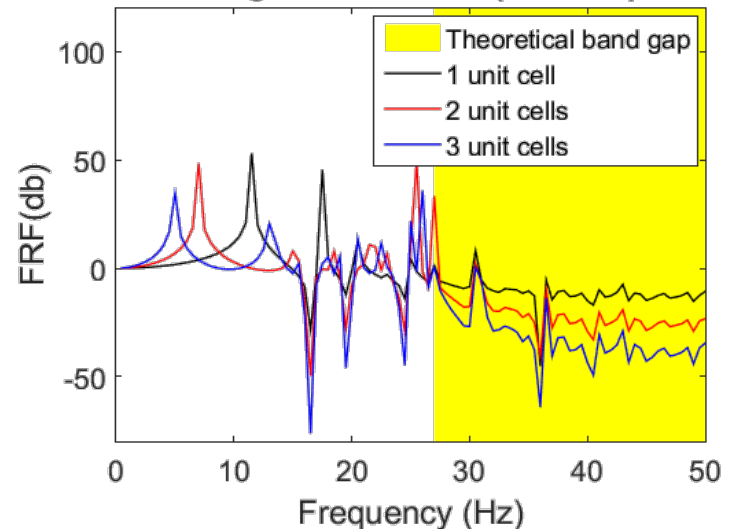


$$FRF = 20 \log(\delta_{out} / \delta_{inp})$$

Transverse wave (S-Wave)



Longitudinal wave (P-Wave)

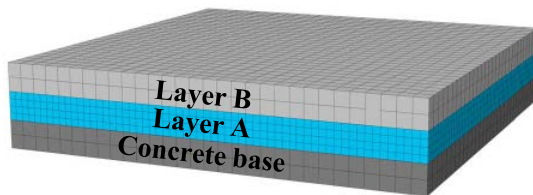


Parametric study of 1D periodic foundations

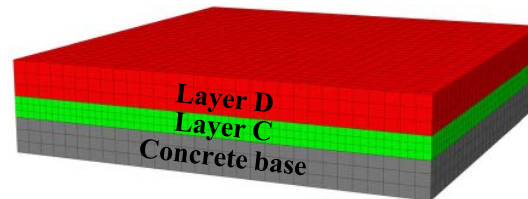


Effect of combined unit cells

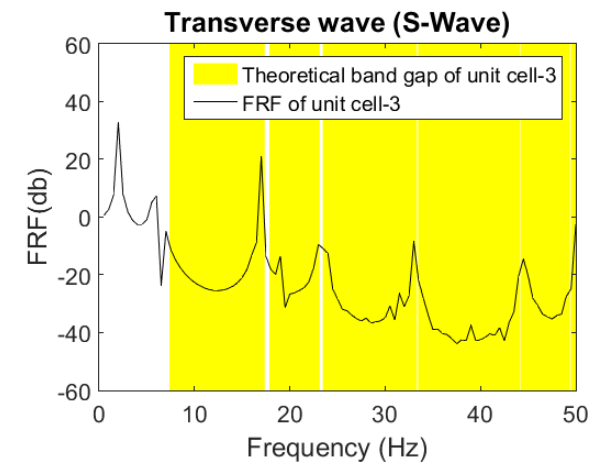
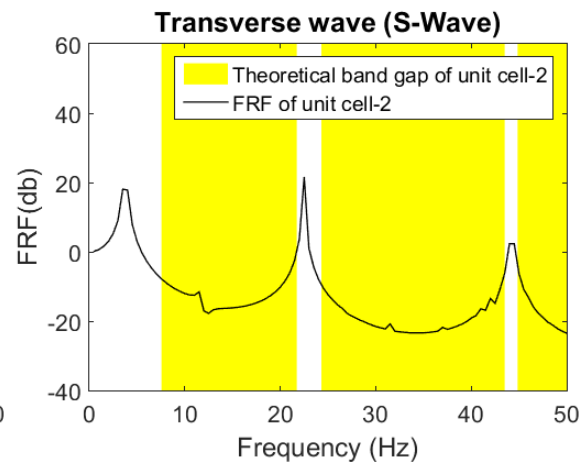
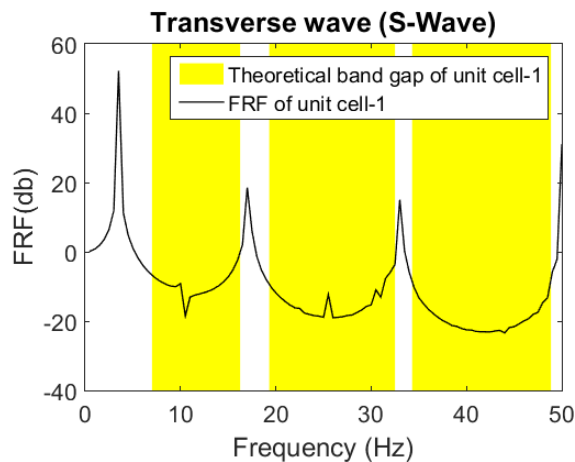
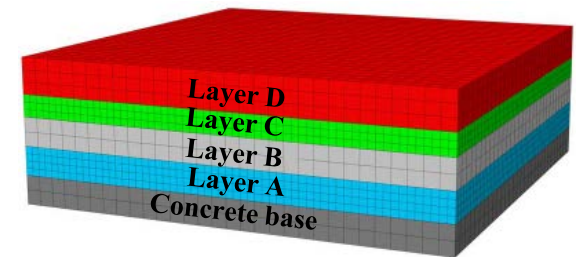
Unit cell-1



Unit cell-2



Unit cell-3

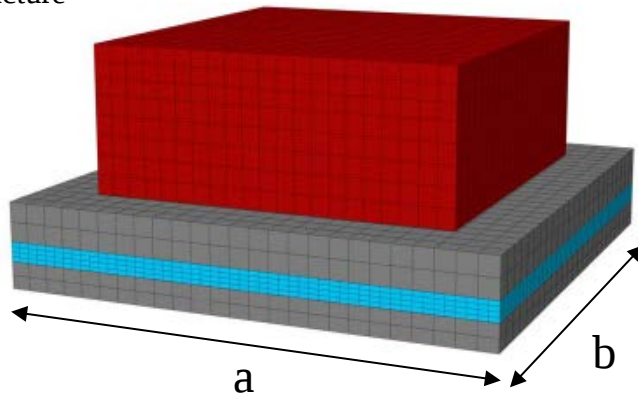


Parametric study of 1D periodic foundations

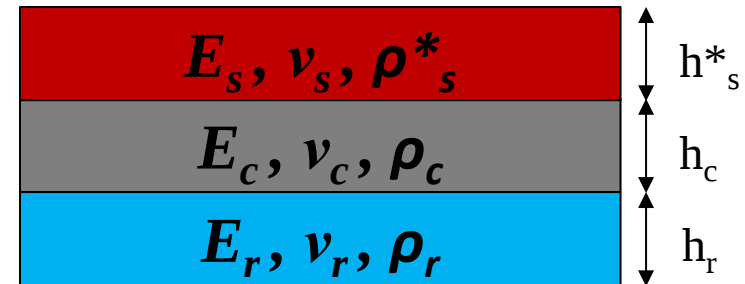


Effect of superstructure

$f_{\text{superstructure}} = 10 \text{ Hz}$

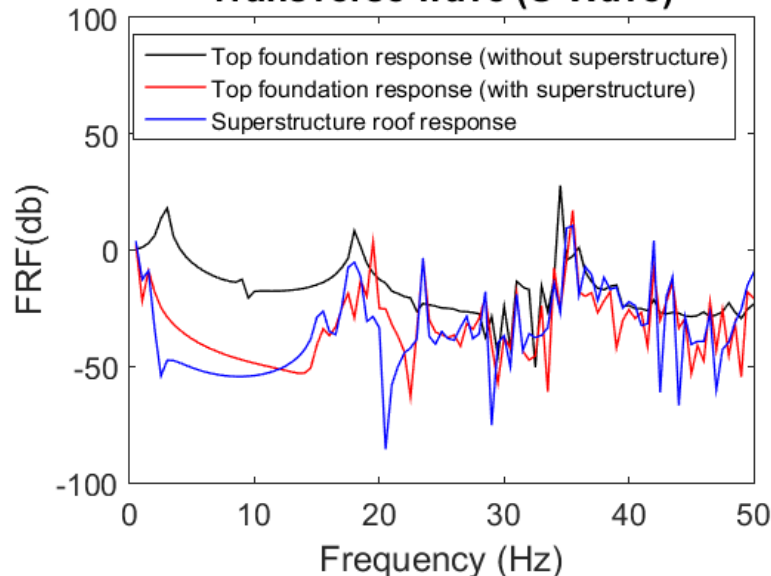


Equivalent model

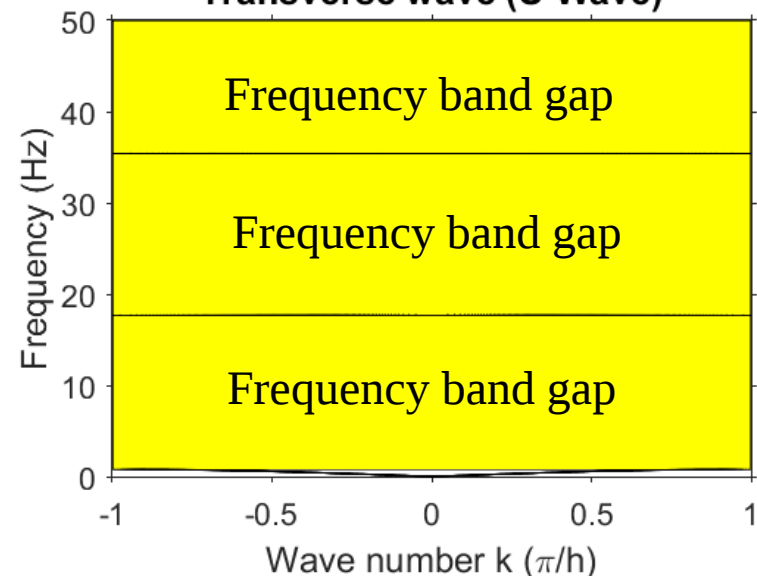


Where: $\rho_s^* = \frac{W_{\text{superstructure}}}{a \times b \times h_s^*}$

Transverse wave (S-Wave)



Transverse wave (S-Wave)

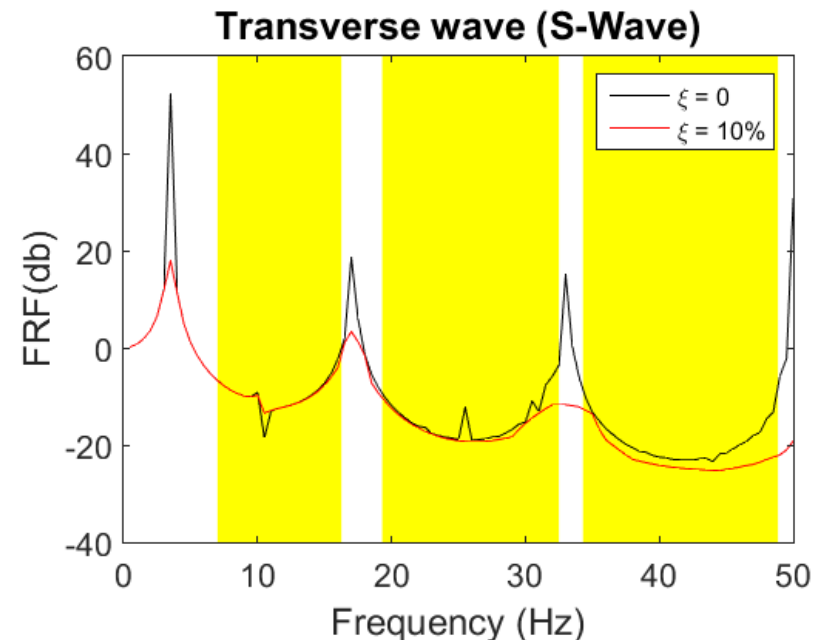
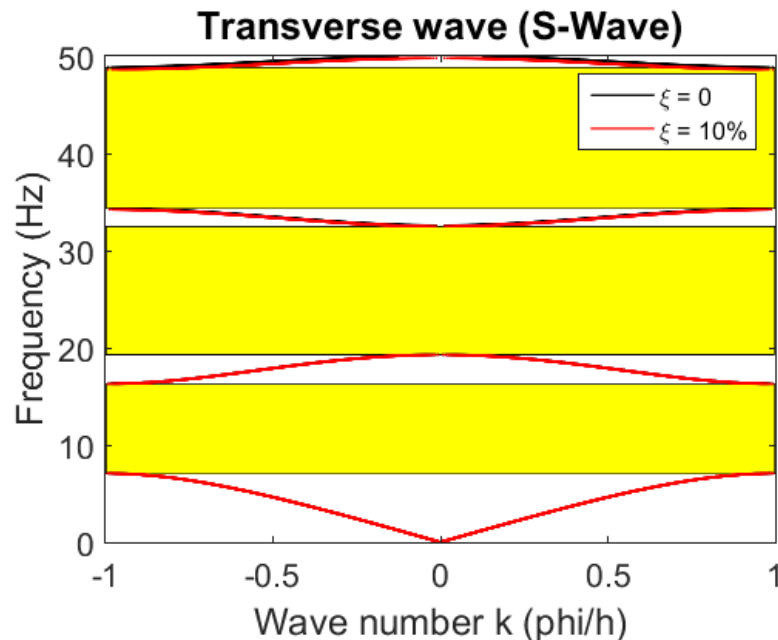


Parametric study of 1D periodic foundations



Effect of damping

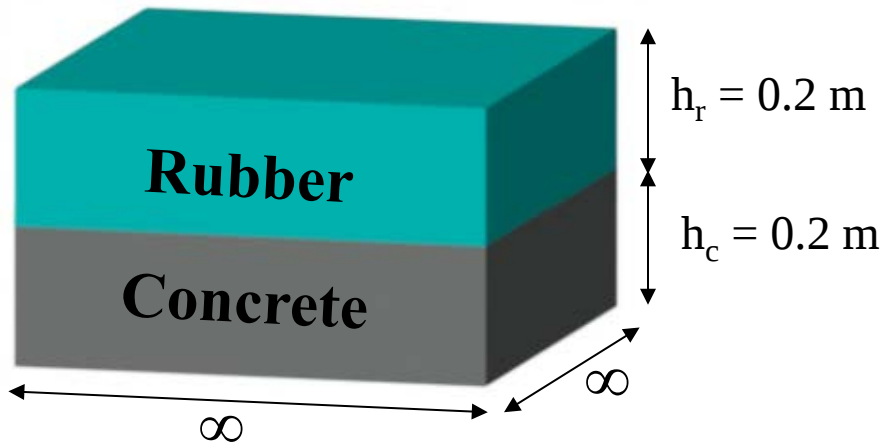
$$\omega_d(\mathbf{K}) = \omega(\mathbf{K})\sqrt{1 - \zeta(\mathbf{K})^2} \quad [1]$$



Design guidelines of 1D periodic foundations

One unit cell of 1D periodic foundations

Fix geometric properties



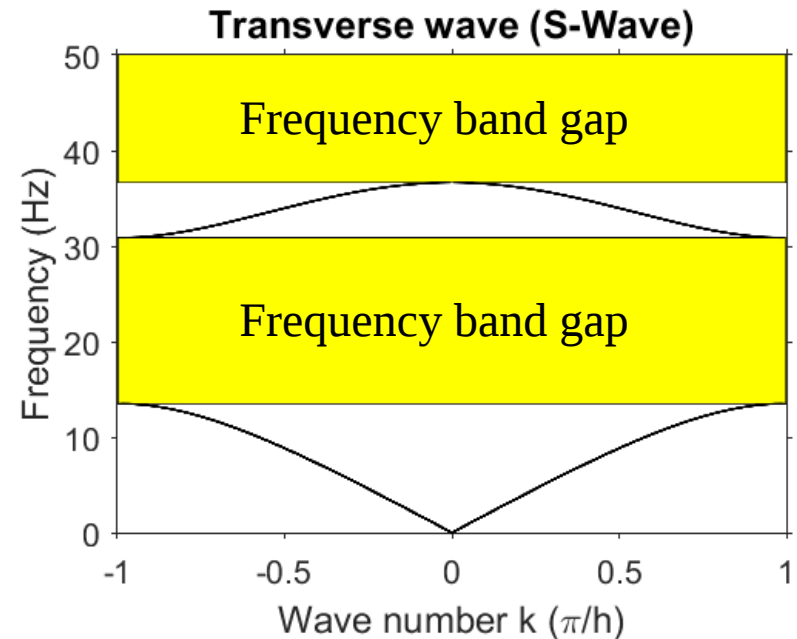
Unit cell size = 1

Rubber to concrete thickness ratio = 1

Fix material properties

Material	Young's Modulus (Pa)	Density (kg/m ³)	Poisson's Ratio
Concrete	3.14×10^{10}	2300	0.2
Rubber	5.8×10^5	1300	0.463

Dispersion curve for infinite number of unit cells

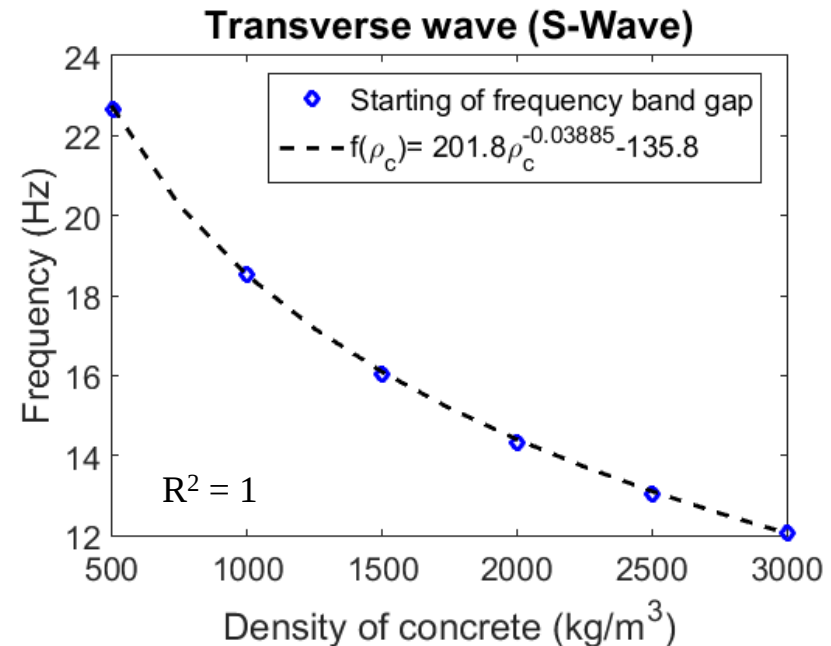
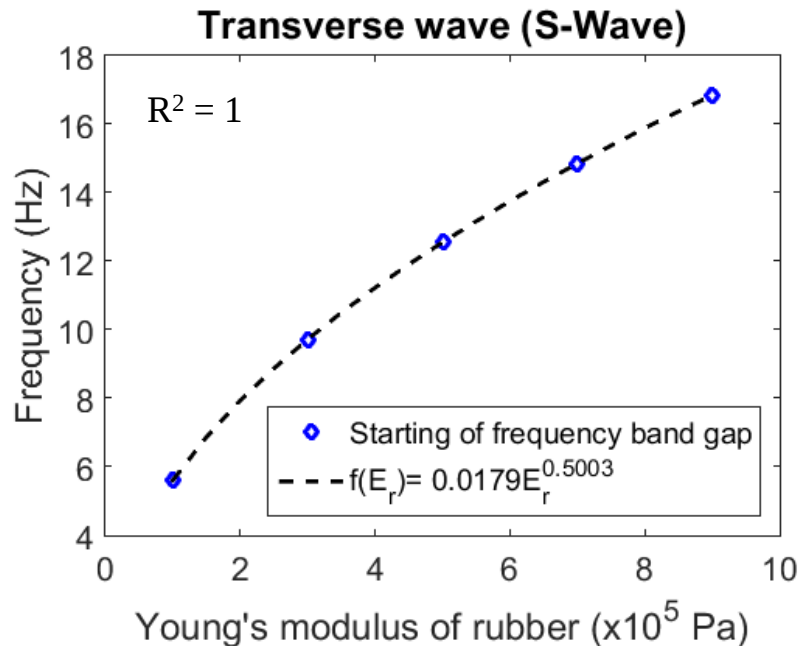


Starting of 1st frequency band gap = 13.51 Hz
Width of 1st frequency band gap = 17.36 Hz

Design guidelines of 1D periodic foundations



Perform regression on each contributing factor



Normalized by the starting of frequency band gap from fixed property

Function of Young's modulus of rubber $F_1(E_r) = \frac{0.01769E_r^{0.5003}}{13.51} = 1.3094 \times 10^{-3} E_r^{0.5003}$

Function of density of concrete $F_4(\rho_c) = \frac{201.8\rho_c^{-0.03885} - 135.8}{13.51} = 14.937\rho_c^{-0.03885} - 10.0518$

Design guidelines of 1D periodic foundations



S-Wave design parameter

Parameter	Function
Young's modulus of rubber (E_r)	$F_1(E_r) = 1.3094 \times 10^{-3} E_r^{0.5003}$
Density of rubber (ρ_r)	$F_2(\rho_r) = (2.814\rho_r + 1.627 \times 10^5) / (13.51\rho_r + 13.6451 \times 10^4)$
Poisson's ratio of rubber (ν_r)	$F_3(\nu_r) = -0.4139\nu_r^{0.6263} + 1.2561$
Density of concrete (ρ_c)	$F_4(\rho_c) = 14.937\rho_c^{-0.03885} - 10.0518$
Unit cell size (S)	$F_5(S) = 0.4 / S$
Rubber to concrete thickness ratio (r)	$F_6(r) = 0.6403e^{-2.878r} + 0.9489e^{0.01594r}$

Starting of frequency band gap = $13.51F_1(E_r)F_2(\rho_r)F_3(\nu_r)F_4(\rho_c)F_5(S)F_6(r)$

Design guidelines of 1D periodic foundations



S-Wave design parameters

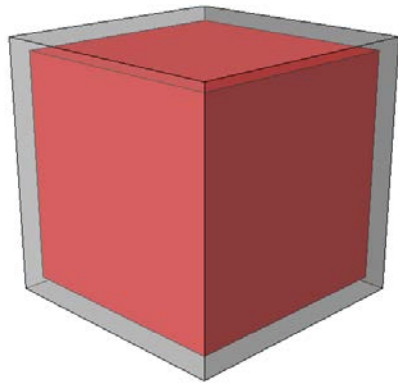
Parameter	Function
Young's modulus of rubber (E_r)	$G_1(E_r) = 1.3185 \times 10^{-3} E_r^{0.4996}$
Density of rubber (ρ_r)	$G_2(\rho_r) = 98.0991 \rho_r^{-0.5964} - 0.3632$
Poisson's ratio of rubber (ν_r)	$G_3(\nu_r) = -0.4112 \nu_r^{0.6325} + 1.2523$
Density of concrete (ρ_c)	$G_4(\rho_c) = -11.6244 \rho_c^{-0.03885} + 9.6025$
Unit cell size (S)	$G_5(S) = 0.4 / S$
Rubber to concrete thickness ratio (r)	$G_6(r) = r^{-0.8319}$

Width of frequency band gap = $17.36 G_1(E_r) G_2(\rho_r) G_3(\nu_r) G_4(\rho_c) G_5(S) G_6(r)$

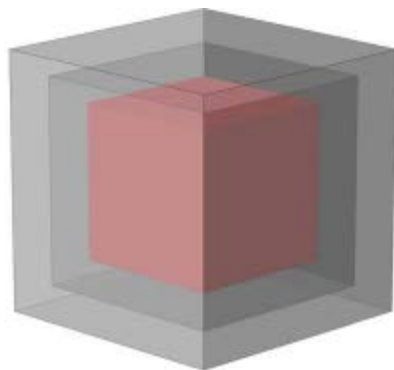
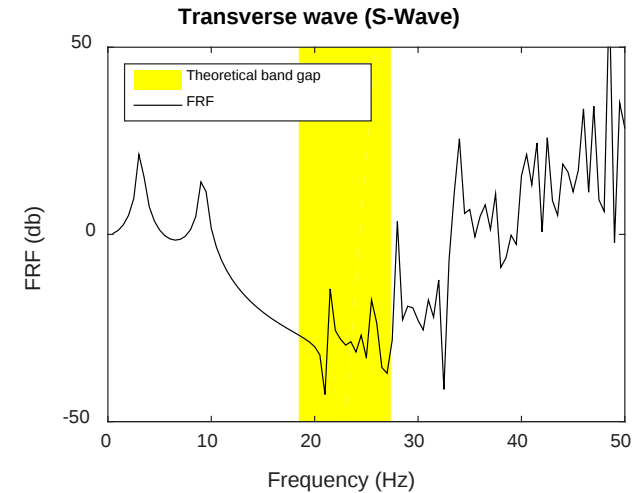
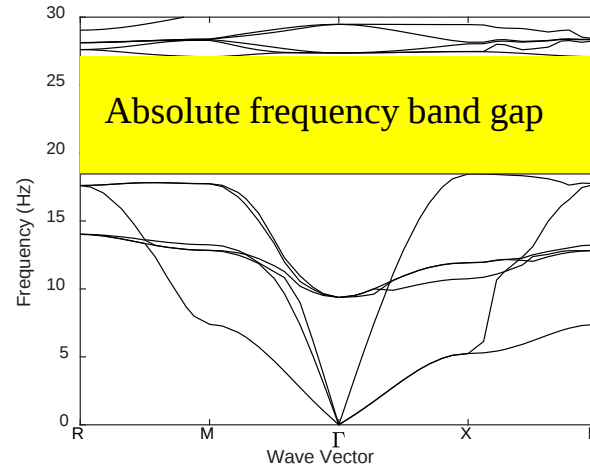
3D Periodic Foundations



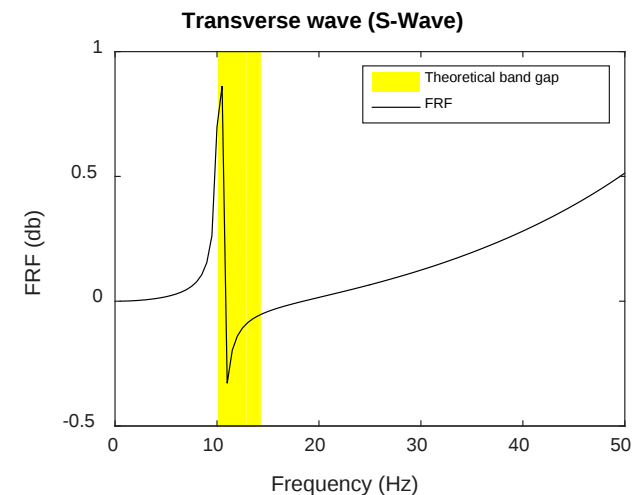
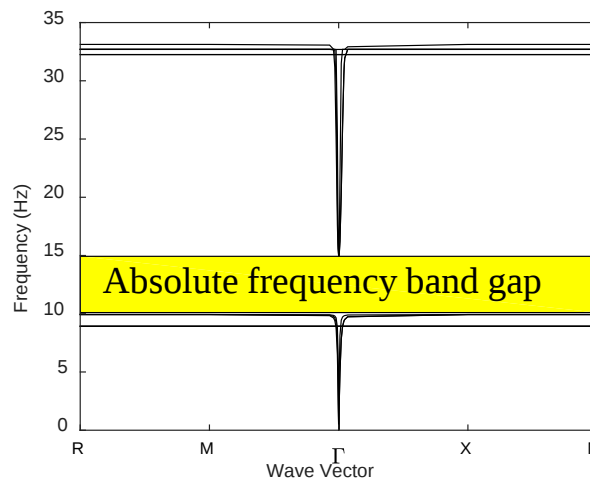
Types of unit cell in 3D periodic foundation



Two components



Three components

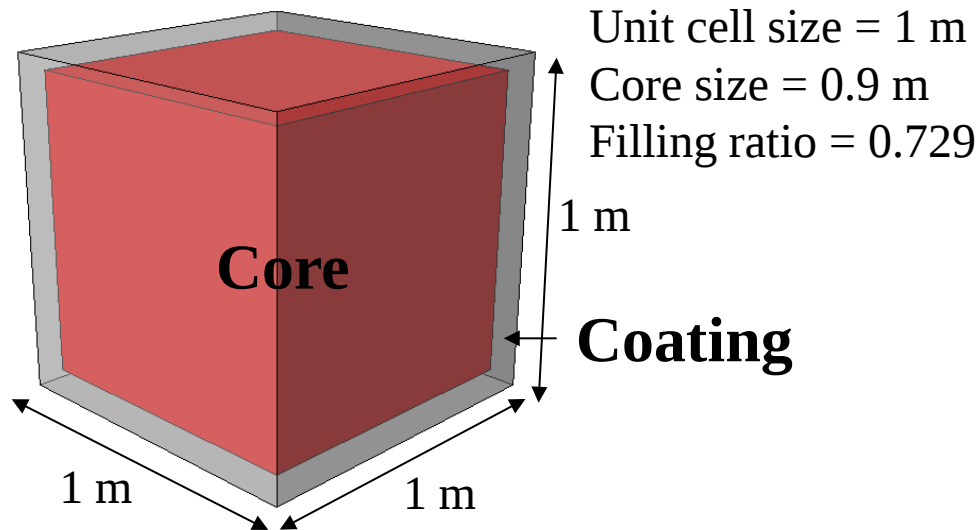


3D Periodic Foundations



One unit cell of two-component 3D periodic foundation

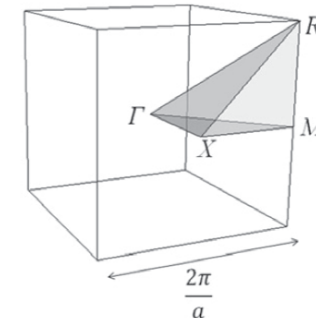
Fix geometric properties



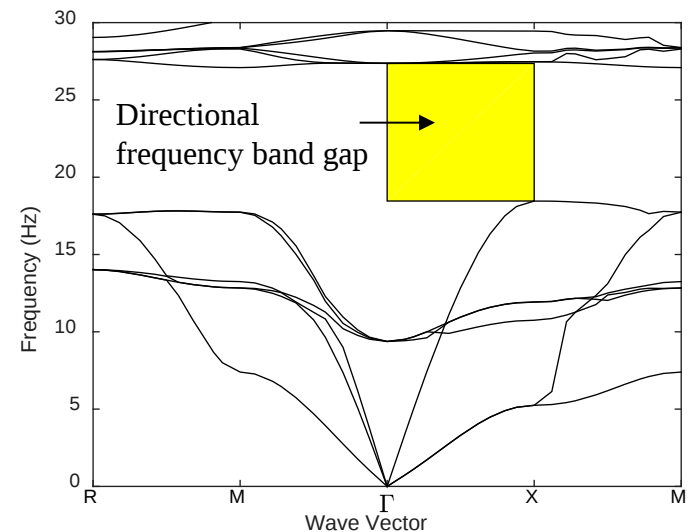
Fix material properties

Component	Young's Modulus (Pa)	Density (kg/m ³)	Poisson's Ratio
Core	4×10^{10}	2300	0.2
Coating	1.586×10^5	1277	0.463

First irreducible Brillouin zone



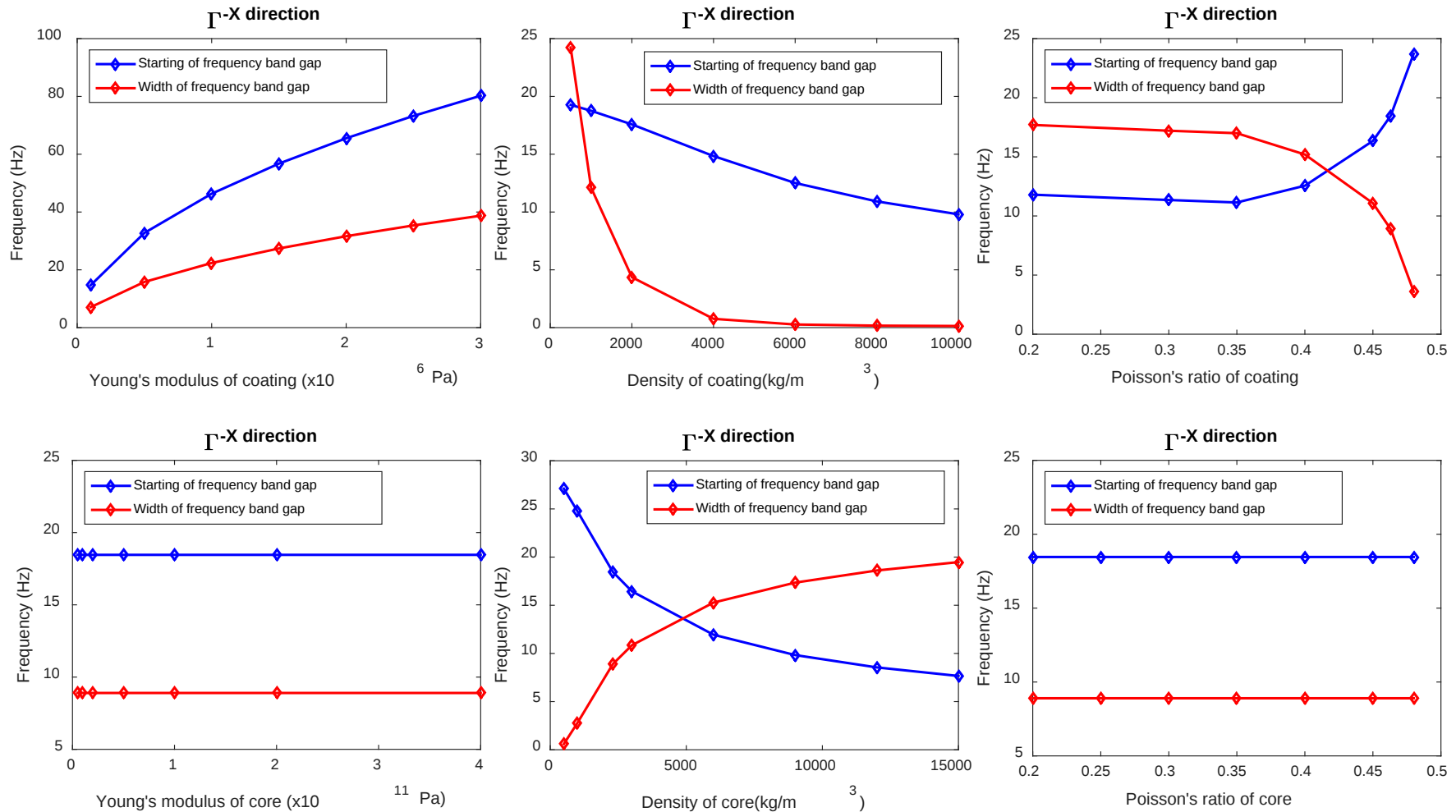
Dispersion curve for infinite number of unit cells



Parametric study of 3D periodic foundations



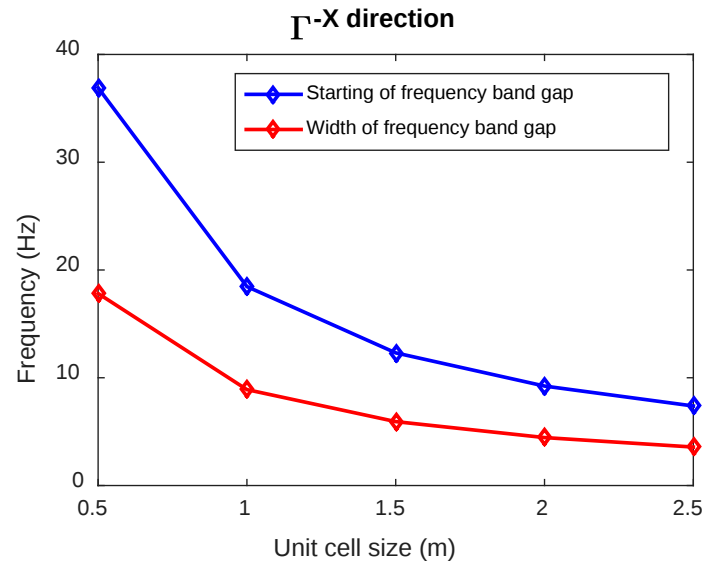
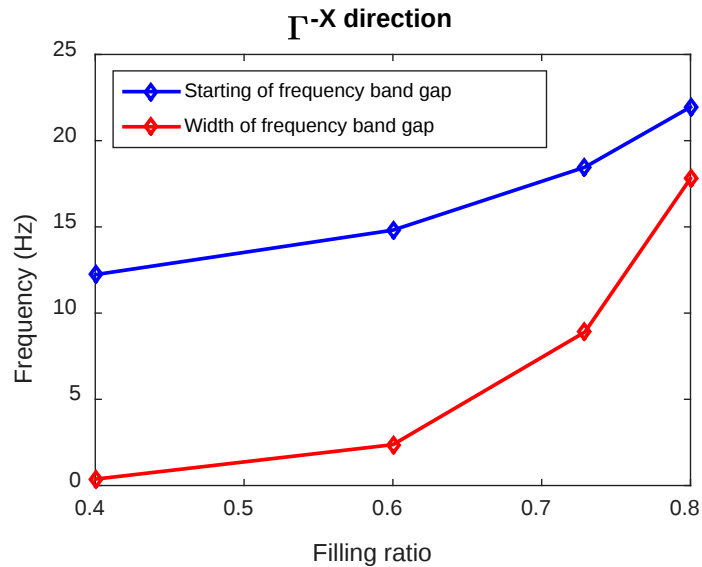
Effect of material properties on the first directional frequency band gap



Parametric study of 3D periodic foundations



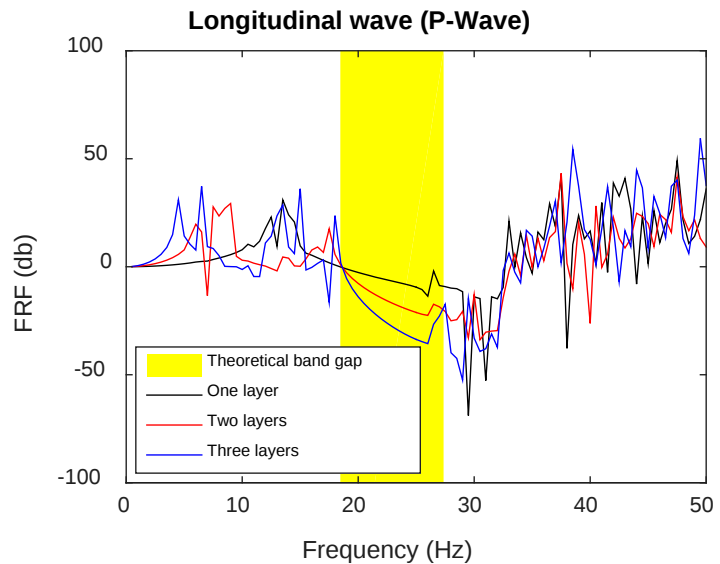
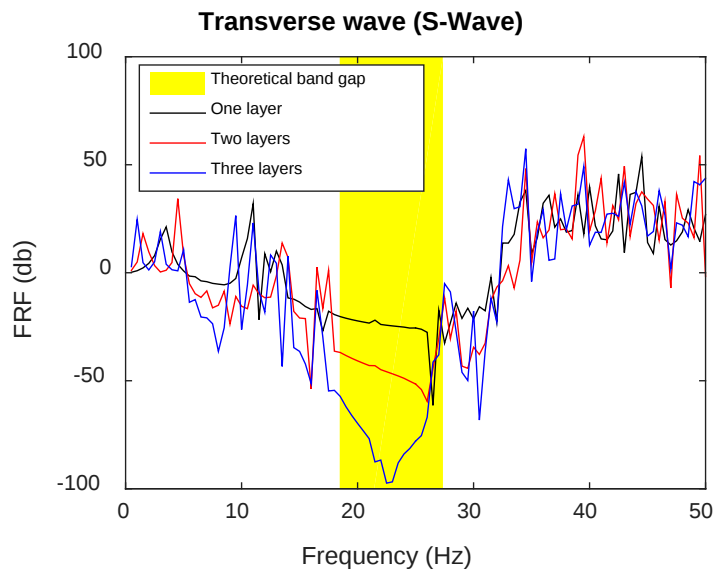
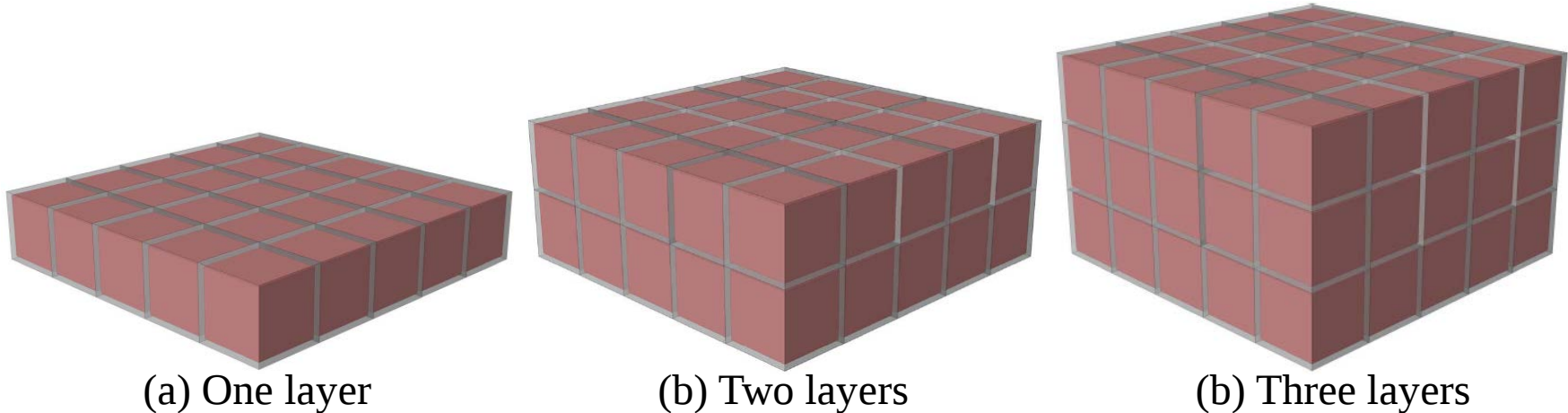
Effect of geometric properties on the first directional frequency band gap



Parametric study of 3D periodic foundation

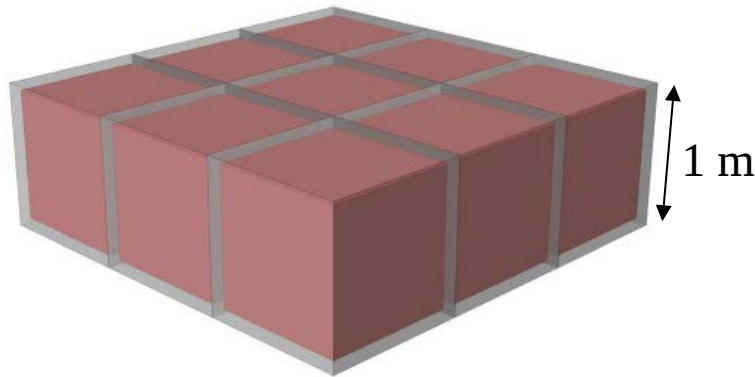


Effect of number of layer in vertical direction

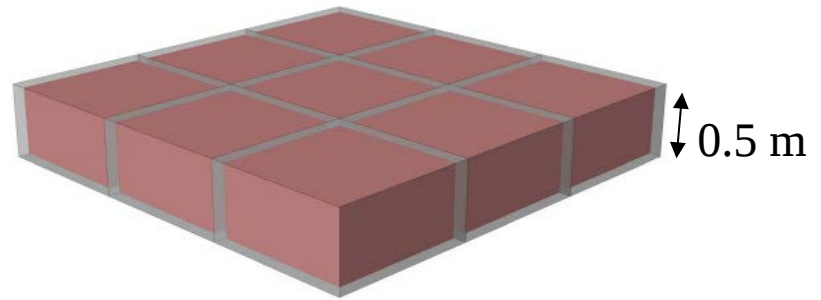


Parametric study of 3D periodic foundations

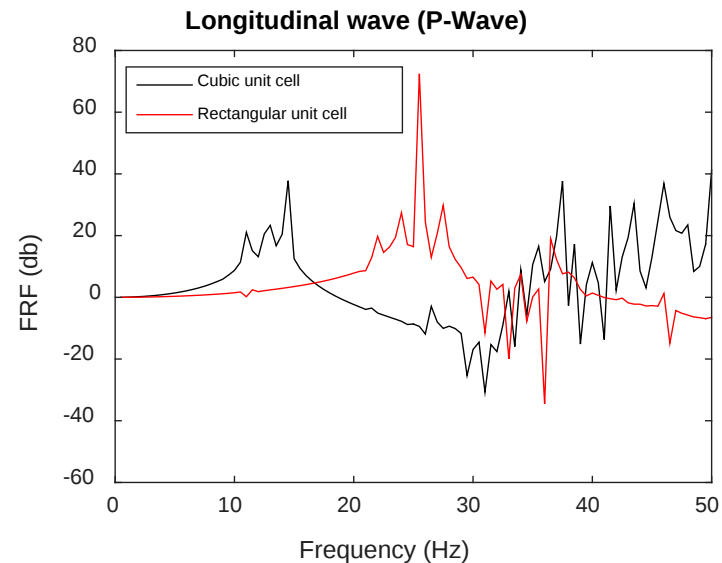
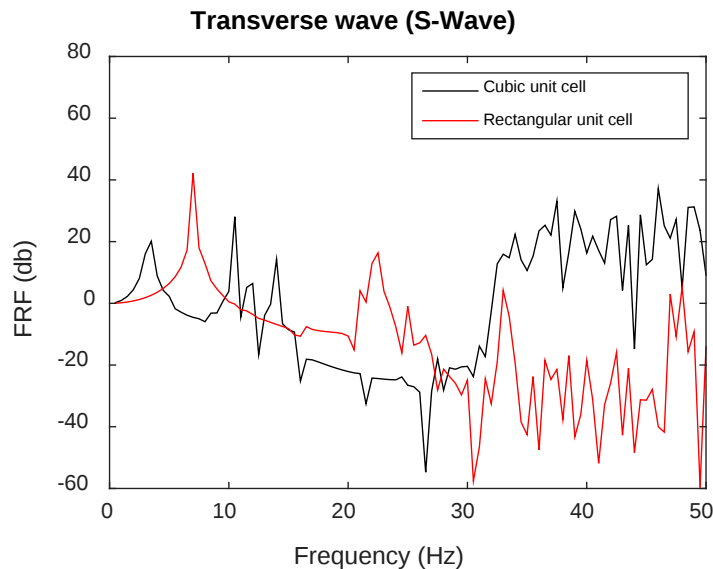
Effect of suppressed unit cell



(a) Cubic unit cell



(b) Rectangular unit cell

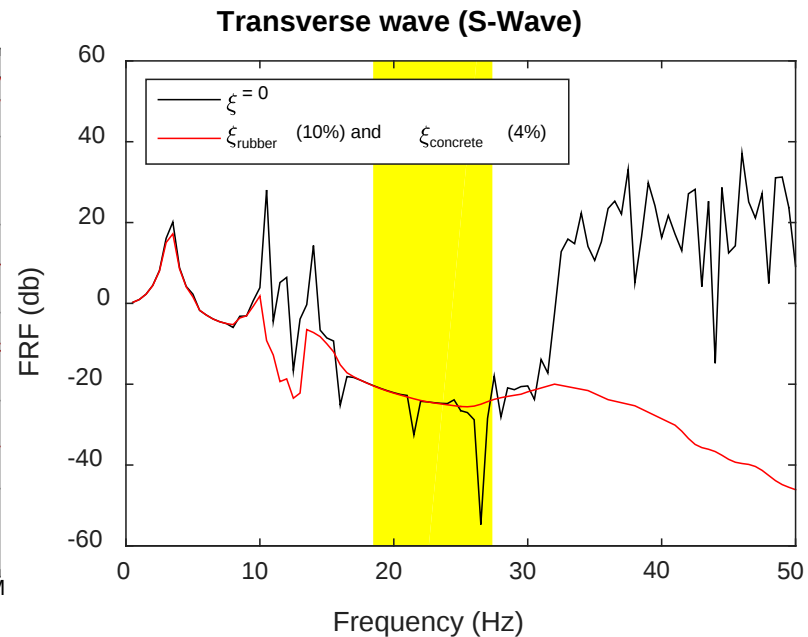
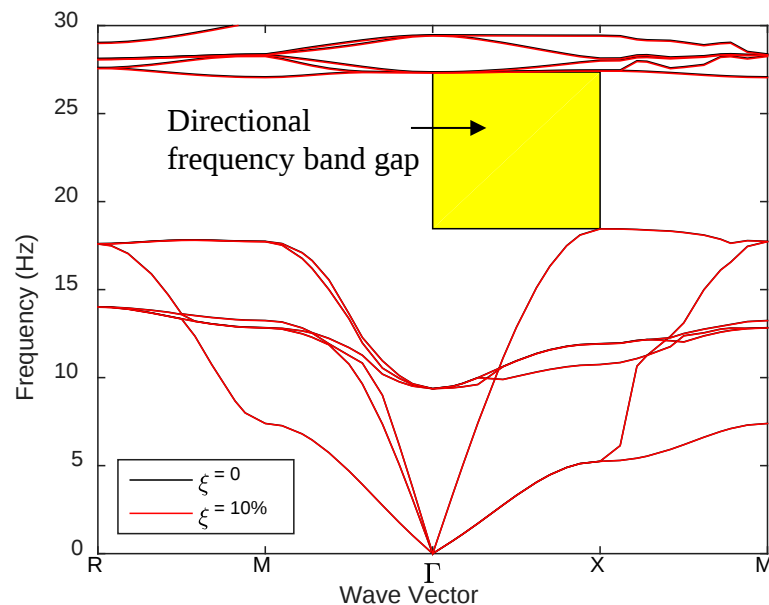


Parametric study of 3D periodic foundations



Effect of damping

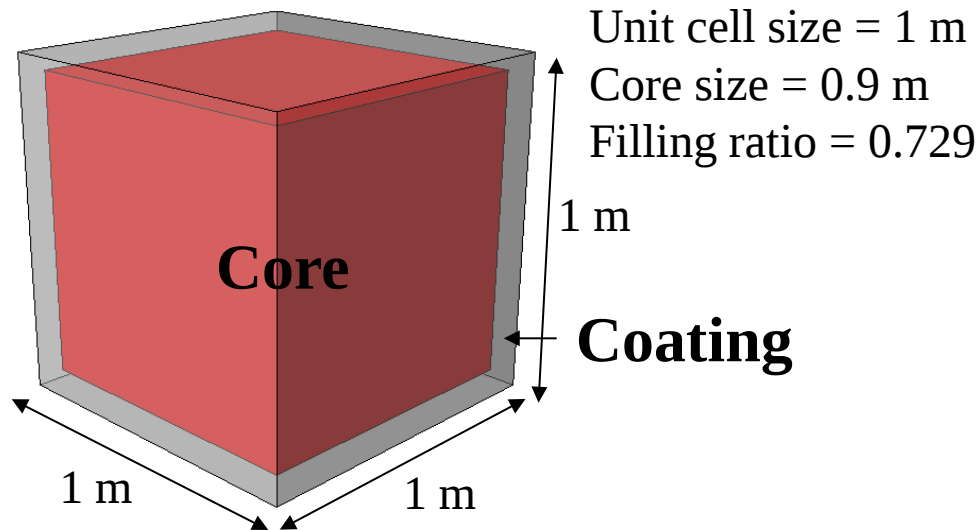
$$\omega_d(\mathbf{K}) = \omega(\mathbf{K})\sqrt{1 - \zeta(\mathbf{K})^2} \quad [1]$$



Design guidelines of 3D periodic foundations

One unit cell of two components 3D periodic foundation

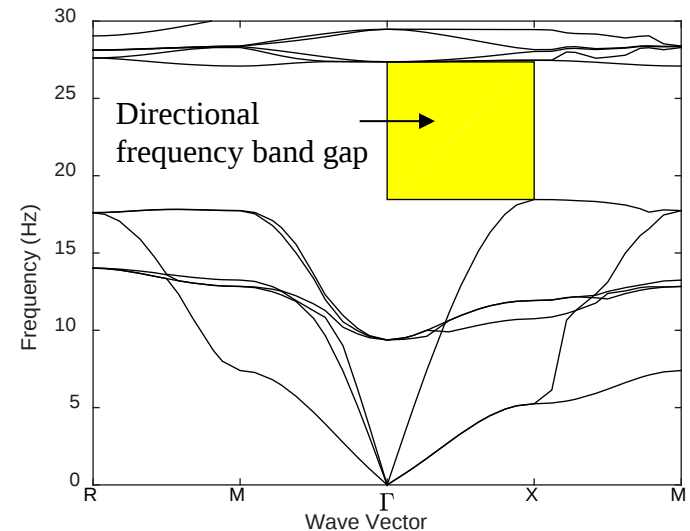
Fix geometric properties



Fix material properties

Component	Young's Modulus (Pa)	Density (kg/m ³)	Poisson's Ratio
Core	4×10^{10}	2300	0.2
Coating	1.586×10^5	1277	0.463

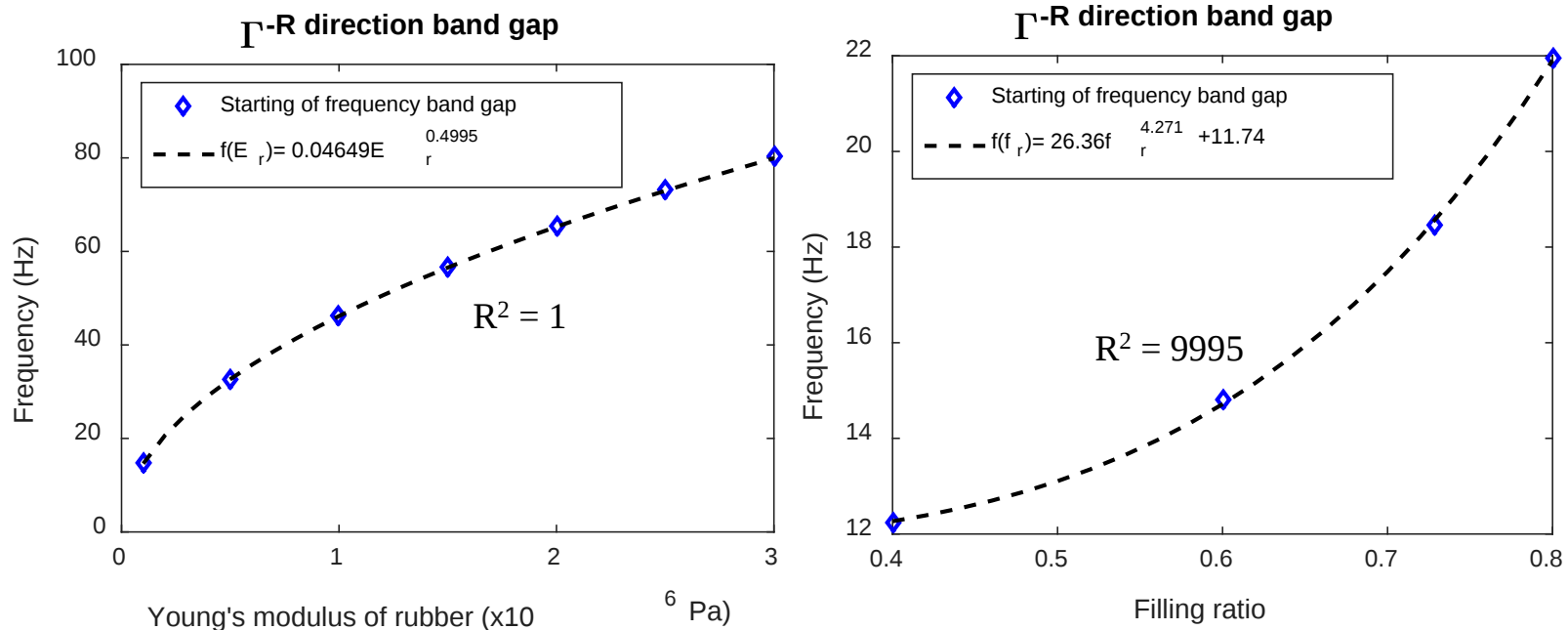
Dispersion curve for infinite number of unit cells



Starting of 1st frequency band gap = 18.46 Hz
Width of 1st frequency band gap = 8.9 Hz

Design guidelines of 3D periodic foundations

Perform regression on each contributing factor



Normalized by the starting of frequency band gap from fixed property

Function of Young's modulus of rubber
$$J_1(E_r) = \frac{0.04649E_r^{0.4995}}{18.46} = 2.5184 \times 10^{-3} E_r^{0.4995}$$

Function of filling ratio
$$J_6(f_r) = \frac{26.36f_r^{4.271} + 11.74}{18.46} = 1.428f_r^{4.271} + 0.636$$

Design guidelines of 3D periodic foundations



Design parameter

Parameter	Function
Young's modulus of rubber (E_r)	$J_1(E_r) = 2.5184 \times 10^{-3} E_r^{0.4995}$
Density of rubber (ρ_r)	$J_2(\rho_r) = 0.9 + 0.1793 \cos(0.000187 \rho_r) - 0.3282 \sin(0.000187 \rho_r)$
Poisson's ratio of rubber (ν_r)	$J_3(\nu_r) = 0.688e^{-0.3911\nu_r} + 9.6479 \times 10^{-7} e^{28.14\nu_r}$
Density of concrete (ρ_c)	$J_4(\rho_c) = 0.9832e^{-0.0004377\rho_c} + 0.7053e^{-3.557 \times 10^{-5}\rho_c}$
Unit cell size (S)	$J_5(S) = 1/S$
Filling ratio (f_r)	$J_6(f_r) = 1.428f_r^{4.271} + 0.636$

Starting of directional frequency band gap = $18.46J_1(E_r)J_2(\rho_r)J_3(\nu_r)J_4(\rho_c)J_5(S)J_6(f_r)$

Design guidelines of 3D periodic foundations

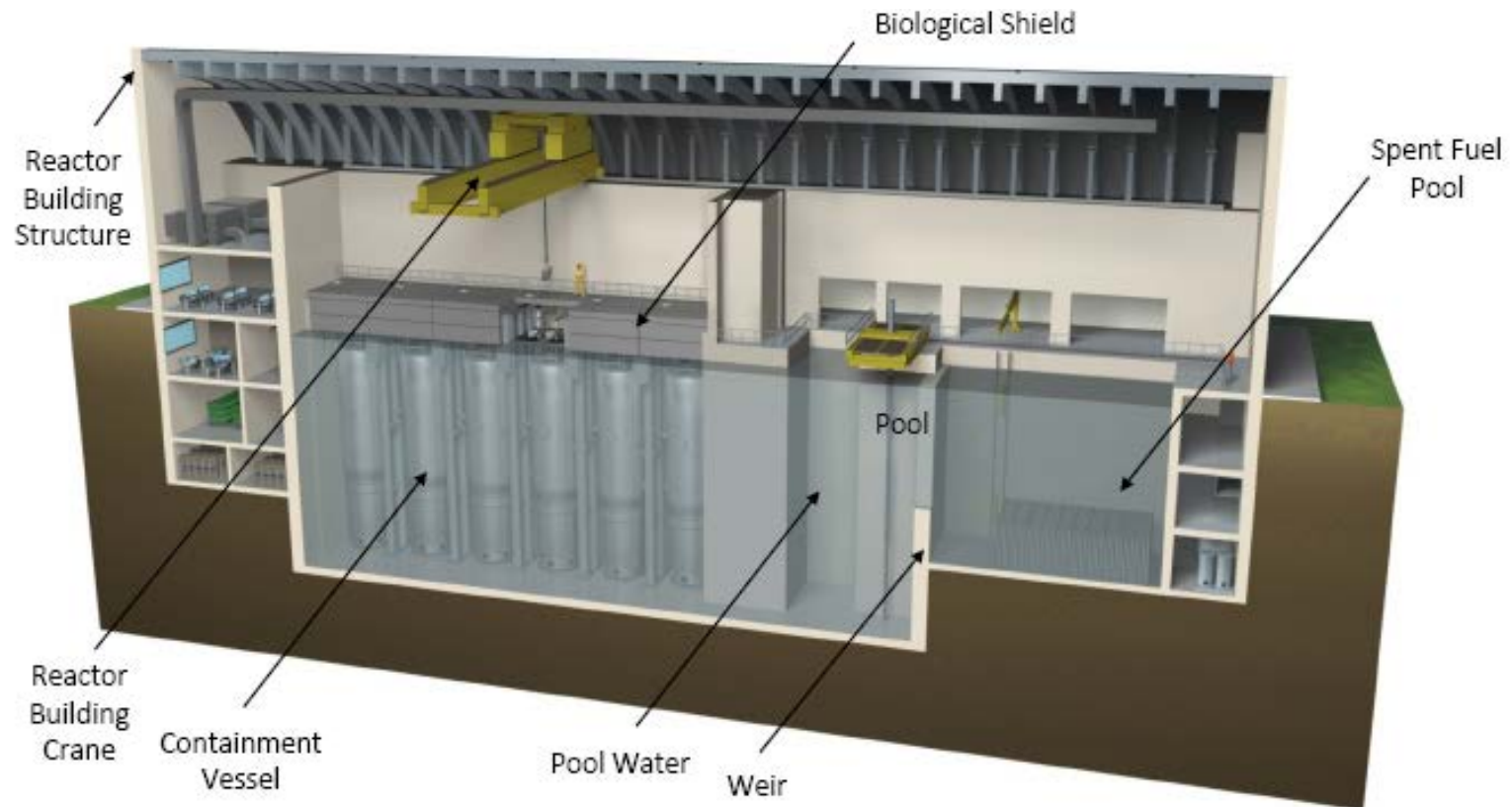


Design parameter

Parameter	Function
Young's modulus of rubber (E_r)	$K_1(E_r) = 2.51 \times 10^{-3} E_r^{0.5001}$
Density of rubber (ρ_r)	$K_2(\rho_r) = (0.0003842 \rho_r^2 - 5.454 \rho_r + 19290) / (8.9 \rho_r + 1661.63)$
Poisson's ratio of rubber (ν_r)	$K_3(\nu_r) = -8488.764 \nu_r^{11.76} + 1.9472$
Density of concrete (ρ_c)	$K_4(\rho_c) = 1.7506 e^{1.512 \times 10^{-5} \rho_c} - 2.1157 e^{-0.0004053 \rho_c}$
Unit cell size (S)	$K_5(S) = 1 / S$
Filling ratio (f_r)	$K_6(f_r) = 10.064 f_r^{7.252}$

Width of directional frequency band gap = $8.9 K_1(E_r) K_2(\rho_r) K_3(\nu_r) K_4(\rho_c) K_5(S) K_6(f_r)$

NuScale Reactor Building



Finite element model of reactor building

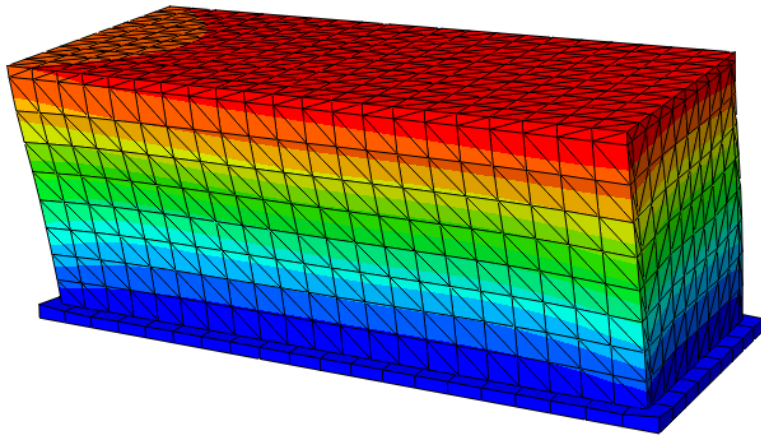


Nuclear reactor building is made of reinforced concrete.

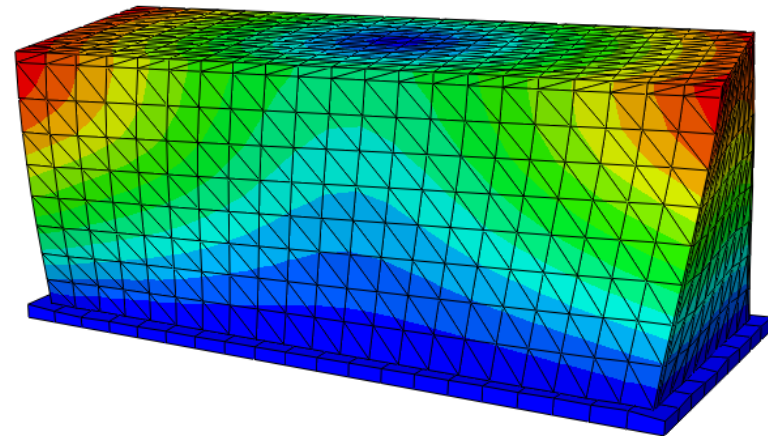
Superimposed dead load:

- Water in the reactor pool = 7 million gallon
- Crane + utilities = 800 ton
- Small modular reactors = 12@800 ton

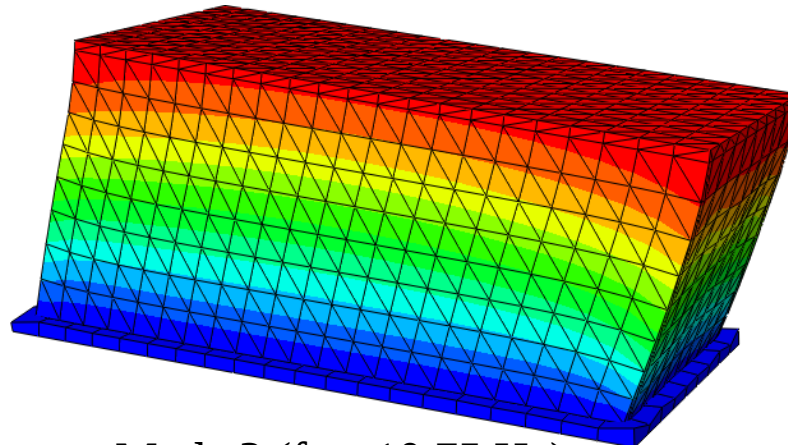
Finite element model of reactor building



Mode 1 ($f_n = 6.13$ Hz)



Mode 2 ($f_n = 10$ Hz)



Mode 3 ($f_n = 10.75$ Hz)

Conclusions



- Basic theory of periodic foundations have been understood.
- Behavior of 1D and 3D periodic foundations have been critically examined.
- Simplified design guidelines for 1D and 3D periodic foundations have been proposed.
- Simplified drawing of reactor building has been obtained from NuScale Power.
- Project will proceed on schedule.



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Thank you.