



# Part III: The Response by Government Contractors

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## Data provided from 16 DOE contractor labs to the **Technology Transfer Working Group (TTWG)** 16 IP Acknowledgments/Agreements (IPA) **Prior to Stanford v. Roche:** 1 – No IPA provided and does not plan any change 3 with "hereby assign" language 12 with "agree to assign"-type language Decided to amend IPA? 10 Yes 2 Under consideration When IPA amended? 8 amended between $\sim 4/10 - 7/11$ 2 currently amending Will current employees be asked to sign the amended IPA? 4 Yes 3 Under 3 Non-response

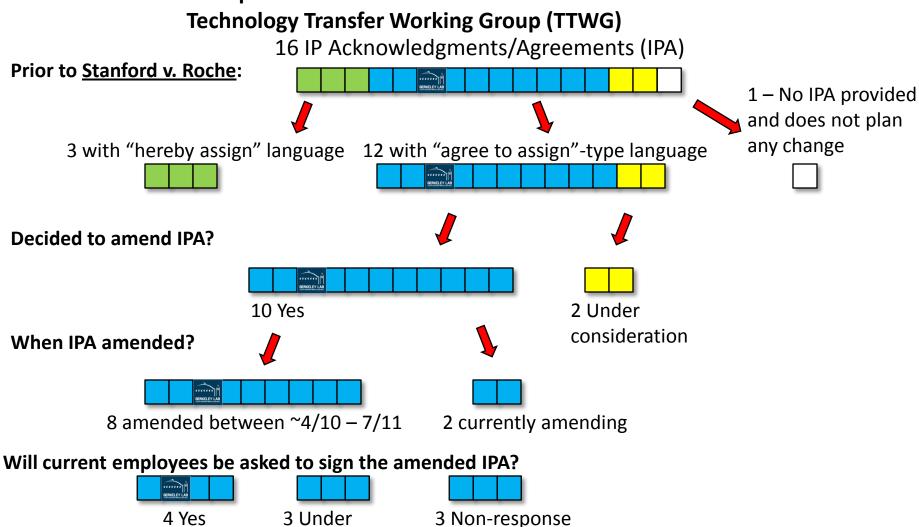
consideration



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#### How are current employees asked to sign the new IPA?

4 contractor labs – Yes

- Employees are undergoing verification for a different issue employees are executing the new IPA as part of this verification.
- Employees e-mailed instruction to sign the IPA.
- Employees e-mailed instruction to sign the IPA. Second and third e-mail reminders have been sent out.
- Employees e-mailed instruction to sign the IPA electronically. Reminder e-mails have been sent.

One contractor lab's response: "[We also plan] to consider any advice received after the DOE IP Attorney's meeting in September."

Thanks to Jason Stolworthy (Recording Secretary, TTWG) for collecting this data.





# Prior to the S. Ct. decision LBNL decided to have current employees sign an Amendment to the IPA

#### **Prior to Rollout:**

- Draft Amendment to IPA (for current employees/guests) and revised
   IPA for new employees/guests and FAQ
  - Internal reviews and University of California legal
- Lab Senior Management approval support was both excellent and critical
- Planning Meeting- HR & Tech Transfer, co-leads
  - HR
  - Public Affairs
  - Tech Transfer
  - IT
- Worked with IT to create website to view and sign Amendment
- Identified 1<sup>st</sup> and 2<sup>nd</sup> priority job classifications
  - 1<sup>st</sup> priority scientists, engineers, technicians





### **Rollout and Follow Up:**

- E-mail to all employees and guests from Deputy Lab Director
- Coordinated with HR to begin use of all new forms
- Website
  - Where employees and guests could electronically sign amendment
  - Links to FAQ and to contacts for IT and substantive questions
  - Reports can be generated to track completion/non-completion
- Listserv and e-mail to receive and track questions
  - Tech Transfer Dept heads and attorneys receive and respond to emails
- Follow Up Division by division
  - E-mail from Division Directors
  - List of non-signers





#### **Results:**

- Immediate response
  - 570 signed in 20 minutes
  - 1,000 within hours
  - >2,000 within 2 weeks
- To date:
  - 93% of all 1<sup>st</sup> priority employees have signed (<200 have not yet signed)