

U.S. Department of Energy SURVEY/INSPECTION REPORT FORM

1. Type: Survey: Initial Periodic Special Termination EA Reviews: NPR EPR Self-Assessment		2. Report #:	
3. Facility Name:		4. a. Facility Code: b. RIS Code:	
5. Survey Date(s):	6. a. Findings: Yes No b. Findings Against Other Facilities:	7. Composite Rating:	
8. Previous Survey Date(s): Next Survey Date:	9. Unresolved Findings: Yes No	10. Previous Rating:	
11a. Surveying Office:	11b. Cognizant Security Office:	11c. Other Offices with Interests:	
12. Ratings: a) PROGRAM MANAGEMENT OPERATIONS PROTECTION PROGRAM MANAGEMENT _____ Program Management and Administration _____ Resources and Budgeting _____ Personnel Development and Training _____ S&S PLANNING AND PROCEDURES _____ MANAGEMENT CONTROL _____ Surveys and Self Assessment Programs _____ Performance Assurance Program _____ Resolution of Findings _____ Incident Reporting and Management _____ PROGRAM WIDE SUPPORT _____ Facility Approval and Registration of Activities _____ Foreign Ownership, Control or Influence _____ Security Management in Contracting _____ OVERALL RATING _____ b) PROTECTIVE FORCE _____ MANAGEMENT _____ TRAINING _____ DUTIES _____ FACILITIES AND EQUIPMENT _____ OVERALL RATING _____ c) PHYSICAL PROTECTION _____ ACCESS CONTROLS _____ INTRUSION DETECTION & ASSESSMENT SYSTEMS _____ BARRIERS AND DELAY MECHANISMS _____ TESTING AND MAINTENANCE _____ COMMUNICATIONS _____ OVERALL RATING _____		d) INFORMATION SECURITY BASIC REQUIREMENTS _____ TECHNICAL SURVEILLANCE COUNTERMEASURES _____ OPERATIONS SECURITY _____ CLASSIFICATION GUIDANCE _____ CLASSIFIED MATTER PROTECTION & CONTROL _____ Control of Classified Matter _____ Special Access Programs and Intelligence Information _____ OVERALL RATING _____ e) PERSONNEL SECURITY ACCESS AUTHORIZATIONS _____ HUMAN RELIABILITY PROGRAMS _____ CONTROL OF CLASSIFIED VISITS _____ SAFEGUARDS AND SECURITY AWARENESS _____ OVERALL RATING _____ f) MATERIALS CONTROL & ACCOUNTABILITY PROGRAM MANAGEMENT _____ MATERIAL ACCOUNTABILITY _____ MATERIALS CONTROL _____ MEASUREMENT _____ PHYSICAL INVENTORY _____ OVERALL RATING _____ g) FOREIGN VISITS AND ASSIGNMENTS SPONSOR PROGRAM MANAGEMENT & ADMIN _____ COUNTERINTELLIGENCE REQUIREMENTS _____ EXPORT CONTROLS/TECH TRANSFER REQUIEMENTS _____ SECURITY REQUIREMENTS _____ APPROVALS AND REPORTING _____ OVERALL RATING _____	
13. Report Prepared by: Date:		14. Report Approved by: Date:	
15. Distribution:			
16. General Comments:			

Ratings: S = Satisfactory M = Marginal U = Unsatisfactory DNA = Does Not Apply