

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

SECTION J, ATTACHMENT J-5

LIST OF APPLICABLE LAWS AND REGULATIONS (LIST A)/DOE DIRECTIVES (LIST B)

The federal, state, and local regulations found in the Contract constitute List A *Applicable Federal, State, and Local Regulations* referenced in the Section H clause entitled, DOE-H-7017 Application of DOE Contractor Requirements Documents, and Section I clause entitled, DEAR 970.5204-2 Laws, Regulations, and DOE directives. Omission of any applicable law or regulation from the Contract does not affect the obligation of the Contractor to comply with such law or regulation.

List B below contains a list of applicable DOE directives, followed by a list of implementing documents, that are required for this Contract. The DOE directives contain requirements relevant to the scope of work under this Contract. In most cases, the requirements applicable to the Contractor are contained in a Contractor Requirements Document (CRD) attached to the DOE directive. The Contractor is encouraged to continuously evaluate the work scope and contract requirements for opportunities to improve efficiency or creativity and propose alternative methods to those specified in the DOE directives.

The Contracting Officer may, from time to time via issuance of a Contract Administration Notice (CAN) or other means, revise List B.

Table J-5.1. List B - DOE Directives

*Subject to availability of funds for implementation.

**Only applies to NNSA direct-funded scope.

Directive	Title	Date Issued
DOE O 140.1A	Interface with the Defense Nuclear Facilities Safety Board	<u>6/15/2020</u> 5/14/2018
DOE P 141.1	Department of Energy Management of Cultural Resources	5/2/2001
DOE M 142.2-1 Admin Chg. 1	Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency	6/27/2013
DOE O 142.2A Admin Chg. 1	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency	6/27/2013
DOE O 142.3B Chg. 1 (LtdChg)*	Unclassified Foreign National Access Program	3/2/2022
DOE O 144.1 A Admin Chg 1	Department of Energy American Indian Tribal Government Interactions and Policy (DOE) Requirements for Consultation and Engagement with Federally Recognized Indian Tribes and Alaska Native Claims Settlement Act Corporations Pursuant to DOE Policy 144.1	10/7/ 2024 <u>11/6/2009</u>
DOE O 150.1B*	Continuity Programs	12/21/2021
DOE O 151.1E	Comprehensive Emergency Management System	10/28/2024

Directive	Title	Date Issued
DOE O 153.1A*	Departmental Nuclear Emergency Support Team Capabilities	11/17/2022 10/28/2022
DOE O 200.1A Chg. 21 (LtdMinChg)	Information Technology Management	8/11/2023 1/13/2017
DOE O 205.1 D *C Chg. 1 (LtdChg)*	Department of Energy Cyber Security Program	4/30/2024 2/2/2022
DOE O 206.1 A Chg. 1 (MinChg)	Department of Energy Privacy Program	1/19/2024 11/1/2018
DOE O 206.2 Chg. 2 (LtdChg)*	Identity, Credential, and Access Management (ICAM)	10/28/2024
DOE O 210.2A	DOE Corporate Operating Experience Program	4/8/2011
DOE O 221.1B	Reporting Fraud, Waste, And Abuse to the Office of Inspector General	9/27/2016
DOE O 221.2A	Cooperation with the Office of Inspector General	2/25/2008
DOE O 225.1B	Accident Investigations	3/4/2011
DOE O 226.1B Chg. 1 (AdminChg)	Implementation of Department of Energy Oversight Policy	5/3/2022
DOE P 226.2	Policy for Federal Oversight and Contractor Assurance Systems	8/9/2016
DOE O 227.1A Chg. 1 (AdminChg)	Independent Oversight Program	1/21/2020
DOE O 231.1B Admin Chg. 1	Environment, Safety and Health Reporting	11/28/2012
DOE O 232.2A Chg. 1 (MinChg)	Occurrence Reporting and Processing of Operations Information	10/4/2019
DOE O 241.1C	Scientific and Technical Information Management	10/28/2024
DOE O 243.1C*	Records Management Program	2/7/2022
DOE O 251.1 E Chg. 1 (LtdChg) D Chg. 1 (Admin Chg)	Departmental Directives Program (Apply the guidance contained in Enclosure 2)	12/18/ 2024 11/18/2019
DOE O 252.1A Admin Chg. 12 (AdminChg)	Technical Standards Program	3/12/2013 9/30/2024
DOE O 313.1	Management and Funding of the Department's Overseas Presence	11/19/2009
DOE O 350.1 Chg. 7 (LtdChg)	Contractor Human Resource Management Programs	2/19/2020
DOE P 364.1	Health And Safety Training Reciprocity	4/14/2014

Directive	Title	Date Issued
DOE O 410.2 Admin Chg. 1	Management of Nuclear Materials	4/10/2014
DOE O 411.2	Scientific Integrity	1/4/2017
DOE O 413.2C Chg. 1 (MinChg)	Laboratory Directed Research and Development,	8/2/2018
DOE O 413.3B Chg. 7 (LtdChg)*	Program and Project Management for the Acquisition of Capital Assets	6/21/2023
DOE O 414.1 D Chg 2 (Admin Chg)E	Quality Assurance	9/15/2020 <u>12/18/2024</u>
DOE O 415.1 Chg. 2 (MinChg)	Information Technology Project Management	1/17/2017
DOE O 420.1C Chg. 3 (LtdChg)	Facility Safety	11/14/2019
DOE O 422.1 Chg. 4 (LtdChg)*	Conduct of Operations	2/3/2022
DOE O 425.1 D Chg 2 (MinChg)E	Verification Of Readiness to Start Up or Restart Nuclear Facilities	10/4/2019 <u>9/25/2024</u>
DOE O 426.2 A Chg 1 (Admin Chg)	Personnel Selection, Training, Qualification, and Certification Requirements for DOE Nuclear Facilities	7/29/2013 <u>5/30/2024</u>
DOE O 430.1C Chg. 2 (AdminChg)	Real Property Asset Management (in accordance with Section H clause entitled, Real Property Asset Management)	9/17/2020
DOE O 433.1B Chg. 1 (Admin-Chg)	Maintenance Management Program for DOE Nuclear Facilities	3/12/2013
DOE P 434.1B	Conduct And Approval of Select Agent and Toxin Work at Department of Energy Sites	11/25/2016
DOE M 435.1-1 Chg. 3 (LtdChg)	Radioactive Waste Management Manual	1/11/2021 <u>6/8/2011</u>
DOE O 435.1 Chg. 2 (AdminChgPgChg)	Radioactive Waste Management	1/11/2021 <u>8/28/2001</u>
DOE O 440.2C Chg. 3 (LtdChg)*	Aviation Management and Safety	3/21/2023
DOE M 441.1-1 Chg. 1 (Admin Chg)	Nuclear Material Packaging	2/24/2016
DOE O 442.1B	Department of Energy Employee Concerns Program	1/31/2019
DOE O 442.2 Chg. 1 (PgChg)	Differing Professional Opinions for Technical Issues Involving Environmental, Safety, and Health Technical Concerns	10/5/2016
DOE O 443.1C	Protection of Human Research Subjects	11/26/2019 <u>11/26/2019</u>
DOE P 450.4A Chg. 1 (MinChg)	Integrated Safety Management Policy	1/18/2018

Directive	Title	Date Issued
DOE O 452.7 Chg. 1 (AdminChg)	Protection of Use Control Vulnerabilities and Designs	5/29/2020
DOE O 452.8	Control of Nuclear Weapon Data	7/21/2011
DOE O 456.1A	The Safe Handling of Unbound Engineered Nanoparticles	7/15/2016
DOE O 458.1 Chg. 5 (AdminChgAdminChg)	Radiation Protection of the Public and the Environment	1/30/2025
DOE O 460.1D Chg. 1 (LtdChg)*	Hazardous Materials Packaging and Transportation Safety	6/10/2022
DOE O 460.2B*	Departmental Materials Transportation Management	6/10/2022
DOE O 461.2	Onsite Packaging and Transfer of Materials of National Security Interest	11/1/2010
DOE O 462.1 Admin Chg. 1	Import and Export of Category 1 and 2 Radioactive Sources Aggregated Quantities	7/10/2013
DOE O 470.1A	Safeguards and Security Program Operations Management	12/18/2024
DOE O 470.3C Chg. 21 (LtdChg)	Design Basis Threat (DBT) Policy	2/23/2024 9/9/2020
DOE O 470.4C	Safeguards and Security Planning	12/18/2024
DOE O 470.5A	Insider Threat Program	12/6/2024
DOE O 470.6 Chg. 1 (MinChg)	Technical Security Program	1/11/2017
DOE O 471.1B	Identification and Protection of Unclassified Controlled Nuclear Information	3/1/2010
DOE O 471.5	Special Access Programs	3/29/2011
DOE O 471.6 Chg. 4 (LtdChg)	Information Security	8/22/2023
DOE O 471.7*	Controlled Unclassified Information	2/3/2022
DOE O 472.2A Chg. 1 (AdminChg)*	Personnel Security	10/28/2024
DOE O 473.1A*	Physical Protection Program	8/30/2021
DOE O 474.2A <u>Chg. 1</u> (AdminChg)*	Nuclear Material Control and Accountability	4/16/2024 2/7/2023
DOE O 475.1	Counterintelligence Program	12/10/2004

Directive	Title	Date Issued
DOE O 475.2B	Identifying Classified Information	10/3/2014
DOE O 481.1E Chg. 2 (LtdChg)*	Strategic Partnership Projects (Apply the guidance contained in Enclosure 1)	10/28/2024
DOE O 483.1B Chg. 3 (LtdChg MinChg)	DOE Cooperative Research and Development Agreements	10/28/2024
DOE O 484.1 Chg. 4 (LtdChg)*	Reimbursable Work for the Department of Homeland Security	10/28/2024
DOE P 485.1A	Foreign Engagements with DOE National Laboratories	12/13/2019
DOE O 486.1A	Foreign Government Sponsored or Affiliated Activities	9/4/2020
DOE O 520.1B Chg. 2 (LtdChg)*	Financial Management and Chief Financial Officer Responsibilities	12/18/2024
DOE O 522.1A Chg. 1 (LtdChg)	Pricing of Departmental Materials and Services	10/28/2024
DOE O 544.1A	Priorities and Allocations Program	1/29/2024
DOE O 550.1 Chg. 1 (LtdChg)	Official Travel	12/13/2019
DOE O 5639.8A	Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities	7/23/1993
DOE/RW-0333PR20	Quality Assurance Requirements and Description	10/1/2008
ASME NQA-1-2008/2009a	Quality Assurance Requirements for Nuclear Facility Applications	<u>8/31/2009</u>
EM QA-001 Rev. 1	Office of Environmental Management (EM) Quality Assurance Program (QAP)	
SRSPM 250.1, Rev. 7-1F	Savannah River Site Policy Manual <u>ite Wide Policy Manual</u>	<u>9/4/2018</u> <u>5/14/2024</u>
NAP 412.1	Financial Integration	2/11/2019
NAP 476.1, Admin Chg. 1 <u>**</u>	Atomic Energy Act Control of Import and Export Activities	12/13/2012
NAP 520.1, Admin Chg. 1 <u>**</u>	Management and Operating Contractor Business Meals and Light Refreshments	5/30/2008
NAP 540.2 <u>**</u>	NNSA M&O Off-Site Extended Duty Assignments	11/22/2016
SD 205.1 <u>**</u>	Baseline Cyber Security Program	7/7/2017
SD 206.1 <u>**</u>	Privacy Program	6/22/2018

Directive	Title	Date Issued
SD 251.1B <u>**</u>	Directives Management	10/26/2020
SD 470.4-2 Admin Chg. 1 <u>**</u>	Enterprise Safeguards and Security Planning and Analysis Program	6/23/2018