

**DOE Webinar:** 

Translating behavior change research into consumer action

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May 28, 2013

www.CTEnergyChallenge.com



- 1. Behavior Change Strategies and Lessons Learned
- 2. Overview of N2N
- 1. Appendices/Publications for your use later

### About N2N:



## **Challenges in our Residential Market**

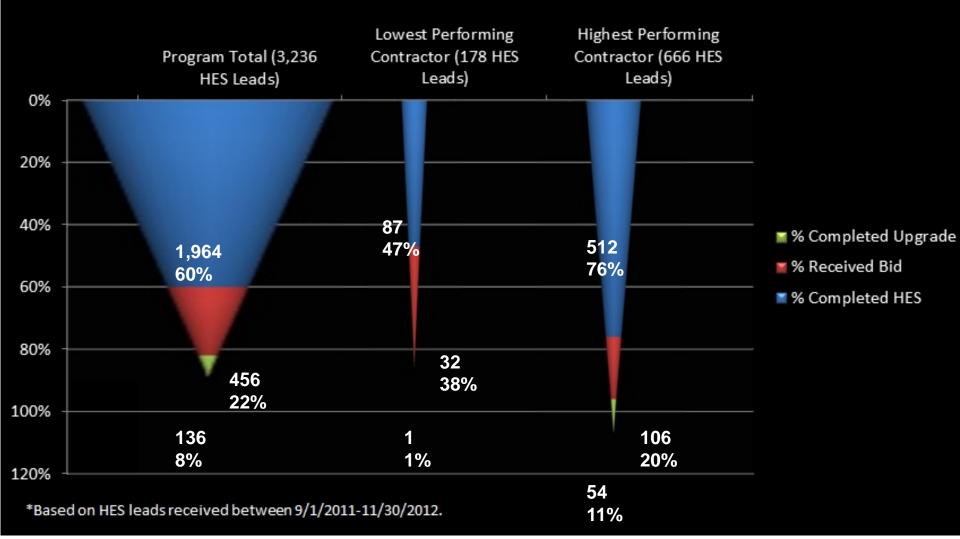
### **Energy efficiency- who cares?**

- Low priority category
- Low consumer awareness and demand for upgrades
- Residents are confused about what to do
- Lack of trust for utilities and contractors
- Program marketing is not as consumer friendly as it could be
- Overemphasis on Home Energy Solutions and small changes
  - 75% of residential energy savings are from air sealing and lighting
  - Less than 10% do more than HES

In CT contractors and customers are not sufficiently incented to focus on upgrades... **yet.** 



# Lessons Learned Contractor Funnel of Doom



# **Key Findings Need an Innovation Lab**



- Current residential EE programs don't work, lack flexibility and accountability
- Need to morph/evolve in a petri-dish
- Subtle behavioral insights need to be detected and woven into programs
- Support with robust data platform

Behavior matters!!!!

# **Program Tools Internal Tools for Innovation**



### Test, learn, adapt

Technology platform that tracks customer through all energy actions

- Real-time data collection and reporting
- Compares cost-effectiveness of outreach strategies and sets targets for campaign performance

### Behavioral research

- Quantitative action research with real-time data collection
- Qualitative analysis of outreach and messaging through in depth interviews, surveys, customer feedback
- Behavioral experiments with program participants

### Program dashboards

- Internal and external dashboards
- Contractor pipeline/ scorecards





### N2N customer relationship management (CRM) database tracks:

### Outreach Data

- Leads/Contacts
- Households
- Outreach Activities
- Referrals
- Coalition Partners

### **Upgrade Data**

- Project Information
   Savings, Rebates/Incentives, Costs
- Contractor Scorecards
  - Close, Bid, Upgrade

# Program Tools Salesforce Database



### For each participant, information is collected on 3 levels:

### **Contact**

- Events attended
- Survey responses

### **Projects**

- Completed actions HES, HES-IE, Efficiency Improvements, Solar
- Pipeline data from sign-up to completion
- Energy savings, cost, and financing

### Household

- Utility records
- Pre-assessment interest & "hot lead" designation
- Cumulative Energy Savings

### Our Approach

### Data is Essential for Research & Evaluation

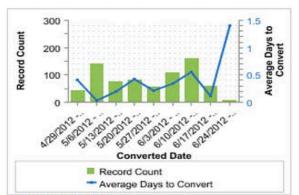


https://nall.salesforce.com/01ZG0000000a3kx

#### Unconverted Leads

Full Name	Interest	Lead Age	Record Count
	HES	0	1
	HES	0	2
Total			3

#### Converted Web Leads Summary



This & Last Month

#### Average Days to Assign to Contractor

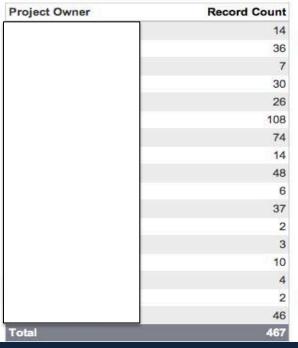


#### Open Projects by Type

Project Record Type	Record Count
HES Assessment	467
HES Improvement	227
IE: HES Assessment	222
IE: HES Improvement	4
Lighting Retrofit	63
Total	983
All Onen Designate	

All Open Projects

#### Open HES Assessments by Owner

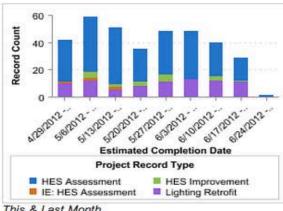


#### Completed HES Improvement Projects



This Calendar Year

#### **Projects Completed by Type**



This & Last Month

#### **Earned Points by Town**



### **Transparent Data Publication**

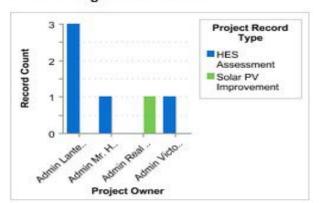


### Weekly Contractor Review Dashboard

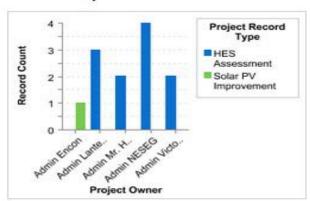




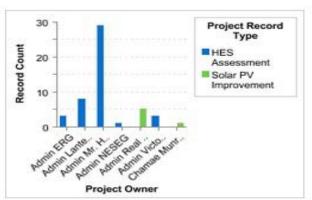
#### Leads Assigned Last Week



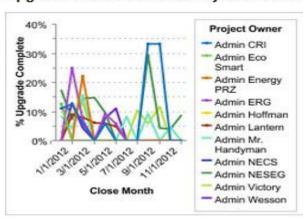
#### Leads Completed Last Week



#### Leads Lost Last Week



#### Upgrade Conversion Rate by Contractor



#### Hot Leads - HES Completed in Past 60 Days

Project Owner		Record Count	Sum of HES Upgrade Bid
Ω	Admin Lantern	8	5
0	Admin Mr. Handyman	6	0
9	Admin NESEG	4	0
19	Admin Victory	4	0

#### Completed HES Improvement Projects

Project Owner	Record Count
Admin NESEG	77

#### **Energy Savings by Contractor**

Project Owner	Average Overall Net Annual Energy Savings (%)
Admin CRI	8
Admin Eco Smart	8
Admin Energy PRZ	8
Admin ERG	11
Admin Hoffman	13
Admin Lantern	-10
Admin Mr. Handyman	14

### Completed HES Assessment Projects

THE REST OF THE PARTY OF THE PA	
Project Owner	Record Count

## Program Tools Dashboards

Help for this Page 🕜

### Completed Hot Leads Past 60 Days

Time Frame

Report Generation Status: Complete

#### System Administrator

Report Options:

Hierarchy: Aegis Solar Energy Partner Executive | Competitive Resources Incorporated (CRI) Partner Executive | C-TEC Solar Partner Executive | Energy Partner Executive | Energy PRZ Partn

Summarize infor	mation by:	Da	te Field		Ran	ge							
Project Owner		▼ E	stimated Comple	etion Date		t 60 Days	•						
					Fron 11/5	/2012 1/3/2013							
	Closed Won Al	Save Sav		us, I'd like to sol	Export Details	w" Clear							
HES Upgrade Bid	Project Name	Created Date	Estimated Completion Date +	1. I'm interested in learning more about	2. I am already awar of serious problem	e 3. How serious are the problems to you?	4. I plan live in home fo	my HE	usehold S FST ached	No Opportunity for Upgrades	HES Upgrade Complete	Upgrade Recommendation Notes	Description
Project	Owner: <u>Admin Lantern</u> (8 reco	ords)											
1	Sebula Household - Portland - HES Assessment 2012	10/8/2012	12/19/2012	c. Solar PV	-	Very serious, I'd like to solve the problems now	-		1		(	-	10/10 open construction df

### Behavioral Research Approaches



- Participatory Action Research:
  - Continuously Test/Learn/Adapt;
- Qualitative analysis; and
- Behavioral experiments and surveys.



Social/behavioral approaches, Real-time data collection, Frequent analysis, and Quick course correction.



# **Driving Demand Why Community Based?**

### **Community Based Social Marketing**

- Blend social and individual psychology behavior change strategies
- Targeted at people who have reason to care; ready for change
- Works on the installment plan
- Carried out at the community level
- Involves direct contact with people

### The theory:

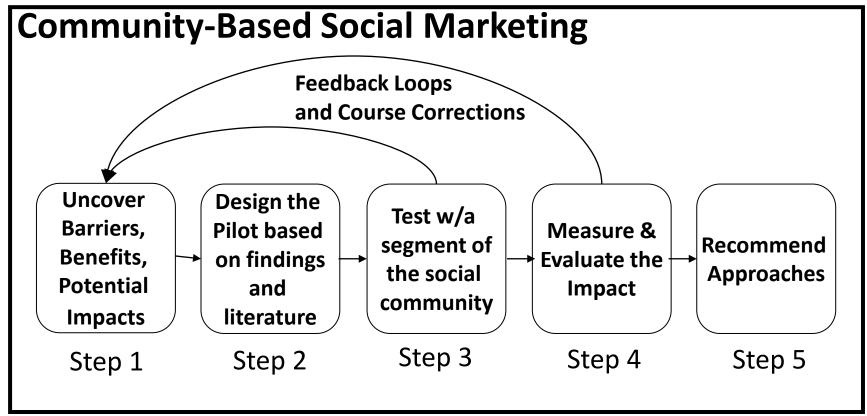
- Widely used in Public Health
- N2N applies it to Energy Waste!



Sources: (McKenzie-Mohr 2008, 2009, and Brooks et al 2002)



# Methodology: Participatory Action Research

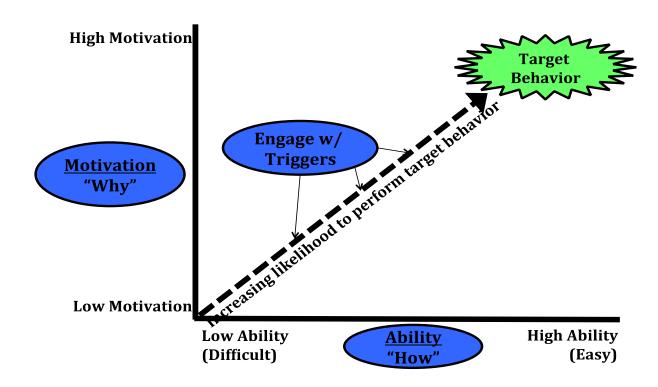


Derived from: McKenzie-Mohr, D. (2009). Fostering Sustainable Behavior Presentation.

Paper presented at the Behavior, Energy, and Climate Change Conference.

http://aceee.org/conf/09becc/09BECCPresentations.html - Day3

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# Driving Demand Behavior Science

<b>infl</b> uence	Motivators/			
Dimension	Co-Benefits			
	Fun!,			
Individual	Comfort,			
(Personal	Convenience,			
Context)	Core/Intrinsic Values,			
	Health,			
	Sense of Ownership,			
	Gain/Fear of Loss.			
	Social Approval,			
Group	Peer Pressure.			
(Social				
Context)				



# Outreach Behavior Problem, Diagnosis, Solution

### **Problem**

Poor Close Rate (26% of leads completed HES visit)

### **Qualitative Diagnosis:**

- Low Quality Leads
- Customer Confusion

### **Solution—Course Correction:**

- N2N All-Staff Analyses
- Refine pitches/materials
- Prime for upgrades
- Staff Training
- Sign up "receipts"

# Lessons Learned Customer Engagement

## Reeping your message simple and fun

- Messaging musts—anchor on upgrades and WIIFM
- Tailored to each segment
- Understands different motivations for different people

### Using social strategies

- Need multiple touches through multiple channels with repeated messaging
- Trusted source
- Strategic messaging

### Priming for upgrades from moment one

## Lessons Learned Where to Invest



- 1. Community based organizing
  - Thrives with experienced staff
  - Must be multi touch multi channel
- 2. Contractor coordination and support
  - Huge need for hands on oversight and support
  - Need data platform for real time oversight
- 3. Marketing
  - Needs to understand the target audience and energy efficiency marketing
  - Air war re-enforces ground war (you need both to be successful)

## **Behavior Matters Fundamentally**

## The Big

How to create a real-world program, continuously evolving over time

### The Small

 How to tweak behavior through small, but important changes

### The Reality

- Four sets of behavioral stakeholders: Consumers,
   Contractors, Program Admin, Policymakers
- It's not pretty
- Making an impact requires diving into the field

## Our Approach



## **Community Based Outreach is Critical**

- Establishes you as a trusted source
  - Gets you in the door and gives people an emotional connection
  - Helps you handhold residents through program complexities
- Allows you to test and refine messages
- Drives demand and awareness by utilizing existing social networks

We are social creatures that do what others do





## N2N "Ladder" of Sustainability



#3 Complete

**#4 Complete** necessary upgrades in your home. **Home Energy** 

energy

savings.

**Achieve 20%** 

savings achieved, install PV.

#5. With



#2. Change out lighting, install CFLs, see instant savings.

Solutions visit; Achieve 10% energy savings. Learn what else needs improved;

**Buy Clean Energy Options.** 



the N2N Challenge at a workshop, tabling event, on-line, etc.

#1. Sign Up for



## Our Approach

## What We've Accomplished

Category	Totals through 5/20/13
Coalition partners	137
Outreach events	1049
Workshops	91
Participants	8393
Home Energy Solutions signups	6032
HES visits completed / %	3378/ 66%
Upgrades completed / % of HES	384/ 11%
Upgrades financed/ % of total	24/ 6%
Facebook Fans	458
Email list	7041
Media Hits	269+

## **N2N Focused on Driving Upgrades**

## **Objectives:**

- Increasing demand and cost-effectiveness
- Using community-based and behaviorallyfocused outreach strategies



### **Methods:**

- Event-based Outreach
- Workshops
- Door-to-door canvassing
- Community-partner outreach
- Passive sign-ups, and
- Contractor-generated leads



# Our Approach Comprehensive Strategies

### A team with multi-disciplinary expertise in:

- community outreach
- energy education
- marketing and market research
- media relations
- social marketing
- behavioral science
- project management
- web-based design and software tools
- performance evaluation







Massachusetts Institute of Technology









## Our Approach **Main Outreach Channels**

- **Community Groups**
- **Town Government**
- Low Income/ Seniors
- Faith
- Education
- **Business**
- Earned/ Social Media



The Cheshire Community Food Pantry, Inc.

Caring about our neighbors www.cheshirefoodpantry.com



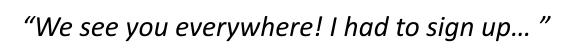












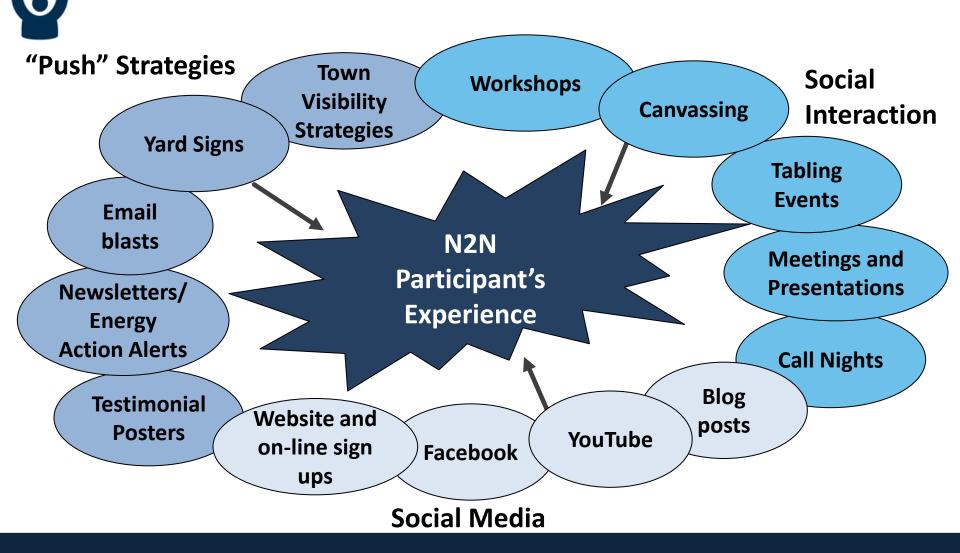






## Our Approach

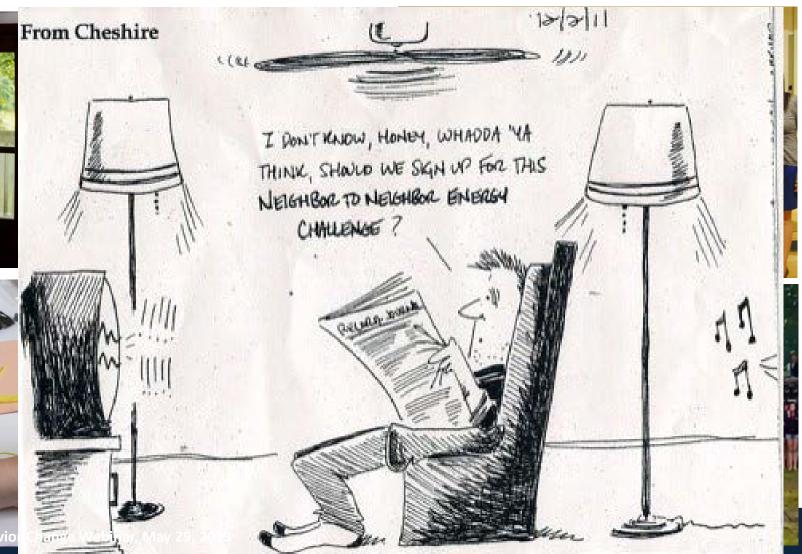
## Driving Demand: Multi-Touch, Multi-Platform



## Our Approach

### ... And This Should Be Fun!





## Our Approach **Earned Media**



## COLCHESTER **MARCH 24, 2011** emmaer!

Energy

Tuesday, Ju





Shown in th Virginia Fis Michael Eck

Connecticut part series o

The series. ' 23rd beginn involvement

The competi which can re communitie

Towns with powered LE

# 'ENERGY CHALLENGE

Local towns in program

recommendations made during the Home Energy Solutions visit.

The Westport Home Energy Challenge isn't slowing down now. As summer cooling skyrockets in local homes. Wastnort residents who have not yet had HES visits are being urged to schedule one by visiting www.NE-Smartine.gy.com/Westport-Challenge or calling (203) 292Lantern Energy Technician Jim Nazarenus, Mayor Donna Hemmann and Community

lot

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# **Program Tools Planning Tools**

	A	В	С	D	E	F	G	Н	Controls hidde	n. Press
1	Westport	Overall Goals for July - September	Overall Actuals for July- September	July 1-7 (JL OFF)	Goals	Actuals	July 8-14 (JB, BP OFF)	Goals	Actuals	July 1 Corps
24	Social Media						JL to start HES article for Fall			
	Existing Coalition Partners (BP)									
26	Conservative Synagogue	10 HES		(BP) Set up meeting with conservative synagogue, ask about poolside luncheon			Ladies pool side luncheon on July 11 (Jen or Donna to attend)			
27	Westport Y	15 HES		(BP) is scheduling a meeting						Meeting Cohen. present identify raising (testim posting website placem
28	DMA	20 HES		(BP) is setting up meeting w/new president Steve Desloge			Sidewalk Sale on July 13 and 14 (not set up yet)	5 HES		Fine Ar
29 N2N	l Behavior Chang							- Ask number of members, - fundraising goals remind them that there is \$225 at stake for every sign up, - we will help you realize your fundraising but we need your full cooperation/ help, -		



# Lessons Learned Community Outreach

- Organizers should work with local leaders on asset mapping
- Rigorous goal based campaign plans are crucial
- Needs multi-touch basket of approaches
- Use data to monitor effectiveness of each strategy
- Increase effectiveness by coordinating earned and social media
- Need significant staffing with experienced organizers
  - Community groups and volunteers are critical and require training
  - Youth service model has PR benefits but limited effectiveness
    - Needs funding source dedicated that supports professional development goals





## **Questions?**



### **Contact:**

Kat Donnelly, PhD, kdonnelly@empowerdevices.com or Jess Bergman (860) 372-4405

www.ctenergychallenge.com



## Appendix I: Behavioral Research

## by Kat A. Donnelly

Link to behavioral excerpt of thesis/N2N Process Evaluation:

https://dl.dropboxusercontent.com/u/749694/2012April28%20D

onnelly%20Thesis%20Behavior%20Only.pdf

Link to entire thesis/process evaluation:

https://dl.dropboxusercontent.com/u/749694/2012%20Donnelly %20PhD%20Dissertation.pdf



# **The Opportunity:** Reducing Household Energy Use

- Residential buildings use 1/5<sup>th</sup> of U.S. energy use
- Efficiency gap
- Homes waste up to 50% of energy use
  - mostly air leakage and behavioral actions.
- Peer Effects/Social Norms (Bollinger & Gillingham, 2012)



Other Sources: NRC 2010, APS 2008





Are community/behavior programs more cost-effective than utility administered efficiency programs?

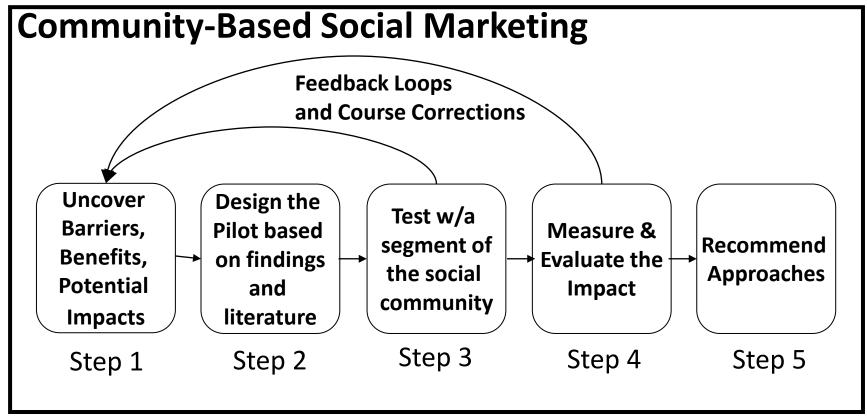
Large-scale pilot demonstration project

What conditions facilitate the adoption of energy efficiency?

How can community/behavior programs be used to drive deeper upgrades?



# Methodology: Participatory Action Research



Derived from: McKenzie-Mohr, D. (2009). Fostering Sustainable Behavior Presentation.

Paper presented at the Behavior, Energy, and Climate Change Conference.

http://aceee.org/conf/09becc/09BECCPresentations.html - Day3

# 6

# Action Research in Action: Car Idling in Schools

- Barriers → Forget, Incorrect beliefs (3 min)
- Benefits → Air Quality/Health, Save fuel/\$
- Approach:
  - 1. Describe benefits and barriers first sentence
  - 2. Secure commitment:

"Would you be willing to make a commitment to turn your car off?"

- Measure results of idling:
  - Reduced frequency: 32%
  - Reduced duration: 73%

Source: McKensie-Mohr (2009)

# Action Research in Action: Car Idling in Schools

- Barriers → Forget, Incorrect beliefs (3 min)
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- Approach:
  - 1. Describe benefits and barriers first sentence
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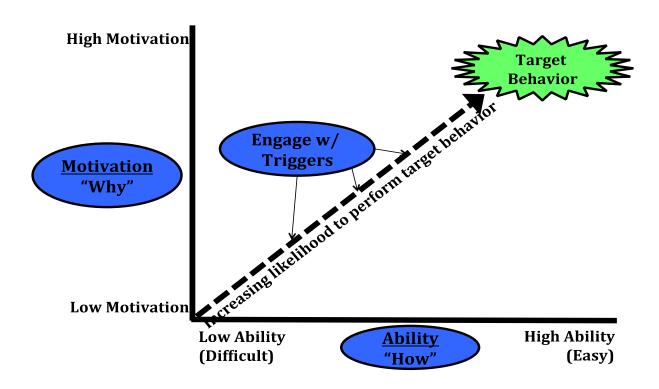
"Would you be willing to make a commitment to turn your car off?"

- Measure results of idling:
  - Reduced frequency: 32%
  - Reduced duration: 73%
- Provide Turn Key Tool Kits
- Follow up Regulation →
- 50 Canadian Municipalities passed Idle Free laws

source: (MacKenzie-Mohr, 2009)

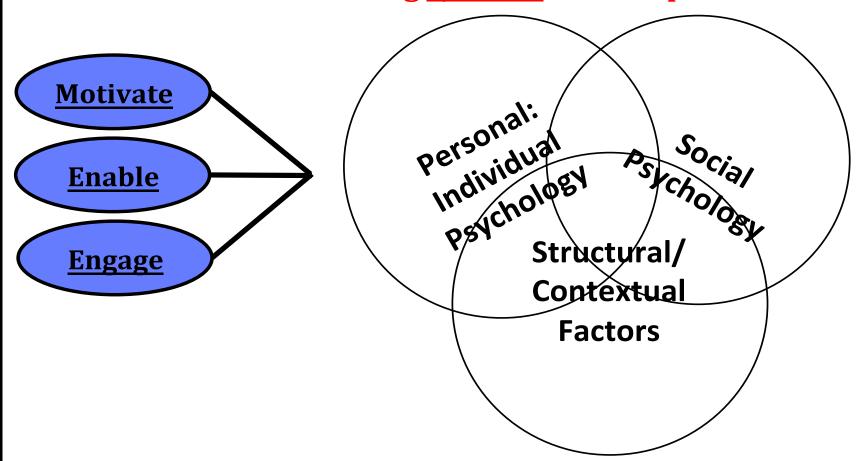


Public commitment, Social diffusion, Trigger, Social norm



 In the real world, a comprehensive approach is necessary because:

human decision-making systems are complex!





# Behavior Change Science: A Toolset for Social Behavior Change

<b>Co-Benefits</b>	Motivations	<b>Abilities and Needs</b>
	(Mostly Behavioral)	(Mainly Technology)
Personal	Make the Undesirable	Much of Willpower
	Desirable	is Skill
Social	Harness Peer Pressure	Find Strength in
		Numbers
Structural	Design Rewards and	Change the
	Accountability	Environment

Table based on: Patterson et al (2009)

Behavior Change is complex.

2. An individual psychology behavioral science approach helps address customer barriers and enables messaging most likely to engage the household.

Personal: Individual Psychology

Raise Benefits (Make it Desirable)	Lower Barriers (Make it Easy)
Fun!,	Time,
Comfort,	Money/Financing,
Core Values,	Perception of
Health and Safety,	Money,
Pleasure,	Emotion,
Hope (Gain),	Effort,
Security (Fear of Loss), Achievement	Non-Routines

 An individual psychology behavioral science approach helps address customer barriers and enables messaging most likely to engage the household.



Tailore	d M	otivators	and	
Triggers				

Framing, Anchoring, and Priming; Household commitments, goalsetting, and feedback; Core value appeals; and Habit internalization.

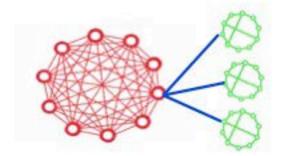
# Raising Abilities and Needs

Transparent data; Simple feedback on existing technologies; Easy installation; and Habit training.

3. Social approaches take time to gain momentum, but show greater long-term promise and drive diffusion quicker than utility traditional marketing.



Raise Benefits	Lower Barriers
Social Approval;	Create Social
	Rejection and Peer
	Pressure.



3. Harnessing social diffusion practices and peer pressure are the keys to creating social change.



# Social Motivators and Triggers

Behavior Modeling w/ Trusted Messengers; Descriptive Norms and Comparisons; Injunctive Norms; and Social Approval/Fear of Social Rejections.

# Raising Abilities and Needs

Social Movement
Toolset, including
Competition/
Rules, Rewards,
Earned Media, and
Social Media; and
Co-create Solutions
w/consumer.

4. The structural and contextual environment supports the N2N Energy Challenge creating or hindering ownership, ability, automation, and unconscious habits.

Structural/ Contextual Factors

Structural Motivators	
Actionable	Contr
Steps;	Stake
Triggers,	(Adn
Prompts,	Cont
Reminders; and	Feedb
Rewards.	Auton

# Contractor networks; Stakeholder Incentives (Administrator, Contractor, Customer); Feedback location; Automation options.

**Raising Abilities** 



## N2N Behavior Science Summary Table: A Toolset for Behavior Change

Influence Dimension	Motivations	Abilities and Needs
(Co-Benefit)	(Mostly Behavior Techniques)	(Mainly Technology Techniques)
Personal:	Make the Undesirable Desirable:	Much of Willpower is Skill:
Fun!,	Framing, Anchoring, and Priming;	Transparent Data;
Comfort,	Household Commitments, Goal-Setting,	Simple feedback using existing technology
Core Values, Health and	and Feedback: How are we doing? How	(go where they already go);
Safety,	did we improve? Where do we need to	Educate;
Pleasure,	be?;	"Install";
Hope (Gain),	Understand and appeal to core values;	Reduce time, money, emotion, effort, and
Security (Fear of Loss),	Tailor and Personalize approaches; and	non-routine barriers; and
Achievement.	Habit Internalization.	Train Habits.
Social:	Harness Peer Pressure:	Find Strength in Numbers:
Norms,	Behavior Modeling from Trusted	Develop Community and Social
Attitudes,	Messengers;	Competitions/Goals/ Commitments,
Social Approval, Social	Descriptive Norms and Comparisons;	including Rules, Rewards, Earned Media,
Rejection.	and	and Social Media; and
	Injunctive Norms.	Co-create Solutions with the Consumer.
Structural:	Design Rewards and Demand	Change the Environment:
Ownership, Enablement,	Accountability:	Contractor Networks;
Automation	Actionable Steps;	Stakeholder Incentive Structures
(Unconscious/	Triggers, Prompts, and Reminders; and	(Administrator; Contractor, Customer);
Habitual).	Rewards.	Physical location of feedback; and
		Automation levels targeted to consumer.



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# Appendix II: N2N Details

#### About Us



#### What is Neighbor to Neighbor?

\$4.1 million pilot funded by U.S. Dept. of Energy to:

- 1. Prove that community based strategies are a costeffective way to drive demand for residential upgrades
- 2. Demonstrate that Home Energy Solutions could be marketed as a first step to deeper improvements (historical upgrade rate <10%)
- 3. Prove that investing in state-of-the-art data systems improve community-based program results



Gov. Malloy announces the Program

Towns

#### **Towns in The Energy Challenge**

**Neighbor to Neighbor ENERGY CHALLENGE** 

Small Changes. BIG RESULTS.



#### Leaderboard

 ★ Westport Points 558,000 111,500 101,100 69,800 69,800 60,800 47,200 7. East Hampton 46,300 33,700 33,100 31,900 30,000 22,900 15,500

#### **Challenge Stats**

Darticinating Towns

1231600 pts

Town Leaderboard

ctenergychallenge.com/weston



Christ Church

Bethany's Farmers Market

Elder Services

**Democratic Town Committee** 

Republican Town Committee

**Bethany Lions** 

Bethany Garden Club

#### Cheshire

Temple Beth David

Cheshire Performing and Fine Arts Committee

Cheshire United Methodist Church

Cheshire Public Library

Cheshire Newcomers and Neighbors Club

Rotary Club of Cheshire

The Cheshire Community Food Pantry

Cheshire Chamber of Commerce

Sally Bowman Real Estate

**Evans Group Financial Advisors** 

#### East Haddam

East Haddam Free Public Library

Moodus United Methodist Church

Moodus Memorial VFW Post 3336

East Haddam Community Lions

East Haddam First Church of Christ Congregational

East Haddam Youth and Family Services

East Haddam Land Trust

#### **East Hampton**

East Hampton Public Library

Friends of Lake Pocotopaug

East Hampton Lions and Leo Club

Congregational Church

Christ Episcopal Church

Rotary Club

Parks and Rec

**Human Services** 

East Hampton High School Sports Boosters

East Hampton Elementary PTO

Prudential Realty

Prides Corner Farms

Lebanon Historical Society

St. Francis of Assisi Church

Social Services

Lyman Memorial Post Grad Committee

#### Mansfield

**Uconn Off Campus Student Services** 

Unitarian Fellowship of Storrs

Goodwin Elementary

Glenn Ridge Cooperative

Mansfield Lions Club

#### Portland

Portland River Valley Garden Club

Community Emergency Response Team

Garden Club

Portland Library

High School Booster Club

Gildersleeve School PTO

Portland High School Green Team

Valley View PTO/ Portland Schools PTOs

First Congregational Church

**Brownstone Quorum** 

Portland Food Bank

Trinity Church

#### Ridgefield

Ridgefield Library

Woodcock Nature Center

St. Andrew's Lutheran Church

Ridgefield Conservation Commission

Parks and Rec

Social Services

Keller Williams

#### Weston

Warm Up Fund

Kiwanis Club

League of Women Voters

#### Westport

League of Women Voters

Woman's Club

Club Green

Conservation Synagogue of Westport, Weston, Wilton

Long Lots PTA

Unitarian Church of Westport

Coleytown Elementary School PTA

Saugatuck Elementary School PTA

Westport Sunrise Rotary

Kings Highway Elementary PTA

#### Wethersfield

Friend of Wethersfield Library

United Methodist Church

Green Summit Committee

Hanmer School PTO

Emerson-Williams PTO

Friends of the Eleanor Buck Wolf Nature Center

Corpus Christi Church

Bud and Blossom Garden Club

Football Boosters

Wethersfield Mom's Cub

Social Services

Senior Center

Men's Garden Club

Fuel Bank

#### Wilton

Wilton Go Green

Wilton Family Y

Wilton Democratic Town Committee

**G&B** Community Cultural Center

Wilton Library

Social Services

Pack 22

Chamber of Commerce

Honey Tree Preschool and Childcare

Temple B'nai Chaim

#### Windham

Windham Area Interfaith Ministry

Institute for Sustainable Energy

Garden Club

Food Co-Op

N2N Behavior Change Webinar, May 29, 2013



# Lessons Learned Contractor Funnel of Doom

