

Combined Heat and Power Research and Development

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Oak Ridge National Laboratory
Project Period: Sept 2008 – Sept 2011

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Executive Summary

Comprehensive program addresses improved efficiency and optimization of CHP systems and components as well as expanded use of opportunity fuels

- **Combined Heat & Power Activities**
 - **Develop a state-of-the-art, large-bore, single-cylinder engine research facility**
 - **Thermodynamic evaluation of recip- and turbine-based CHP engines and systems**
 - **Advanced combustion studies**
 - Examine potential of advanced concepts such as closed Brayton cycle and super-critical CO₂ systems
 - Develop and evaluate advanced materials for engine valves and turbines
- **Fuel Flexibility: Contaminant (Siloxane) Mitigation**
 - Examine silica formation kinetics and characterize deposits
 - Development of a novel siloxane separation technique
 - Evaluation of low-cost siloxane sensor (with University of Tennessee)

Project objectives

- **Improve the efficiency and utility of CHP systems**
 - **Develop tools and methods for system-level design and optimization of CHP systems**
 - **Develop and evaluate strategies for real-time management of power-to-heat ratio to meet changing demands**
 - **Identify and assess opportunities to improve component efficiencies**
 - Thermodynamic analysis of engine data and CHP system modeling
 - Control to improve stability of lean-limit combustion and advanced combustion modes
 - Improved durability of high-temperature valve and turbine materials
 - **Evaluate how those improvements impact overall system performance**
 - *e.g.*, effect of low-temperature combustion strategies, improved turbo-machinery, etc on process heat production and system efficiency
 - **Fuel flexibility for increased utilization of opportunity fuels**
- **Dissemination of gained knowledge through publications and interactions with industry**

Project Management and Budget

- **FY 2011 budget for ORNL's CHP tasks: \$1200k**
 - **FY 2011 budget for three tasks presented today: \$700k**
 - **Total BA received for FY 2011 to date: \$638k (of \$1200k)**
- **FY 2012 budget: TBD**

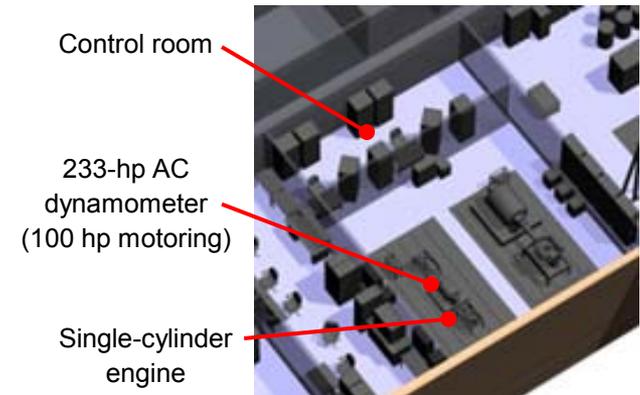
- **FY 2011 Milestones**
 - **Complete and publish advanced thermodynamic analysis of reciprocating engine examining areas for potential efficiency gains (Sept 2011)**
 - **Publish results from baseline and lean-burn studies on large-bore, single-cylinder engine (Sept 2011)**
 - **Publish results from valve materials study (Sept 2011)**

Discussion of each task

Task 1: Establishing a large-engine research cell at ORNL

- **Internal funding used to develop state-of-the-art large-engine research cell**
 - 600-hp DC dynamometer
 - Dual-ended, 233-hp AC dynamometer (100 hp motoring)
 - Fuel-flexible operation: natural gas, diesel, and gasoline/ethanol
 - Cell commissioned in March 2009
- **Installed 3.0-L single-cylinder research engine based on Waukesha APG1000 (Phase I ARES engine)**
 - Designed and built by Digital Engines
 - Collaborated with GE Energy, Dresser Inc. on install and start-up
 - LabView®-based engine controls and data acquisition
 - Engine commissioned on 3 November 2010
- **Provides state-of-the-art research capabilities for large-bore, natural gas engines**

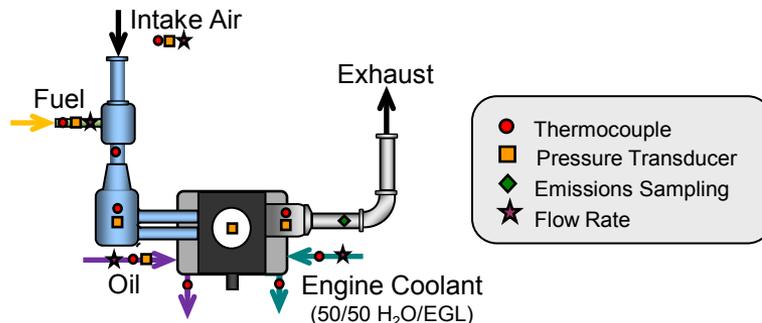
Floor plan of large-engine research cell at ORNL



3.0-L single-cylinder engine installation



Extensive instrumentation to support detailed thermodynamic analysis



Status update on single-cylinder research engine

- **Unfortunately, after 19 hrs of fired operation, the front engine bearing seized on 23 March 2011**
 - Failure occurred approximately 20 min into initial operation at rated speed (1800 rpm)
 - Engine stopped within 7 s from 1800 rpm, overcoming 180 ft-lbf of engine torque, 200 ft-lbf of dyno torque and the inertia of a 900 lb flywheel
- **GE Energy, Dresser Inc. experienced two similar failures on their sister engine**
 - Same bearing failed
 - Tribology consultant attributed failure to thermal expansion mismatch between bearing carrier and crankshaft during warmup
 - We worked closely with GE Energy, Dresser Inc. during install and startup to develop pre-heating and oil-quality monitoring procedures in efforts to avoid similar failure
- **We plan to repair engine, address bearing lubrication issues, and return to operation this FY**
 - Engine disassembly and failure analysis have begun
 - Plan to consult with third-party tribologist
 - Redesign of bearing and lubrication systems
 - Modular design of engine should aid redesign efforts
- **Engine has attracted interest from multiple companies seeking to partner with ORNL on research efforts**

Front bearing seized on crankshaft

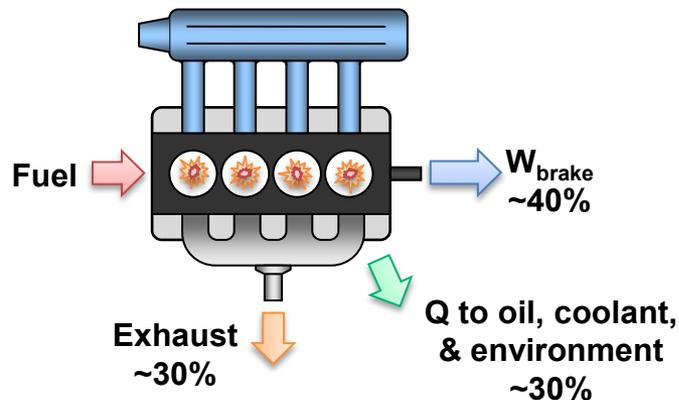


Task 2: Thermodynamic evaluation of CHP systems

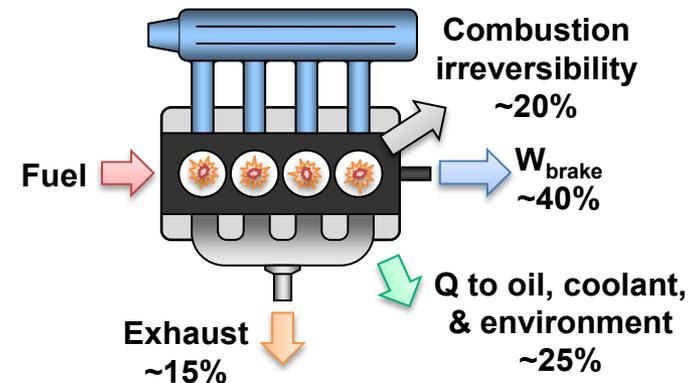
- **Thermodynamic analysis provides a method for evaluating performance and potential opportunities for efficiency improvement in the engine and system as a whole**
 - First Law shows how fuel energy is used or lost throughout the system (common practice)
 - Second Law provides a relevant reference state to determine the actual work potential of the energy flows
- **System modeling supplements experimental data and provides additional insight into processes which are difficult to observe (e.g., combustion, heat transfer, etc)**

Example of typical energy usage for an IC engine

1st Law: Fuel energy usage



2nd Law: Fuel exergy usage

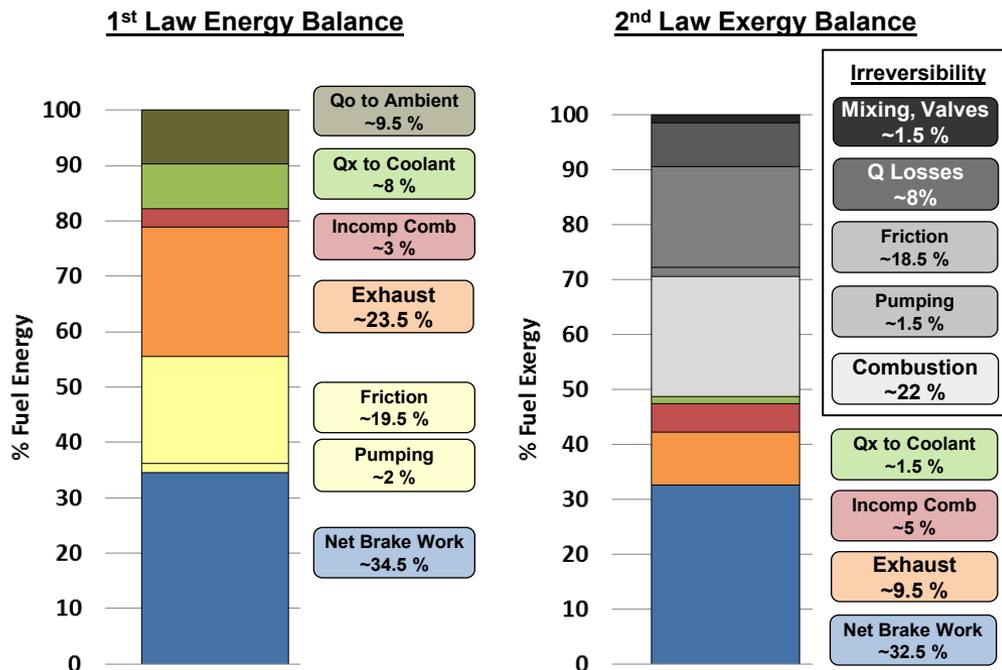


Working Definition: **Exergy** (a.k.a. availability) is a measure of a system's potential to do useful work due to physical (P , T , etc.) and chemical differences between the system and the ambient environment.

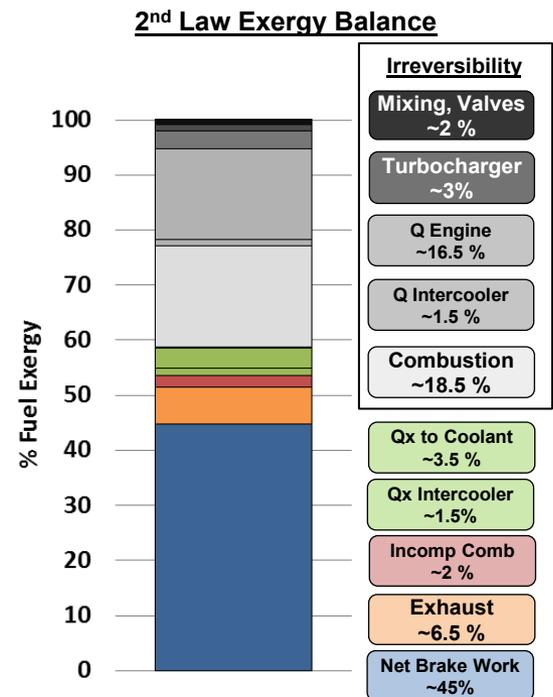
Thermodynamic analysis of IC engine data

- **Thermodynamic analysis of engine data provides insight to fuel energy usage**
 - Evaluate changes in energy distribution with operating strategy
 - Locate and quantify opportunities for efficiency improvement
- **Substantial detail can be obtained with standard measurements and a few assumptions**
 - Brake torque, temperatures, pressures (including in-cyl), air and fuel rates, exhaust composition, etc
- **Working with GE Energy, Dresser Inc. on more extensive analysis of engine and model data for APG1000**

Example energy distribution based on data from single-cylinder engine
(1500 RPM, 7.25 bar BMEP)



Example exergy distribution for APG1000
(@ different operating point)



Efficiency limits of IC engines

- **IC engines are not limited by Carnot Efficiency**
 - Theoretically, the maximum first law efficiency of an IC engine is $\eta_{\max} \cong 100\%$
- **Practically, maximum efficiency is limited by a number of real-world concerns**
 - Work extraction efficiency
 - Irreversible losses (friction, combustion irreversibility, etc)
 - Material limits
 - Cost
- **Significant efficiency improvement will require addressing multiple loss mechanisms**

Loss Mechanism	Challenges and Opportunities	Assessment
Combustion Irreversibility	<ul style="list-style-type: none"> • Unrestrained combustion reactions far from chemical and thermal equilibrium • Requires radical changes in how combustion occurs • Some opportunities related to dilution and fuel selection 	Difficult for near-term
Environmental heat loss	<ul style="list-style-type: none"> • Low-temperature combustion techniques • Adiabatic approach increases thermal exhaust energy for better energy transfer to turbocharger and/or other WHR hardware • Higher temperature operation requires improved materials and lubricants 	<ul style="list-style-type: none"> • Low-temperature combustion approach complicated by stability concerns and use of natural gas • Adiabatic approach most effective in combination with thermal energy recovery
Friction and pumping	<ul style="list-style-type: none"> • Improved lubricants • More efficient valve technologies, fuel systems, etc 	Opportunities for improved part-load performance
Exhaust energy	<ul style="list-style-type: none"> • Reductions in and/or better usage of exhaust energy requires fully expanded cycles, advanced combustion, improved turbo-machinery, and/or thermal exhaust energy recovery 	Significant opportunities in waste energy recovery

Pushing the efficiency limits toward 60%

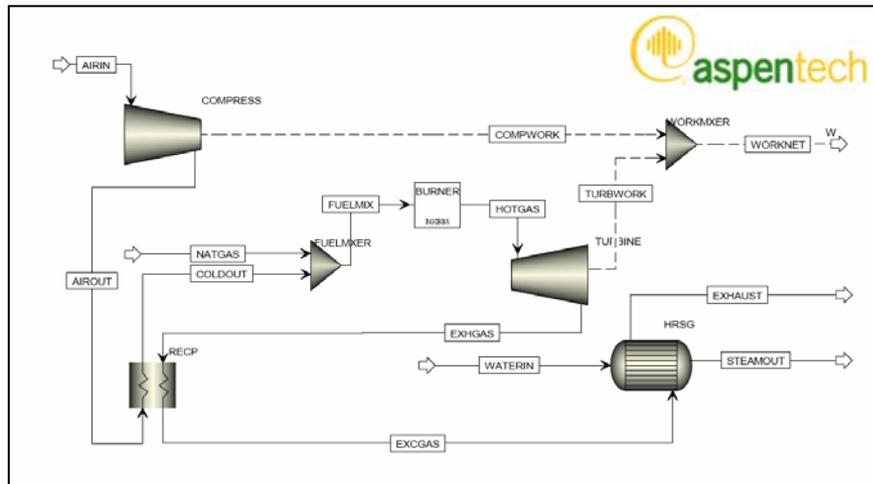
- **A recent *Engine Efficiency Colloquium* organized by ORNL with participants from industry, government, and academia addressed the subject of maximum practical engine efficiency**
 - *“The maximum BTE expected for slider-crank engines is about 60%, assuming that cost is not a constraint.”*
 - *“Achieving BTEs > 60% will require radical changes to present engines, including cycle compounding, new engine architectures, and more constrained combustion reactions.”*

– Daw , et al. (2010) ORNL Report TM-2010/265
- **This would be a very aggressive, stretch goal and costly**
- **Large (e.g., ARES-class) engines stand a better chance of approaching this goal than smaller engines (such as transportation engines or small gen-sets)**
- **Will require balancing benefits (+) and drawbacks (–) of multiple approaches**
 - **Waste heat recovery (WHR)**
 - **Advanced, low-temperature combustion techniques**
 - + Lower heat loss and aftertreatment fuel penalty
 - Lower WHR potential
 - May require advanced controls to limit cyclic variability
 - **Advanced materials with low thermal conductivity and high durability**
 - + Lower heat loss and higher WHR potential
 - + Operation at higher cylinder pressures (increased piston work)
 - Increased potential for knock and NOx production
 - **Improved turbo-machinery efficiency**
 - + Higher boost (especially at part load)
 - + Turbo-compounding
 - **Variable valve actuation for reduced pumping losses at part load**
 - **Dual-fuel combustion strategies?**
 - **Fuel reforming (to H₂ and CO) using exhaust heat (thermochemical recuperation)?**

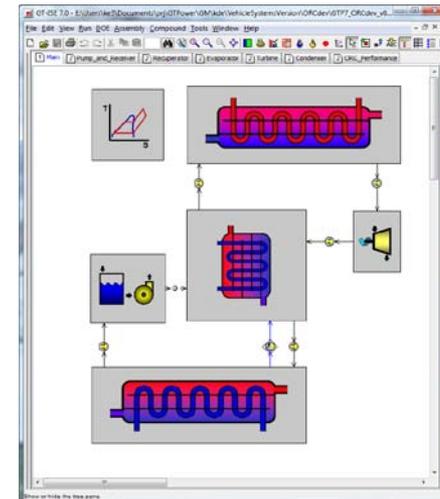
Modeling and analysis of recip- and turbine-based CHP systems

- Models developed for state-of-the-art CHP systems using Aspen Plus® and GT-Suite®
- Evaluate combined system performance and assess opportunities for further optimization
- Evaluate strategies for managing power-to-heat ratio to meet demand
- Assess impact of strategies to improve power efficiency and/or reduce emissions on overall system performance and process heat production, for example...
 - High-dilution (lean or high EGR) combustion strategies
 - Improved turbo-machinery performance for high-boost IC engines
 - Advanced materials for reduced heat loss
 - etc

Turbine-based CHP system modeling using Aspen Plus®



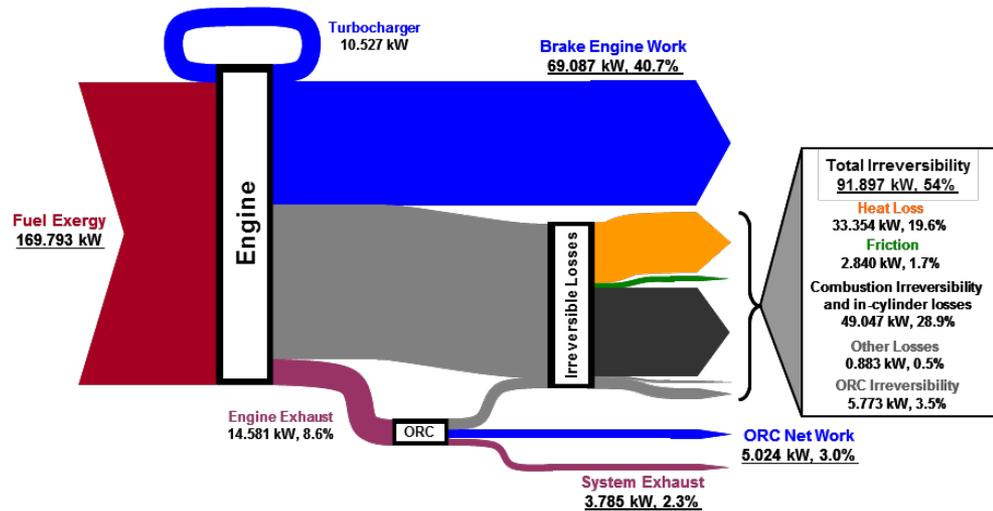
Reciprocating-engine-based CHP system modeling using GT-Suite®



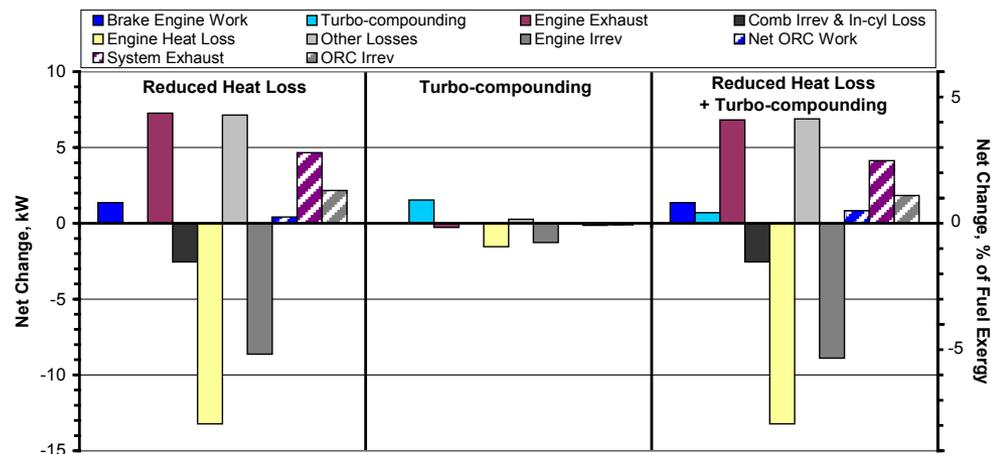
Modeling example for reciprocating-engine-based system

- System consists of a state-of-the-art, light-duty diesel engine coupled with an organic Rankine cycle (ORC) for waste heat recovery for additional power
- Evaluated impact of engine efficiency improvement strategies on overall system performance
- Advanced materials to reduce heat loss
 - Limited increase in engine power
 - Significant increase in engine exhaust temperature
 - Lower combustion irreversibility
 - 1.2% point increase in system performance
- Turbo-compounding
 - ORC compensates by further lowering system exhaust temperature
 - 1% point increase in system performance
- Combined approach
 - ~2% point increase in system performance
- Full results published
 - ASME ICEF2010-35120
 - SAE 2010-01-2209

Exergy distribution for small diesel engine at peak efficiency with Rankine bottoming cycle for waste heat recovery



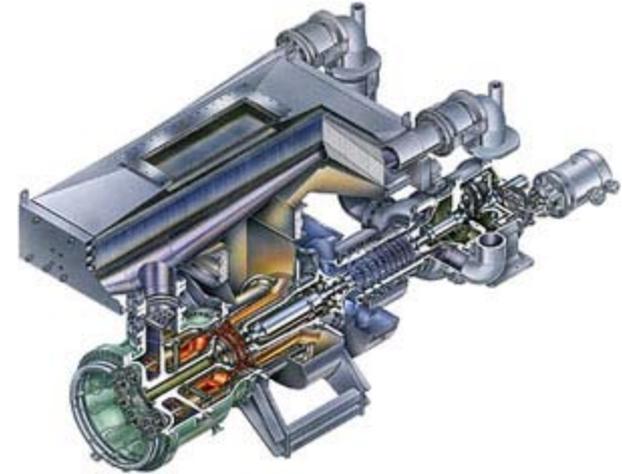
Net change in exergy distribution with efficiency improvement strategies



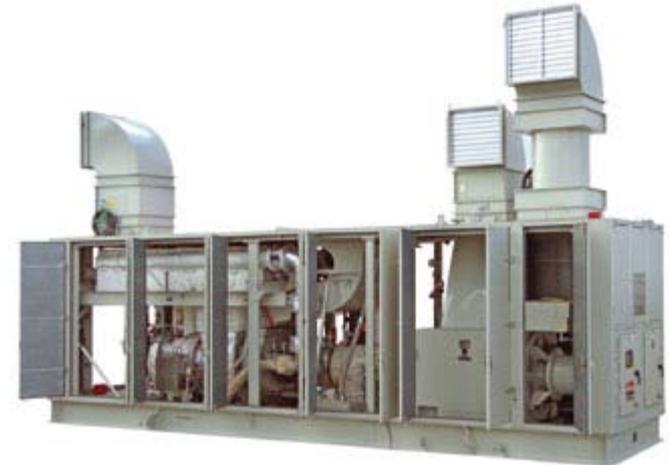
Turbine-based CHP system modeling and analysis

- **Aspen Plus used to model a state-of-the-art turbine-based CHP system for power and steam generation**
 - Evaluate opportunities for further optimizing system performance and power-to-heat ratio
 - Assess impact of power efficiency improvements on system performance
- **System based on a Solar Mercury™ 50 gas turbine**
 - State-of-the-art natural gas turbine system
 - Output: 4.6 MWe
 - Recuperated
 - 10-stage variable-vane compressor, 9.9:1 compression ratio
 - 2-stage reaction turbine
 - Ultra-lean-premix combustion
- **ORNL is also working with Solar Turbines on development of advanced turbine materials**

Cut-away schematic of Solar Mercury™ 50 turbine



Solar Mercury™ 50 turbine-based CHP installation

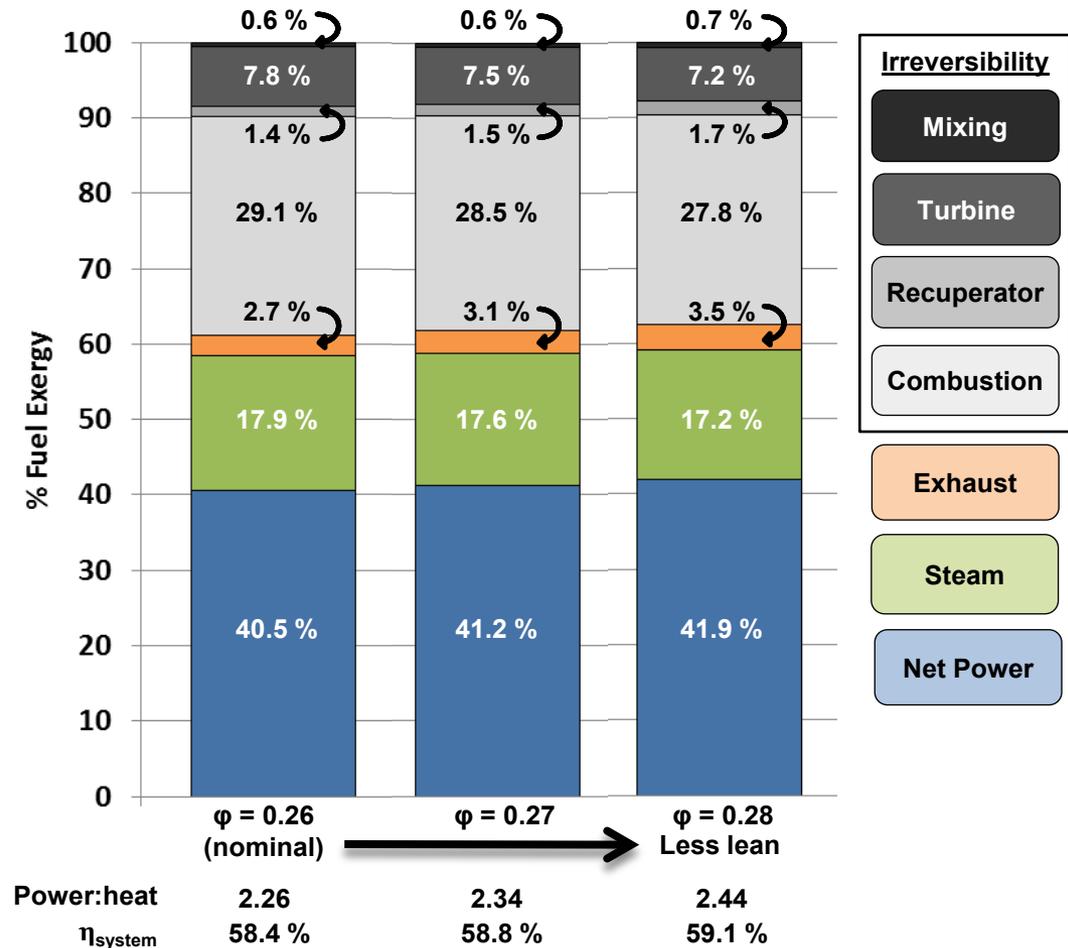


Images courtesy of Solar Turbines

Example results show effect of equivalence ratio on efficiency

- Turbine-based CHP model recently completed
- Studies underway to assess impacts on system operation and evaluate opportunities for improvement
- Sample results presented here show impact of small changes in turbine equivalence ratio
 - Increasing fueling increases power and overall system efficiency
 - Combustion irreversibility decreases with lower dilution – ~18.3 % at stoichiometry for natural gas
 - Ultra-lean operation desired to meet emissions regulations and limit turbine inlet temperature
 - Estimate potential efficiency improvement with advanced materials
 - Does efficiency benefits of less-lean operation outweigh burden of adding aftertreatment?
- Additional studies underway (effects of pressure ratio, recuperator effectiveness, etc)

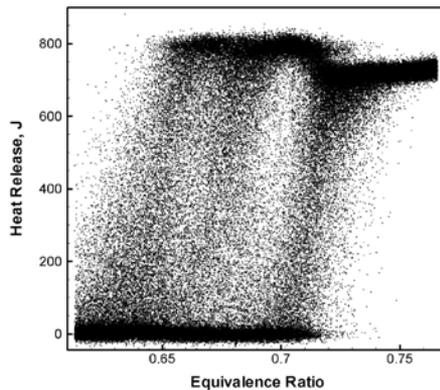
Model results showing equivalence ratio effects on exergy distribution for turbine-based CHP system



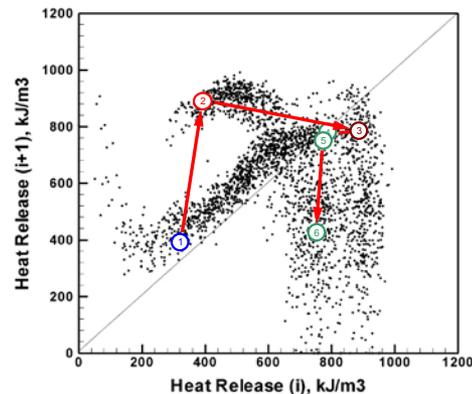
Task 3: Advanced combustion studies

- **Plans for this task involve ...**
 - Exploring the stability limits of the single-cylinder engine during lean and advanced combustion operation
 - Evaluating the potential to increase stability through intelligent control
- **ORNL has extensive experience in characterizing and controlling complex, unstable combustion processes to achieve efficiency and emissions reductions benefits**
 - Extending operation near stability limits
 - Transition and stabilization of low-temperature combustion modes
 - Avoiding misfire and abnormal combustion events

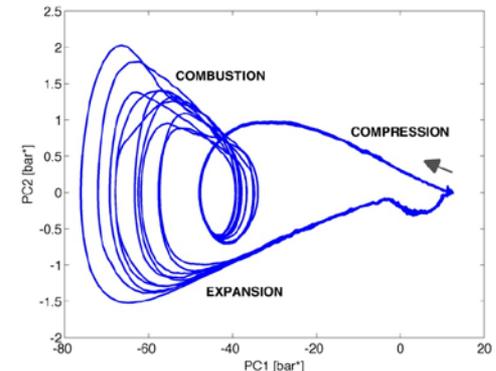
Example of unstable lean-limit SI operation



Complex but short-term predictable patterns observed in spark-assisted HCCI



Phase-space reconstruction for predicting instability



- **We are adapting plans for the remainder of FY2011 while the single-cylinder engine is repaired**
 - One option is evaluation a novel modeling strategy that builds on our existing understanding and ability to predict high-dilution combustion performance

Summary and Future Work

Comprehensive program has been established and is addressing challenges of improving component- and system-level performance of future CHP systems

- **State-of-the-art, single-cylinder research engine installed and commissioned at ORNL**
 - Engine suffered a bearing seizure
 - Failure assessment and redesign and repair efforts are underway
 - Goal is to return to operation this FY
- **Thermodynamic evaluations are providing insight to efficiency opportunities on a component and system level**
 - Presented assessment of practical IC engine efficiency limits
 - Evaluating IC engine data for efficiency opportunities
 - Continuing to exercise CHP system models
- **Combustion studies on the single-cylinder engine will resume following engine repairs**
 - Shifting focus to proposed modeling study for remainder of FY 2011

Questions?

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