

**Fiscal Year 2016
Planned Audits and Inspections**

Audits

Central Audit Division

- Audit of the Department's Energy Efficiency and Renewable Energy's Wind Program
- Vehicle Technologies at the Department of Energy's National Laboratories
- Follow-up of Bonneville Power Administration's Critical Asset Protection
- Transmission Asset Management at Western Area Power Administration
- Laboratory for Laser Energetics
- Follow-up Audit of Cooperative Research and Development Agreements at Selected Sites
- Permitting Processes at the Federal Energy Regulatory Commission
- Office of Indian Energy Policy and Programs
- Office of Electricity Delivery and Energy Reliability's Smart Grid Research and Development
- Nuclear Safety at Naval Reactors Facilities
- Maintenance and Testing of Security Systems
- Leased Space
- Selected Loan Programs Office Projects

Western Audit Division

- Waste Isolation Pilot Plant Recovery
- Audit of National Nuclear Security Administration's Management of the W88 Alteration 370 Program
- The Department of Energy's Management of the Suspension of the Waste Treatment and Immobilization Plant's High-Level Waste Vitrification and Pretreatment Facilities
- National Nuclear Security Administration's Management of the Plutonium Facility Upgrades at Los Alamos National Laboratory
- Follow-up Audit on K Basin Sludge Treatment Project at the Hanford Site
- National Nuclear Security Administration's Management of the Radiological Security Program
- Integrated Safety at the Waste Treatment and Immobilization Plant
- Implementation of the Quality Assurance Program at the Nevada National Security Site
- Department of Energy Quality Assurance: Commercial Grade Dedication of Items Relied on for Safety
- Follow-up Audit of National Nuclear Security Administration's Enhanced Surveillance Program
- Transient Reactor Test Facility (TREAT) Restart Project
- Los Alamos National Laboratory Accelerated Vault Work-off Project
- Integrated Safety at SLAC and Lawrence Berkeley National Laboratory
- Follow-up Audit of the W76 Refurbishment Program
- Audit of Subcontract Administration at Los Alamos National Laboratory and Lawrence Livermore National Laboratory
- National Nuclear Security Administration Energy Savings Performance Contracts
- Used Nuclear Fuel Disposition
- National Nuclear Security Administration's Component Manufacturing Development

- National Nuclear Security Administration's Emergency Operations Centers
- Explosive Safety at the Pantex Plant
- Utilization of the Environmental Molecular Science Laboratory
- Plutonium Sustainment and Pit Production
- Sandia National Laboratories Silicon Fabrication Revitalization Initiative
- National Nuclear Security Administration's Management of the Tonopah Test Range
- National Nuclear Security Administration's Nuclear Smuggling Detection and Deterrence Program
- W80 Life Extension Program
- Management of Warehouse Space at the Hanford Site
- Sandia National Laboratories Subcontract Administration

Eastern Audit Division

- Management of the Storage of High Flux Isotope Reactor Fuel
- Follow-up Audit on Nuclear Material Availability
- National Nuclear Security Administration's Management of Thorium
- Follow-up Audit on Management of the Storage Capacity of the High Level Waste Tanks at the Savannah River Site
- Status of the Environmental Management Disposal Facility
- Process Technology Development Projects at the Y-12 National Security Complex
- Audit of the Spallation Neutron Source
- Salt Waste Processing Facility Construction Project Contract at the Savannah River Site
- The Department of Energy's Analytical Samples Program
- Efforts to Remediate Mercury Contamination at Oak Ridge
- Surplus Plutonium Disposition at the Savannah River Site
- Decontamination and Decommissioning Activities at the Separations Process Research Unit
- Audit of Rework Costs at the Mixed Oxide Fuel Fabrication Facility
- Issues Management within the Office of Science
- The Department of Energy's Oversight of Contractor Industrial Hygiene Programs
- Nuclear Materials Information Program
- Management of the American Centrifuge Project
- Follow-up Audit on Depleted Uranium Operations at the Y-12 National Security Complex
- The Department of Energy's Management of National Asset Nuclear Materials
- Safety Management at Selected Department Accelerator Facilities
- The Department of Energy's Non-Weapons Plutonium Production
- Savannah River Nuclear Solutions Subcontracting Practices
- The Department of Energy's Management of the National Environmental Policy Act Compliance Process
- Depleted Uranium Hexafluoride (DUF 6) Conversion Operations
- D-Area Coal Ash Cleanup at the Savannah River Site
- Management of the Safety Incident Process
- Management of Green Building Sustainability Initiatives
- Small Business Subcontracting at Oak Ridge National Laboratory

Technology Audit Group

- The Department of Energy's Implementation of the Digital Accountability and Transparency Act of 2014
- Management of the National Renewable Energy Laboratory's Cybersecurity Program
- Management of the Pantex Plant's Cybersecurity Program
- Security Over the Department of Energy's Industrial Control Systems
- Follow-up on the Management of Bonneville Power Administration's Cybersecurity Program
- Follow-up on the Management of the Department of Energy's Websites
- The Department of Energy's Network Monitoring Capabilities
- Implementation of Selected Department of Energy Information Systems
- The Department of Energy's Implementation of Multi-Factor Authentication Capabilities
- The Department of Energy's Unclassified Cybersecurity Program – 2016
- The Federal Energy Regulatory Commission's Unclassified Cybersecurity Program – 2016
- Information Technology Management Letter for Fiscal Year 2015

Government Management Reform Act (GMRA)

- Audit of the Department of Energy's Fiscal Year 2016 Consolidated Financial Statements
- Audit of the Department of Energy's Improper Payment Reporting in the Fiscal Year 2015 Agency Financial Report
- Audit of the Fiscal Year 2016 Financial Statements of the Federal Energy Regulatory Commission
- Audit of the Fiscal Year 2016 Financial Statements of the Nuclear Waste Fund
- Audit of the Fiscal Year 2016 Combined Financial Statements of the Southwestern Federal Power System
- Audit of the Fiscal Year 2016 Combined Financial Statements of the Western Area Power Administration
- Management Letter on the Audit of the Department of Energy's Consolidated Financial Statements for Fiscal Year 2015

Statement of Costs Incurred and Claimed (SCIC)

- Jefferson Science Associates - Thomas Jefferson National Accelerator Facility 2011-2014
- Fermi Research Alliance - Fermi Laboratory 2012-2014
- Iowa State University - Ames National Laboratory 2013-2014
- Stanford – SLAC 2014-2015
- Honeywell - Kansas City Plant 2012-2013
- NSTec - Nevada National Security Site 2012-2013
- Battelle - Pacific Northwest National Laboratory 2013-2014
- Nuclear Waste Partnership – Waste Isolation Pilot Plant 2013-2014
- UC – Lawrence Berkeley National Laboratory 2013-2014
- UT-Battelle, LLC – Oak Ridge National Laboratory 2015
- Consolidated Nuclear Security, LLC – Y-12 National Security Complex and Pantex Plant 2014-2015
- Oak Ridge Associated Universities – Oak Ridge Institute for Science and Education 2012-2015
- Savannah River Nuclear Solutions, LLC – Savannah River Site 2013

Inspections

Western Inspection Region

- Protection of Health Information at Selected Department Sites.
- Review of Legacy Waste Storage at the Los Alamos National Laboratory's Weapons Engineering Tritium Facility.
- Effectiveness of Two Person Control Procedures and Safety Training at Nuclear Facilities.
- Hazardous Materials and Safety Training at Los Alamos National Laboratory.
- Review of National Nuclear Security Administration's Personnel Security Division's Process for Determining Access Authorization to Classified Matter or Material.
- Management of the Department's Unmanned Aircraft Systems at Selected Sites.
- Contractor Protective Force at Los Alamos National Laboratory.
- Protective Force Mutual Aid between Lawrence Livermore National Laboratory and Sandia National Laboratories/California.
- Review of Los Alamos National Laboratory's Transient Electromagnetic Pulse Surveillance Technology Program.

Eastern Inspection Region

- Purchase Card Risk Assessment.
- Follow-up Review of Controls Over Department's Classification of National Security Information.
- Emergency Preparedness at Selected Office of Science Sites.
- Calibration and Maintenance of Production Equipment at Kansas City Plant.
- Department's Insider Threat Analysis and Referral Center.
- Follow-up Office of Intelligence's Safeguards for Security Clearances.
- Review of Safety Protocol for Biosafety Laboratories at Selected Department of Energy Site.
- Review of Incidents of Security Concerns Processes Involving Classified Matter at a Selected Department of Energy Site.
- Replacement of the Wide Area Radio System at the Oak Ridge Reservation.
- Effectiveness at Ensuring Whistleblower Protections While Securing Classified and National Security Information.
- Follow-up Inspection of Management of Workers' Compensation Program